

# Kinetic theory of self-gravitating systems

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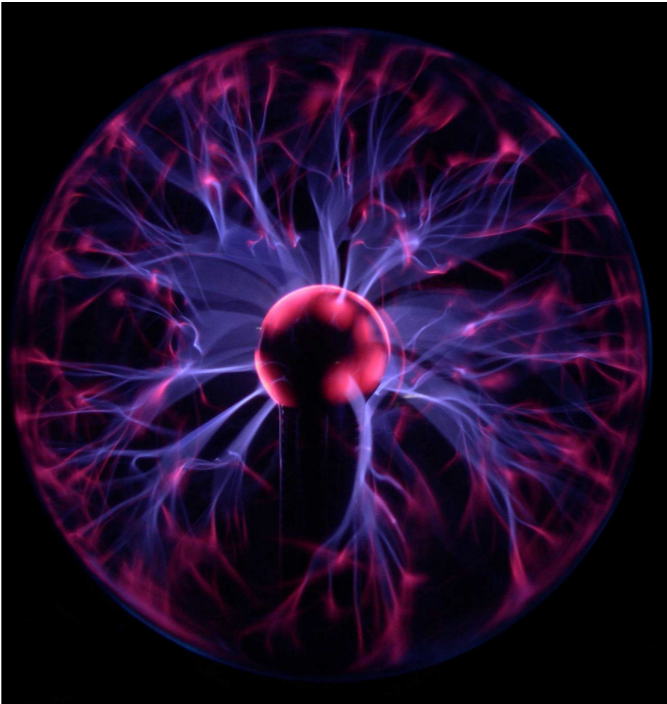
Oxford  
November 2020

# Long-term relaxation

How do systems **diffuse**?



Local  
Brownian diffusion

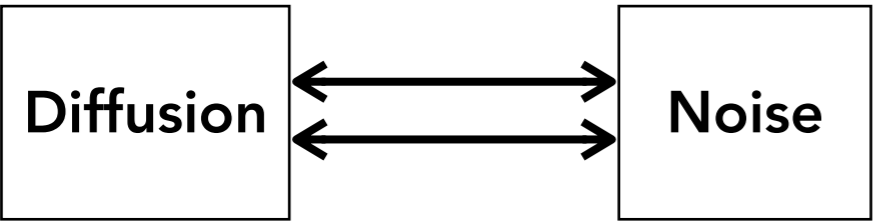


Homogeneous  
**Plasma** diffusion



Inhomogeneous  
**Galaxy** diffusion

## Fluctuation-Dissipation Theorem



Same process occur in galaxies, but:

- Gravity is **long-range**
- + Stars follow **orbits** and **resonate**
- + Galaxies **amplify** perturbations

# How do galaxies evolve on cosmic timescales?

# Relaxation and Dynamics

How do stars evolve?



Ink in water

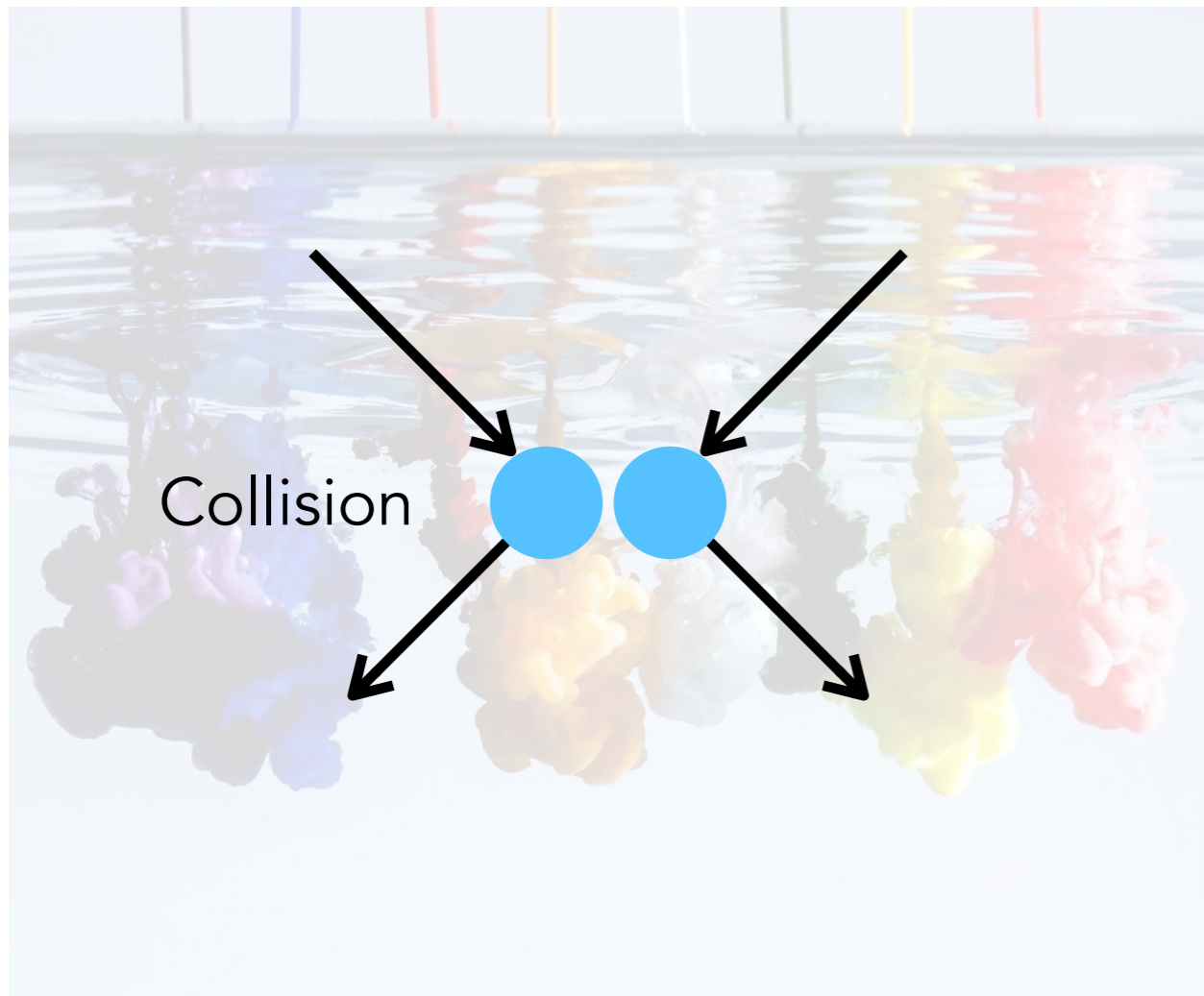


Stars in galaxies

# Diffusion

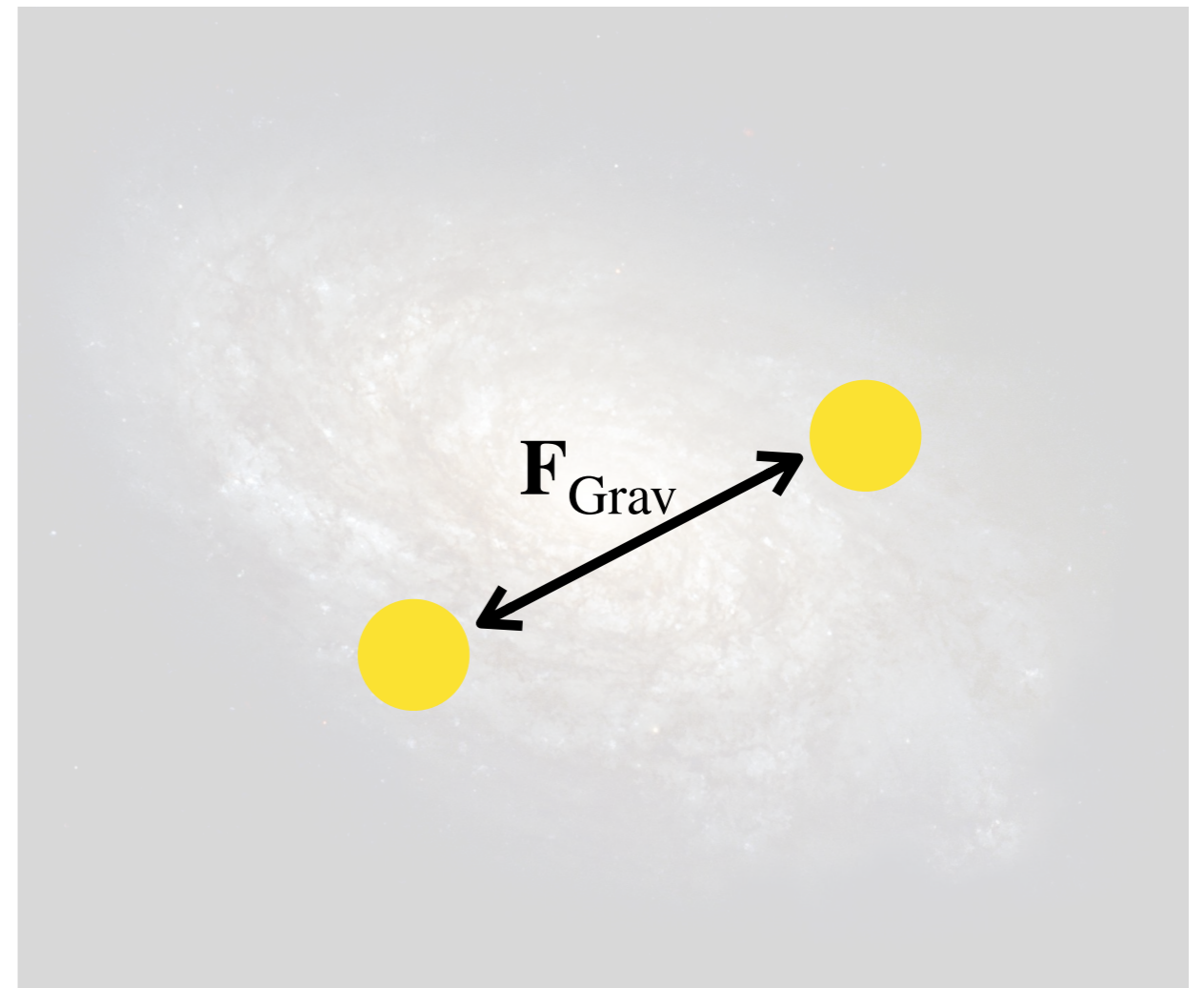
How do systems **diffuse**?

Ink in water



**Local** interaction

Stars in a galaxy

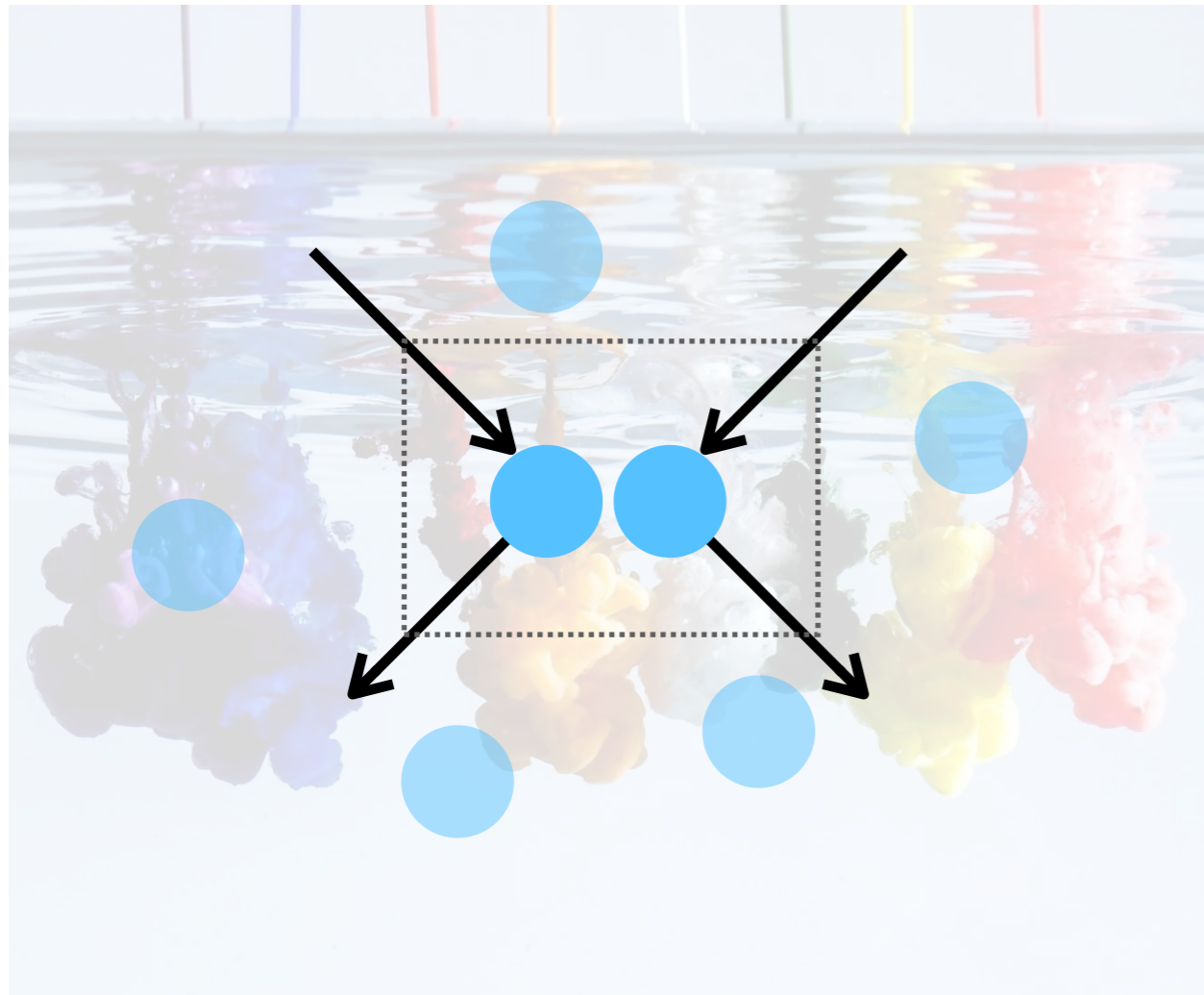


**Long-range** interaction

# Diffusion

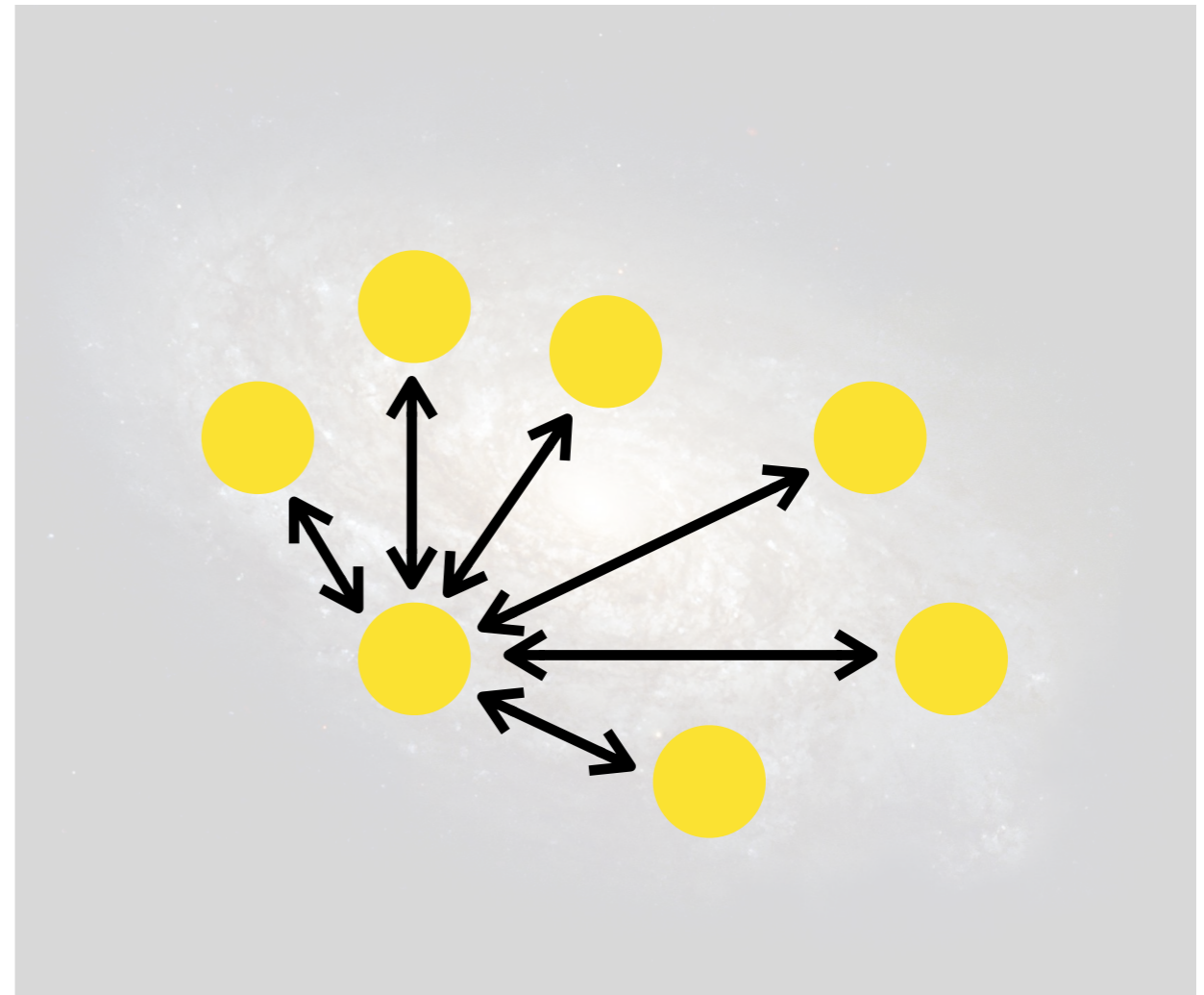
How do systems **diffuse**?

