

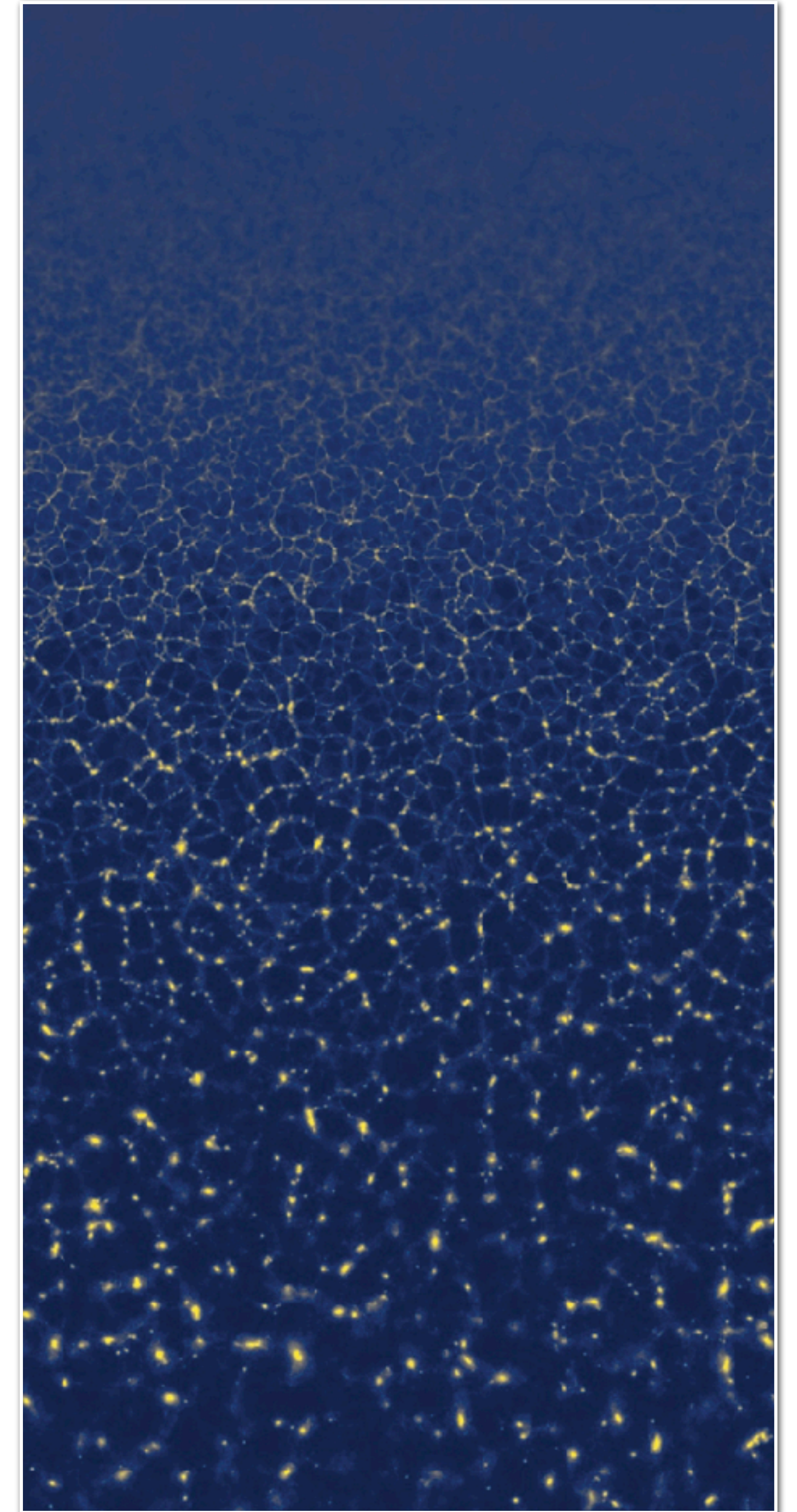
# Long-range forces and the early Universe: primordial black holes and gravitational waves

Séminaire GReCO, IAP

Marcos M. Flores — 6th, May, 2024

# Overview

- Part I: Primordial structure formation
  - Definition & general picture
- Part 2: Applications
  - Primordial black holes
    - Review of standard PBH story
  - Gravitational waves
  - Baryogenesis i.e., matter-antimatter asymmetry
  - Generation of dark matter



$$\hbar = c = k_B = 1$$

The paradigm of  
*primordial*  
structure formation

# Cosmological timeline

## *“Primordial”*

## Structure Formation

10<sup>-32</sup> seconds

1 second

100 seconds

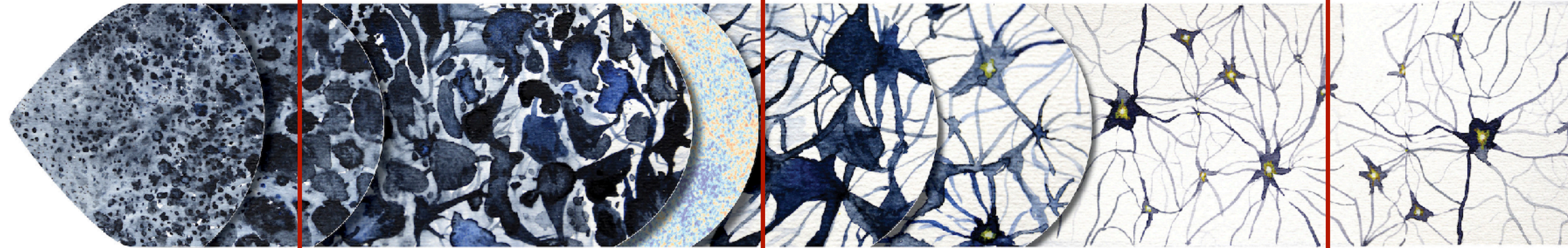
380 000 years

300–500 million years

Billions of years

13.8 billion years

Beginning  
of the  
Universe



### Inflation

Accelerated expansion  
of the Universe

### Formation of light and matter

### Light and matter are coupled

Dark matter evolves  
independently: it starts  
clumping and forming  
a web of structures

### Light and matter separate

- Protons and electrons  
form atoms
- Light starts travelling  
freely: it will become the  
Cosmic Microwave  
Background (CMB)

### Dark ages

Atoms start feeling  
the gravity of the  
cosmic web of dark  
matter

### First stars

The first stars and  
galaxies form in the  
densest knots of the  
cosmic web

### Galaxy evolution

### The present Universe

# Conventional structure formation: basics

$$\rho(x, t) = \bar{\rho}(t) (1 + \delta(x, t))$$



$$G_{\mu\nu} = 8\pi G T_{\mu\nu}, \quad \nabla_{\mu} T^{\mu\nu} = 0$$

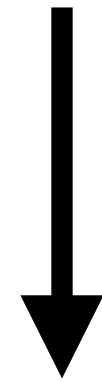


System of Coupled Differential Equations

$$(\delta(x, t) \ll 1)$$

# Conventional structure formation by example

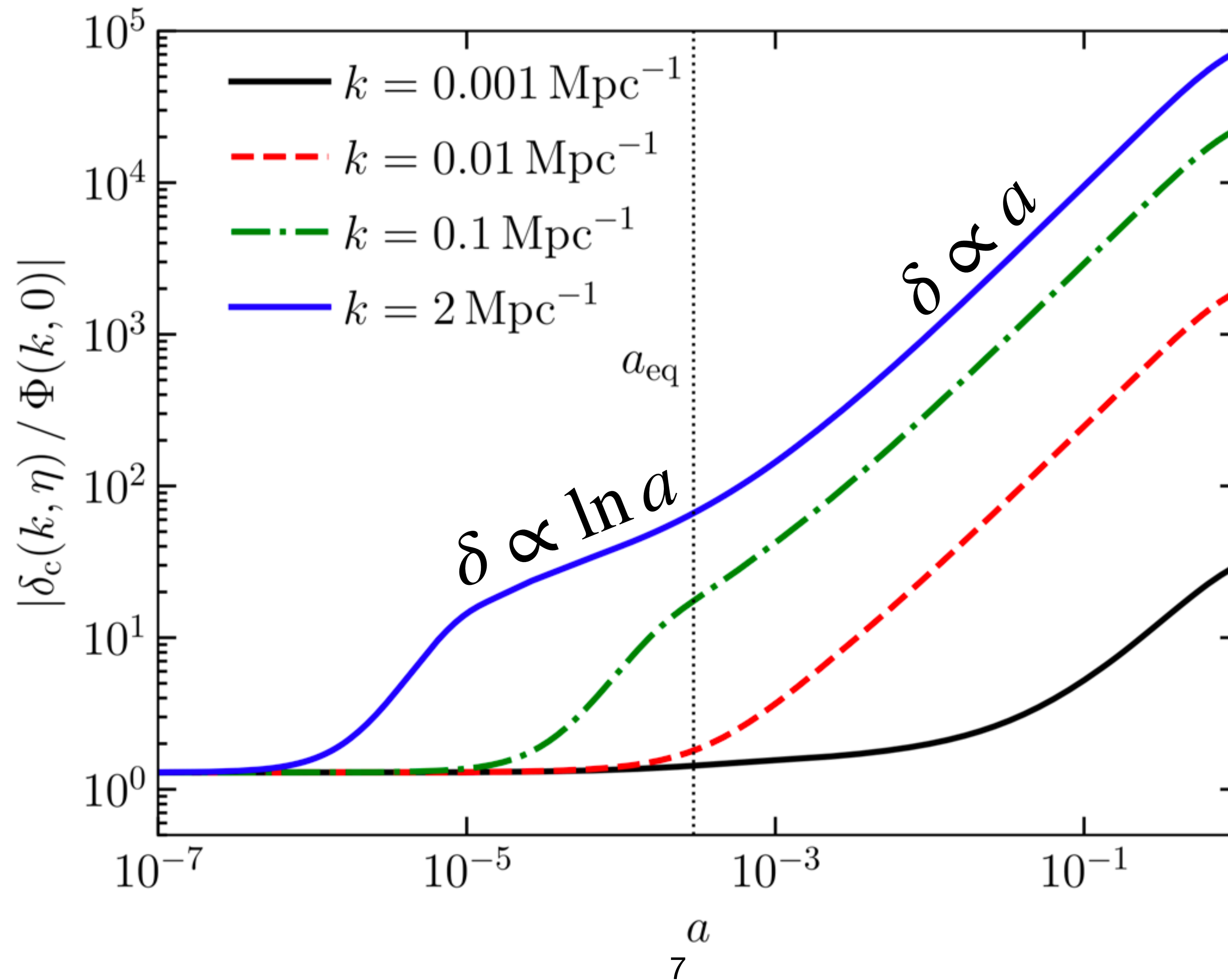
$$\ddot{\delta} + 2H\dot{\delta} = 4\pi G\bar{\rho}_m\delta, \quad H = \frac{1}{2t}, \quad \bar{\rho}_m \sim \Omega_m \sim 0$$



$$\delta(t) = c_1 + c_2 \ln t$$

**Conclusion:** *Matter perturbations only grow logarithmically during a radiation dominated era*

# Conventional structure formation by example



[Credit: Dodelson, Modern Comology]

How can you have *primordial* structure formation?

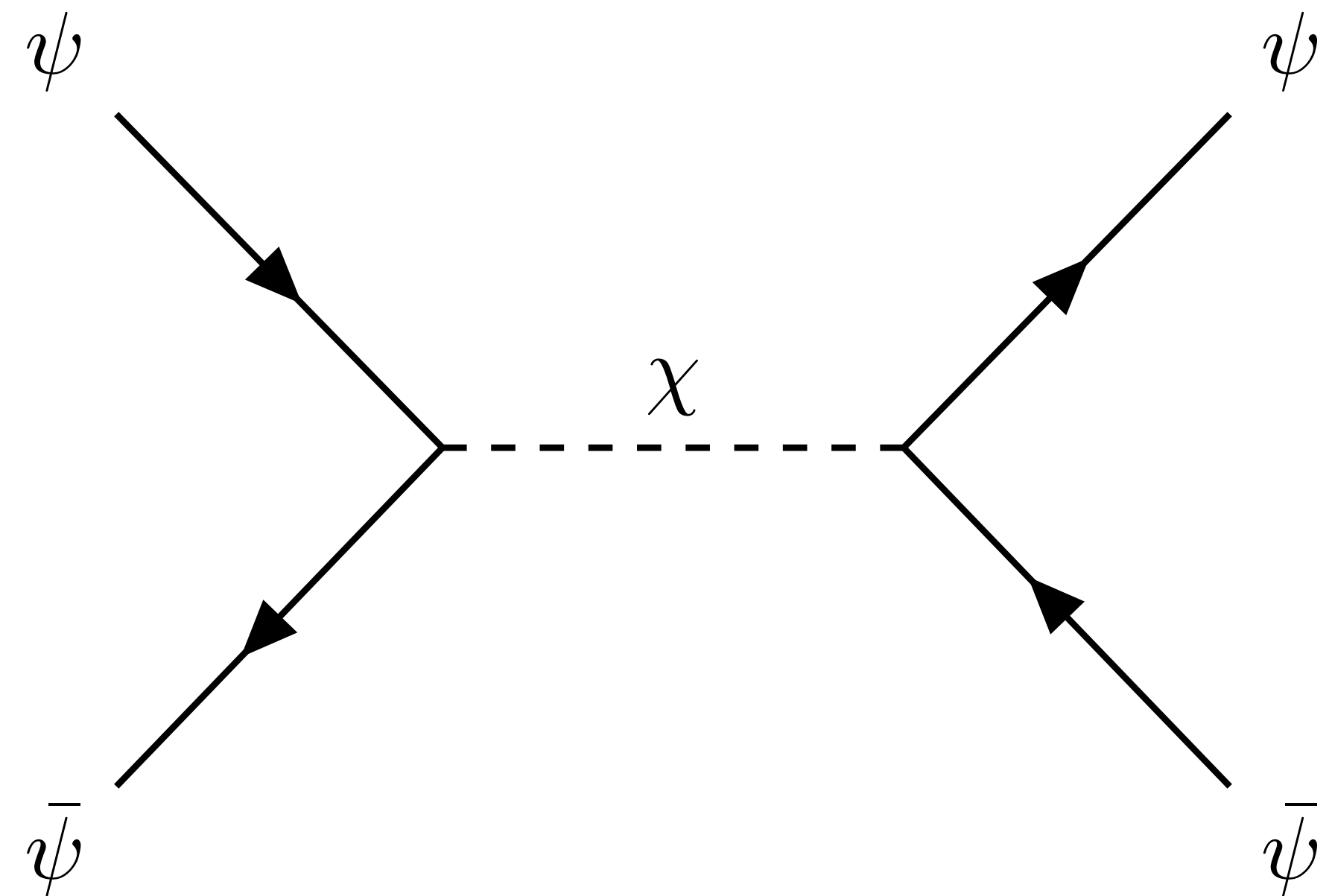


# How can you have primordial structure formation?

$$\mathcal{L} \supset y\chi\bar{\psi}\psi \implies V(r) = -\frac{y^2}{4\pi r}\exp(-m_\chi r)$$

$\psi$  : fermion (  $\sim$  dark electron)

$\chi \sim$  scalar mediator (Higgs)



( $\hbar = c = k_B = 1$ )

# How can you have primordial structure formation?

$$\mathcal{L} \supset y\chi\bar{\psi}\psi \implies V(r) = -\frac{y^2}{4\pi r} \exp(-m_\chi r)$$

$$r \ll m_\chi^{-1} \iff \text{long-range force}$$

$$\implies H^{-1} \ll m_\chi^{-1}$$

$$(\hbar = c = k_B = 1)$$

# How can you have primordial structure formation?

$$\mathcal{L} \supset y\chi\bar{\psi}\psi \implies V(r) = -\frac{y^2}{4\pi r}\exp(-m_\chi r)$$

Yukawa interactions are *always* attractive\*

$$\beta \equiv y \left( M_{\text{Pl}}/m_\psi \right)$$

( $\hbar = c = k_B = 1$ )

# Growth of perturbations

- In Fourier space, the growth of  $\psi$  overdensities, denoted  $\Delta(x, t) = \Delta n_\psi / n_\psi$  are given by a set of coupled differential equations:

$$\ddot{\delta}_k + 2H\dot{\delta}_k - \frac{3}{2}H^2(\Omega_r\delta_k + \Omega_m\Delta_k) = 0$$

$$\ddot{\Delta}_k + 2H\dot{\Delta}_k - \frac{3}{2}H^2[\Omega_r\delta_k + \Omega_m(1 + \beta^2)\Delta_k] = 0$$

[L. Amendola et. al., arXiv:1711.09915]

[S. Savastano et. al., arXiv:1906.05300]

[Domenech and Sasaki, arXiv:2104.05271]

[Domenech, et. al., arXiv:2303.13053]

# Growth of perturbations

- For large scalar forces, the perturbations grow quickly as demonstrated by the approximate solution:

$$\Delta_k(t) \approx \frac{\Delta_k(t_0)}{\sqrt{8\pi}} \frac{\exp\left(4\sqrt{p}(t/t_{\text{eq}})^{1/4}\right)}{p^{1/4}(t/t_{\text{eq}})^{1/8}}, \quad p = \frac{3}{8}(1 + \beta^2)$$