Ink in water



**Pairwise** interaction

Stars in a galaxy



**Collective** interaction

# Diffusion

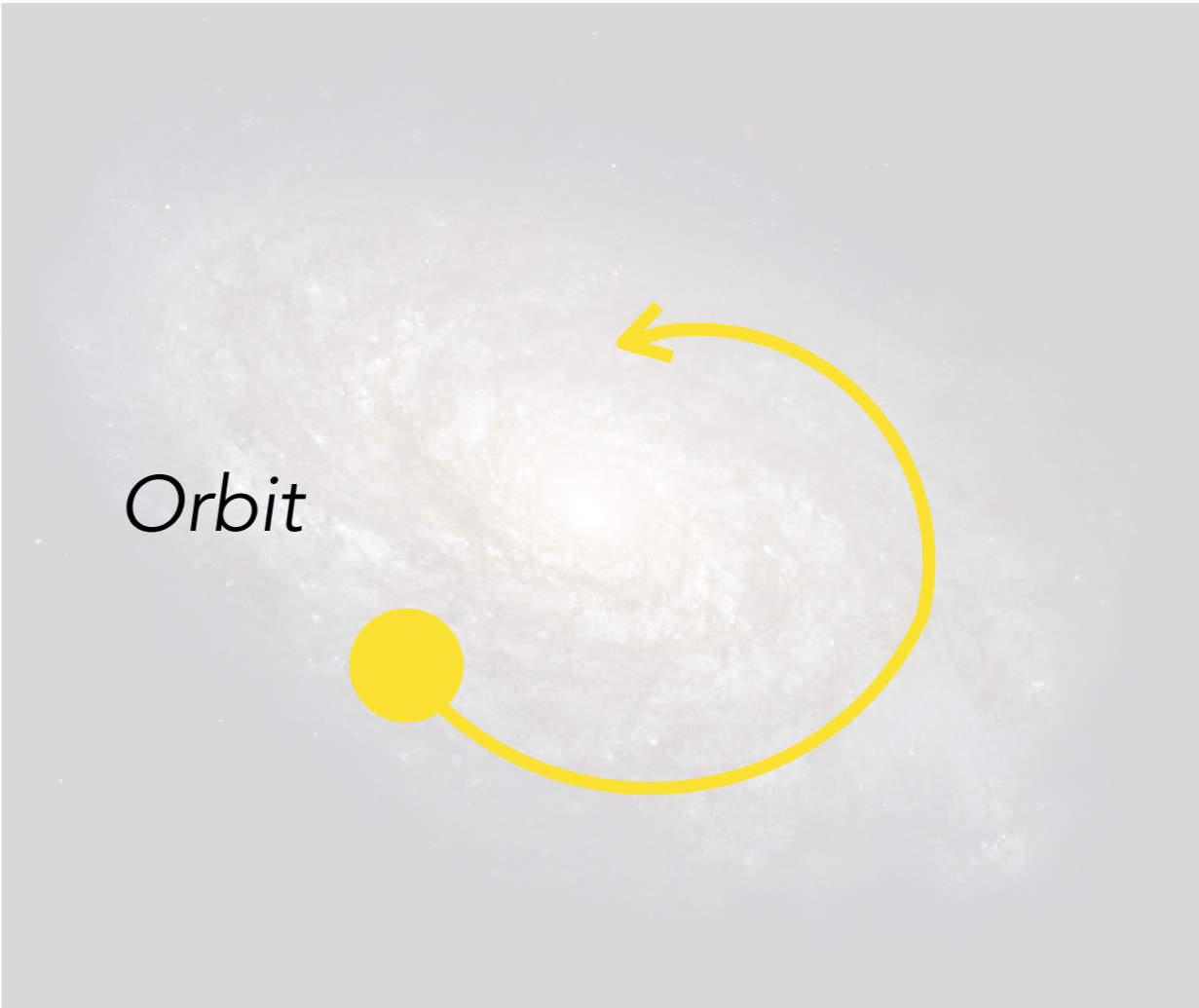
How do systems **diffuse**?

Ink in water



**Stochastic** trajectory

Stars in a galaxy



**Regular** trajectory

# The gravitational Balescu-Lenard equation

**What does it require?**

**Where does it come from?**

**What is it?**

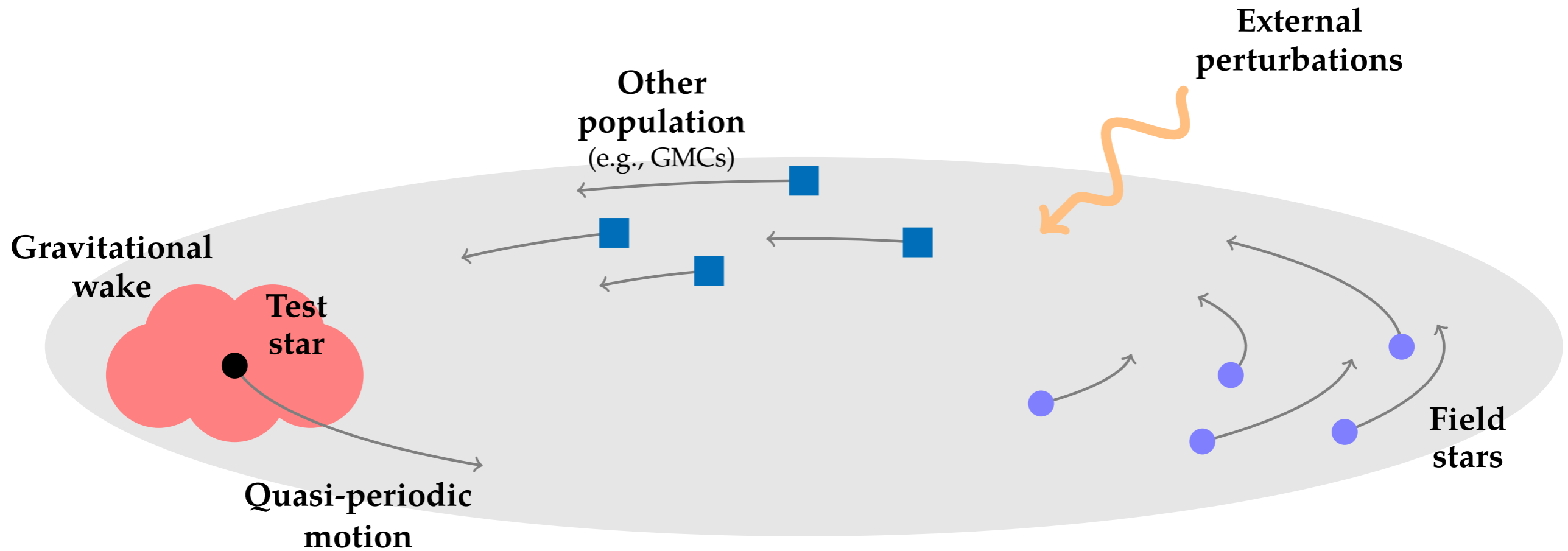
**Does it work?**

**What's next?**

**What does  
the Balescu-Lenard Eq.  
require?**



# Galactic evolution on cosmic timescales



Galaxies are:

- + **Inhomogeneous** (complex trajectories)
- + **Relaxed** (equilibrium states)
- + **Resonant** (orbital frequencies)
- + **Degenerate** (in some regions)
- + **Self-gravitating** (amplification of perturbations)
- + **Discrete** (finite-N effects)
- + **Perturbed** (effects of the environment)

- | Angle-action coordinates
- | Quasi-stationary states
- | Fast timescale vs. cosmic timescale
- | Frequency commensurability
- | Linear response theory
- | Nature vs. Nurture

# What does it require?

**Inhomogeneous**

$(\mathbf{x}, \mathbf{v})$   
 $\downarrow$   
 $(\theta, \mathbf{J})$

Angle-Action coordinates

**Relaxed**

$F = F(\mathbf{J}, t)$

Quasi-stationary states

**Resonant**

$\Omega(\mathbf{J}) = \partial H_0 / \partial \mathbf{J}$

Fast/Slow timescale

**Self-gravitating**

$\frac{1}{|E(\omega)|}$

Linear response theory

**Discrete & Perturbed**

$\frac{1}{N}$

Finite-N effects

# What does it require?

<p><b>Inhomogeneous</b></p> <div style="text-align: center;"> <math>(\mathbf{x}, \mathbf{v})</math>  <math>\downarrow</math>  <math>(\theta, \mathbf{J})</math> </div> <p>Angle-Action coordinates</p>	<p><b>Relaxed</b></p> <div style="text-align: center;"> <math>F = F(\mathbf{J}, t)</math> </div> <p>Quasi-stationary states</p>	<p><b>Resonant</b></p> <div style="text-align: center;"> <math>\Omega(\mathbf{J}) = \partial H_0 / \partial \mathbf{J}</math> </div> <p>Fast/Slow timescale</p>
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**Self-gravitating**

$\frac{1}{|E(\omega)|}$

Linear response theory

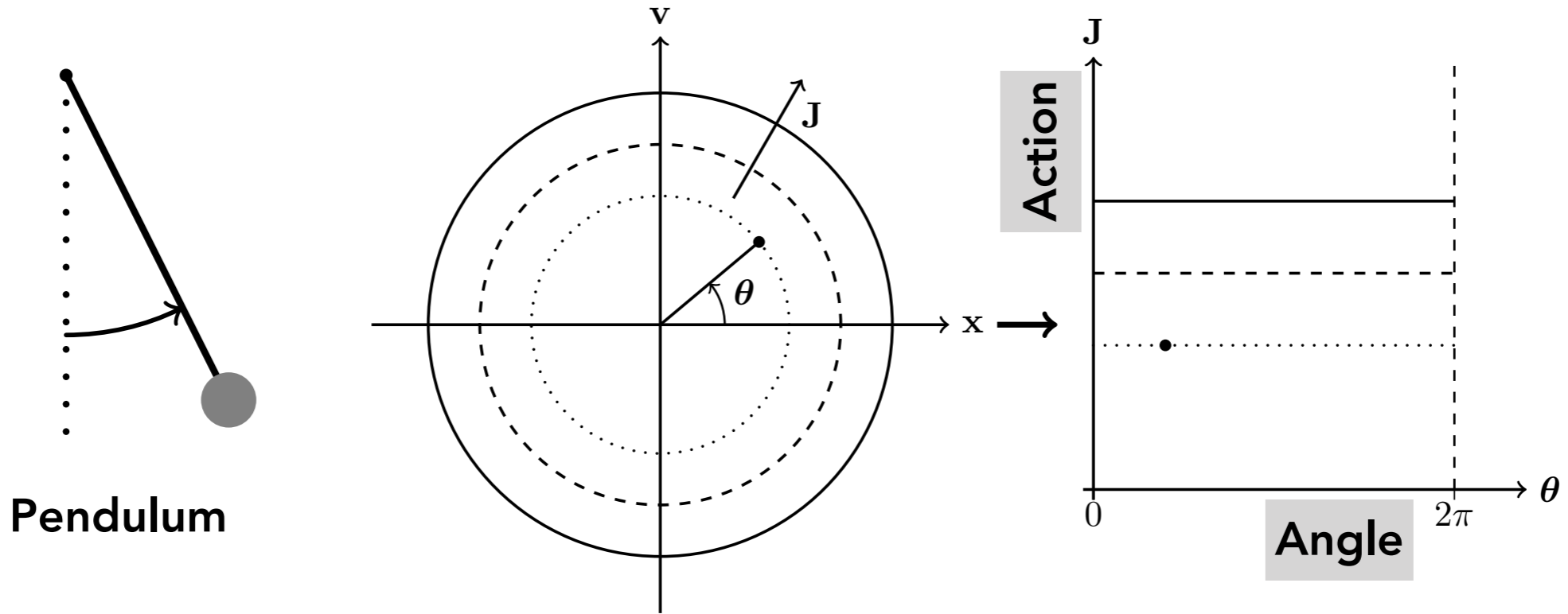
**Discrete & Perturbed**

$\frac{1}{N}$

Finite-N effects

# Inhomogeneous systems

+ Label orbits with **integrals of motion**



+ **Angle-Action coordinates**

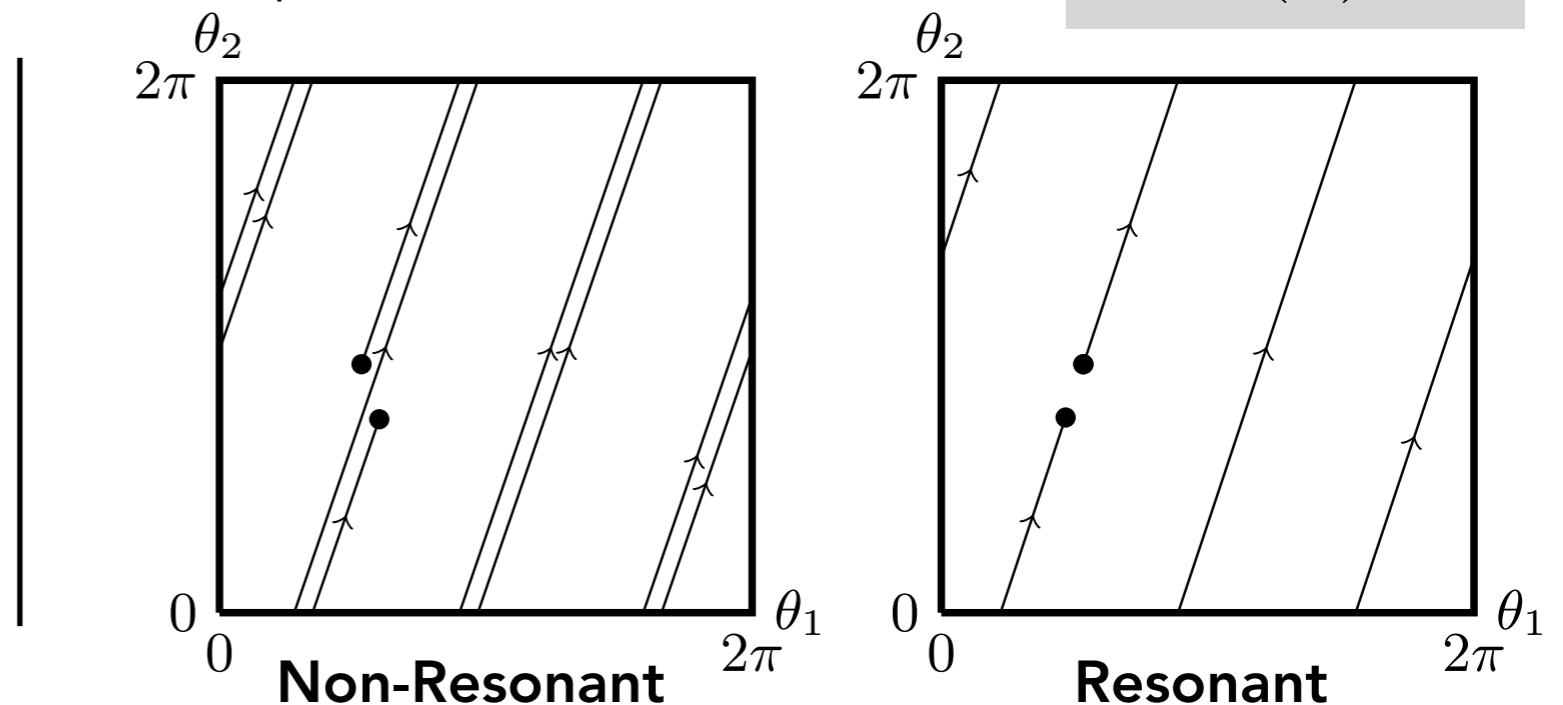
$$\begin{cases} \theta(t) = \theta_0 + t \Omega(\mathbf{J}) \\ \mathbf{J}(t) = \text{cst.} \end{cases}$$

Trajectories become **straight lines**

+ **Relaxation**

$$\xrightarrow{\text{(few) } t_{\text{cross}}} F = F(\mathbf{J}, t)$$

+ Frequencies' commensurability :  $\mathbf{n} \cdot \Omega(\mathbf{J}) = 0$

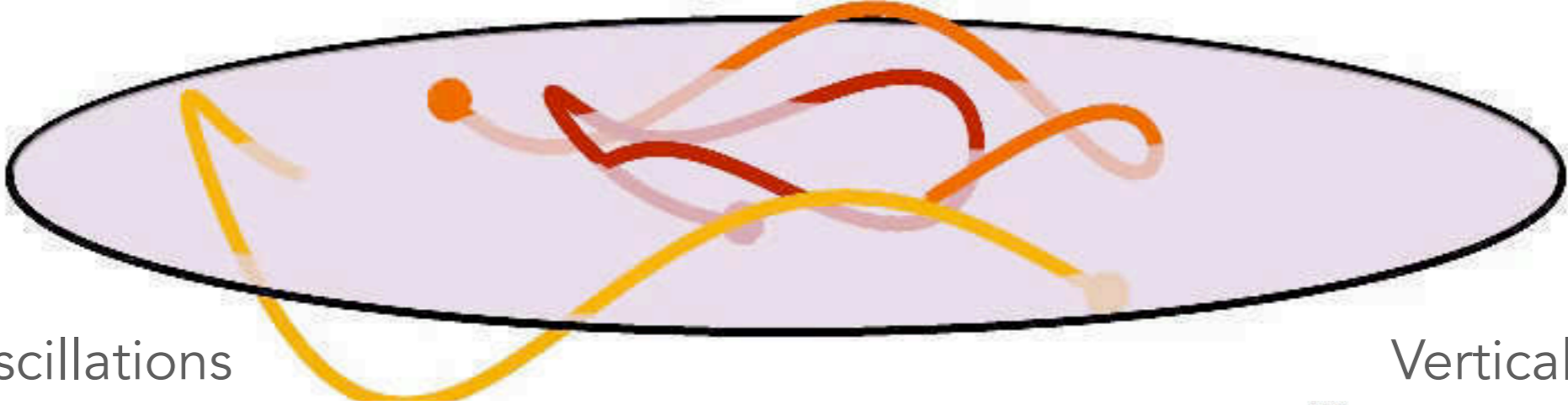


# Example: Orbits in a disc

Integrable orbits

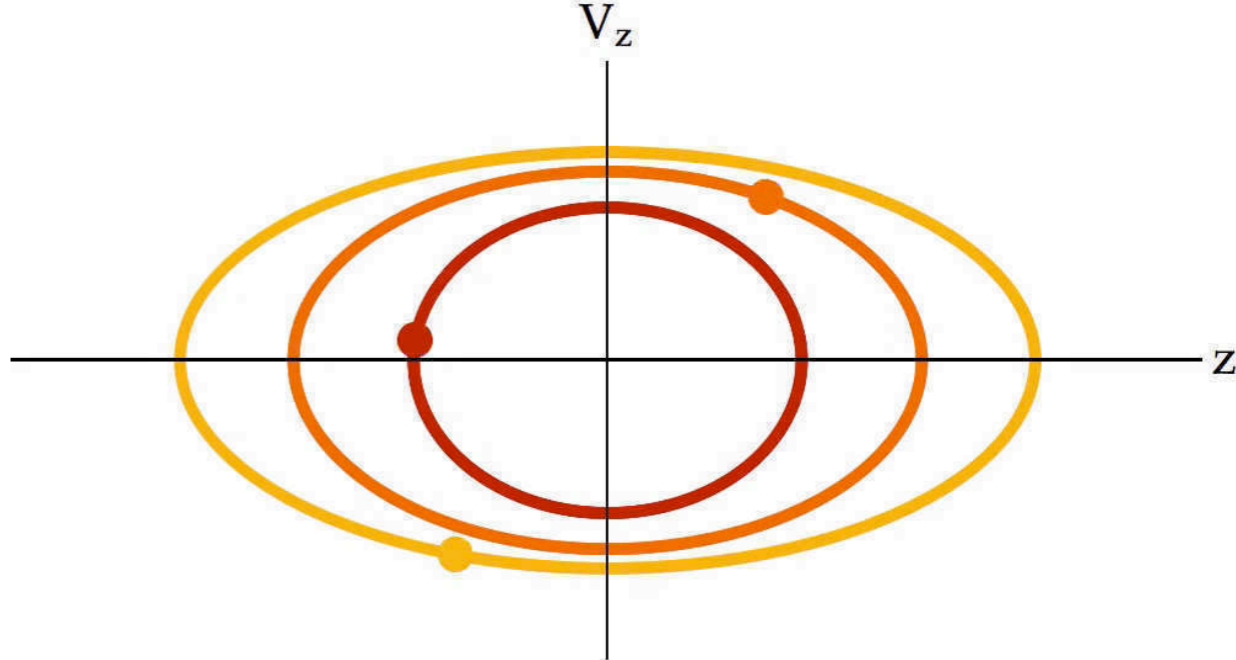
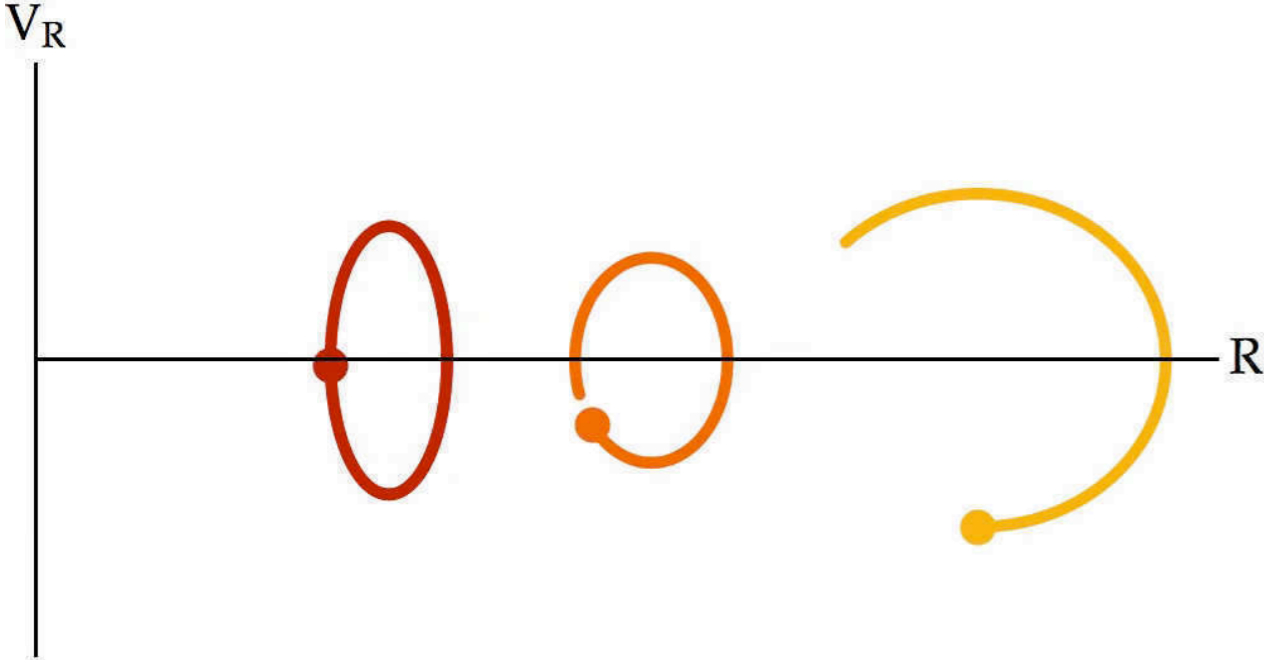
$$\Phi_0 = \Phi_0(R, z)$$

$$\begin{cases} \theta(t) = \theta_0 + t \Omega(\mathbf{J}) \\ \mathbf{J}(t) = \text{cst.} \end{cases}$$



Radial oscillations

Vertical oscillations



Actions

$$\mathbf{J} = (J_\phi, J_r, J_z)$$

Frequencies

$$\Omega = (\Omega_\phi, \Omega_r, \Omega_z)$$

# What does it require?

Inhomogeneous

$$(\mathbf{x}, \mathbf{v})$$



$$(\theta, \mathbf{J})$$

Angle-Action coordinates

Relaxed

$$F = F(\mathbf{J}, t)$$

Quasi-stationary states

Resonant

$$\Omega(\mathbf{J}) = \partial H_0 / \partial \mathbf{J}$$

Fast/Slow timescale

Self-gravitating

$$\frac{1}{|E(\omega)|}$$

Linear response theory

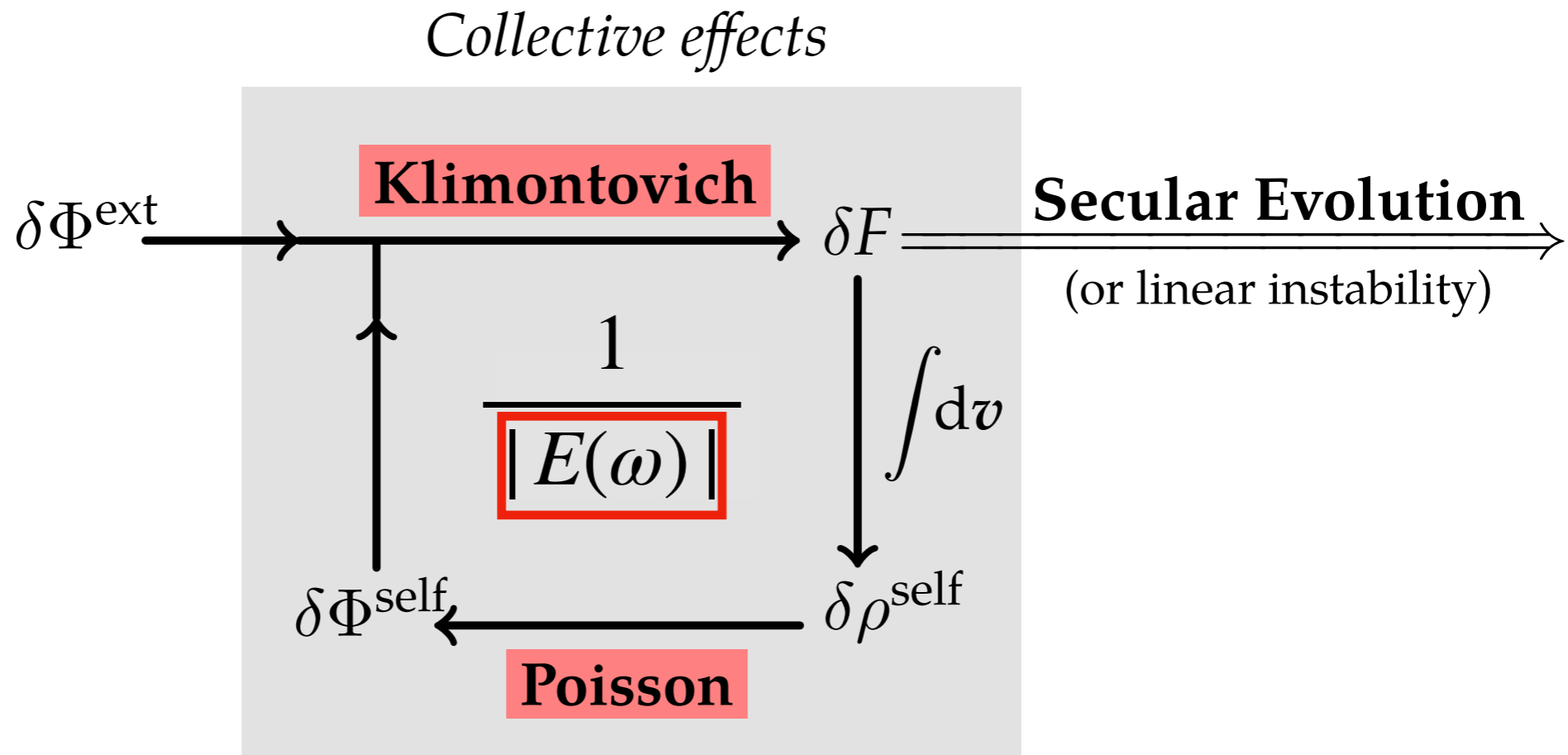
Discrete & Perturbed

$$\frac{1}{N}$$

Finite-N effects

# Collective effects

## Self-gravitating amplification

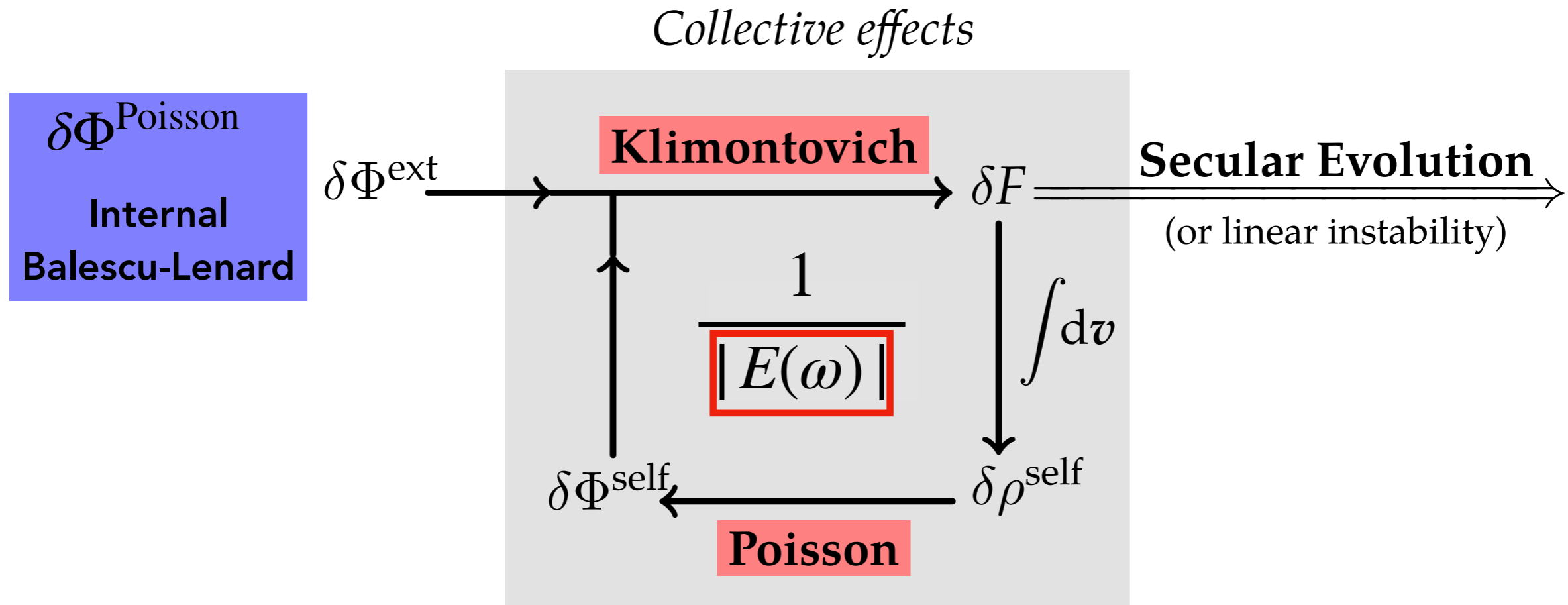


**Gravitational polarisation** essential to

- + Cause dynamical instabilities
- + Induce **dynamical friction** and **mass segregation**
- + **Accelerate/Slow down** secular evolution

# Collective effects

## Self-gravitating amplification

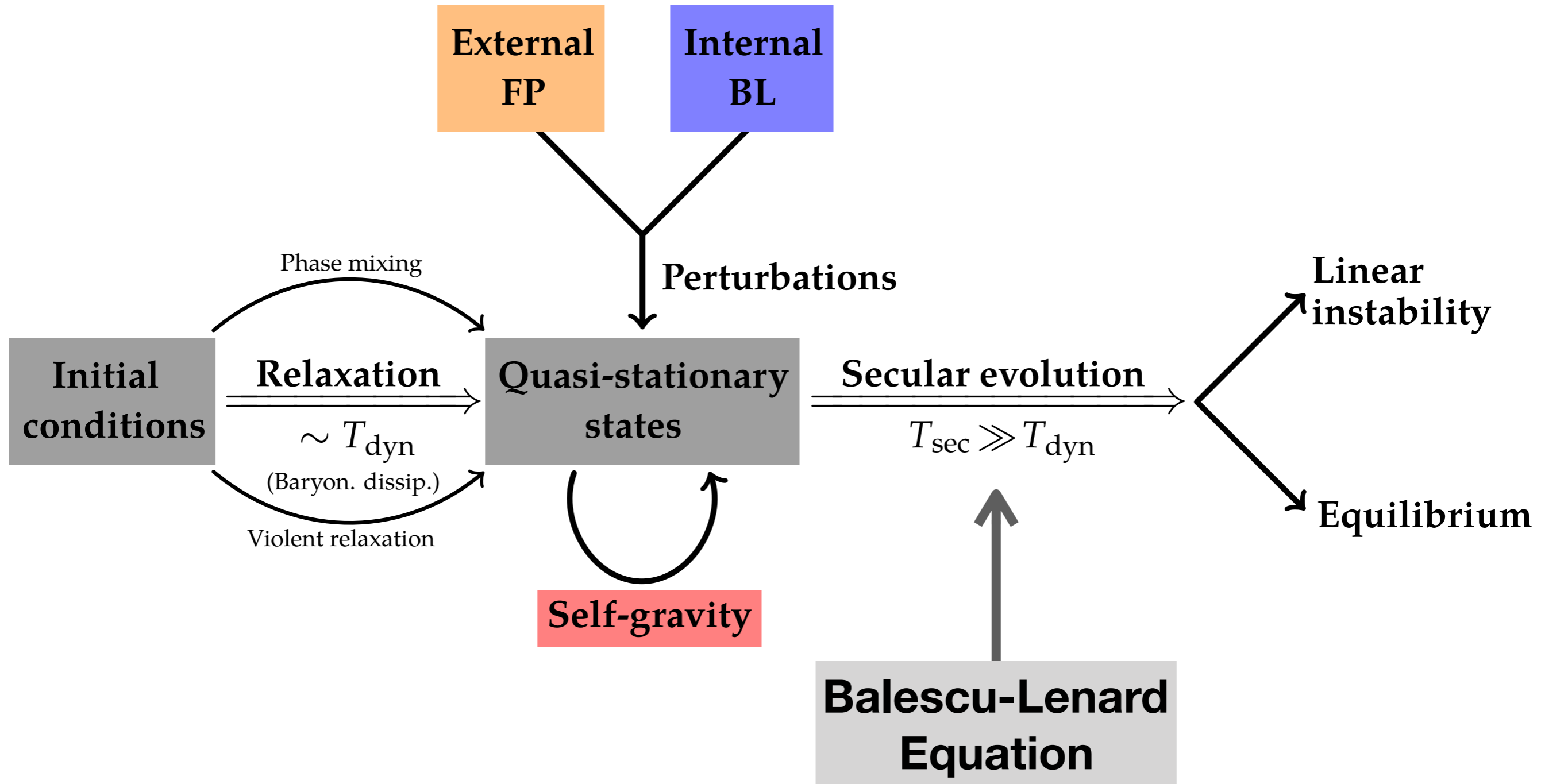


**Gravitational polarisation** essential to

- + Cause dynamical instabilities
- + Induce **dynamical friction** and **mass segregation**
- + **Accelerate/Slow down** secular evolution