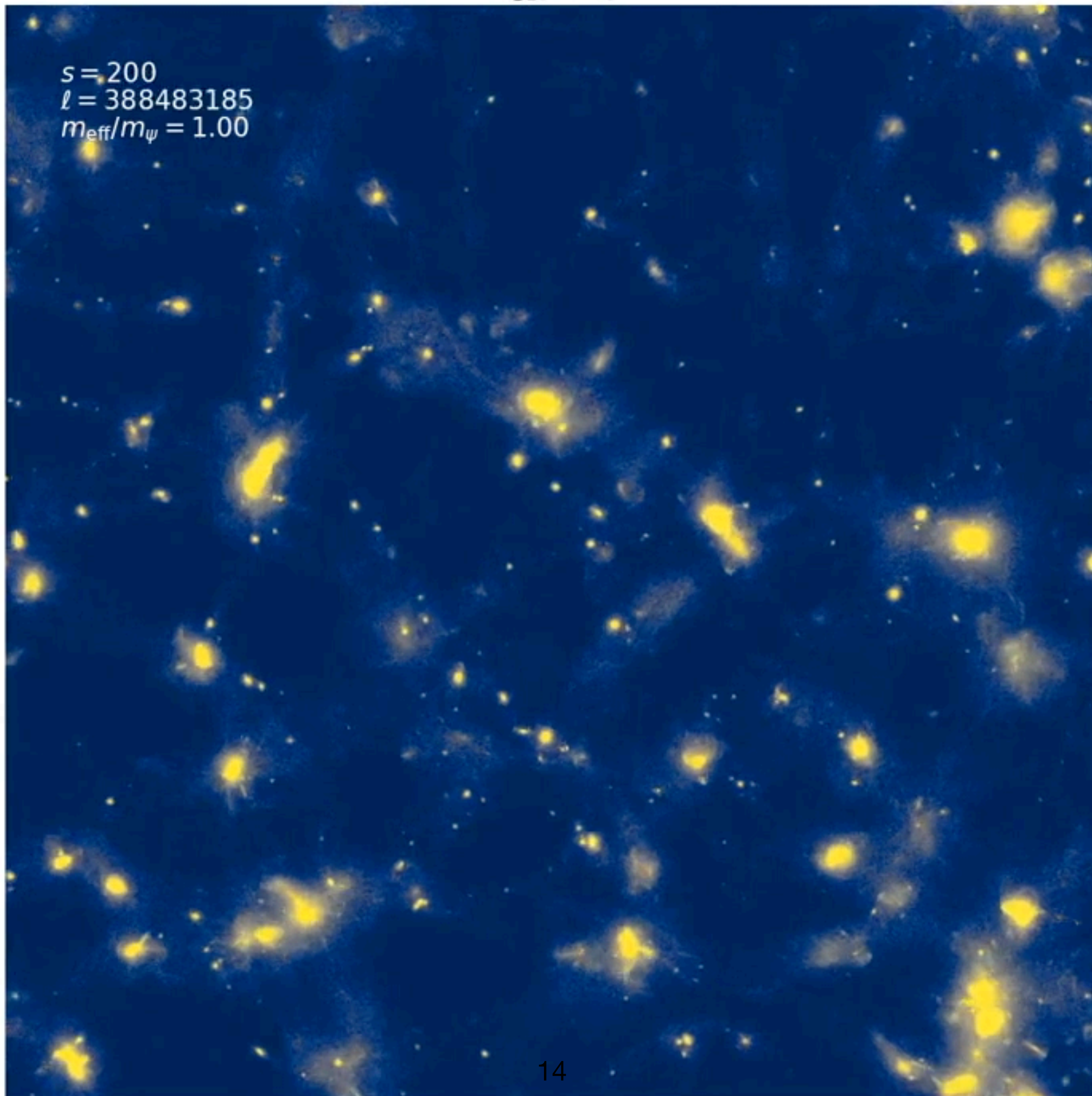
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For  $p \gg 1 \implies \Delta_k / \dot{\Delta}_k \ll H^{-1} \implies$  rapid structure formation

$s = 200$   
 $\ell = 388483185$   
 $m_{\text{eff}}/m_\psi = 1.00$



# Growth of perturbations

$$\Delta_k \ll 1 \implies \Delta_k \gtrsim 1 \iff \text{nonlinear regime} \implies \text{virialize}$$

***Without dissipation***, halos will remain viralized until the constituent particles decay



# Energy dissipation through scalar radiation

The same long-range force that cause the growth of structure will also cause accelerating particles to emit scalar waves

There are *five* possible dissipation channels:

1. Coherent motion
2. Incoherent motion
3. Bremsstrahlung (free-free) emission
4. Bound state formation
5. Surface radiation

Bremsstrahlung and surface radiation will be the most important channels for our discussion

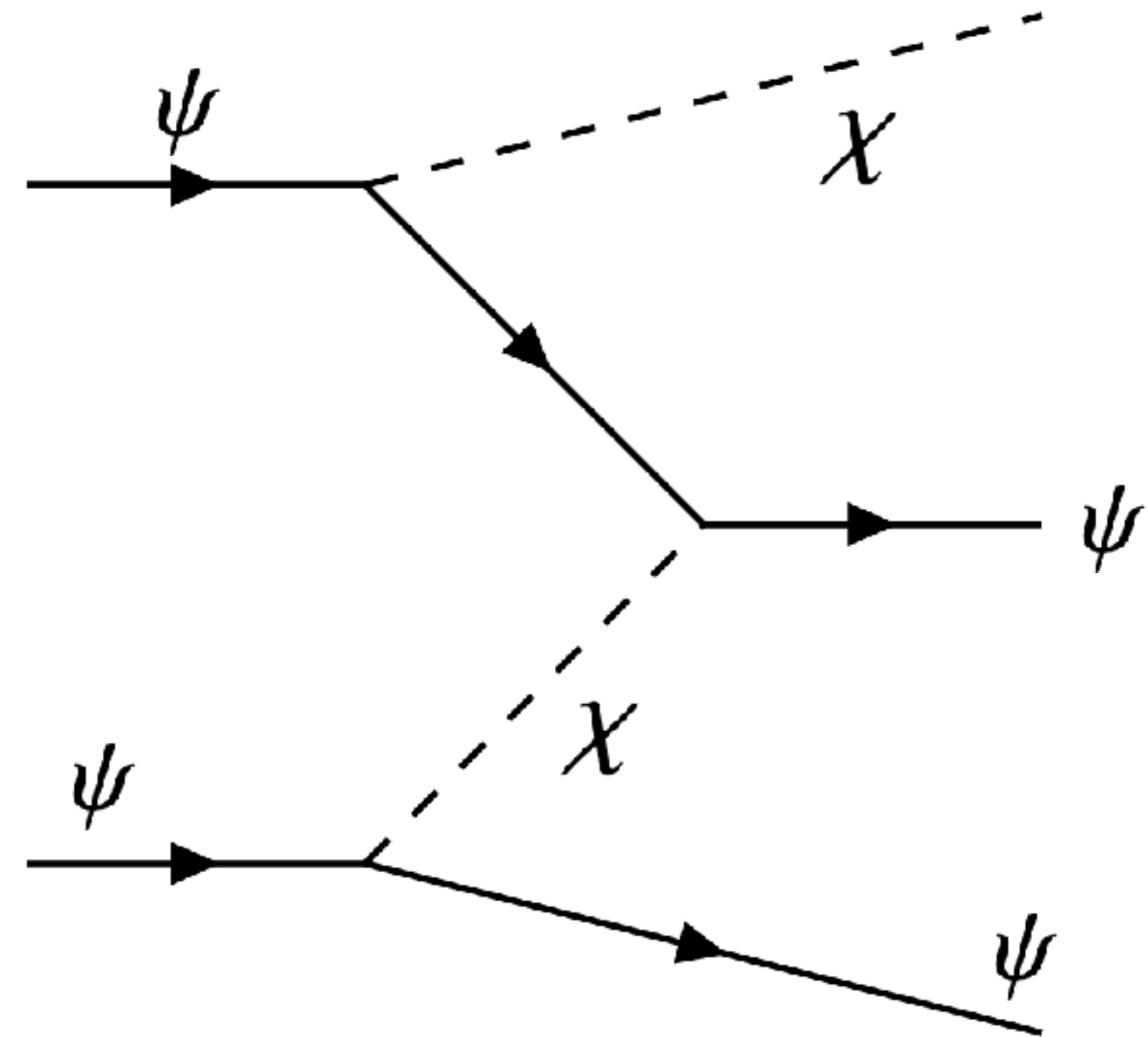
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$$\tau_{\text{cool}} = \frac{E}{P_{\text{brem}} + P_{\text{surf}} + \dots}$$

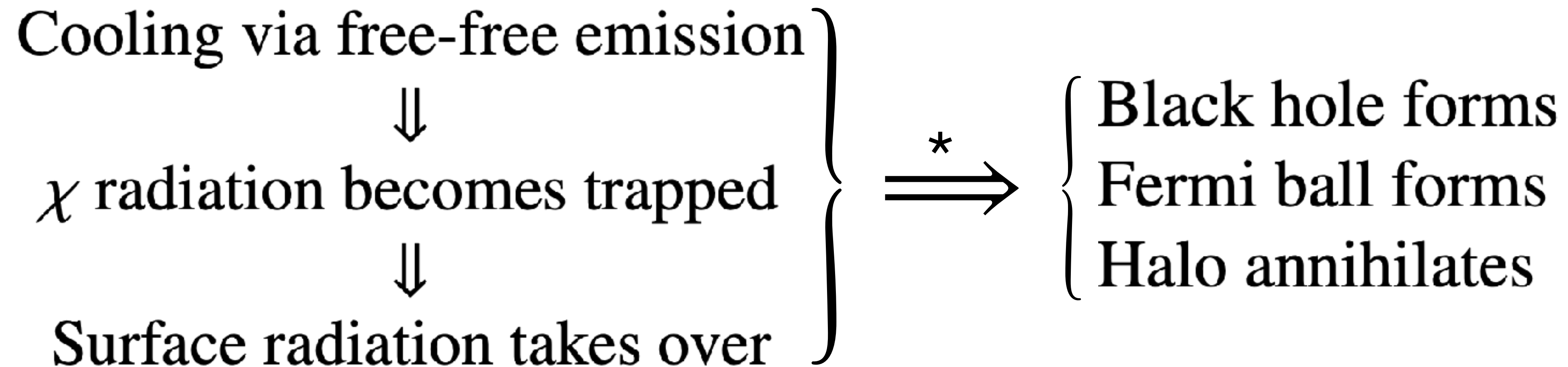
Bremsstrahlung and surface radiation will be the most important channels for our discussion

# Energy dissipation through scalar radiation

Given a halo of size  $R$  can lose energy and contract as long as,

$$\tau_{\text{cool}}(R) \ll H^{-1}$$

General algorithm for collapse:



# Primordial black holes & primordial structure formation

[MMF, A. Kusenko: *PRL* 126 (2021) 4, 041101]

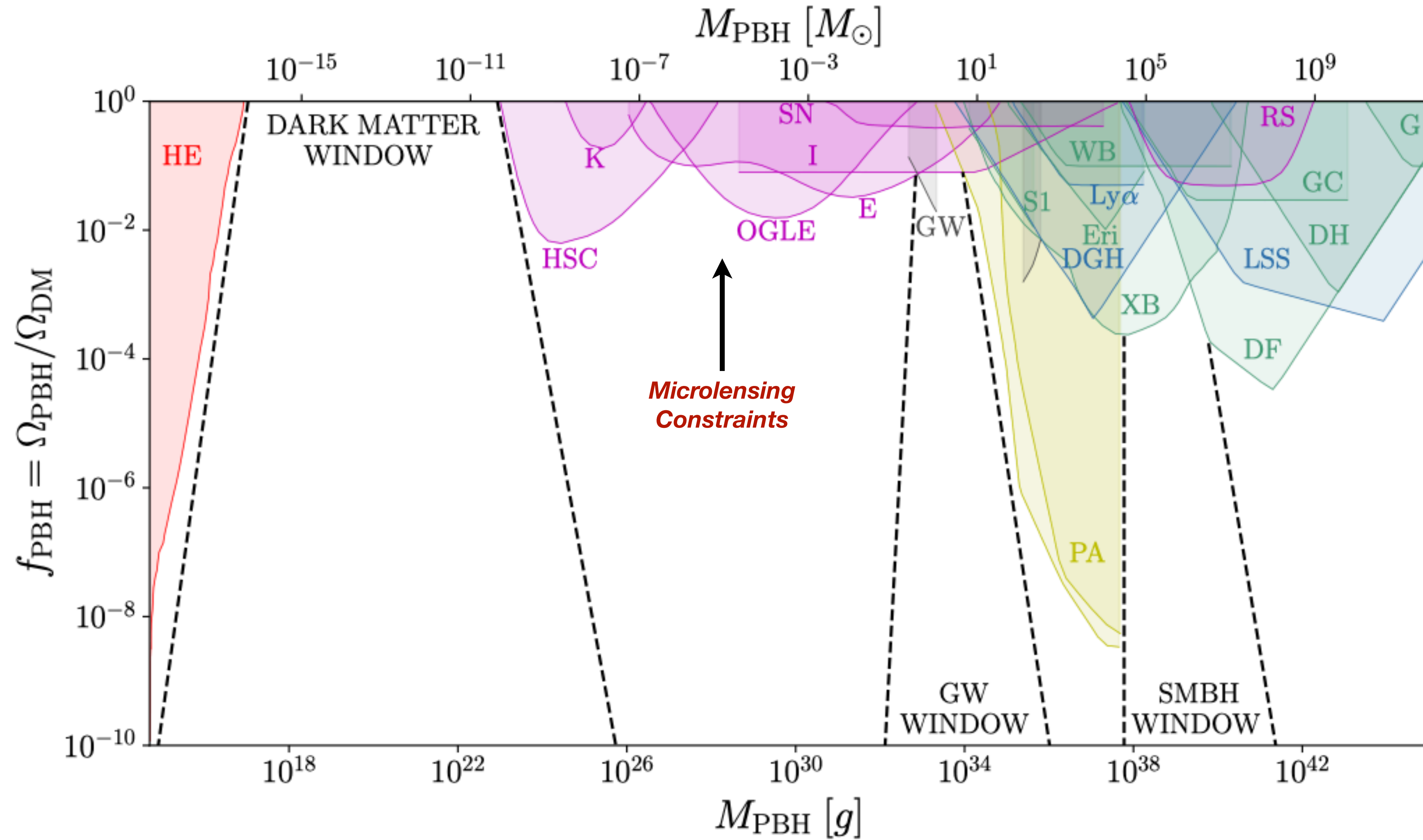
[MMF, A. Kusenko: *JCAP* 05 (2023) 013]

[MMF, Y. Lu, A. Kusenko: *PRD* 108 (2023) 12, 123511]

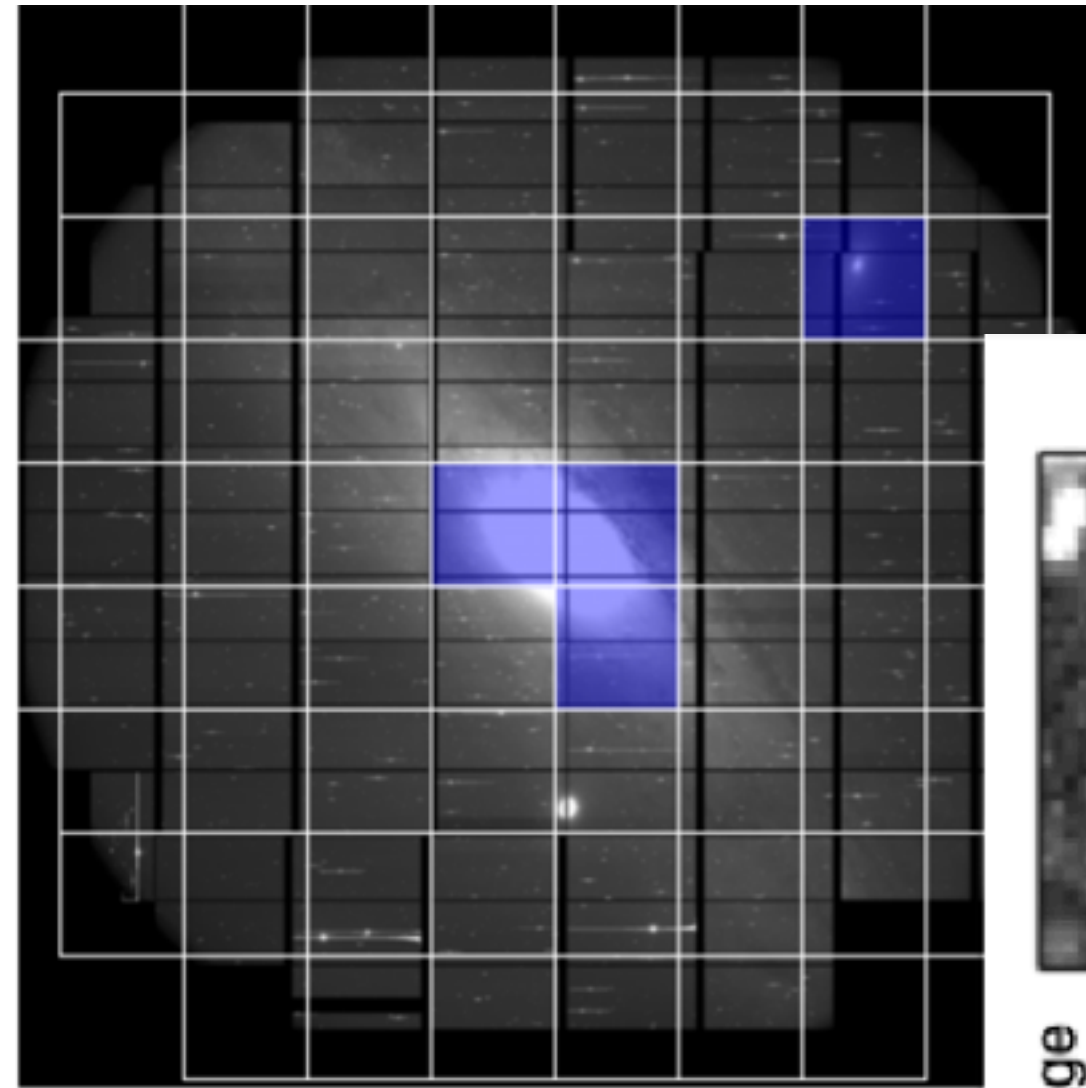
# Primordial black holes: An overview

- PBHs are black holes formed in the *early Universe* before the formation of stars and galaxies [Zel'dovich, Novikov (1967); Hawking (1971)]
- Can account for some or all of *dark matter*
- Astrophysical implications:
  - Can account for some LIGO events
  - Can seed supermassive black holes
  - Can account for all or part of *r*-process nucleosynthesis
  - *G* objects
    - [MMF, A. Kusenko, A.M. Ghez, S. Naoz, : *PRD* 108 (2023) 6, L061301]
  - Many more!