# Typical fate of a self-gravitating system



**Where does  
the Balescu-Lenard Eq.  
come from?**

# Balescu-Lenard via Klimontovich

Describing one **realisation** in **phase space**  $\mathbf{w} = (\mathbf{x}, \mathbf{v})$

Discrete DF

$$F_d(\mathbf{w}, t) = \sum_{i=1}^N m \delta_D(\mathbf{w} - \mathbf{w}_i(t))$$

3D gravitational systems

$$U_{\text{ext}} = \frac{|\mathbf{v}|^2}{2}$$

$$U = -\frac{G}{|\mathbf{x} - \mathbf{x}'|}$$

Discrete Hamiltonian

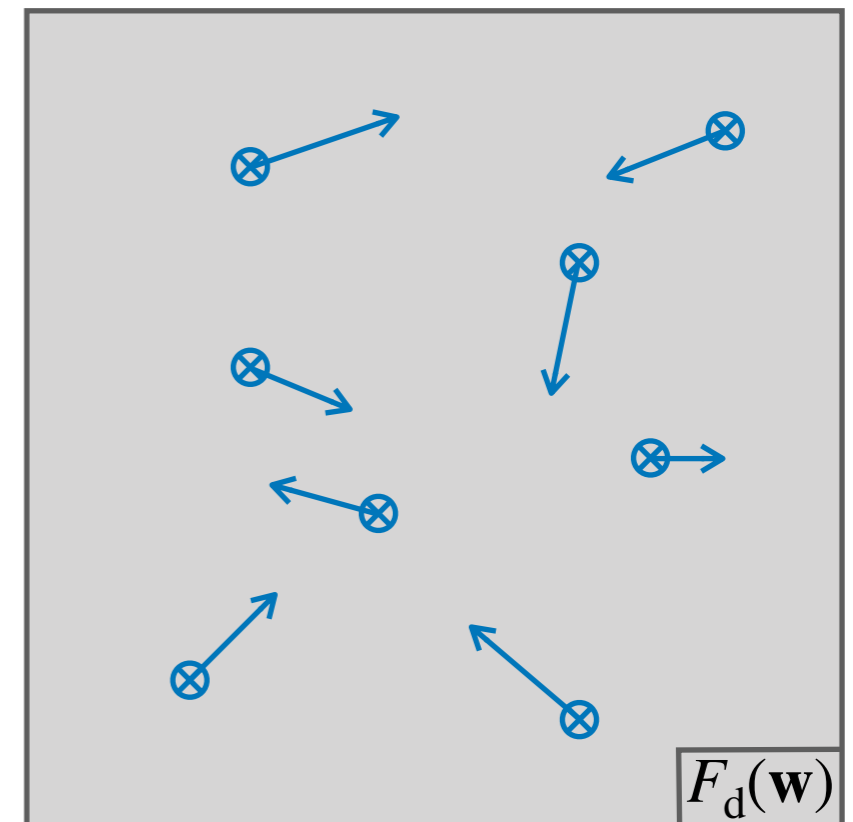
$$H_d(\mathbf{w}, t) = U_{\text{ext}}(\mathbf{w}) + \int d\mathbf{w}' F_d(\mathbf{w}', t) U(\mathbf{w}, \mathbf{w}')$$

**Continuity equation** in phase space

$$\frac{\partial F_d}{\partial t} + \frac{\partial}{\partial \mathbf{w}} \cdot \left( F_d \dot{\mathbf{w}} \right) = 0$$

Exact **Klimontovich** equation

$$\frac{\partial F_d}{\partial t} + [F_d, H_d] = 0$$



Phase space

# Solving Klimontovich

**Perturbative expansion**

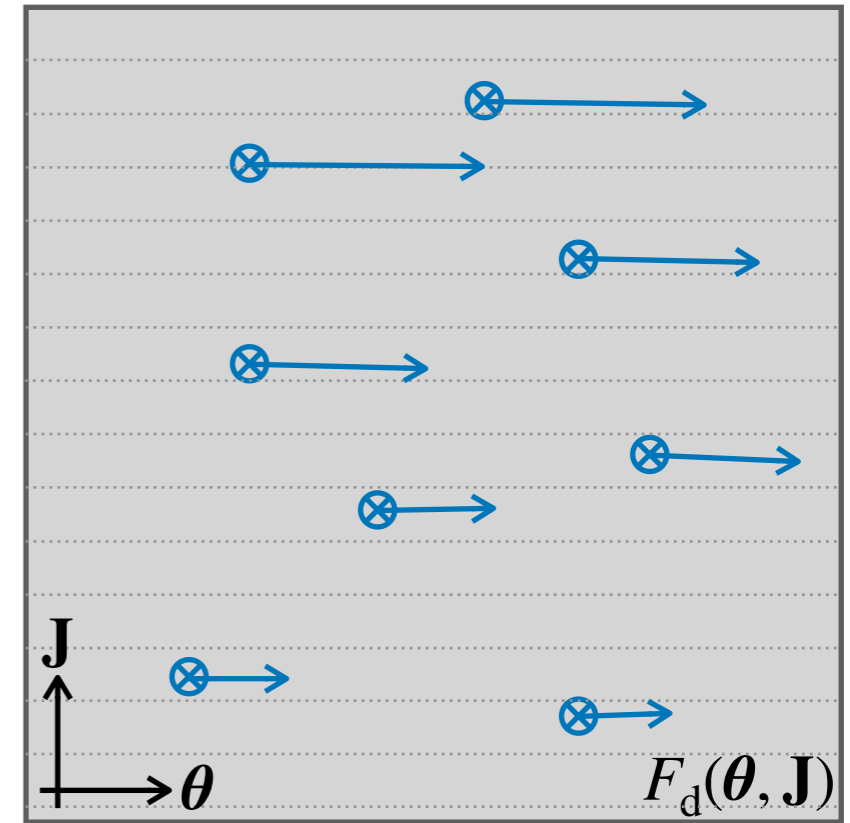
$$\begin{cases} F_d = F_0 + \delta F & \text{with } \langle \delta F \rangle = 0, \\ H_d = H_0 + \delta\Phi & \text{with } \langle \delta\Phi \rangle = 0. \end{cases}$$

**Mean-field equilibrium**

$$\begin{cases} F_0 = F_0(\mathbf{J}, t), \\ H_0 = H_0(\mathbf{J}, t). \end{cases}$$

**Quasi-linear evolution equations**

$$\begin{aligned} \frac{\partial \delta F}{\partial t} + [\delta F, H_0] + [F_0, \delta\Phi] &= 0 \\ \frac{\partial F_0}{\partial t} &= - \langle [\delta F, \delta\Phi] \rangle \end{aligned}$$



Angle-Action space

**Timescale separation**

$$\begin{cases} T_{\delta F} \simeq T_{\text{dyn}} \\ T_{F_0} \simeq (\sqrt{N})^2 \times T_{\delta F} \end{cases}$$

# Dynamics of fluctuations

Fast evolution of **perturbations** (Linearised Klimontovich Eq.)

$$\frac{\partial \delta F}{\partial t} + [\delta F, H_0] + [F_0, \delta \Phi] = 0$$

$[\delta F, H_0]$  Mean-field motion

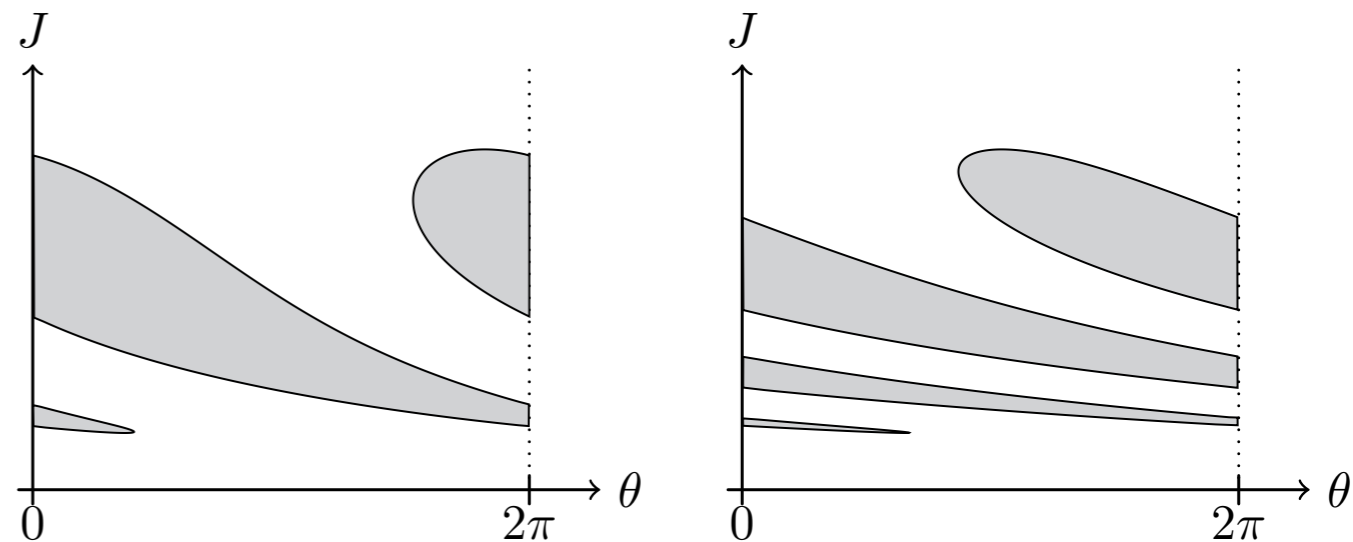
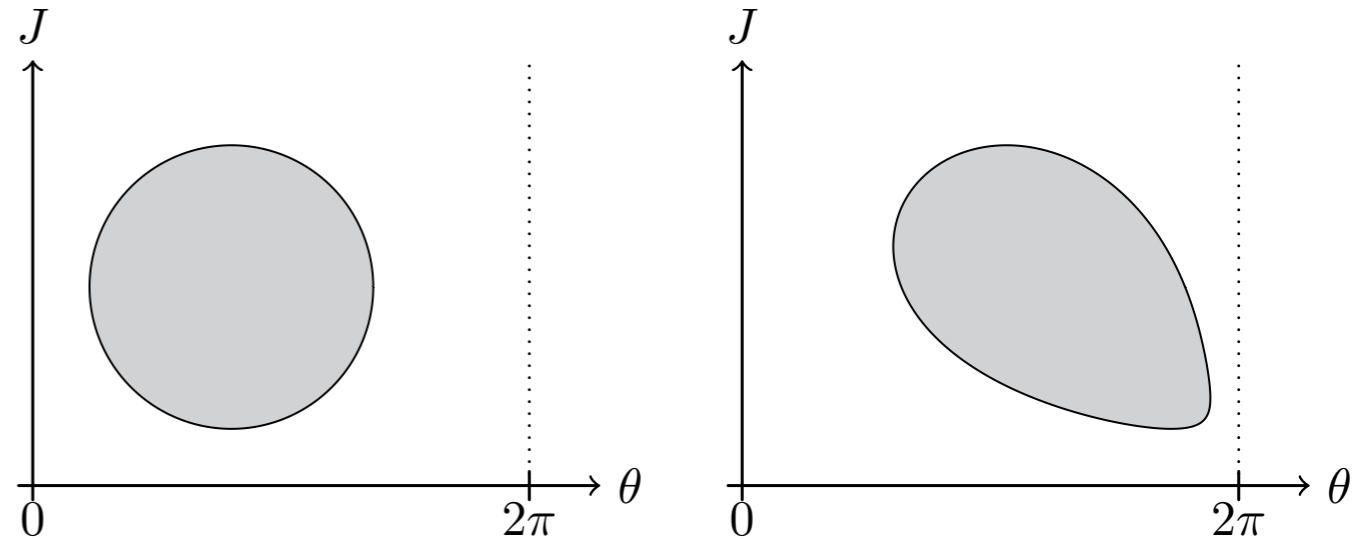
$[F_0, \delta \Phi]$  Collective effects

**Self-consistent** amplification

$$\delta \Phi = \delta \Phi [\delta F]$$

**Timescale separation**

$$\begin{cases} F_0(\mathbf{J}) = \text{cst} \\ H_0(\mathbf{J}) = \text{cst} \end{cases}$$



**Phase Mixing**

## Solving for the fluctuations

Linear amplification

$$\delta\tilde{F}_{\mathbf{k}}(\mathbf{J}, \omega) = - \frac{\delta F_{\mathbf{k}}(\mathbf{J}, 0)}{i(\omega - \mathbf{k} \cdot \boldsymbol{\Omega}(\mathbf{J}))} - \frac{\mathbf{k} \cdot \partial F_0 / \partial \mathbf{J}}{\omega - \mathbf{k} \cdot \boldsymbol{\Omega}(\mathbf{J})} \delta\tilde{\Phi}_{\mathbf{k}}(\mathbf{J}, \omega)$$

Bare noise Self-consistent amplification

with the **self-consistency**

$$\delta\Phi(\mathbf{w}, t) = \int d\mathbf{w}' \delta F(\mathbf{w}', t) U(\mathbf{w}, \mathbf{w}')$$

Generic form of a **Fredholm equation**

$$[\delta\Phi(\mathbf{J})]_{\text{dressed}} = [\delta\Phi(\mathbf{J})]_{\text{bare}} + \int d\mathbf{J}' M(\mathbf{J}, \mathbf{J}') [\delta\Phi(\mathbf{J}')]_{\text{dressed}}$$

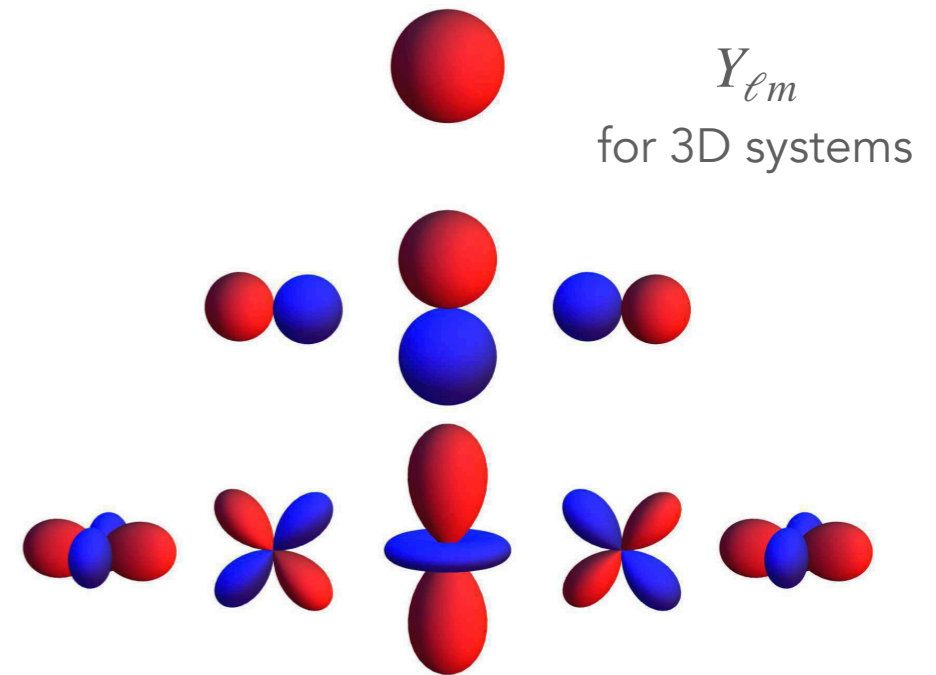
Amplification kernel

**Dressing** of perturbations

$$[\delta\Phi(\omega)]_{\text{dressed}} \simeq \frac{[\delta\Phi(\omega)]_{\text{bare}}}{1 - M(\omega)} = \frac{[\delta\Phi(\omega)]_{\text{bare}}}{|E(\omega)|}$$

Basis method  $(\psi^{(p)}(\mathbf{w}), \rho^{(p)}(\mathbf{w}))$

$$\begin{cases} \psi^{(p)}(\mathbf{w}) = \int d\mathbf{w}' U(\mathbf{w}, \mathbf{w}') \rho^{(p)}(\mathbf{w}'), \\ \int d\mathbf{w} \psi^{(p)}(\mathbf{w}) \rho^{(q)*}(\mathbf{w}) = -\delta_{pq}. \end{cases}$$



“Separable” pairwise interaction

$$U(\mathbf{w}, \mathbf{w}') = - \sum_p \psi^{(p)}(\mathbf{w}) \psi^{(p)*}(\mathbf{w}')$$

Plasmas

$$U(\mathbf{x}, \mathbf{x}') = \frac{1}{|\mathbf{x} - \mathbf{x}'|} \approx \int \frac{d\mathbf{k}}{|\mathbf{k}|^2} e^{i\mathbf{k}\cdot\mathbf{x}} e^{-i\mathbf{k}\cdot\mathbf{x}'}$$

Galaxies

$$\Delta\Phi = 4\pi G\rho$$

Poisson equation

# Linear response theory

$$[\delta H(\omega)]_{\text{dressed}} = \frac{[\delta H(\omega)]_{\text{bare}}}{|E(\omega)|}$$

$$E_{pq}(\omega) = 1 - (2\pi)^d \sum_{\mathbf{k}} \int d\mathbf{J} \frac{\mathbf{k} \cdot \partial F_0 / \partial \mathbf{J}}{\omega - \mathbf{k} \cdot \boldsymbol{\Omega}(\mathbf{J})} \psi_{\mathbf{k}}^{(p)*}(\mathbf{J}) \psi_{\mathbf{k}}^{(q)}(\mathbf{J})$$

Dielectric function

$$E_{pq}(\omega)$$

Two limits

$$E_{pq}(\omega) \simeq 0 \quad \text{Cold regime}$$

$$E_{pq}(\omega) \simeq 1 \quad \text{Hot regime}$$

Some properties

$$\sum_{\mathbf{k}}$$

Sum over resonances

$$\int d\mathbf{J}$$

Scan over orbital space

$$\omega - \mathbf{k} \cdot \boldsymbol{\Omega}(\mathbf{J})$$

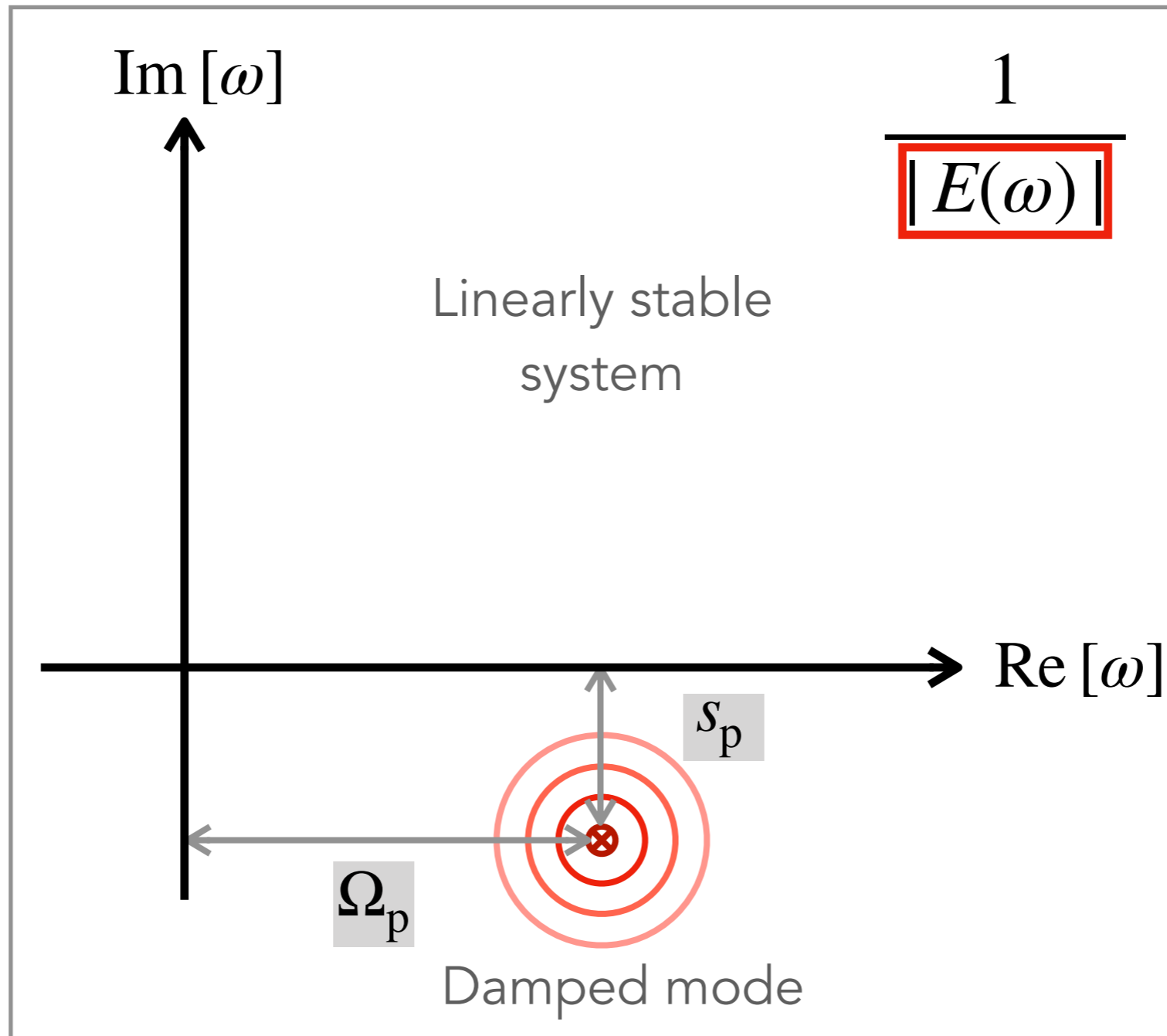
Resonant int.

$$\psi^{(p)} = \int d\mathbf{w}' U \rho^{(p)}$$

Long-range int.



# Dielectric function



## Susceptibility

$$\frac{1}{|E(\Omega_p)|} \gg 1$$

## Thermalisation

$$[\delta\Phi(t)]_{\text{trans.}} \simeq e^{-s_p t}$$

## Dressed long-term diffusion

**Secular** evolution equation

$$\frac{\partial F_0}{\partial t} = - \langle [\delta F, \delta \Phi] \rangle$$

**Dressing** comes twice

$$[\delta \Phi]_{\text{dressed}} = \frac{[\delta \Phi]_{\text{bare}}}{|E(\omega)|}$$



$$\frac{\partial F_0}{\partial t} \simeq \frac{|\delta \Phi|_{\text{bare}}^2}{|E(\omega)|^2}$$

**Bare** Poisson shot noise

$$|\delta \Phi|_{\text{bare}} \simeq \frac{1}{\sqrt{N}}$$



**Relaxation time**

$$T_{\text{relax}} \simeq |E|^2 N T_{\text{dyn}}$$

Collective effects can **drastically accelerate** orbital heating,  
in particular on **large scales**

## The two components of diffusion

Secular evolution equation

$$\frac{\partial F_0}{\partial t} = - \langle [\delta F, \delta \Phi] \rangle = - \frac{\partial}{\partial \mathbf{J}} \cdot \mathbf{F}(\mathbf{J}, t)$$

Dynamics of **perturbations**

$$\delta \tilde{F}_{\mathbf{k}}(\mathbf{J}, \omega) = - \frac{\delta F_{\mathbf{k}}(\mathbf{J}, 0)}{i(\omega - \mathbf{k} \cdot \boldsymbol{\Omega}(\mathbf{J}))} - \frac{\mathbf{k} \cdot \partial F_0 / \partial \mathbf{J}}{\omega - \mathbf{k} \cdot \boldsymbol{\Omega}(\mathbf{J})} \delta \tilde{\Phi}_{\mathbf{k}}(\mathbf{J}, \omega)$$

Poisson noise

Potential fluctuations

Flux decomposition

$$\mathbf{F}(\mathbf{J}, t) = \mathbf{F}_1(\mathbf{J}, t) + \mathbf{F}_2(\mathbf{J}, t)$$

**Dynamical friction**

$$\begin{aligned} \mathbf{F}_1(\mathbf{J}, t) &\propto \langle \delta F(0) \delta \Phi \rangle \\ &= \mathbf{D}_1(\mathbf{J}) F_0(\mathbf{J}) \end{aligned}$$

**Diffusion**

$$\begin{aligned} \mathbf{F}_2(\mathbf{J}, t) &\propto \langle \delta \Phi \delta \Phi \rangle \\ &= - \mathbf{D}_2(\mathbf{J}) \cdot \frac{\partial F_0}{\partial \mathbf{J}} \end{aligned}$$

Backreaction to DF's perturbations

Correlations of the potential fluctuations

**What is  
the Balescu-Lenard Eq.?**

## Balescu-Lenard equation

The master equation for **self-induced orbital relaxation**

$$\frac{\partial F(\mathbf{J}, t)}{\partial t} = \frac{1}{N} \frac{\partial}{\partial \mathbf{J}} \cdot \left[ \sum_{\mathbf{k}, \mathbf{k}'} \mathbf{k} \int d\mathbf{J}' \frac{\delta_D(\mathbf{k} \cdot \boldsymbol{\Omega}(\mathbf{J}) - \mathbf{k}' \cdot \boldsymbol{\Omega}(\mathbf{J}'))}{|E_{\mathbf{k}\mathbf{k}'}(\mathbf{J}, \mathbf{J}', \mathbf{k} \cdot \boldsymbol{\Omega}(\mathbf{J}))|^2} \times \left( \mathbf{k} \cdot \frac{\partial}{\partial \mathbf{J}} - \mathbf{k}' \cdot \frac{\partial}{\partial \mathbf{J}'} \right) F(\mathbf{J}, t) F(\mathbf{J}', t) \right]$$

Some properties

$F(\mathbf{J}, t)$  Orbital distortion in **action space**

$1/N$  Sourced by **finite-N effects**

$\partial/\partial \mathbf{J} \cdot$  Divergence of a **diffusion flux**

$(\mathbf{k}, \mathbf{k}')$  Discrete **resonances**

$\int d\mathbf{J}'$  Scan of **orbital space**

$\delta_D(\mathbf{k} \cdot \boldsymbol{\Omega}(\mathbf{J}) - \mathbf{k}' \cdot \boldsymbol{\Omega}(\mathbf{J}'))$  **Resonance cond.**

$1/|E_{\mathbf{k}\mathbf{k}'}(\mathbf{J}, \mathbf{J}', \omega)|^2$  **Dressed couplings**

## Fokker-Planck equation

+ **Test particle** of mass  $m_t$  —  $P(\mathbf{J}, t)$

+ **Bath particles** of mass  $m_b = M_{\text{tot}}/N$  —  $F_0(\mathbf{J}, t)$

$$\frac{\partial P(\mathbf{J}, t)}{\partial t} = \frac{\partial}{\partial \mathbf{J}} \cdot \left[ \sum_{\mathbf{k}, \mathbf{k}'} \mathbf{k} \int d\mathbf{J}' \frac{\delta_D(\mathbf{k} \cdot \boldsymbol{\Omega}(\mathbf{J}) - \mathbf{k}' \cdot \boldsymbol{\Omega}(\mathbf{J}'))}{|E_{\mathbf{k}\mathbf{k}'}(\mathbf{J}, \mathbf{J}', \mathbf{k} \cdot \boldsymbol{\Omega}(\mathbf{J}))|^2} \times \left( m_b \mathbf{k} \cdot \frac{\partial}{\partial \mathbf{J}} - m_t \mathbf{k}' \cdot \frac{\partial}{\partial \mathbf{J}'} \right) P(\mathbf{J}, t) F_0(\mathbf{J}', t) \right]$$

**Diffusion**  $m_b \mathbf{k} \cdot \frac{\partial}{\partial \mathbf{J}}$

Vanishes in the collisionless limit  $N \rightarrow +\infty$

Sourced by **correlations** in the **potential fluctuations**

$$\mathbf{D}_{\text{diff}} \propto \langle \delta\Phi(t) \delta\Phi(t') \rangle$$

**Friction**  $m_t \mathbf{k}' \cdot \frac{\partial}{\partial \mathbf{J}'}$

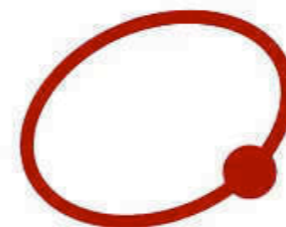
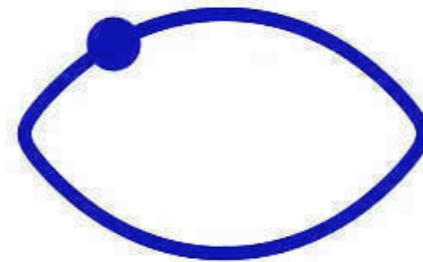
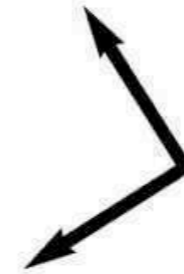
Induces **mass segregation**

Sourced by the **backreaction** of the test particle on the bath

$$\mathbf{D}_{\text{fric}} \propto \langle \delta P(t) \delta\Phi(t') \rangle$$

## Resonant encounters

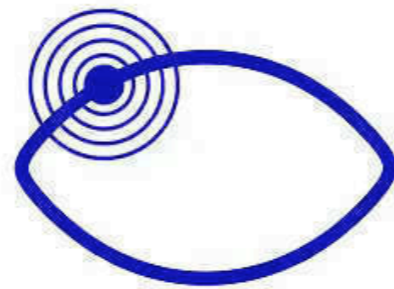
$$\delta_D(\mathbf{k} \cdot \boldsymbol{\Omega}(\mathbf{J}) - \mathbf{k}' \cdot \boldsymbol{\Omega}(\mathbf{J}'))$$



Collisions are **resonant, long-range, correlated**

## Dressed resonant encounters

$$\delta_D(\mathbf{k} \cdot \boldsymbol{\Omega}(\mathbf{J}) - \mathbf{k}' \cdot \boldsymbol{\Omega}(\mathbf{J}'))$$



Fluctuations create a **wake**

$$\delta\Phi_{\text{bare}} \rightarrow \frac{\delta\Phi_{\text{dressed}}}{|E(\omega)|}$$

Interactions between **wakes**



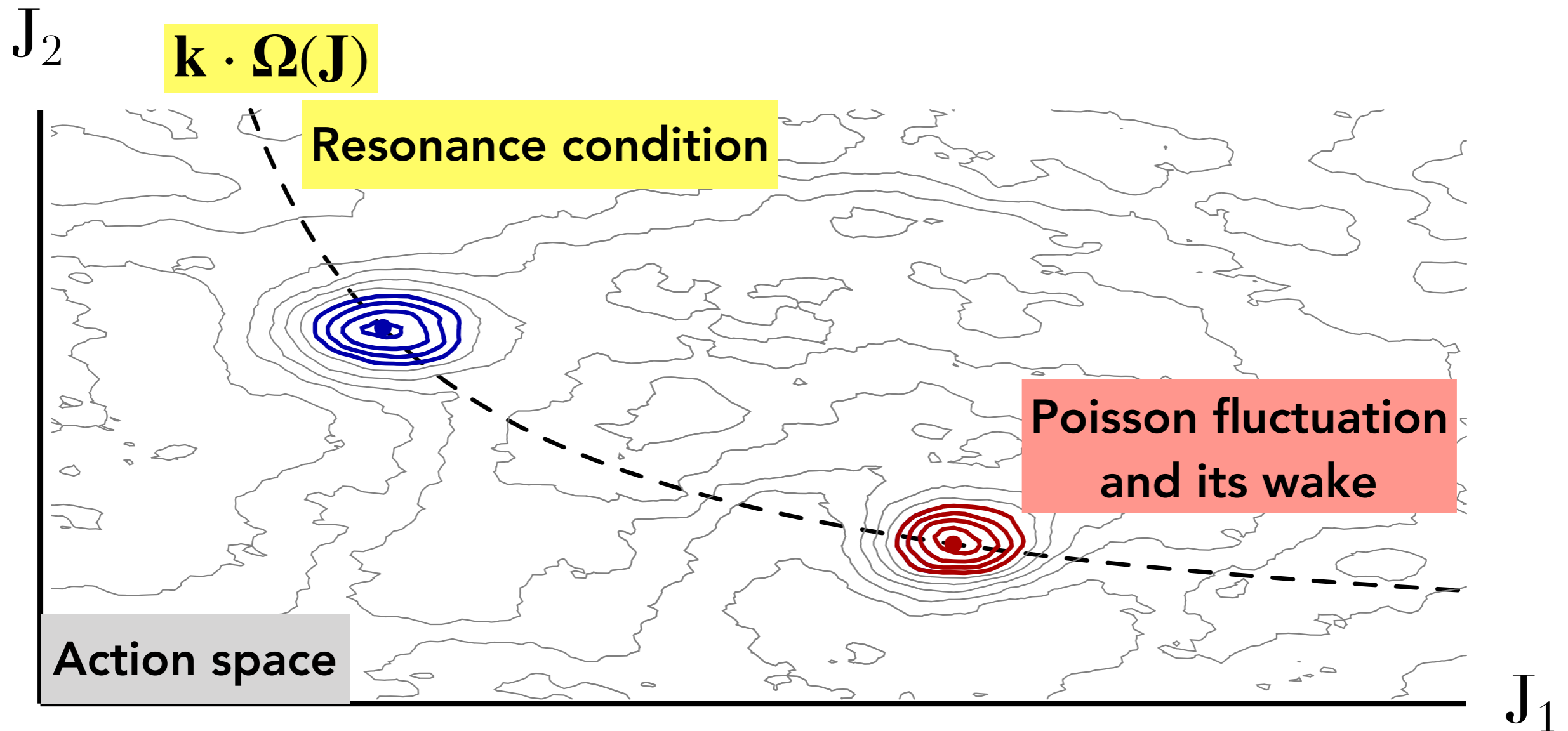
$$\mathbf{D}_{\text{diff}}(\mathbf{J}) \rightarrow \frac{\mathbf{D}_{\text{diff}}(\mathbf{J})}{|E(\omega)|^2}$$