# Primordial black holes: An overview

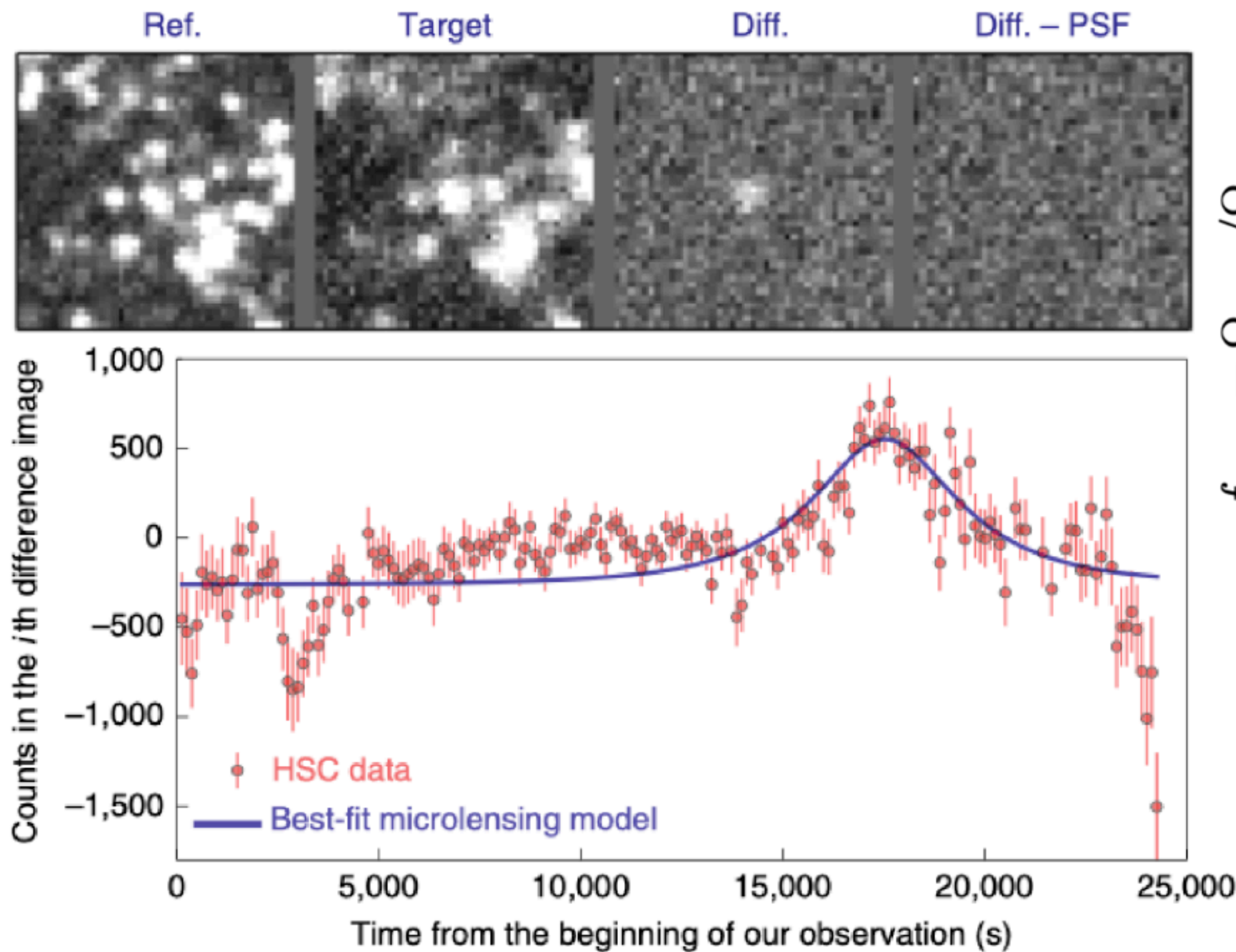


# Primordial black holes: Candidate Events

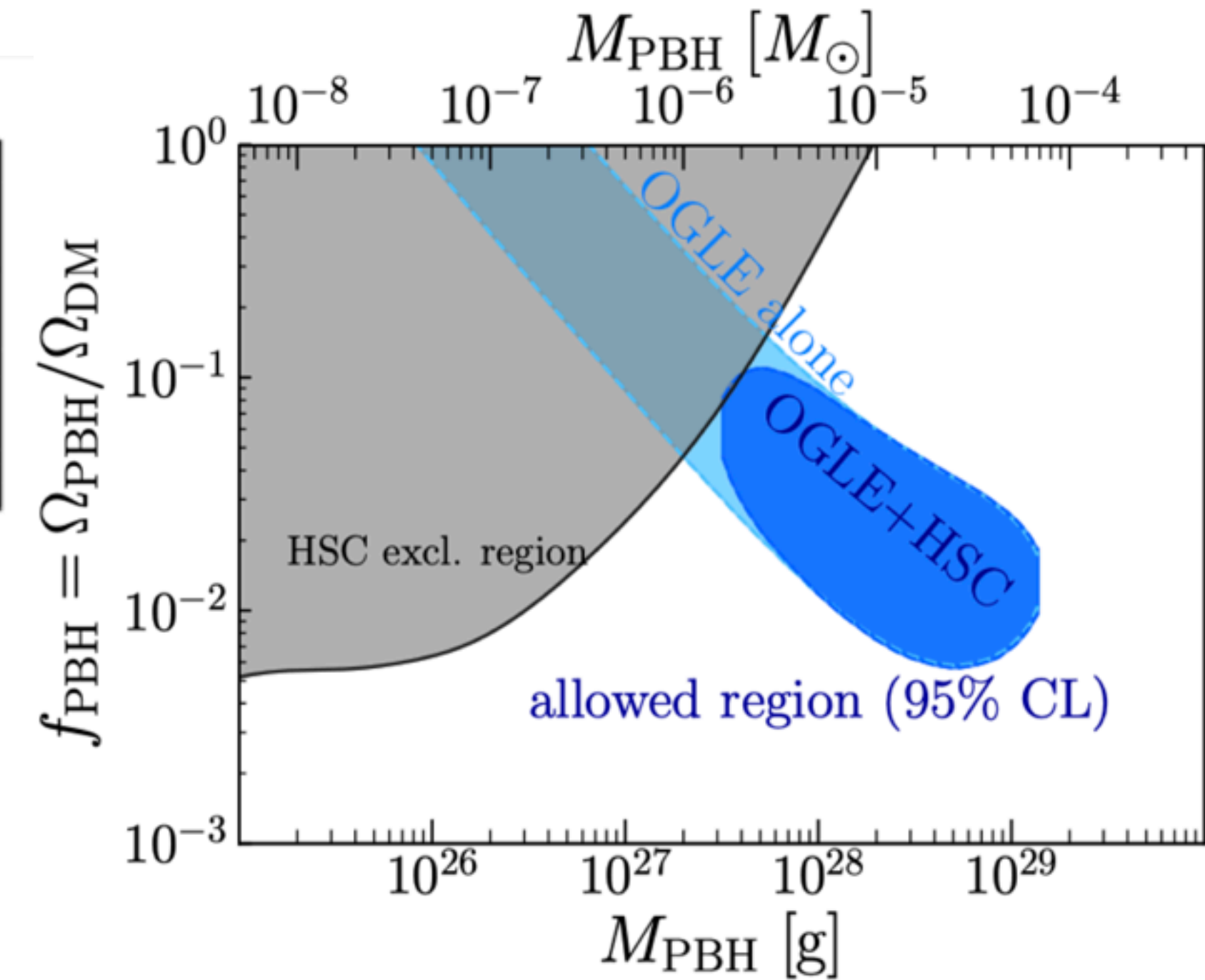


M31

HSC Candidate Event



OGLE Events

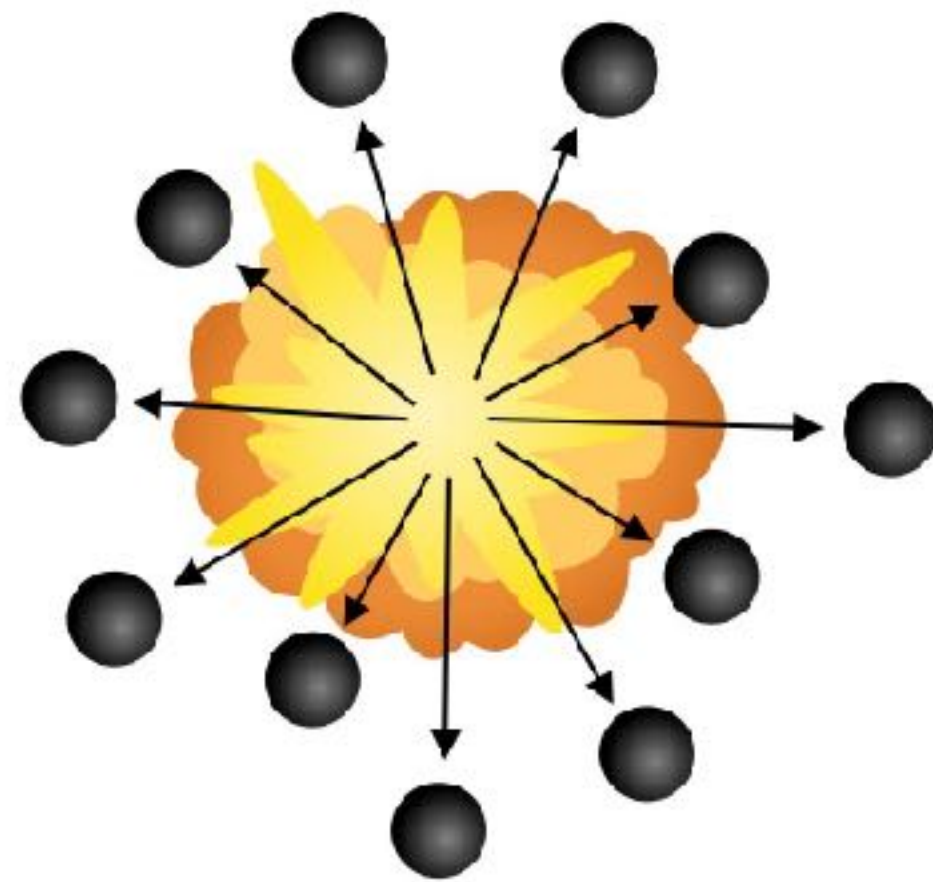


[Niikura et al., Nature Astronomy  
arXiv:1701.02151,  
arXiv:1901.07120]



# PBH-Neutron star interactions

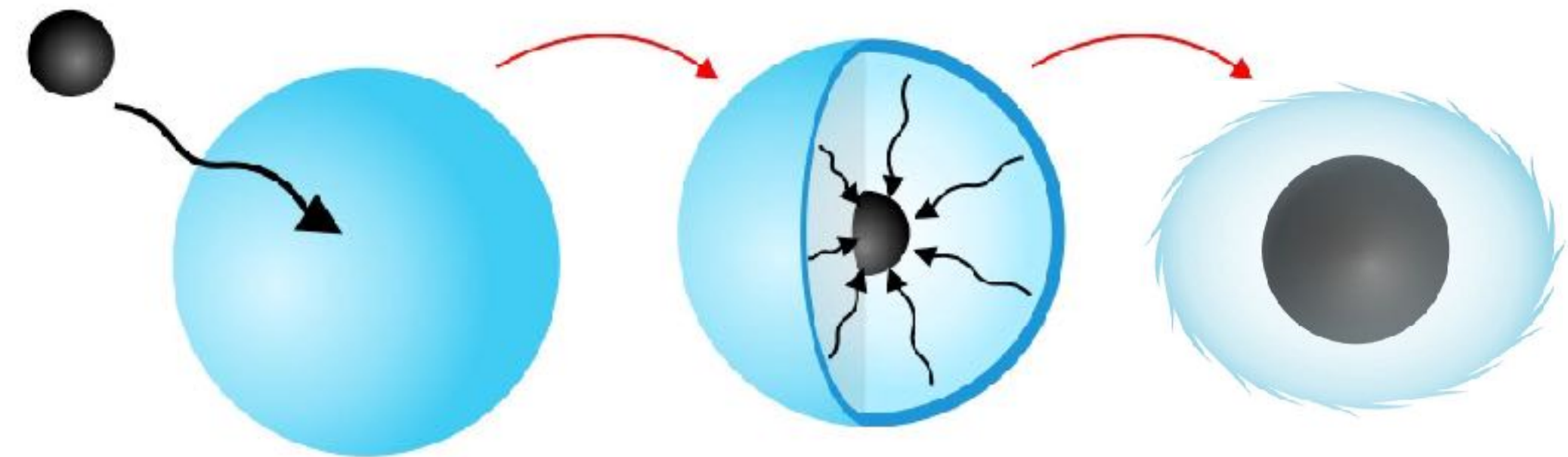
1. Primordial black holes produced in Big Bang make up part or all of dark matter.



$\Rightarrow$   $r$  – process nucleosynthesis

2. A microscopic black hole falls into a neutron star, eats it from the inside, and creates a 1-2 solar mass black hole

Microscopic  
primordial  
black hole



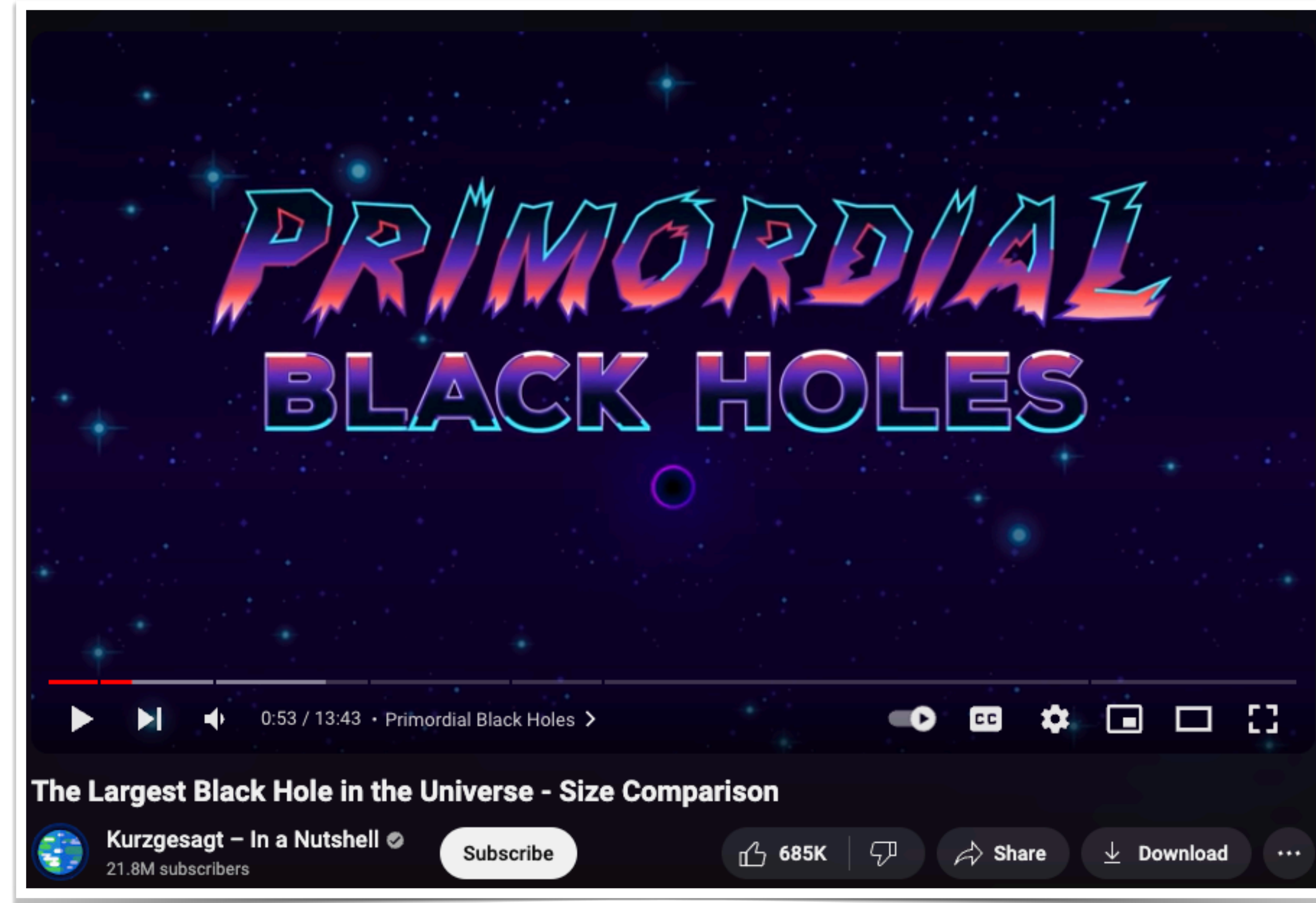
[Takhistov, Fuller, Kusenko, PRL 119 (2017) 6, 061101]  
[Takhistov, Fuller, Kusenko, PRL 126, 071101 (2021)]  
[Takhistov, arXiv:1707.05849]  
[Caiozzo, Bertone, Kühnel, arXiv:2404.08057]  
[Baumgarte, Shapiro, arXiv:2404.08735]