Collisions are **resonant, long-range, correlated, and dressed**



## Non-local resonances

$$\delta_D(\mathbf{k} \cdot \boldsymbol{\Omega}(\mathbf{J}) - \mathbf{k}' \cdot \boldsymbol{\Omega}(\mathbf{J}'))$$



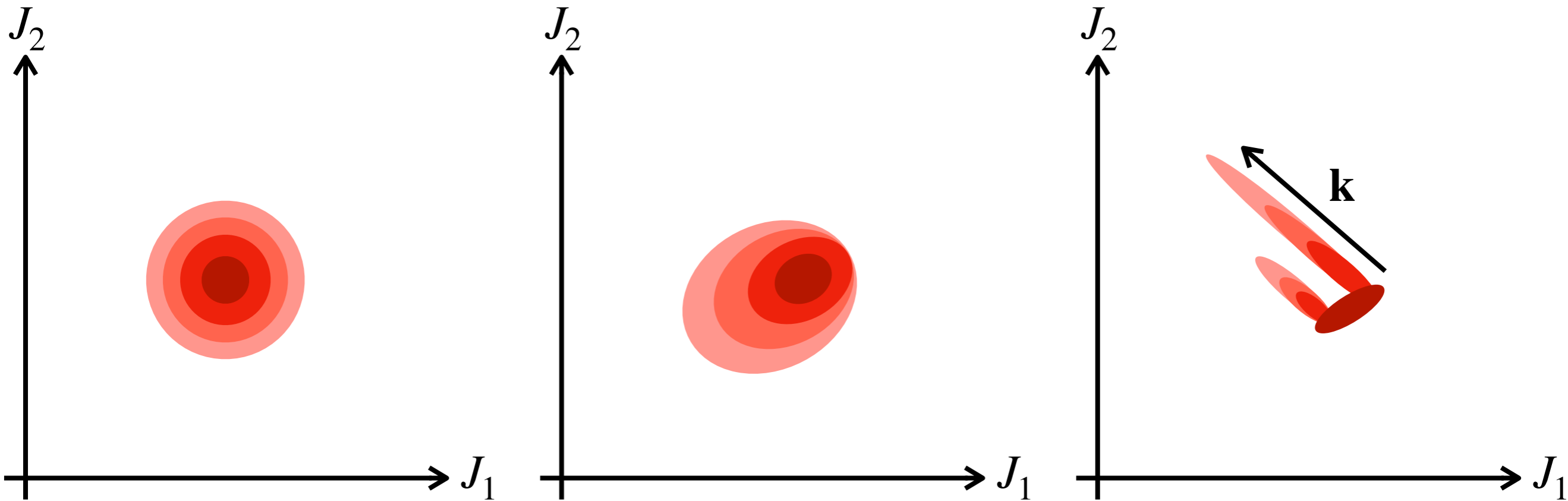
Non-local resonant couplings between dressed wakes

# Anisotropic diffusion

Generic **diffusion equation**

$$\frac{\partial F(\mathbf{J}, t)}{\partial t} = \frac{\partial}{\partial \mathbf{J}} \cdot \left[ \sum_{\mathbf{k}} \mathbf{k} \left( \mathbf{D}_{\mathbf{k}}(\mathbf{J}) \cdot \frac{\partial F}{\partial \mathbf{J}} + \dots \right) \right]$$

Two sources of **anisotropies**



$$\frac{\partial F}{\partial t} = \frac{\partial}{\partial \mathbf{J}} \cdot \left[ D \frac{\partial F}{\partial \mathbf{J}} \right]$$

$$\frac{\partial F}{\partial t} = \frac{\partial}{\partial \mathbf{J}} \cdot \left[ D(\mathbf{J}) \frac{\partial F}{\partial \mathbf{J}} \right]$$

$$\frac{\partial F}{\partial t} = \frac{\partial}{\partial \mathbf{J}} \cdot \left[ \mathbf{k} \mathbf{D}(\mathbf{J}) \cdot \frac{\partial F}{\partial \mathbf{J}} \right]$$

## Plasmas

$$(\mathbf{x}, \mathbf{v})$$

## Orbital coordinates

## Galaxies

$$(\theta, \mathbf{J})$$

## Basis decomposition

$$U(\mathbf{x}, \mathbf{x}') \propto \int \frac{d\mathbf{k}}{k^2} e^{i\mathbf{k} \cdot (\mathbf{x} - \mathbf{x}')}$$

$$U(\mathbf{w}, \mathbf{w}') = - \sum_p \psi^{(p)}(\mathbf{w}) \psi^{(p)*}(\mathbf{w}')$$

## Dielectric function

$$1 + \frac{1}{k^2} \int d\mathbf{v} \frac{\mathbf{k} \cdot \partial F / \partial \mathbf{v}}{\omega - \mathbf{k} \cdot \mathbf{v}}$$

$$\delta_{pq} - \sum_{\mathbf{k}} \int d\mathbf{J} \frac{\mathbf{k} \cdot \partial F / \partial \mathbf{J}}{\omega - \mathbf{k} \cdot \boldsymbol{\Omega}(\mathbf{J})} \psi_{\mathbf{k}}^{(p)*}(\mathbf{J}) \psi_{\mathbf{k}}^{(q)}(\mathbf{J})$$

## Resonance condition

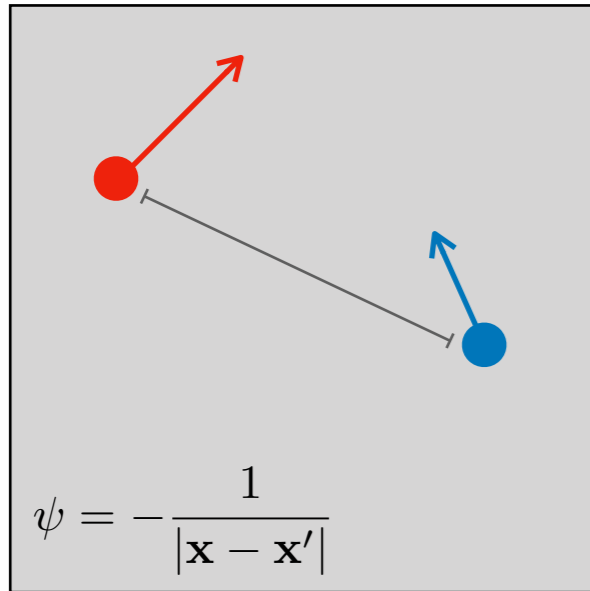
$$\delta_D(\mathbf{k} \cdot (\mathbf{v} - \mathbf{v}'))$$

$$\delta_D(\mathbf{k} \cdot \boldsymbol{\Omega}(\mathbf{J}) - \mathbf{k}' \cdot \boldsymbol{\Omega}(\mathbf{J}'))$$

**Does  
the Balescu-Lenard Eq.  
work?**

# Long-range interacting systems are ubiquitous

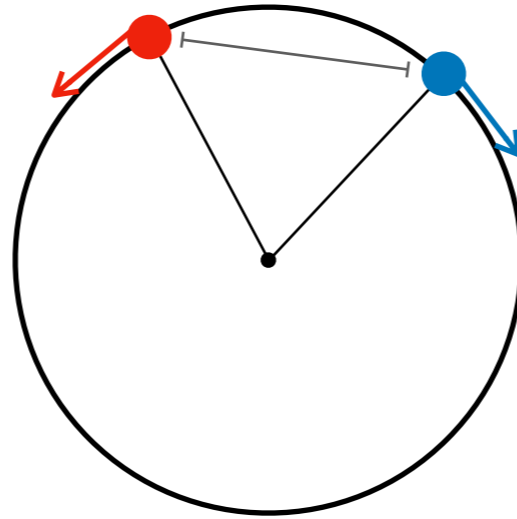
## Homogeneous systems



d=3, homogeneous

## Hamiltonian Mean Field Model

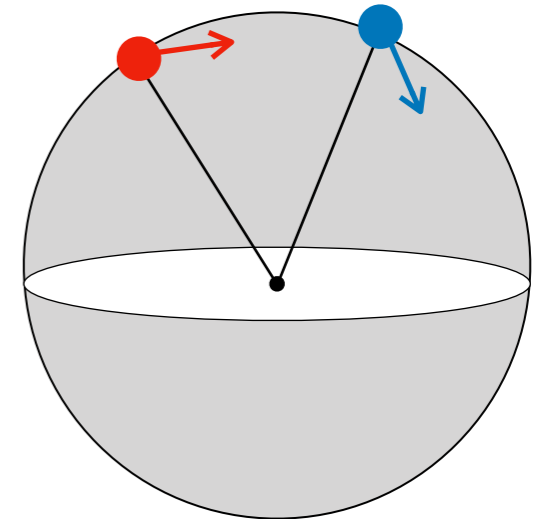
$$\psi = -\cos(\theta - \theta')$$



d=1, inhomogeneous

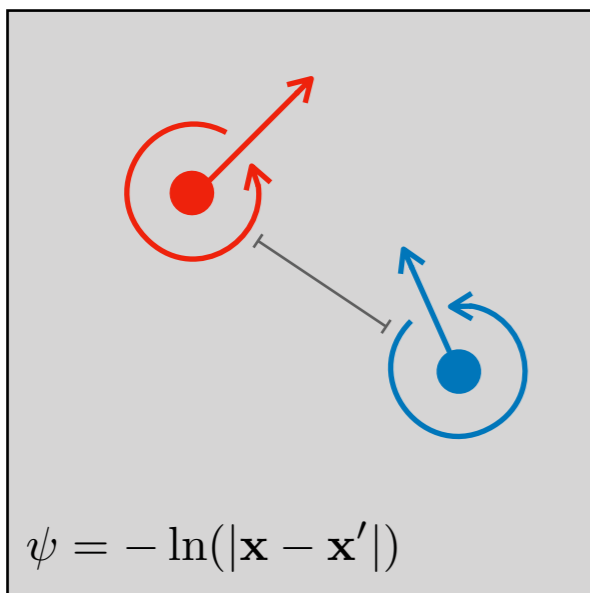
## Vector Resonant Relaxation

$$\psi = -V(\mathbf{s} \cdot \mathbf{s}')$$



d=1, inhomogeneous, degenerate

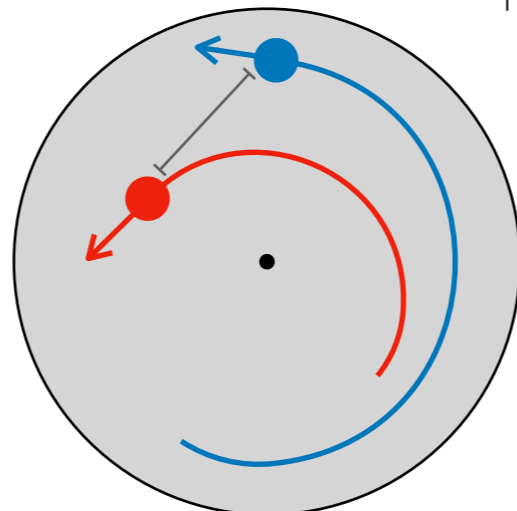
## 2D hydrodynamics



d=2, inhomogeneous

## Self-gravitating discs

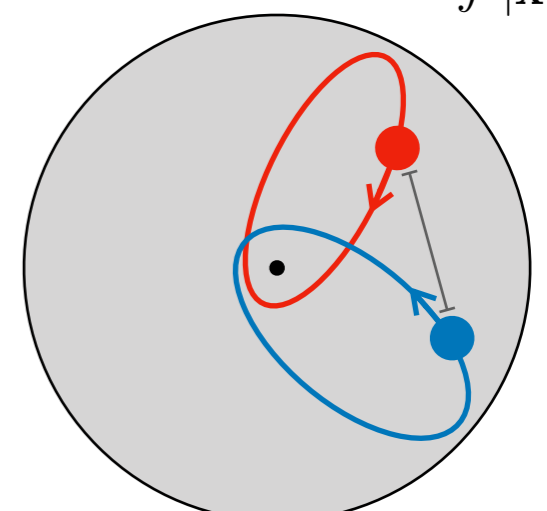
$$\psi = -\frac{1}{|\mathbf{x} - \mathbf{x}'|}$$



d=2, inhomogeneous

## Scalar Resonant Relaxation

$$\psi = -\int \frac{d\theta d\theta'}{|\mathbf{x} - \mathbf{x}'|}$$



d=2, inhomogeneous, degenerate

# The diversity of long-range interacting systems

## Small dimension

$$d = 1$$

## Homogeneous

$$(\mathbf{x}, \mathbf{v})$$

## Hot

$$\frac{1}{|E(\omega)|} \simeq 1$$

## Non-degenerate

No global resonance

Galactic  
Nuclei

Plasmas

Dark matter  
halo

Discs

## Large dimension

$$d = 2$$

## Inhomogeneous

$$(\theta, \mathbf{J})$$

## Cold

$$\frac{1}{|E(\omega)|} \gg 1$$

## Degenerate

$$\forall \mathbf{J}, \mathbf{n} \cdot \boldsymbol{\Omega}(\mathbf{J}) = 0$$

Globular  
clusters

Galaxies

Galactic  
discs

Keplerian  
systems

# Balescu-Lenard: A numerical nightmare

$$\frac{\partial F(\mathbf{J}, t)}{\partial t} = - \frac{\partial}{\partial \mathbf{J}} \cdot \mathbf{F}(\mathbf{J}, t)$$

Balescu-Lenard equation

$$\mathbf{F}(\mathbf{J}, t) = \sum_{\mathbf{k}, \mathbf{k}'} \mathbf{k} \int d\mathbf{J}' \delta_D(\mathbf{k} \cdot \boldsymbol{\Omega}(\mathbf{J}) - \mathbf{k}' \cdot \boldsymbol{\Omega}(\mathbf{J}')) |\psi_{\mathbf{k}\mathbf{k}'}^d|^2 \times \left( \mathbf{k}' \cdot \frac{\partial}{\partial \mathbf{J}'} - \mathbf{k} \cdot \frac{\partial}{\partial \mathbf{J}} \right) F(\mathbf{J}) F(\mathbf{J}')$$

Diffusion flux

$$\psi^d(\mathbf{J}, \mathbf{J}', \omega) = - \sum_{p, q} \psi_{\mathbf{k}}^{(p)}(\mathbf{J}) \mathbf{E}_{pq}^{-1}(\omega) \psi_{\mathbf{k}'}^{(q)*}(\mathbf{J}')$$

Dressed susceptibility coefficients

$$\mathbf{E}_{pq}(\omega) = \delta_{pq} - \mathbf{M}_{pq}(\omega)$$

Dielectric matrix

$$\mathbf{M}_{pq}(\omega) = \sum_{\mathbf{k}} \int d\mathbf{J} \frac{\mathbf{k} \cdot \partial F / \partial \mathbf{J}}{\omega - \mathbf{k} \cdot \boldsymbol{\Omega}(\mathbf{J})} \psi_{\mathbf{k}}^{(p)*}(\mathbf{J}) \psi_{\mathbf{k}}^{(q)}(\mathbf{J})$$

Response matrix

$$\psi_{\mathbf{k}}^{(p)}(\mathbf{J}) = \int \frac{d\boldsymbol{\theta}}{(2\pi)^d} \psi^{(p)}(\mathbf{x}[\boldsymbol{\theta}, \mathbf{J}]) e^{-i\mathbf{k} \cdot \boldsymbol{\theta}}$$

Basis elements

With also:

- + Integral over  $d\theta$
- + (Double) integral over  $d\mathbf{J}$
- + (Triple) sum over  $\mathbf{k}$
- + (Double) sum over  $(p, q)$
- + Matrix inversion
- + Resonant denominator
- + Resonance condition

# A numerical nightmare

$$F(\mathbf{J}, t) = \sum_{\mathbf{k}, \mathbf{k}'} \mathbf{k} \int d\mathbf{J}' \delta_D(\mathbf{k} \cdot \boldsymbol{\Omega}(\mathbf{J}) - \mathbf{k}' \cdot \boldsymbol{\Omega}(\mathbf{J}')) |\psi_{\mathbf{k}\mathbf{k}'}^d|^2 \times \left( \mathbf{k}' \cdot \frac{\partial}{\partial \mathbf{J}'} - \mathbf{k} \cdot \frac{\partial}{\partial \mathbf{J}} \right) F(\mathbf{J}) F(\mathbf{J}')$$

Diffusion flux

$$\psi^d(\mathbf{J}, \mathbf{J}', \omega) = - \sum_{p, q} \psi_{\mathbf{k}}^{(p)}(\mathbf{J}) \mathbf{E}_{pq}^{-1}(\omega) \psi_{\mathbf{k}'}^{(q)*}(\mathbf{J}')$$

Dressed susceptibility coefficients

$$\mathbf{E}_{pq}(\omega) = \delta_{pq} - \mathbf{M}_{pq}(\omega)$$

Dielectric matrix

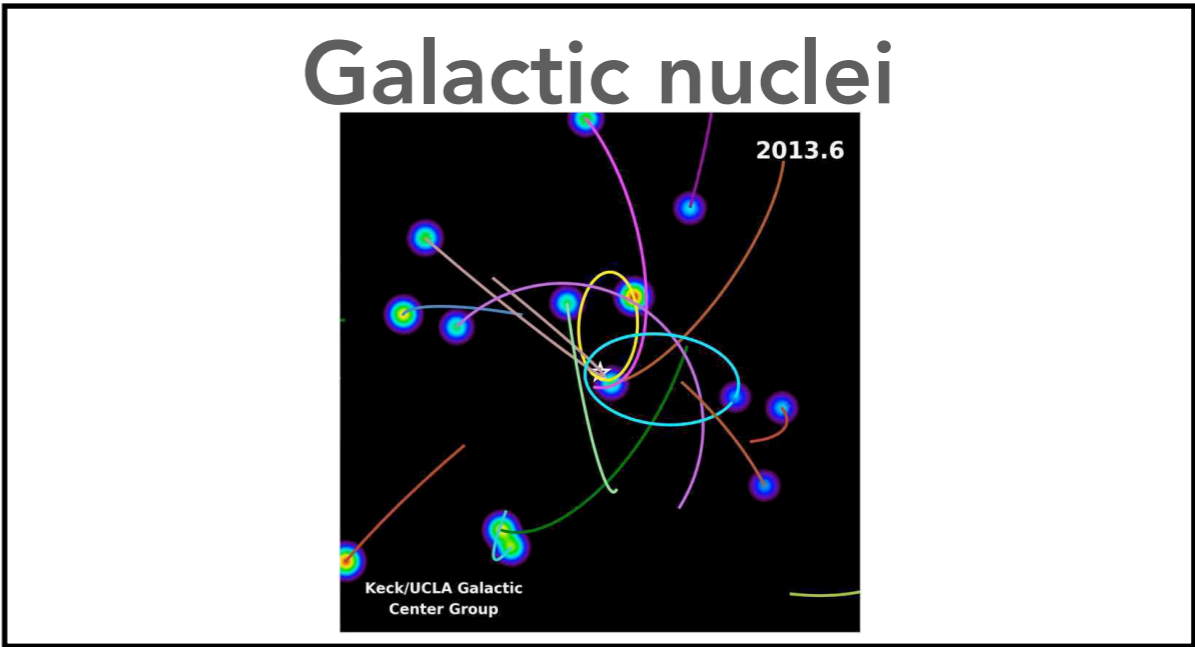
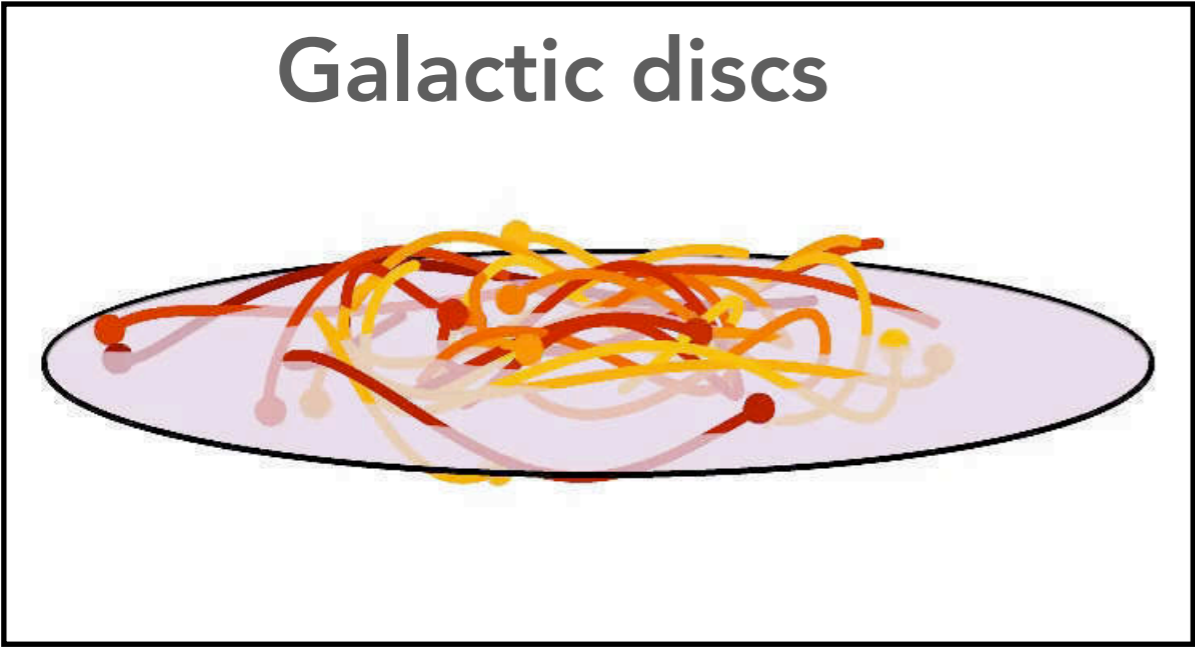
$$\mathbf{M}_{pq}(\omega) = \sum_{\mathbf{k}} \int d\mathbf{J} \frac{\mathbf{k} \cdot \partial F / \partial \mathbf{J}}{\omega - \mathbf{k} \cdot \boldsymbol{\Omega}(\mathbf{J})} \psi_{\mathbf{k}}^{(p)*}(\mathbf{J}) \psi_{\mathbf{k}}^{(q)}(\mathbf{J}) \leftarrow \psi_{\mathbf{k}}^{(p)}(\mathbf{J}) = \int \frac{d\theta}{(2\pi)^d} \psi^{(p)}(\mathbf{x}[\theta, \mathbf{J}]) e^{-i\mathbf{k} \cdot \theta}$$

Response matrix

Basis elements



# Does it work?



# Does it work?

## Galactic discs

$$\frac{1}{|E(\omega)|} \gg 1$$

Dynamically cold system

## Galactic nuclei

$$U(\mathbf{w}, \mathbf{w}') \mapsto \bar{U} = \int \frac{d\theta}{2\pi} \frac{d\theta'}{2\pi} U$$

Orbit-averaged interactions

# Does it work?

## Galactic discs

$$\frac{1}{|E(\omega)|} \gg 1$$

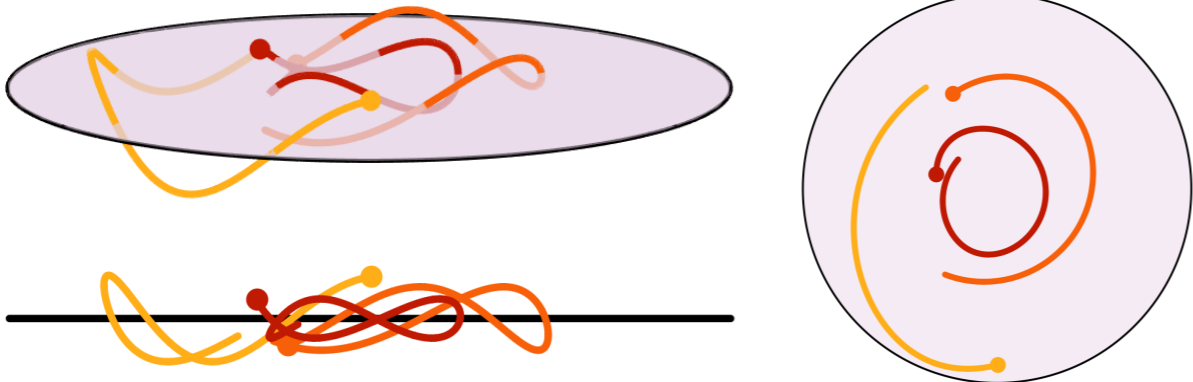
Dynamically cold system

## Galactic nuclei

$$U(\mathbf{w}, \mathbf{w}') \mapsto \bar{U} = \int \frac{d\theta}{2\pi} \frac{d\theta'}{2\pi} U$$

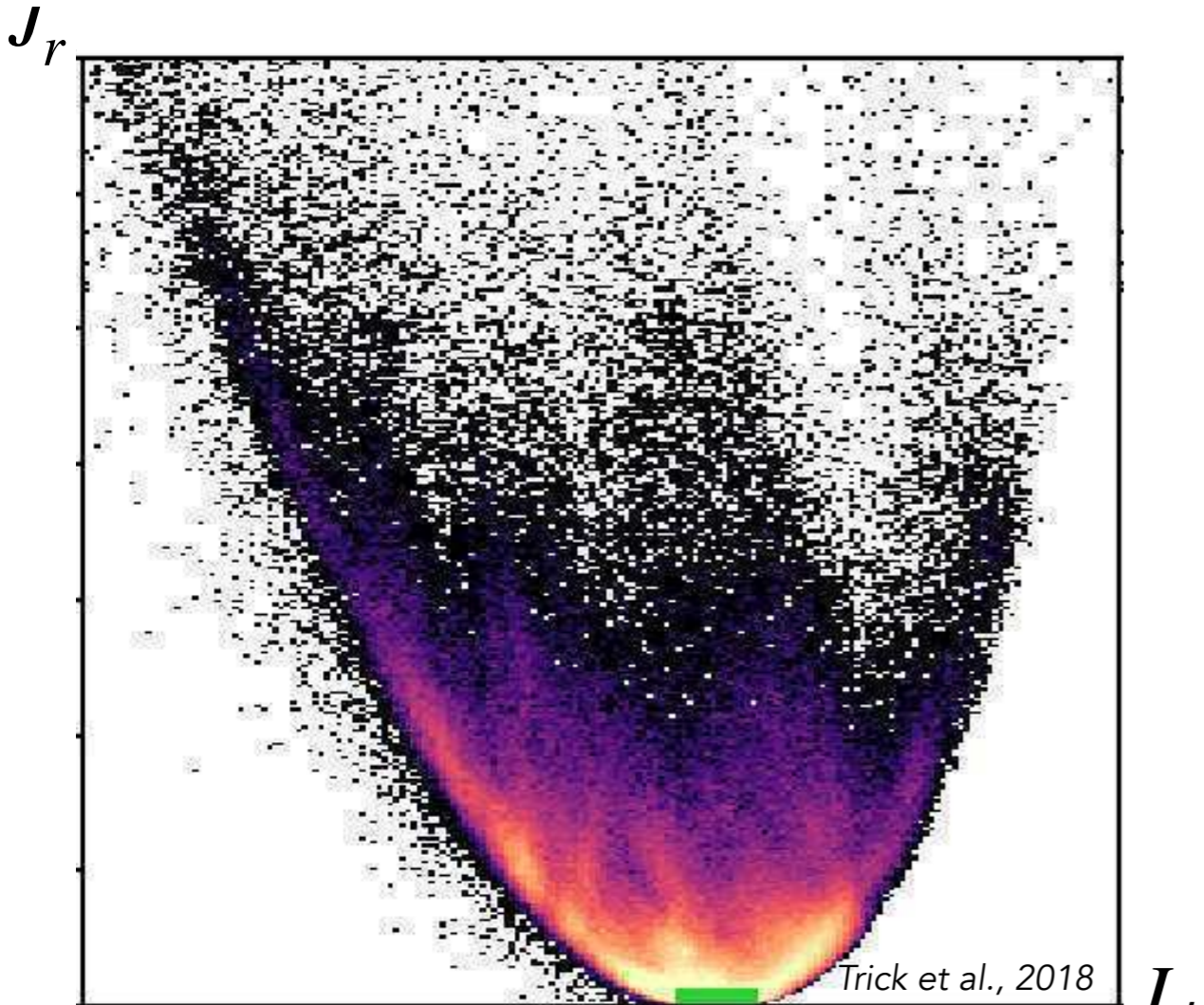
Orbit-averaged interactions

# Galactic discs



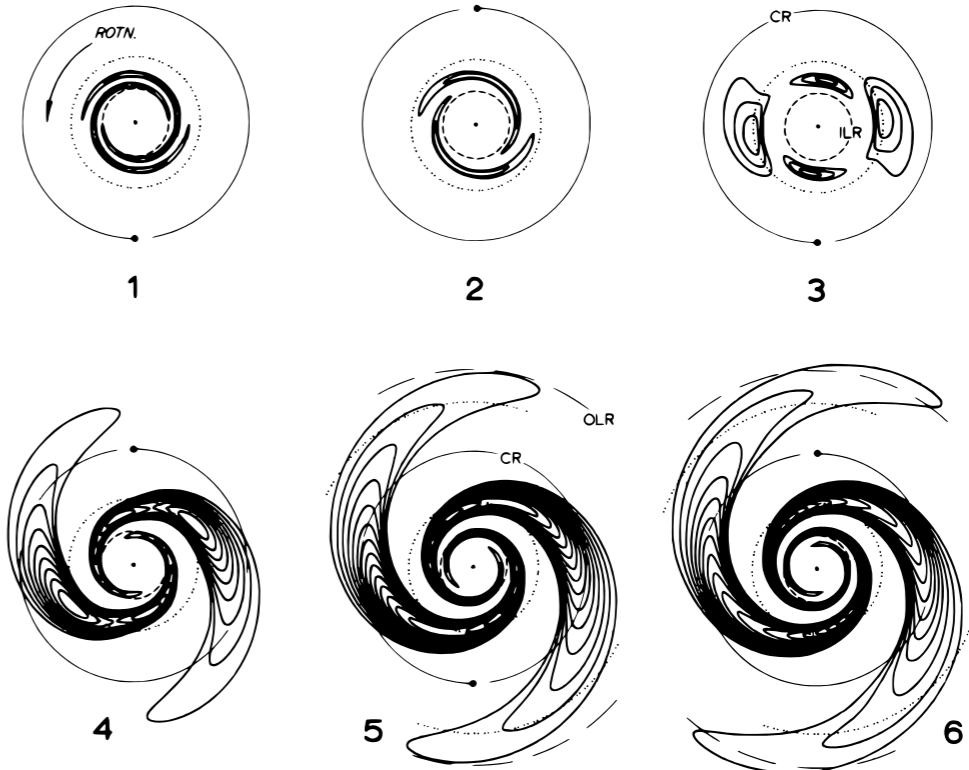
Inhomogeneous system and intricate orbits

- How do stars diffuse in **galactic discs**?
- + **Galactic archeology**
  - + Formation of **spiral arms/bars**
  - + Local **velocity anisotropies**
  - + Disc **thickening**
  - + Stellar **streams**