# Primordial black holes; the canonical picture

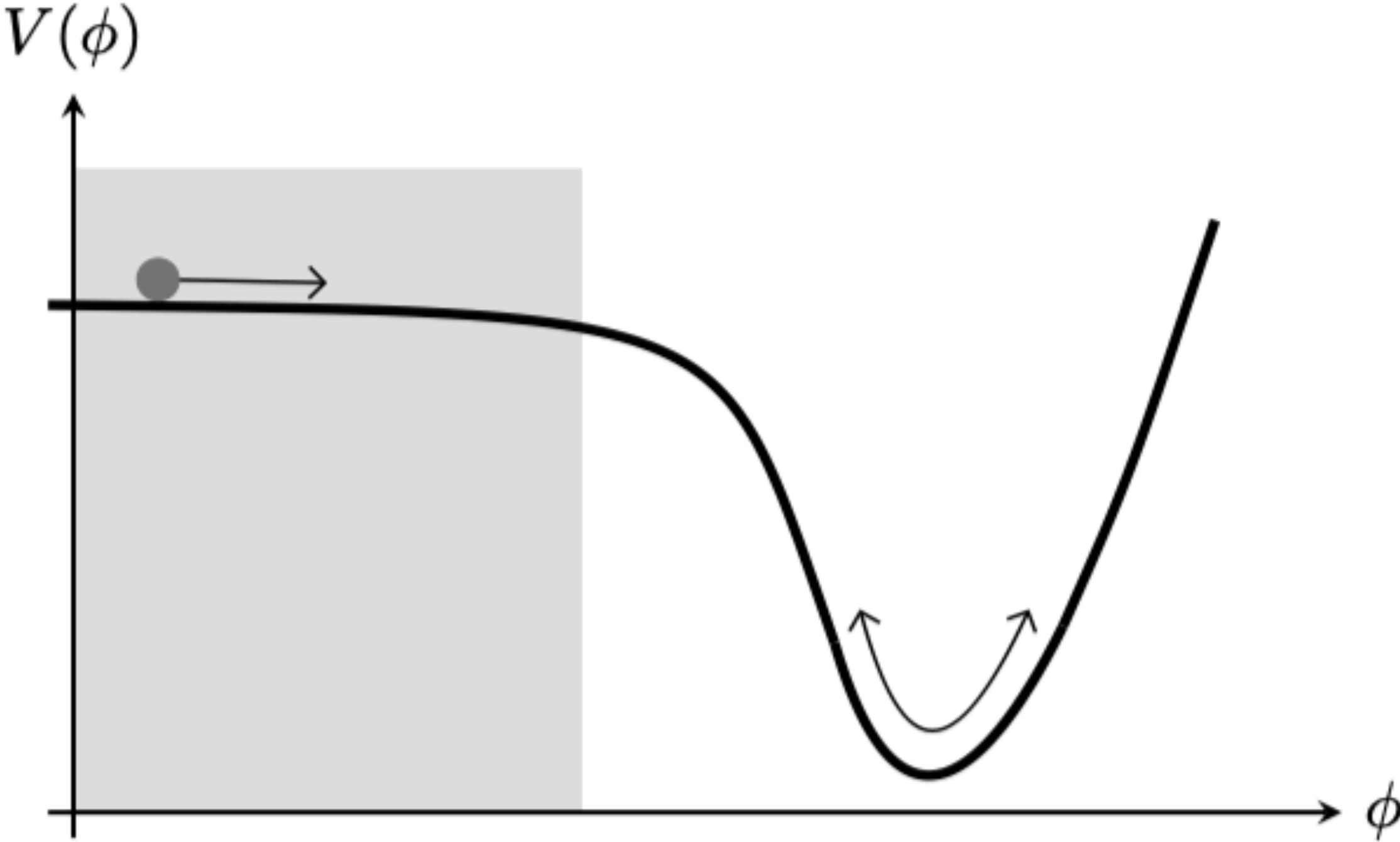
Cosmological Inflation



Primordial Black Holes



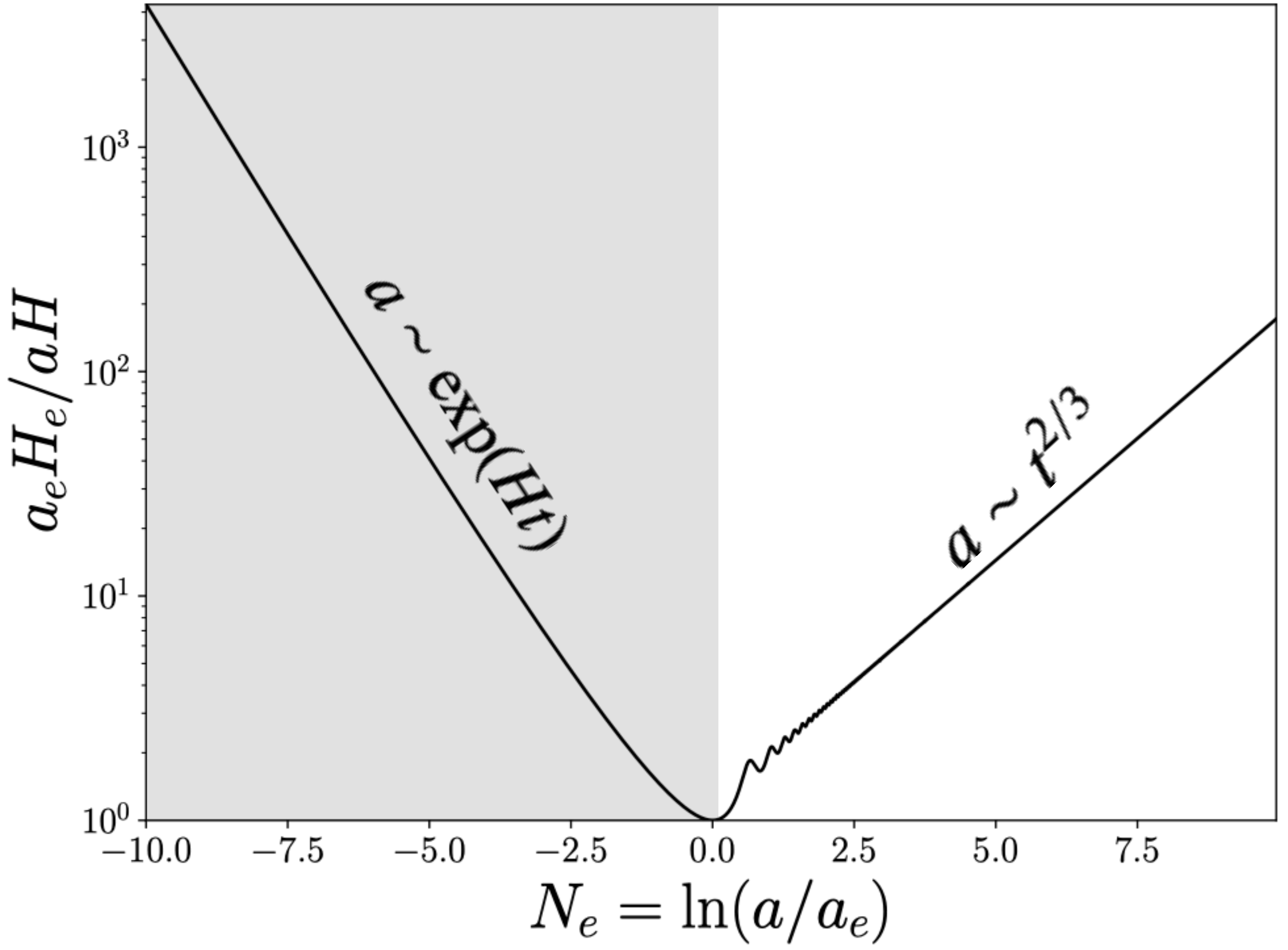
# Slow-roll inflation in a few words

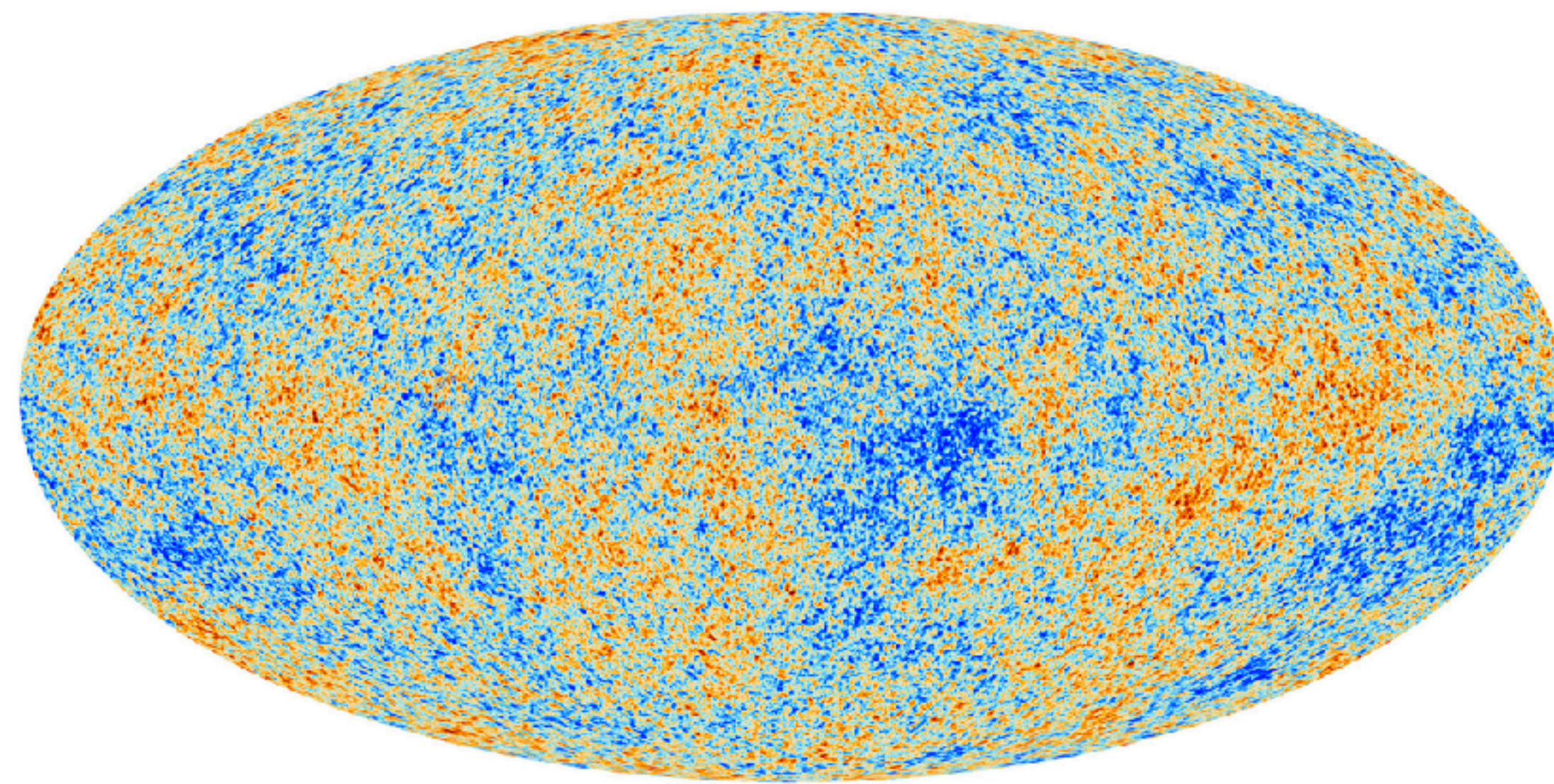


[Credit: Baumann, *Cosmology*, 2021]

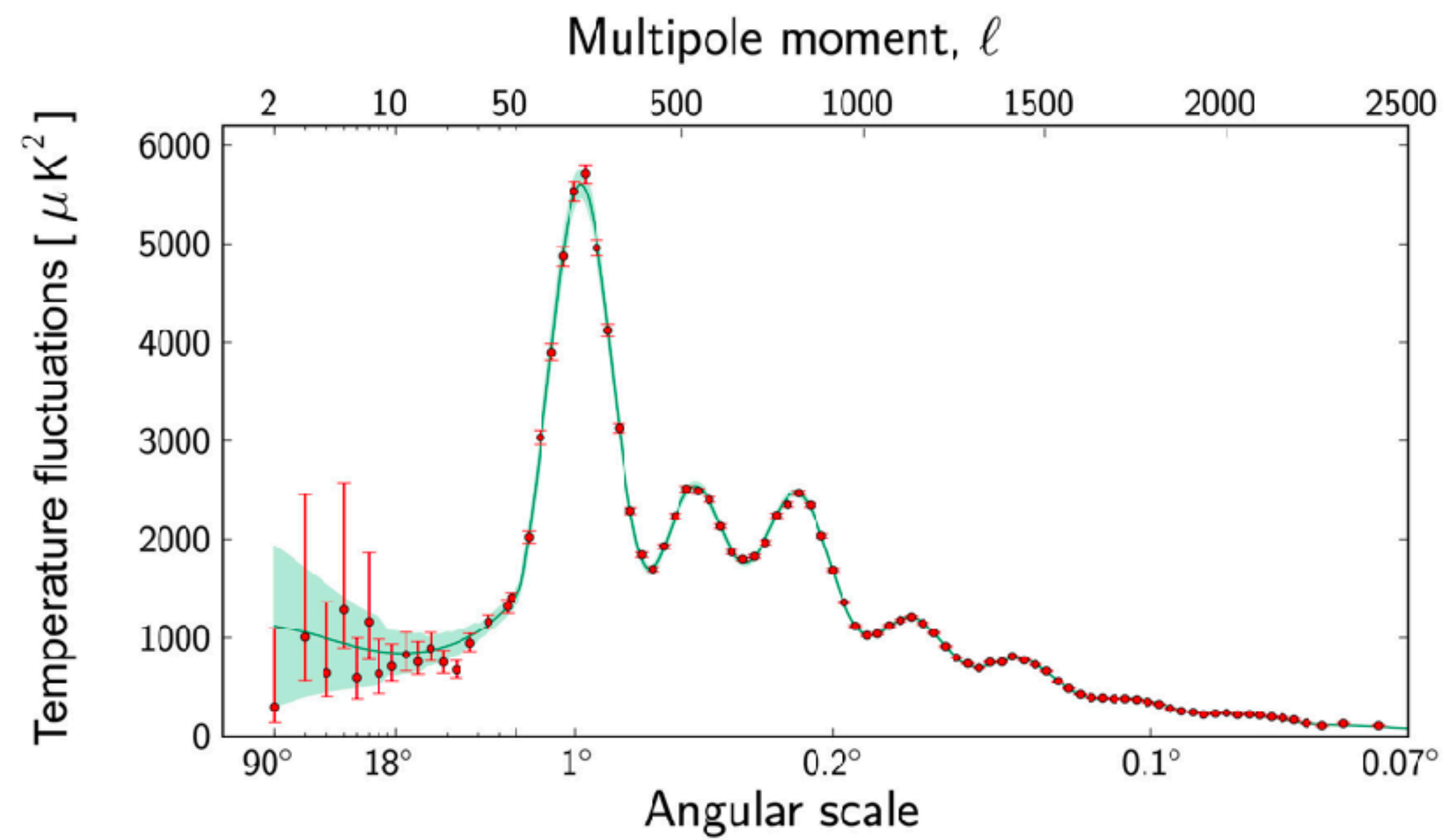
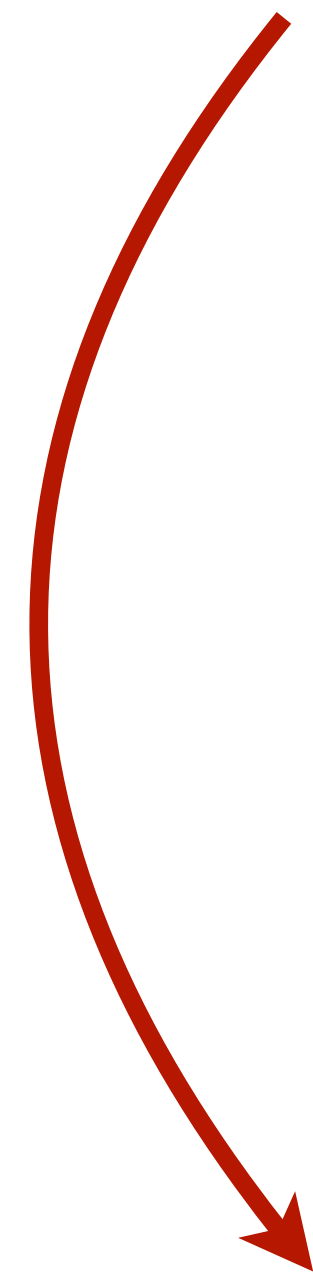
The standard inflationary scenario *predicts*:

$$\Delta_{\mathcal{R}}^2(k) = A_s \left( \frac{k}{k_0} \right)^{n_s - 1}$$

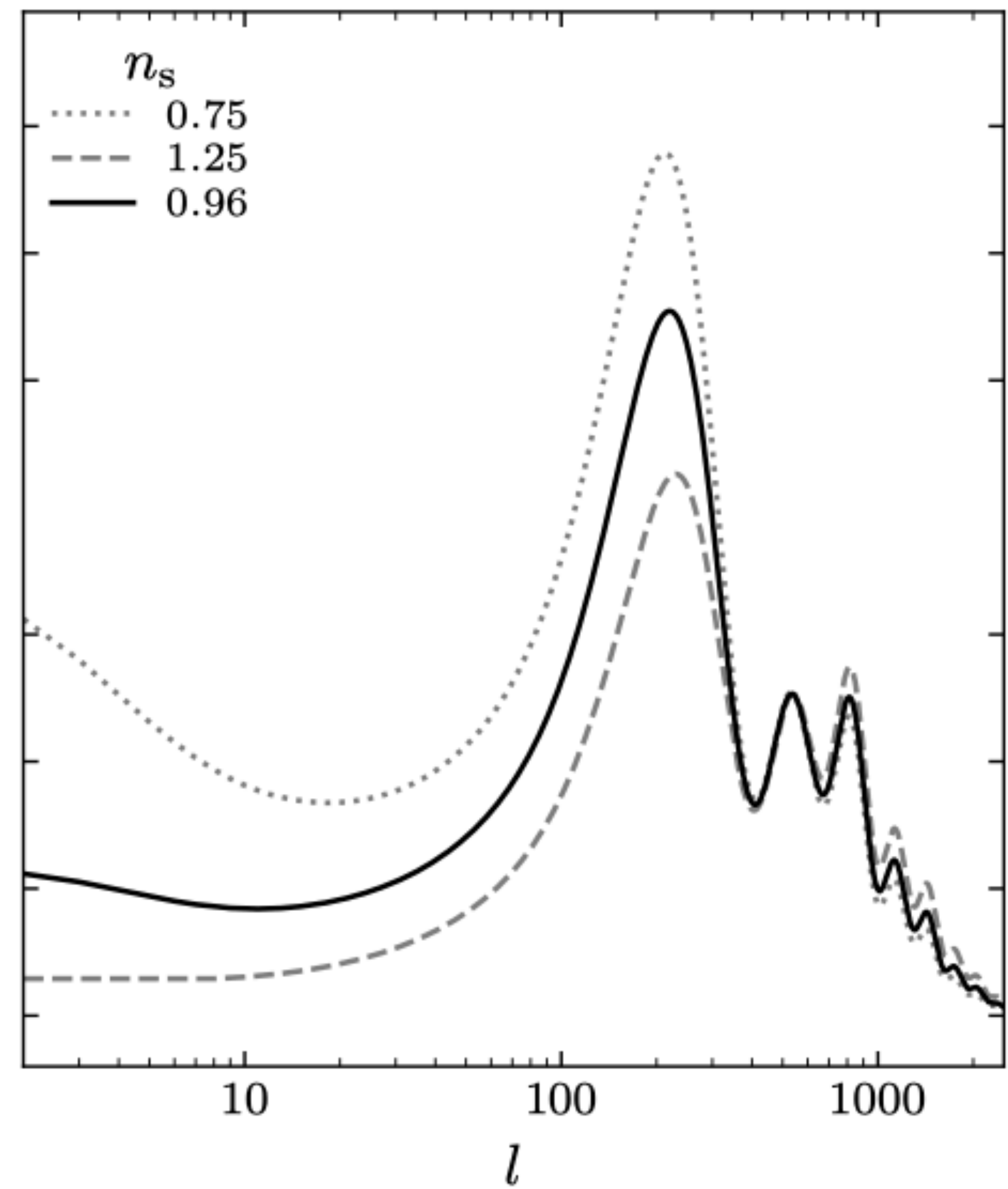
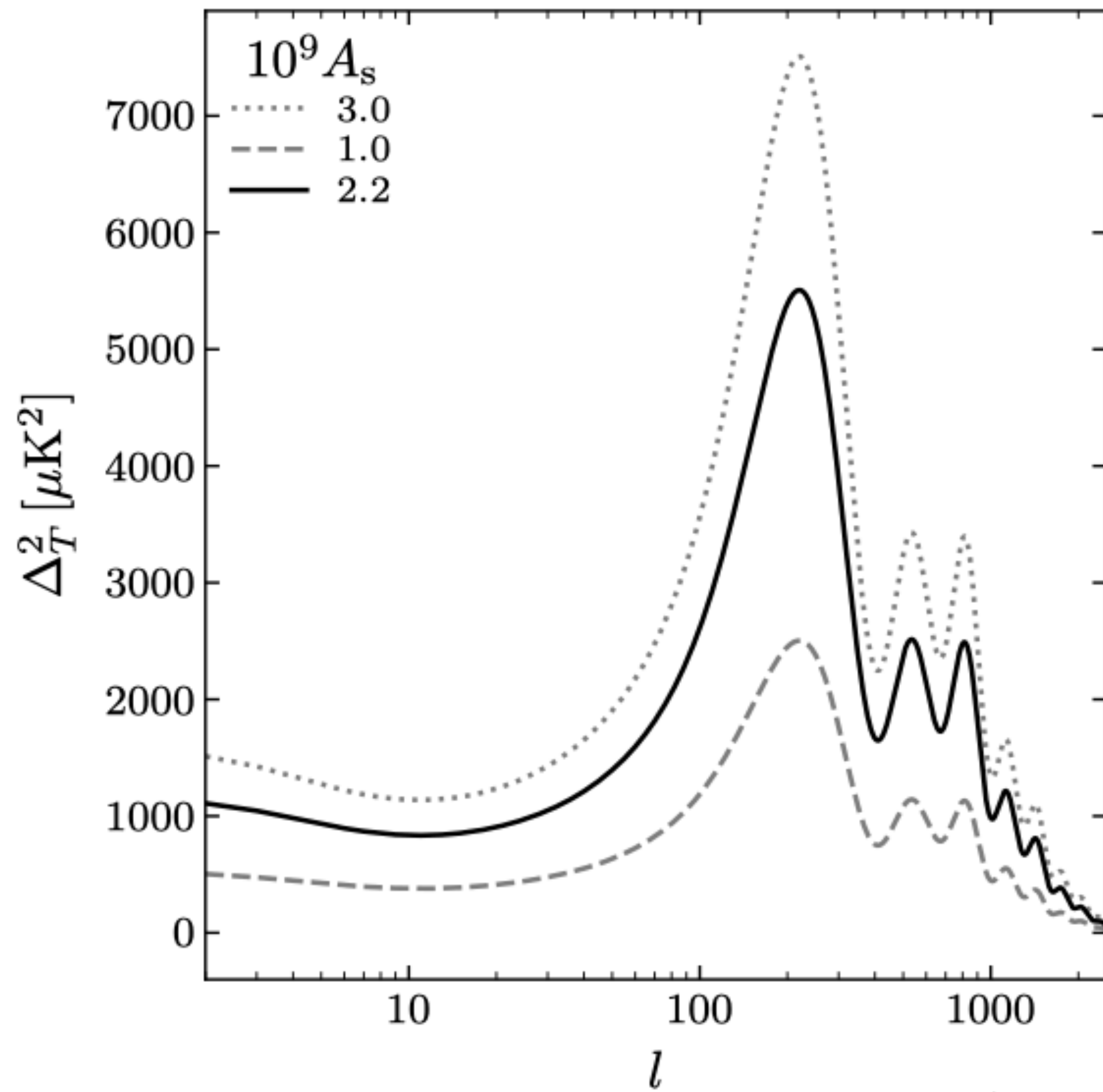




*Measured Today*



$(k \sim \ell)$



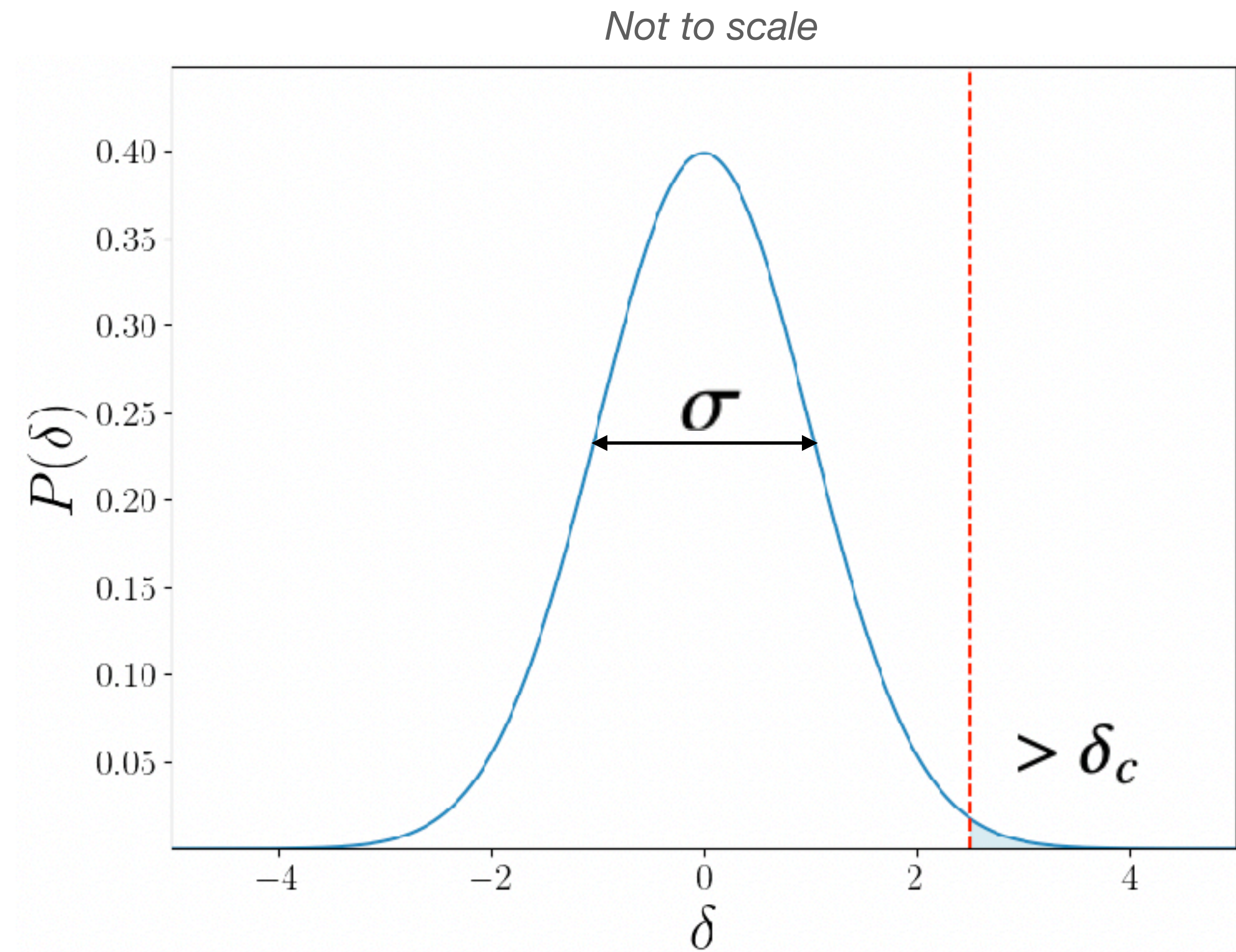
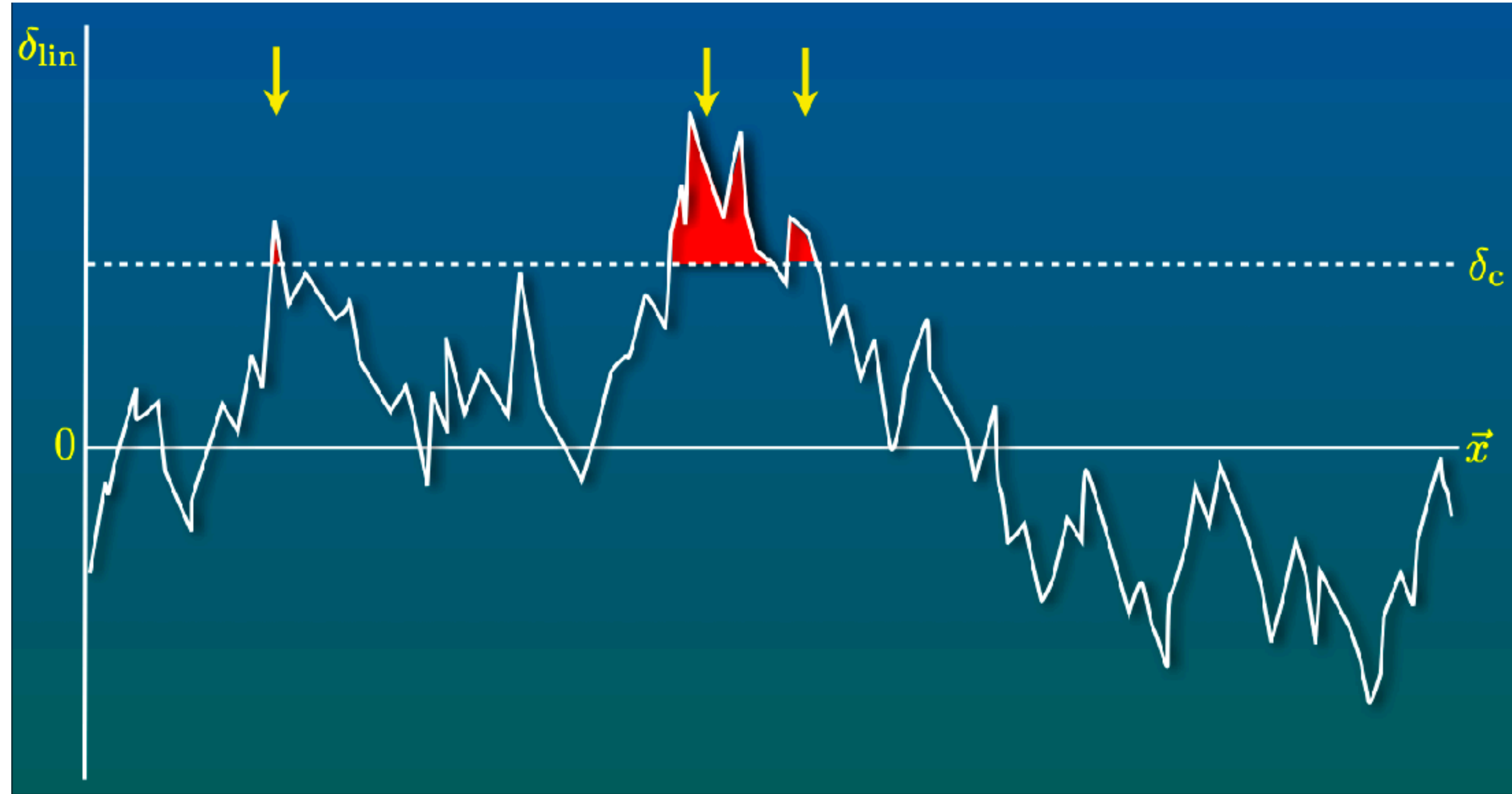
$$\Delta_{\mathcal{R}}^2(k) = A_s \left( \frac{k}{k_0} \right)^{n_s - 1}$$

$(k \sim \ell)$

$$10^9 A_s = 2.098 \pm 0.023$$

$$n_s = 0.9603 \pm 0.0073$$

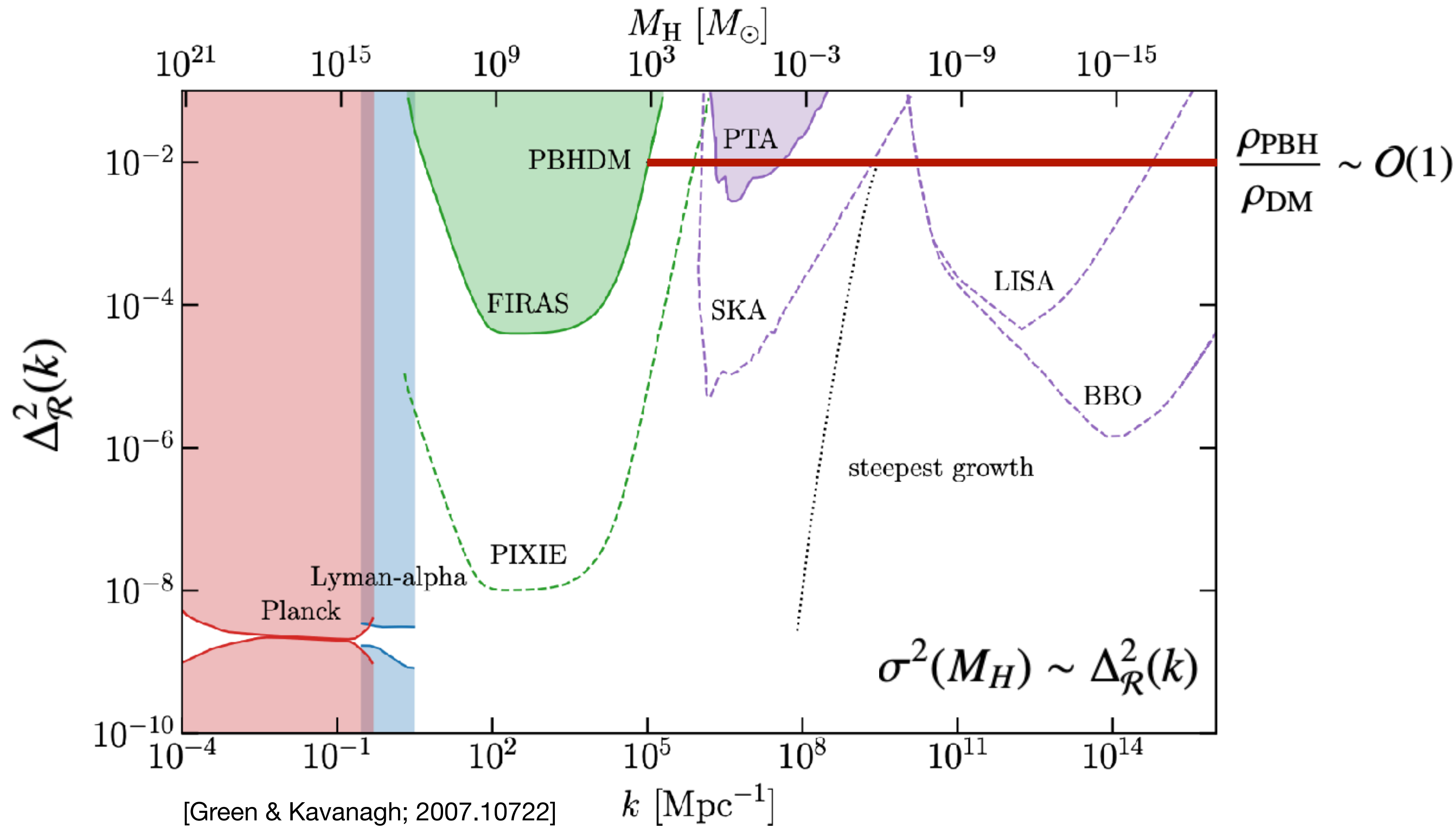
# Inflationary perturbations & PBHs



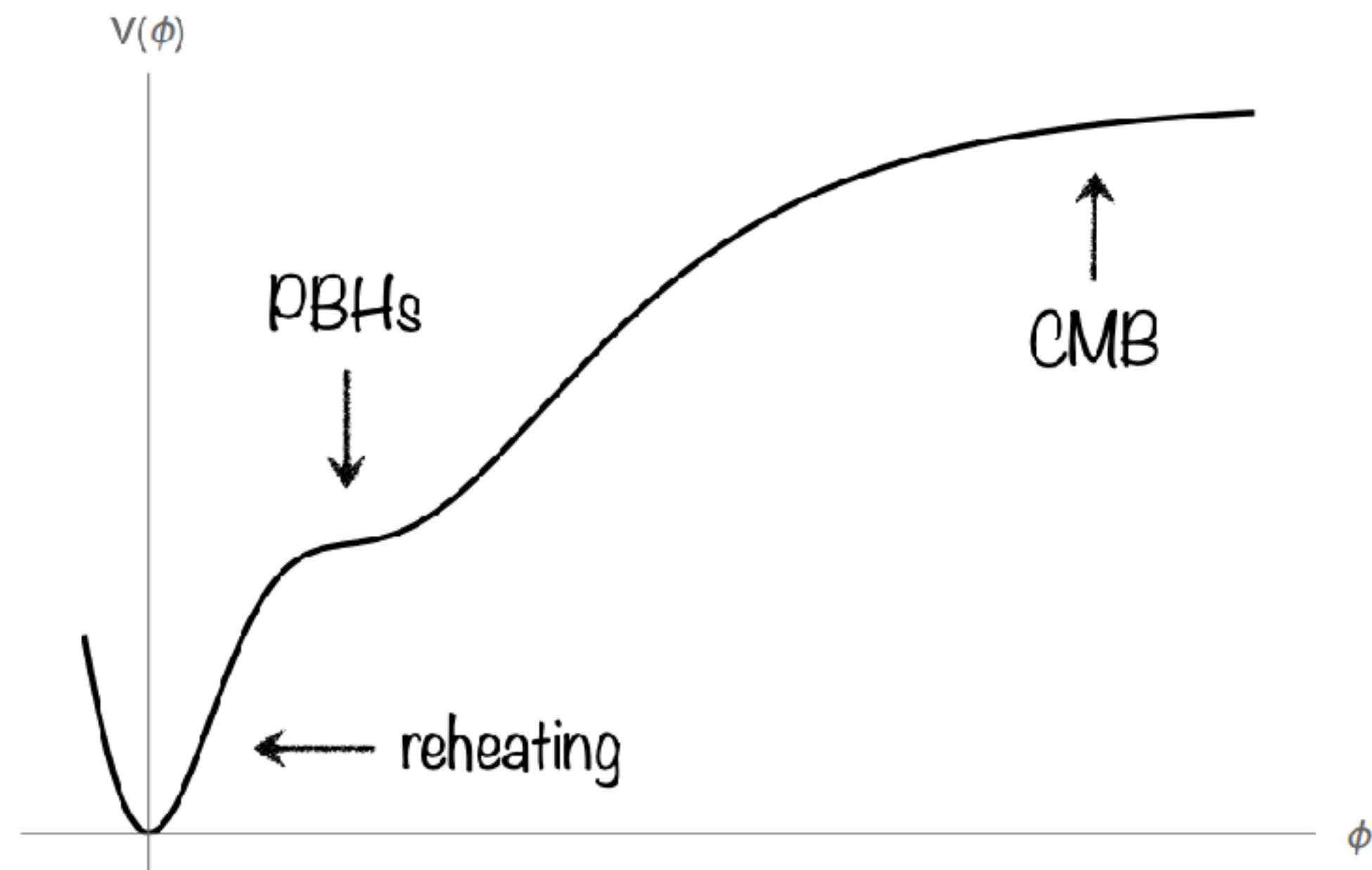
$$\beta(M_H) = \frac{\rho_{\text{PBH}}}{\rho_{\text{tot}}} = \int_{\delta_c}^{\infty} P(\delta) d\delta \sim \sigma(M_H) \exp\left(-\frac{\delta_c}{2\sigma^2(M_H)}\right)$$

$$\sigma^2(M_H) \sim \Delta_{\mathcal{R}}^2(k)$$

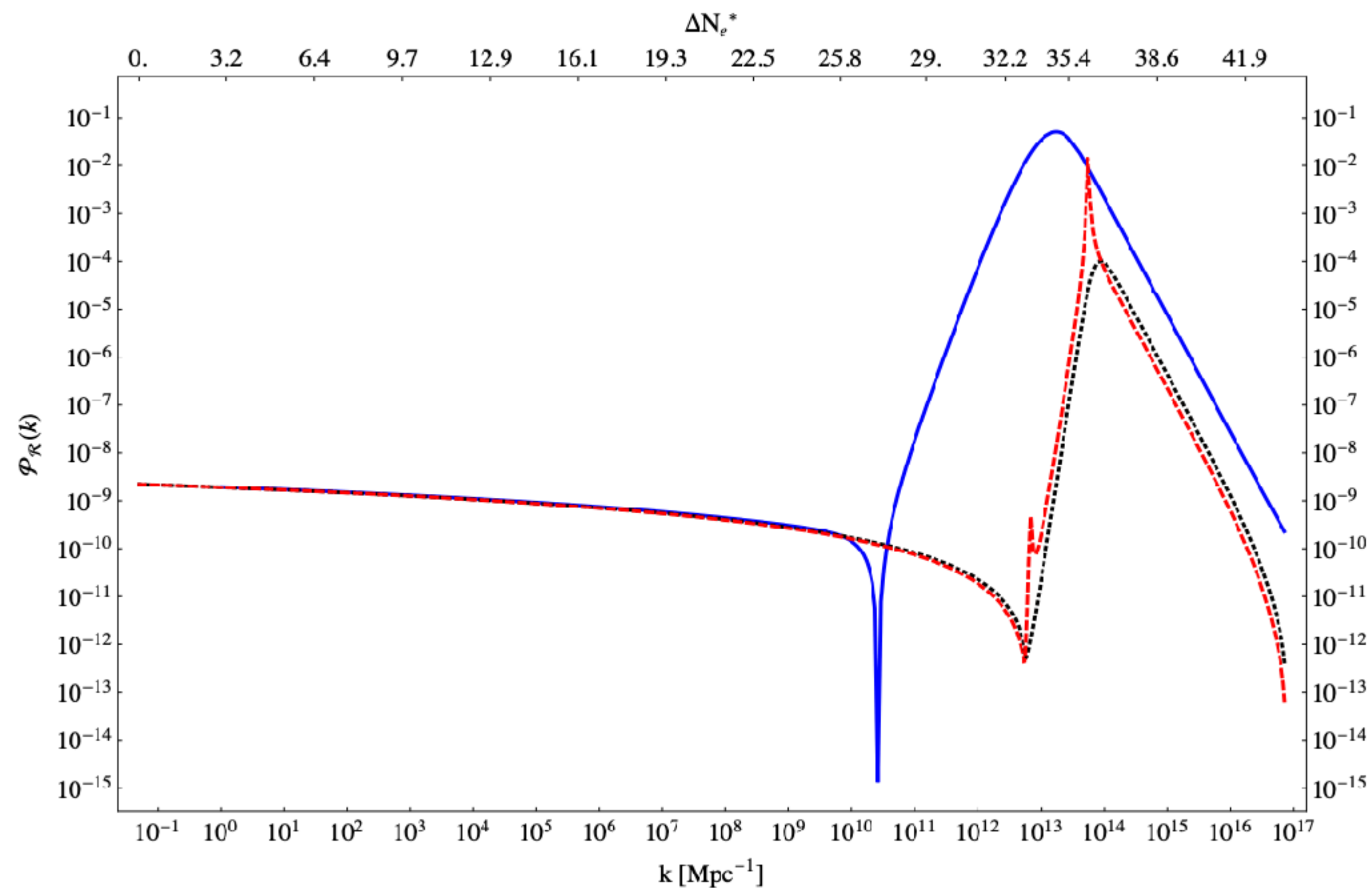
# Inflationary perturbations & PBHs



# Inflationary perturbations & PBHs



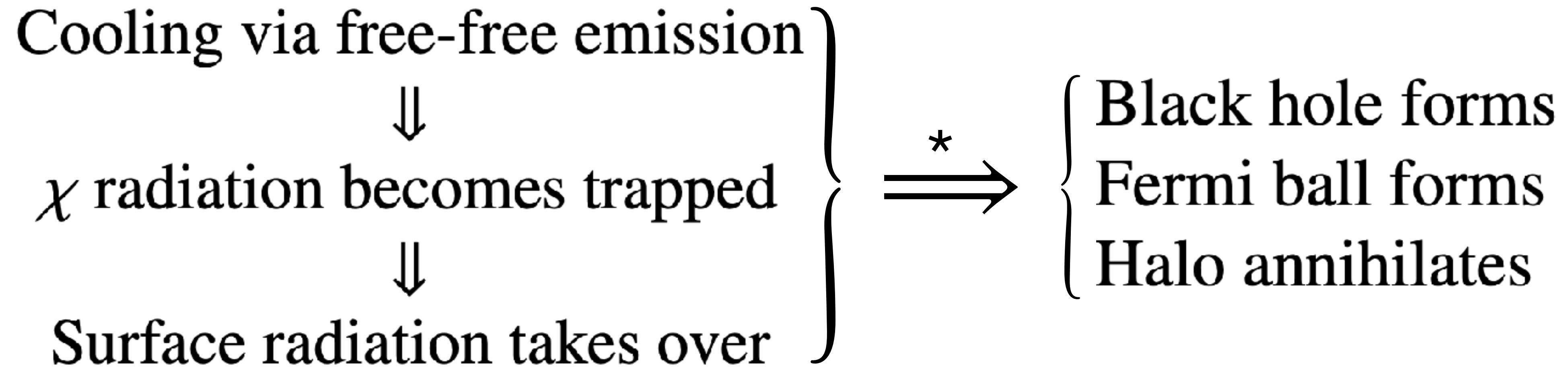
- Remarkably, is it possible to generate the necessary power spectrum using more complicated potentials.
- *However*, you start to have to *fine-tune* the inflaton potential.
- Other ways to accomplish this including multi-field inflation, etc.





**Can you form PBHs in a more  
“conventional” way?**

# PBH Formation: primordial structure formation



To *ensure* that a black hole forms, we will introduce an *asymmetric dark fermion*  $\psi$

$$\mathcal{L} \supset y\chi\bar{\psi}\psi \quad \& \quad \eta_\psi = (n_\psi - n_{\bar{\psi}})/s \neq 0$$

Yukawa Interaction & Scalar Cooling + Fermion Asymmetry  $\Rightarrow$  PBHs

# PBH abundance

- The strength of the long-range force we are considering is likely strong enough to capture all of the dark matter  $\psi$  particles in halos and therefore PBHs.
- Thus, the PBH-dark matter fraction is related to the baryon density:

$$f_{\text{PBH}} = \frac{\Omega_{\text{PBH}}}{\Omega_{\text{DM}}} = 0.2 \frac{m_{\psi} \eta_{\psi}}{m_p \eta_B} = \left( \frac{m_{\psi}}{5 \text{ GeV}} \right) \left( \frac{\eta_{\psi}}{10^{-10}} \right)$$

- Our mechanism can describe the closeness of  $\Omega_{\text{DM}}$  and  $\Omega_B$ .

# PBH Mass Distribution

- Again, the strength of the scalar interaction will lead to rapid PBH formation. Thus, we expect the mass function of PBHs to represent the structure of the  $\psi$  - fluid at the time of formation.
- We need  $N$ -body simulations to accurately describe the details of  $\psi$  - structure formation.
- In the absence of this, we will approximate the mass function using the Press-Schechter function:

$$M^2 \frac{dN_h}{dM} \propto \frac{1}{\sqrt{\pi}} \left( \frac{M}{M_*} \right)^{1/2} e^{-M/M_*}$$

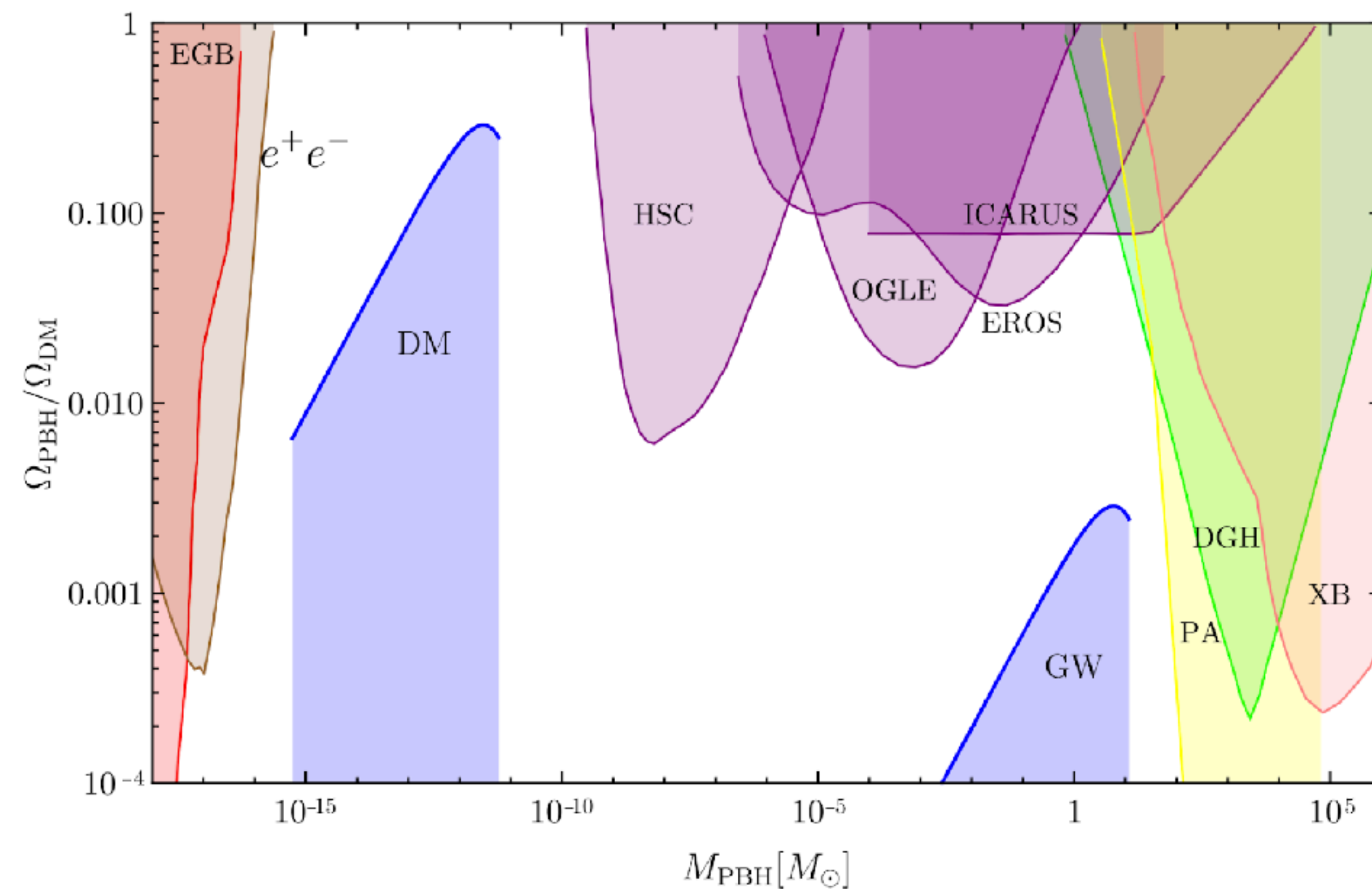
# Illustrative examples

- PBH dark matter:

$$\left. \begin{array}{l} \eta_\psi \sim \eta_B \sim 10^{-10} \\ m_\psi = 5 \text{ GeV} \end{array} \right\} f_{\text{PBH}} = 1$$

- Relevant to LIGO

$$\left. \begin{array}{l} \eta_\psi \sim 10^{-9} \\ m_\psi = 5 \text{ MeV} \end{array} \right\} f_{\text{PBH}} \sim 10^{-3}$$



# Observational implications of primordial structure formation: gravitational waves

[MMF, A. Kusenko, M. Sasaki; *PRL* 131 (2023) 1, 1]

# Primordial structure formation & GWs

- Generically, the collapse of dark matter  $\psi$  halos will be *aspherical*
  - ⇒ Time changing mass quadrupole moment
- It's still not obvious which methodology is best suited to tackling this problem
  - Standard methods, like cosmological perturbation theory *do not* include forces which couple to charge/number density as a means of generating perturbations
  - We utilized the *Zel'dovich approximation* to directly determine the quadrupole moment, allowing for a calculation of the expected GW spectrum

See also:

I. Dalianis, C. Kouvaris [arXiv:2012.09255]

# Zel'dovich Approximation

$\delta$  enters the horizon -  $t_q$



Overdensity increases to maximum size -  $t_{\max}$



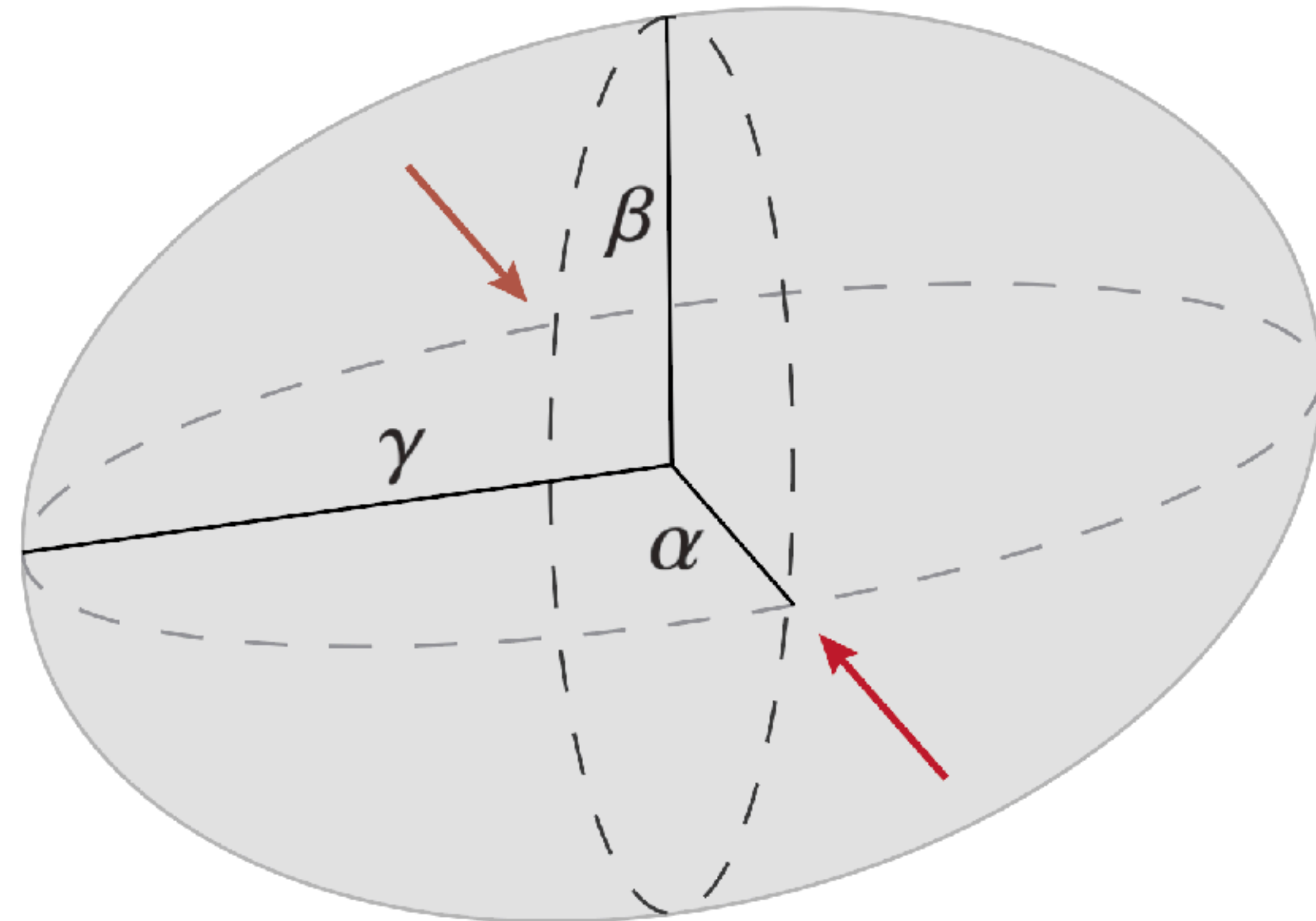
Collapse begins



“Pancake” forms and shell crossing occurs -  $t_{\text{col}}$



BH formation or halo annihilation



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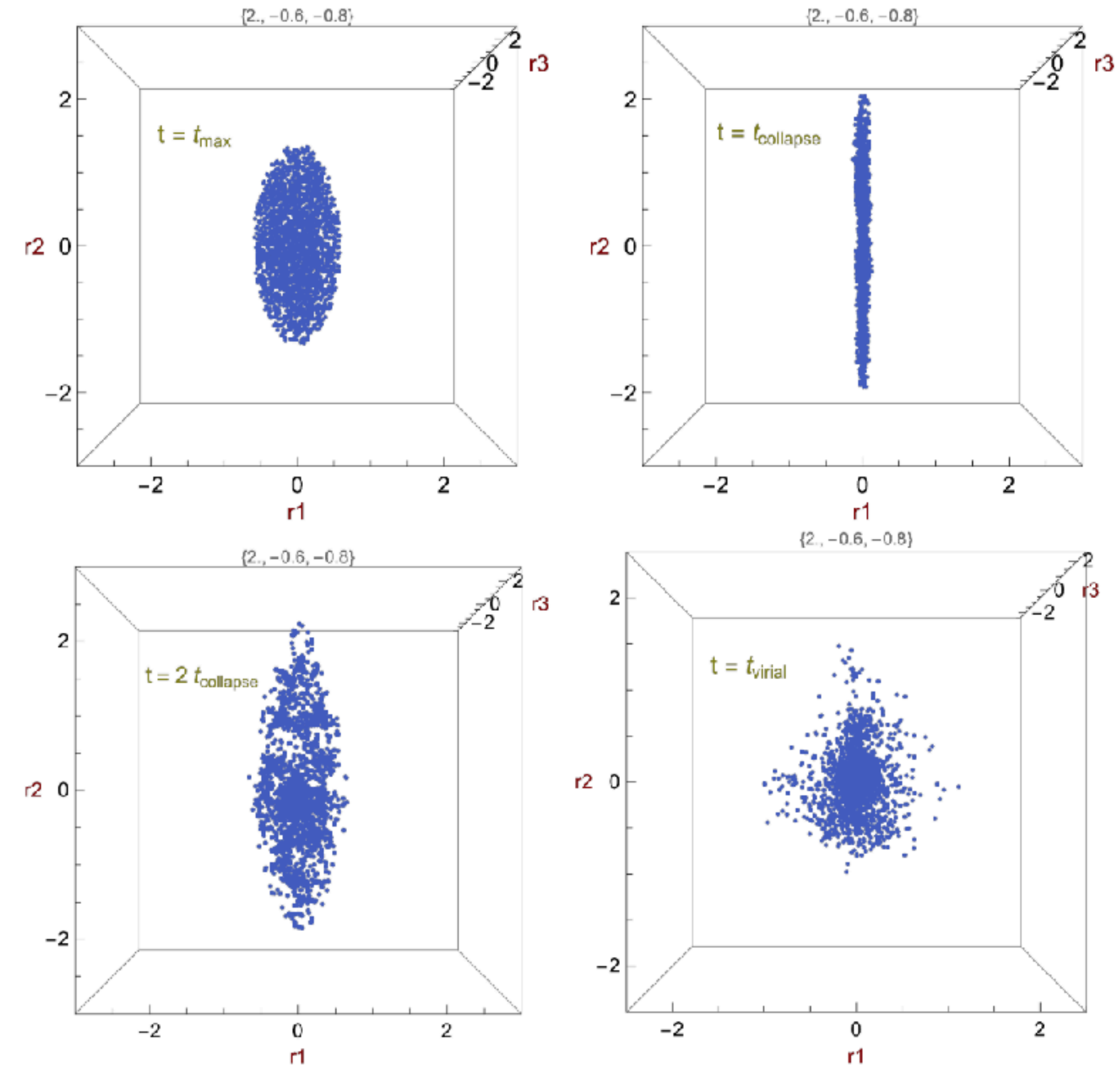
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# Growth of perturbations

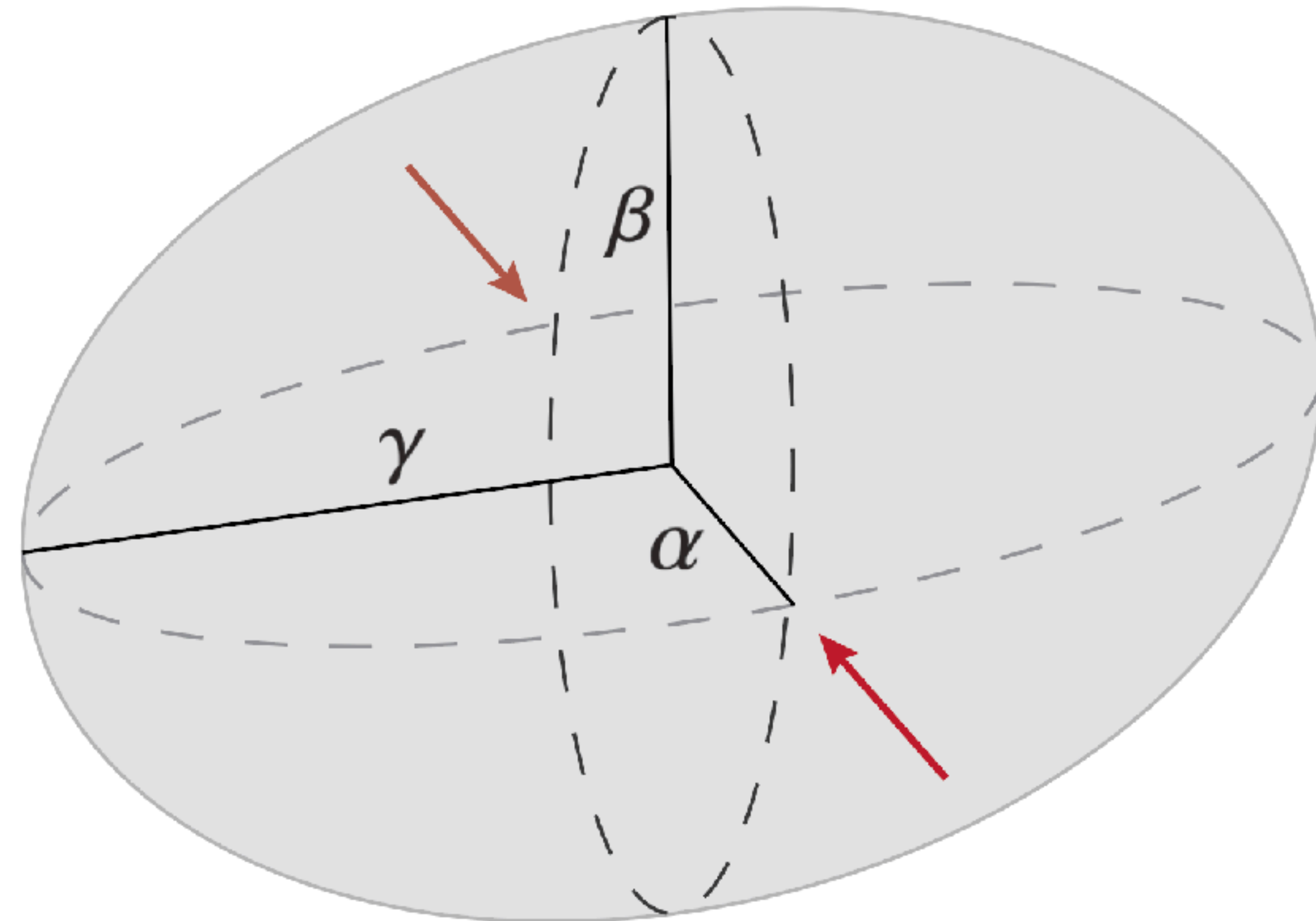
A reminder of the traditional result:

$$\delta \propto \begin{cases} \ln a & \text{for (RD)} \\ a & \text{for (MD)} \end{cases}$$

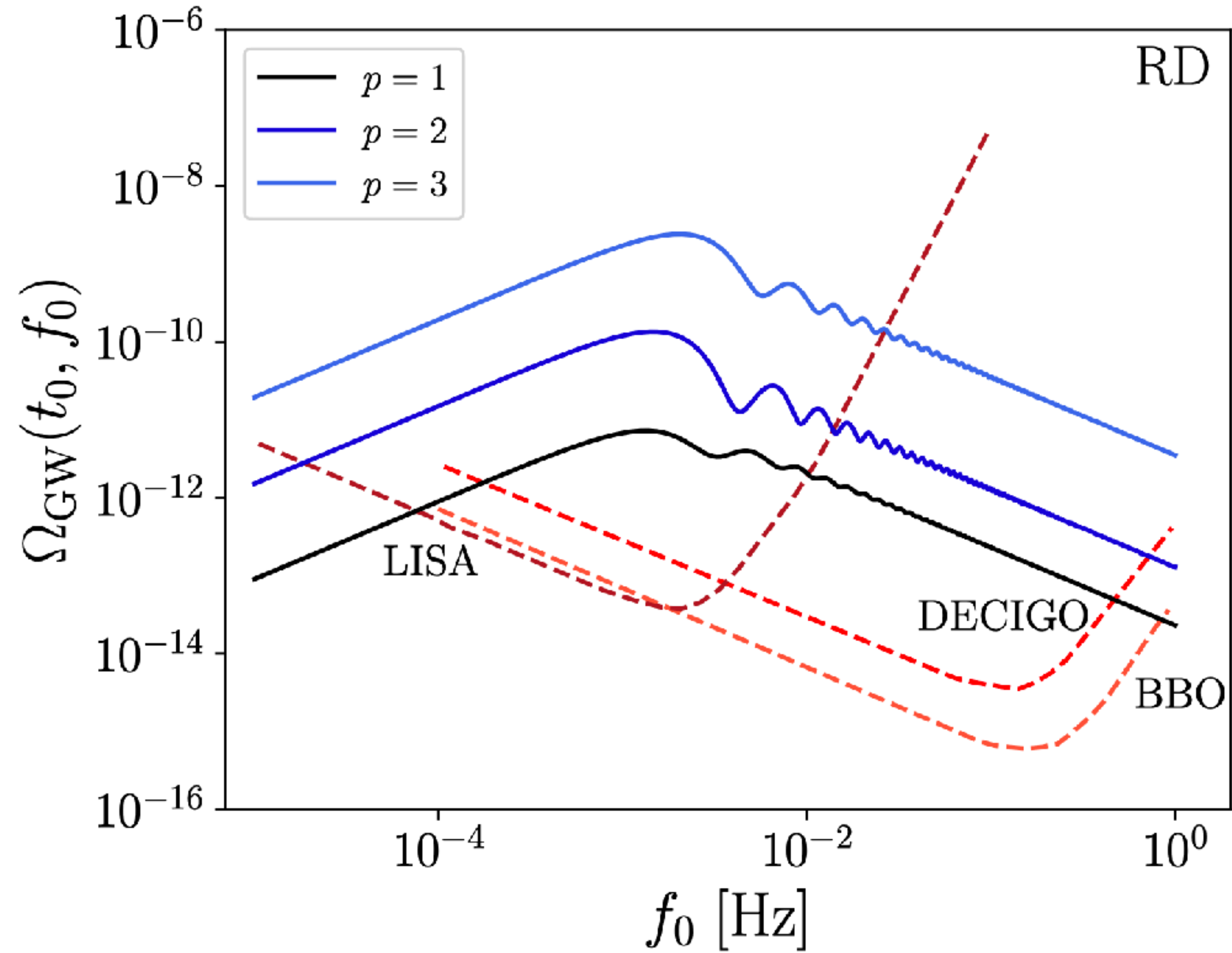
Here, **our fundamental assumption will be that**

$$\delta \propto a^p, \quad p \geq 1$$

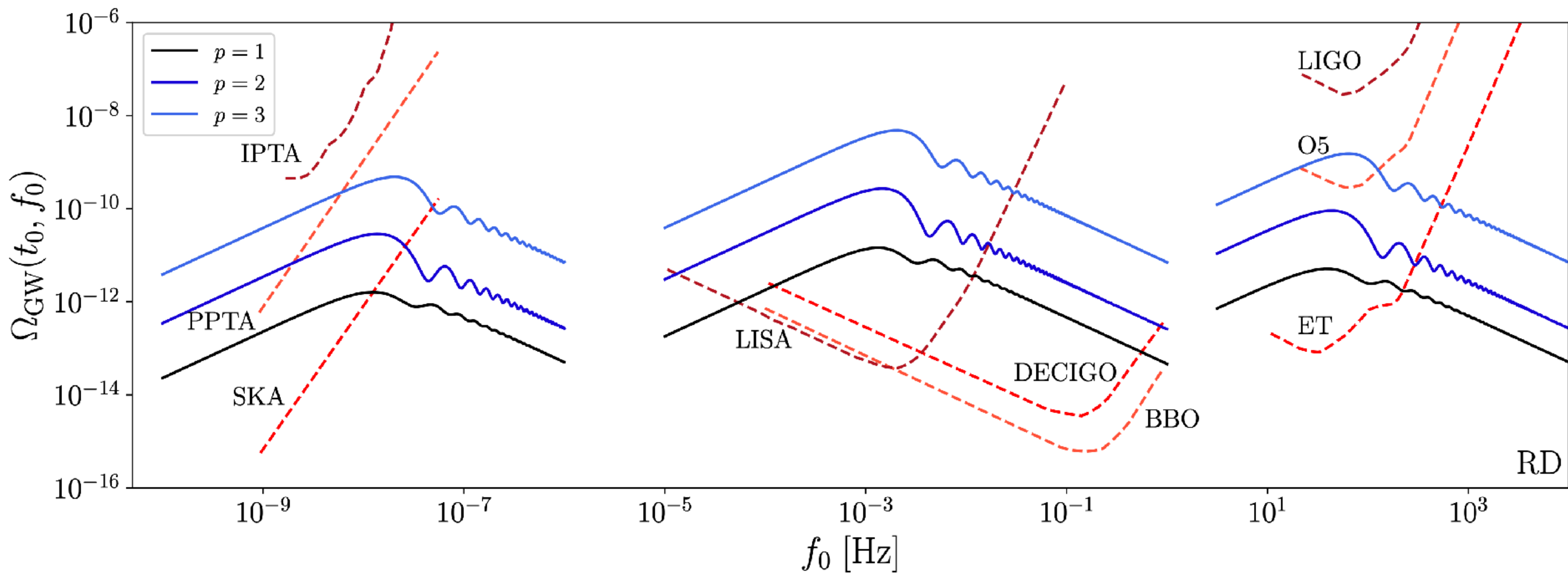
As before  $p$  characterizes the strength of the force.



# GW Spectrum



# GW Spectrum



# Primordial structure formation & local heating

[MMF, A. Kusenko, L. Pearce, G. White: *PRD* 108 (2023) 9, 9]

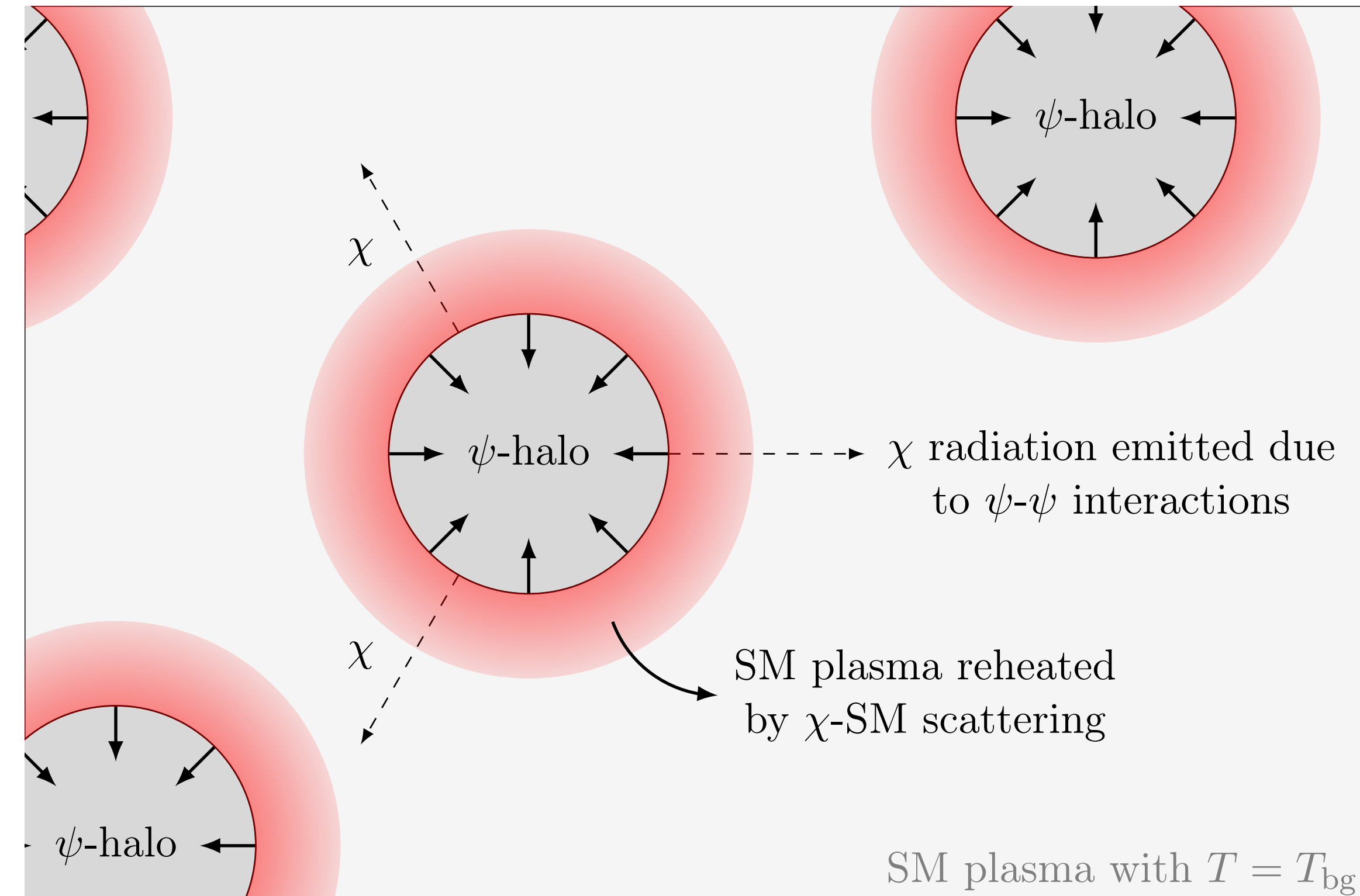
[MMF, C. Kouvaris, A. Kusenko: *PRD* 108 (2023) 10, 10]

# Primordial structure formation & local heating

- Dark matter halos release *a lot* of energy as they collapse

$$\Delta E \sim \frac{y^2 M_h^2}{m_\psi^2 R_c} \left( 1 - \frac{R_c}{R_h} \right)$$

- If the mediator  $\chi$  couples to the SM, the SM plasma can become locally heated.
- This heating can potentially *restart* sphaleron transitions or *restore* thermal equilibrium.



# Primordial structure formation & local heating

$$\Gamma_{\text{ann, sph}} \sim H$$

*however,*

$$\Gamma_{\text{ann, sph}} \sim \tau_{\text{diss}}^{-1}$$

$$\tau_{\text{diss}} \ll H^{-1}$$

# Primordial structure formation & local heating

- Once the plasma is heated locally, it can cool through:

$$\text{Diffusion : } \tau_{\text{diff}} \sim \frac{R_i^2}{4D} \left( \frac{T_i}{T} \right)^{8/3},$$

$$\text{Explosive expansion : } \tau_{\text{exp}} \sim \frac{4R_i}{\sqrt{3}} \left( 1 + \frac{t - t_i}{\sqrt{3}R_i} \right)$$

- The SM plasma undergoes two phase transitions: a rapid one as it initially heats, and another one as it cools.



# Primordial structure formation & WIMP Production

[MMF, C. Kouvaris, A. Kusenko: *PRD* 108 (2023) 10, 10]

# Primordial structure formation & WIMP Production

- Traditional freeze-out paradigm:

$$\dot{n}_X + 3Hn_X = - \langle \sigma_{\text{ann}} v \rangle \left( n_X^2 - (n_X^{\text{eq}})^2 \right)$$

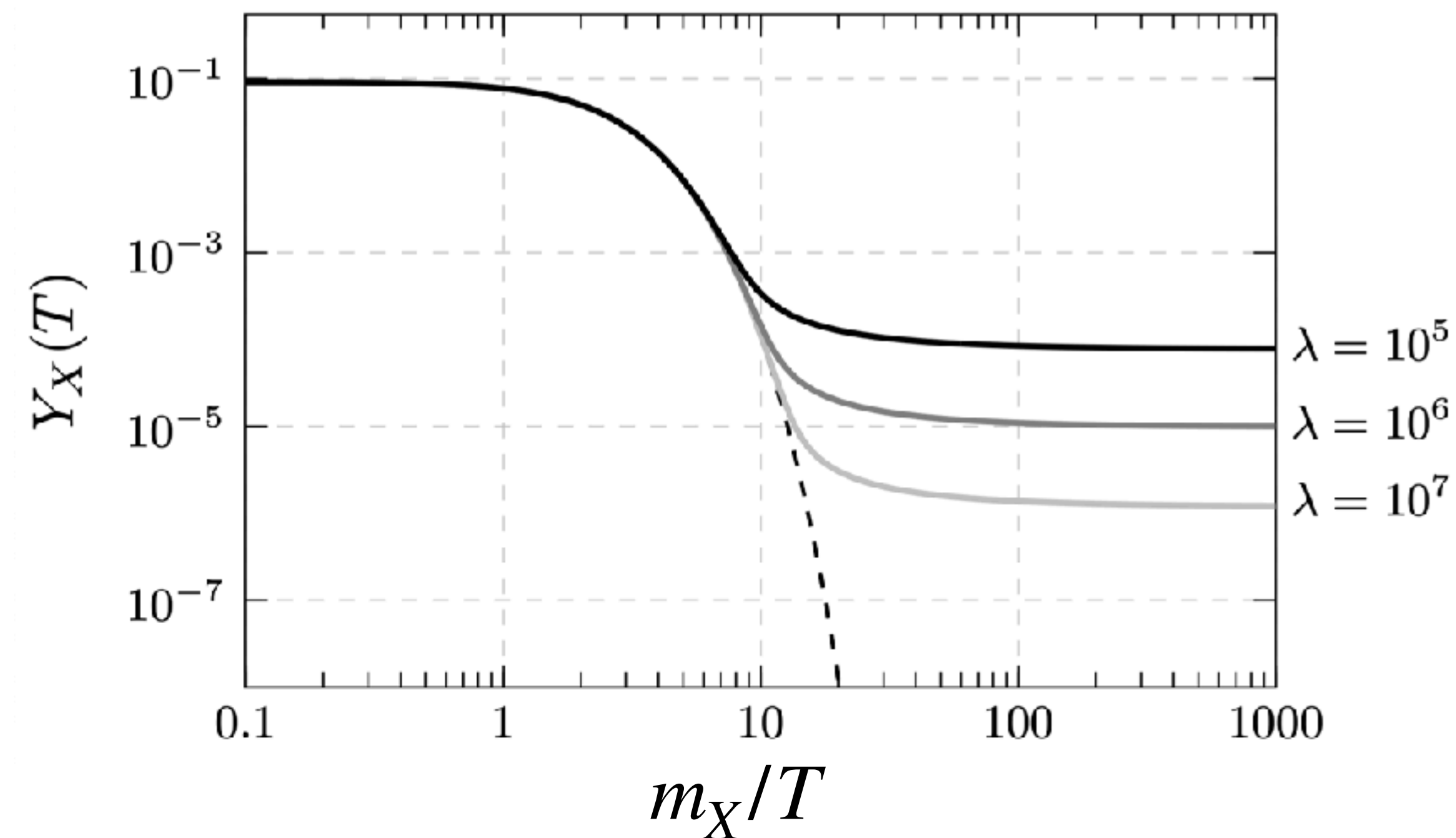
⇓

$$\Gamma_{\text{ann}}(T_{\text{FO}}^X) \equiv \langle \sigma_{\text{ann}} v \rangle n_X^{\text{eq}}(T_{\text{FO}}^X) = H(T_{\text{FO}}^X)$$

⇓

$$\Omega_X \simeq 5.2 \times 10^{-2} \frac{x_{\text{FO}}^X}{\sqrt{g_*(M_X)}} \left( \frac{10^{-8} \text{ GeV}^{-2}}{\langle \sigma_{\text{ann}} v \rangle} \right), \quad x_{\text{FO}}^X = m_X / T_{\text{FO}}^X$$

[Credit: Baumann, Cosmology]



$$\lambda = \frac{\langle \sigma_{\text{ann}} v \rangle m_X^3}{H(m_X)}$$

# Primordial structure formation & WIMP Production

- Primordial structure formation offers a new fundamental time scale:

$$\frac{dn_X(T)}{d \ln T} = - \frac{\Gamma_X(T)}{\tau_{\text{diss}}^{-1}(T)} \left[ n_X^2 - (n_X^{\text{eq}})^2 \right]$$

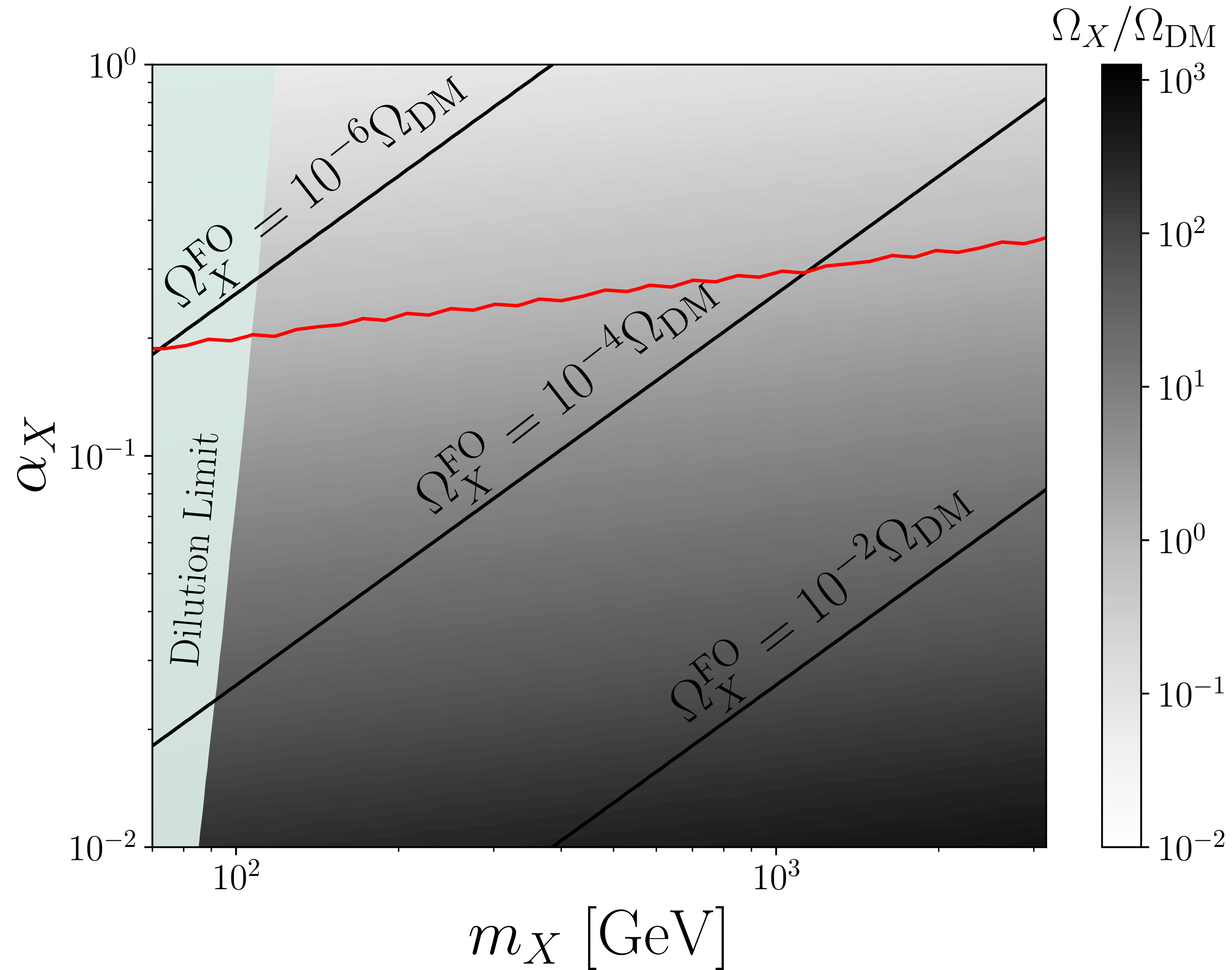
⇓

$$\Gamma_X(T_f) = \tau_{\text{diss}}^{-1}(T_f)$$

⇓

$$\rho_X(T_{\text{bg}}) \simeq f \cdot m_X n_X^{\text{eq}}(T_f)$$

# Primordial structure formation & WIMP Production



# Primordial structure formation & Baryogenesis

[MMF, A. Kusenko, L. Pearce, G. White: *PRD* 108 (2023) 9, 9]

# Brief baryogenesis review

- Fundamental to most baryogenesis scenarios are the Sakharov conditions, which state that three elements are required to generate an excess of baryons:
  1. Baryon number violation  $\implies$  *sphaleron transitions*
  2. *C* and *CP* violation
  3. Out-of-equilibrium dynamics  $\implies$  *expansion of heated region*

# Primordial structure formation & baryogenesis

- From the Sakharov conditions, we need some source of  $CP$  violation.
  - In principle, any EW baryogenesis scenario will be applicable here
- As a well motivated example, we considered the two Higgs doublet model
  - Leads to term in the Lagrangian,  $\mathcal{L} \supset \dot{\theta} J_B^0$ , similar as those in spontaneous baryogenesis

$$\mu_{\text{eff}} \sim \dot{\theta}$$

# Primordial structure formation & baryogenesis

- The evolution of the baryonic number density is given by

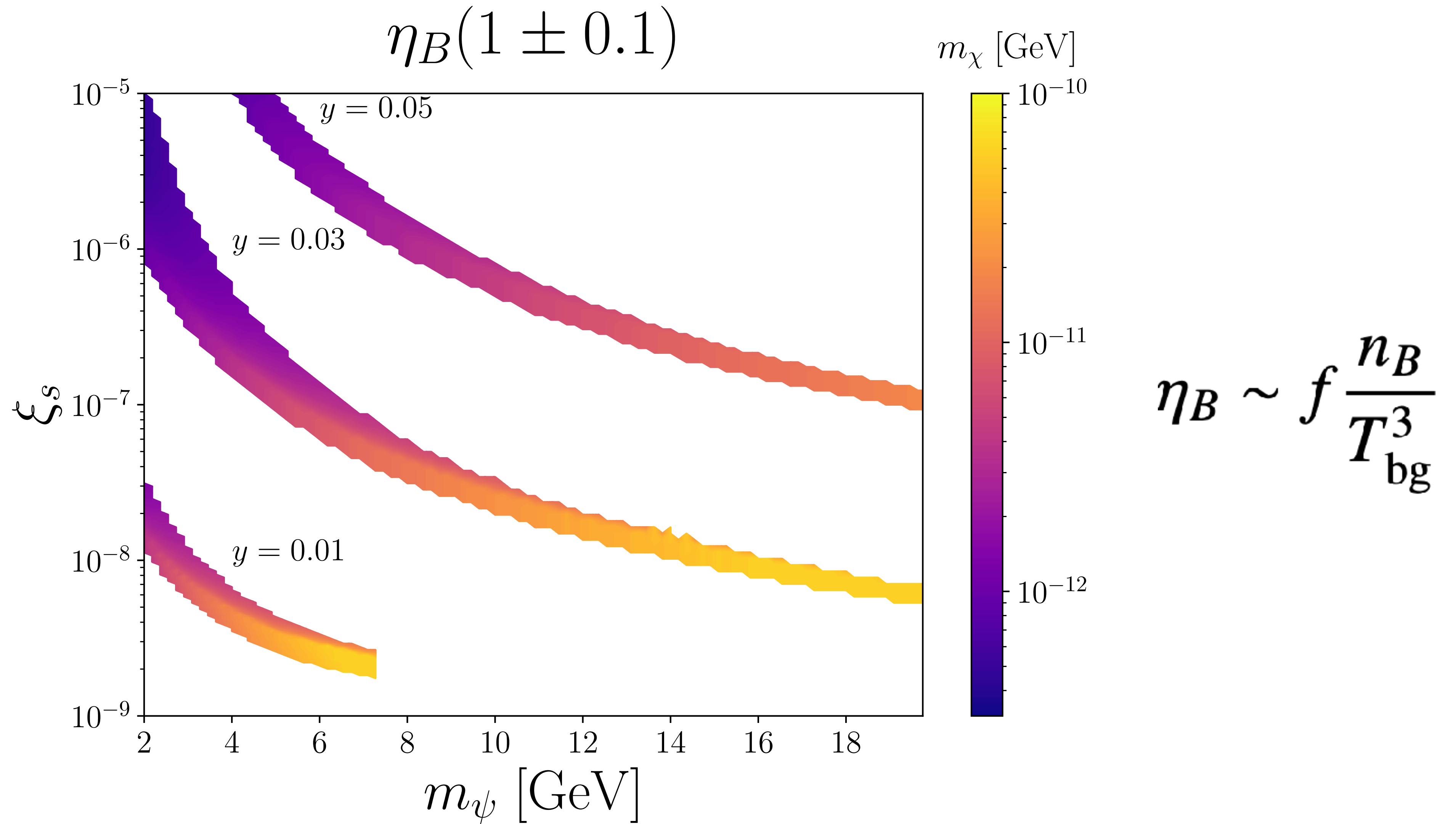
$$\frac{dn_B(T)}{d \ln T} = \frac{\Gamma_{\text{sph}}(T)}{\tau_{\text{diss}}^{-1}(T)} \left( n_B(T) - \mu_{\text{eff}} T^2 \right)$$

- This is *very similar* to classical freeze-out equations. The number density is then frozen into

$$n_B \simeq \mu_{\text{eff}} T^2 \Big|_{T=T_f} \quad \text{where} \quad \Gamma_{\text{sph}}(T_f) = \tau_{\text{diss}}^{-1}(T_f)$$

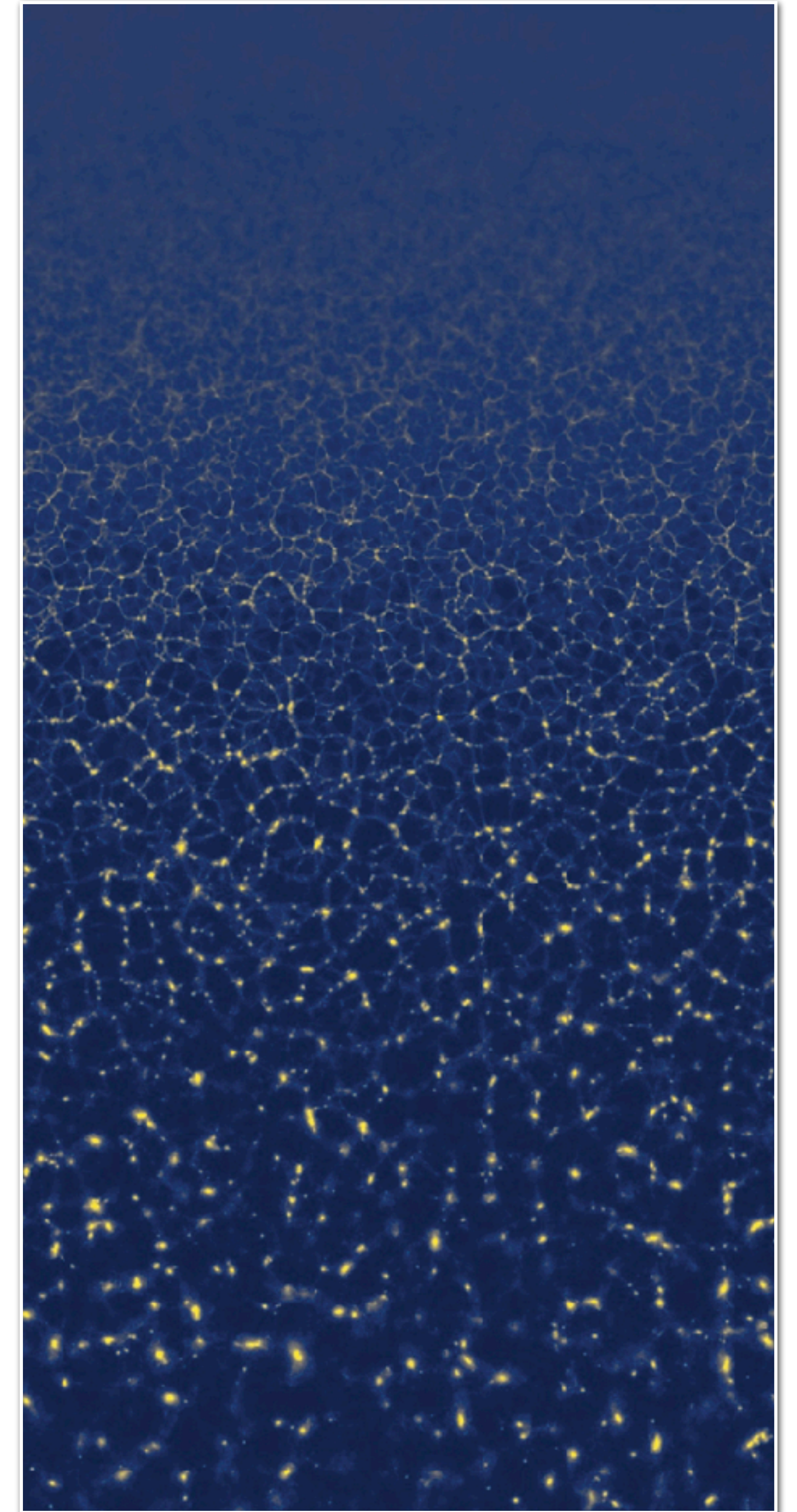


# Primordial structure formation & baryogenesis



# Final thoughts

- Primordial structure formation can occur and has many interesting phenomenological implications
  - Primordial black holes
  - Matter-antimatter asymmetry
  - Generation of DM
  - Gravitational waves
- PBHs are a compelling DM candidate with numerous interesting astrophysical and cosmological implications
- Other interests:
  - Gravitational particle production and baryogenesis
    - [MMF, Y. Perez-Gonzalez, arXiv:2404.06530]
  - Unitarity & cosmology
    - [MMF, K. Petraki, arXiv:2405.02222]
  - Gravitational waves
    - [MMF, A. Kusenko, L. Pearce, Y. F. Perez-Gonzales, G. White: arXiv: 2308.15522]



**Thank you!**