Sub-structures in **action space**, as observed by GAIA

## Swing amplification in **cold** discs



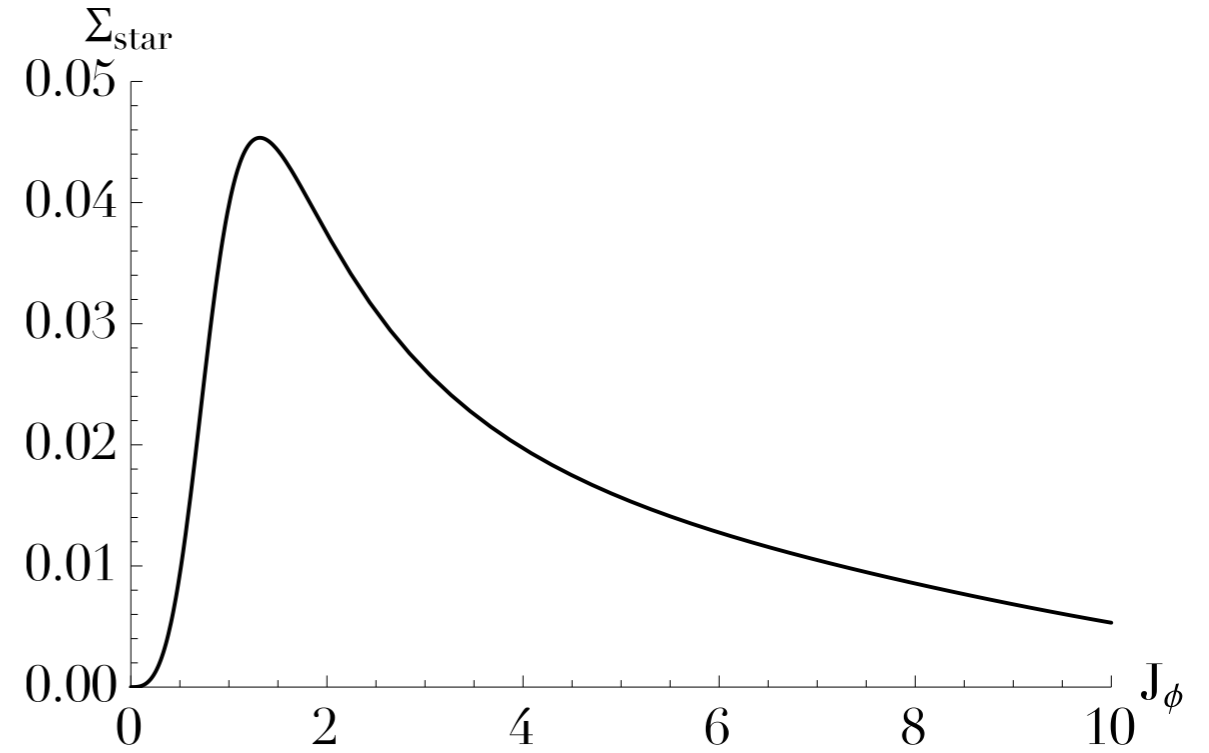
$$\frac{1}{|E(\omega)|} \simeq 30$$

Toomre, 1981  
**Collective effects essential**

# An example of secular evolution

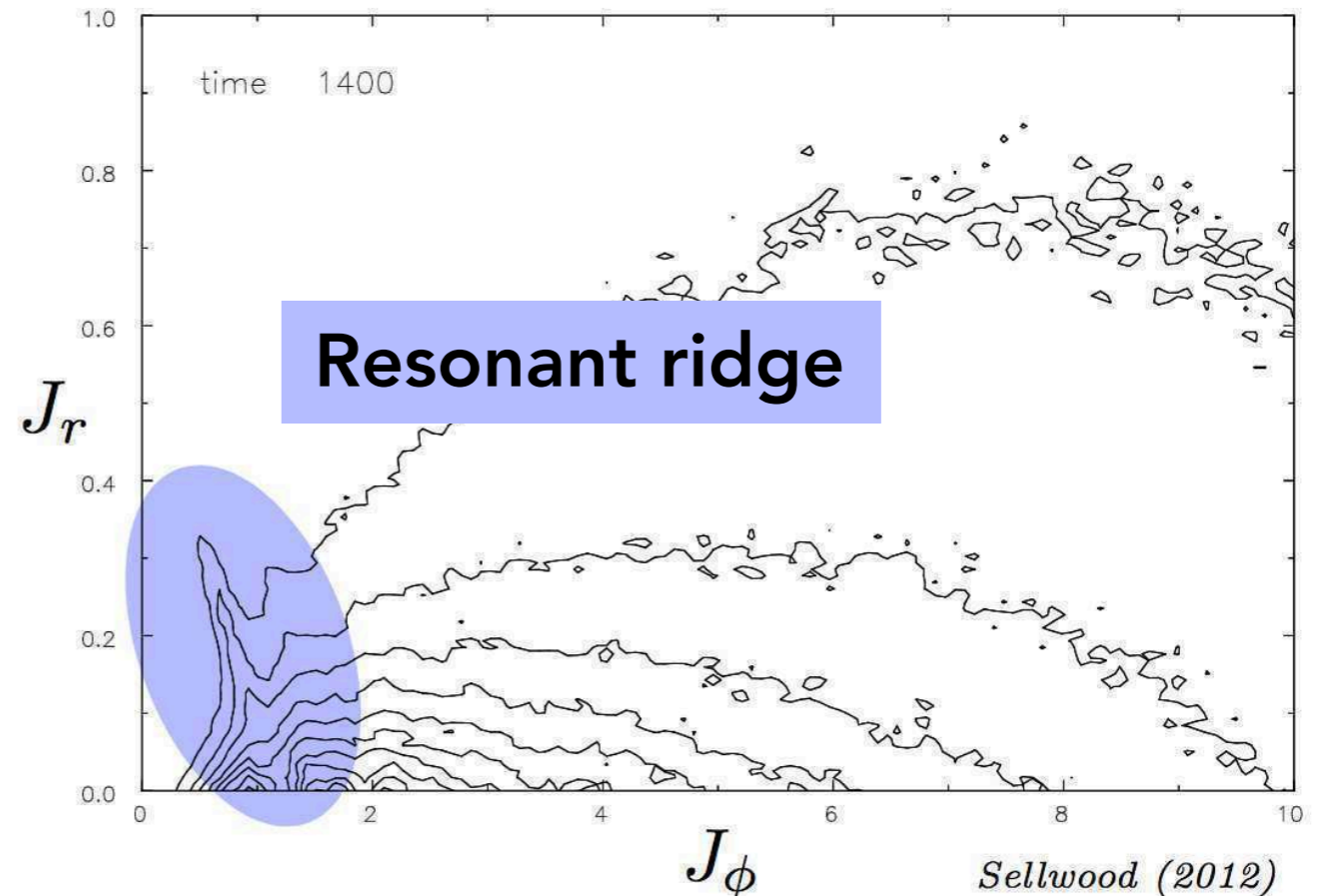
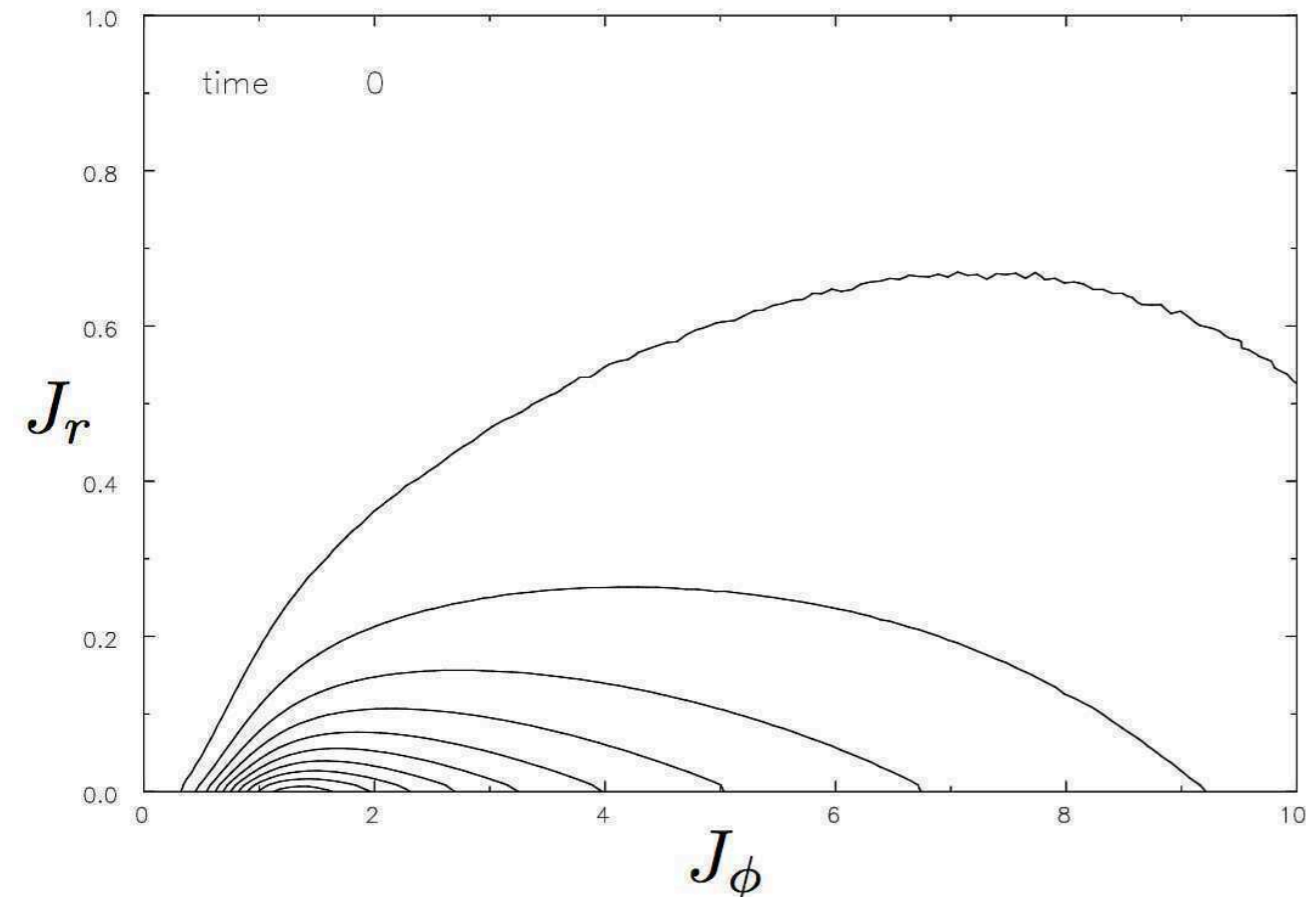
Sellwood 2012's numerical experiment

- + Stationary **stable** Mestel disc
- + Sampled with **500M particles**
- + Unavoidable **transient waves**



Initial stable/stationary DF

Evolved DF



## In configuration space

Some remarks

+ Nothing spectacular happens

**Linearly stable**

+ Cannot track **disc's heating**

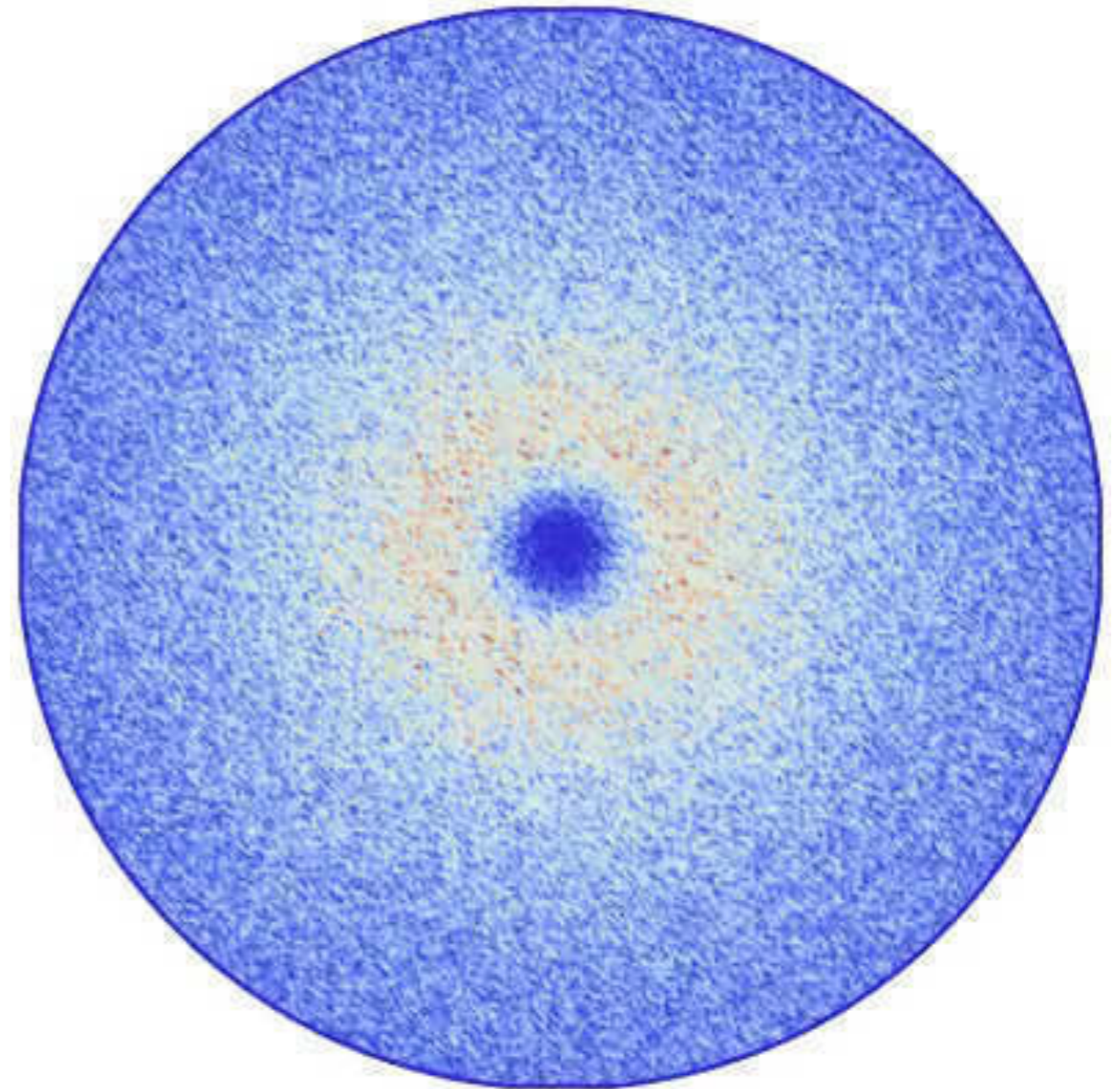
**Inhomogeneous**

+ **Large** transients

**Self-gravitating**

+ Fluctuations are **absorbed**

**Resonant**



# In action space

Some remarks

+ **Heating** in orbital space

**Inhomogeneous**

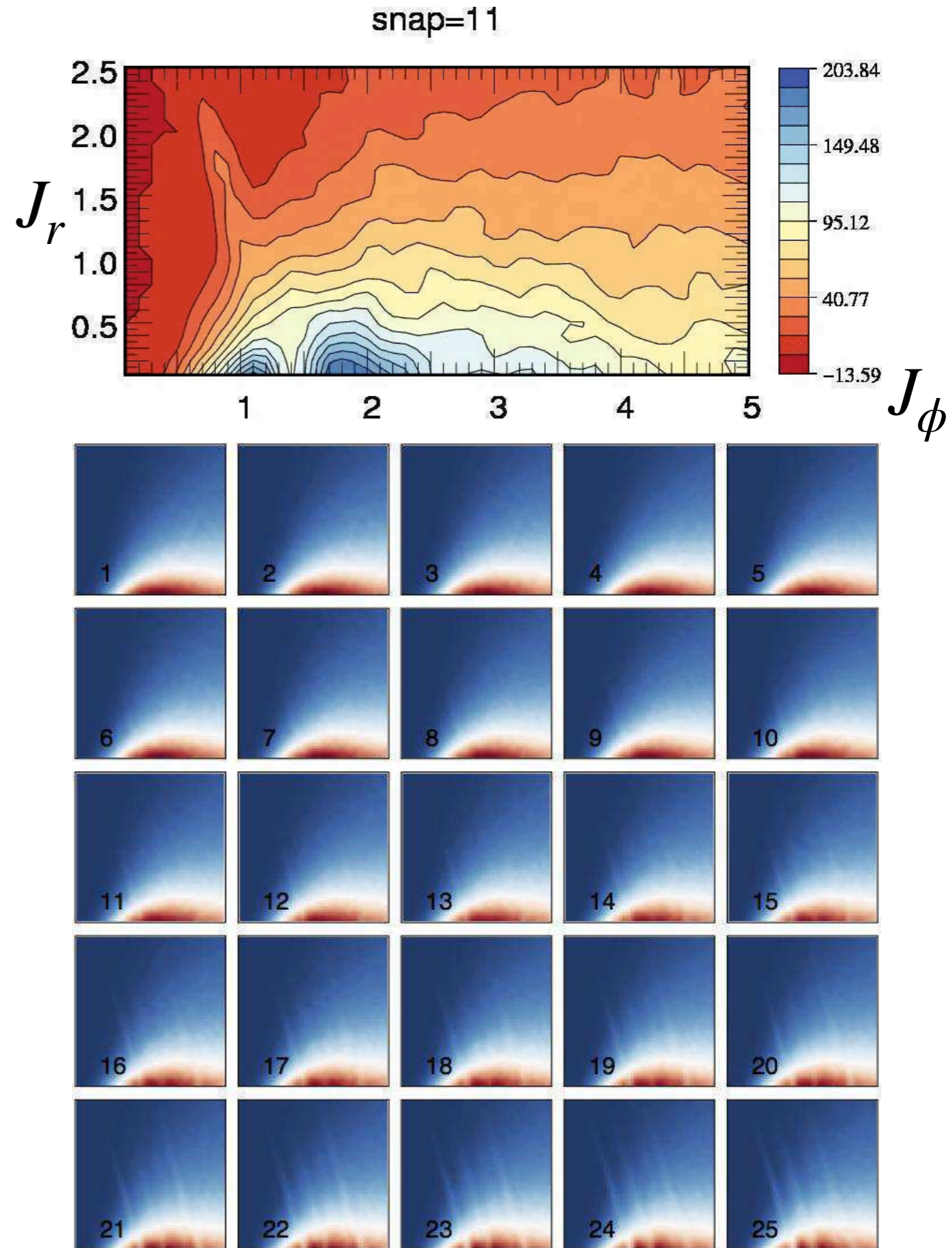
+ **Fast** heating

$$T_{\text{ridge}} \simeq |E|^2 N T_{\text{dyn}}$$

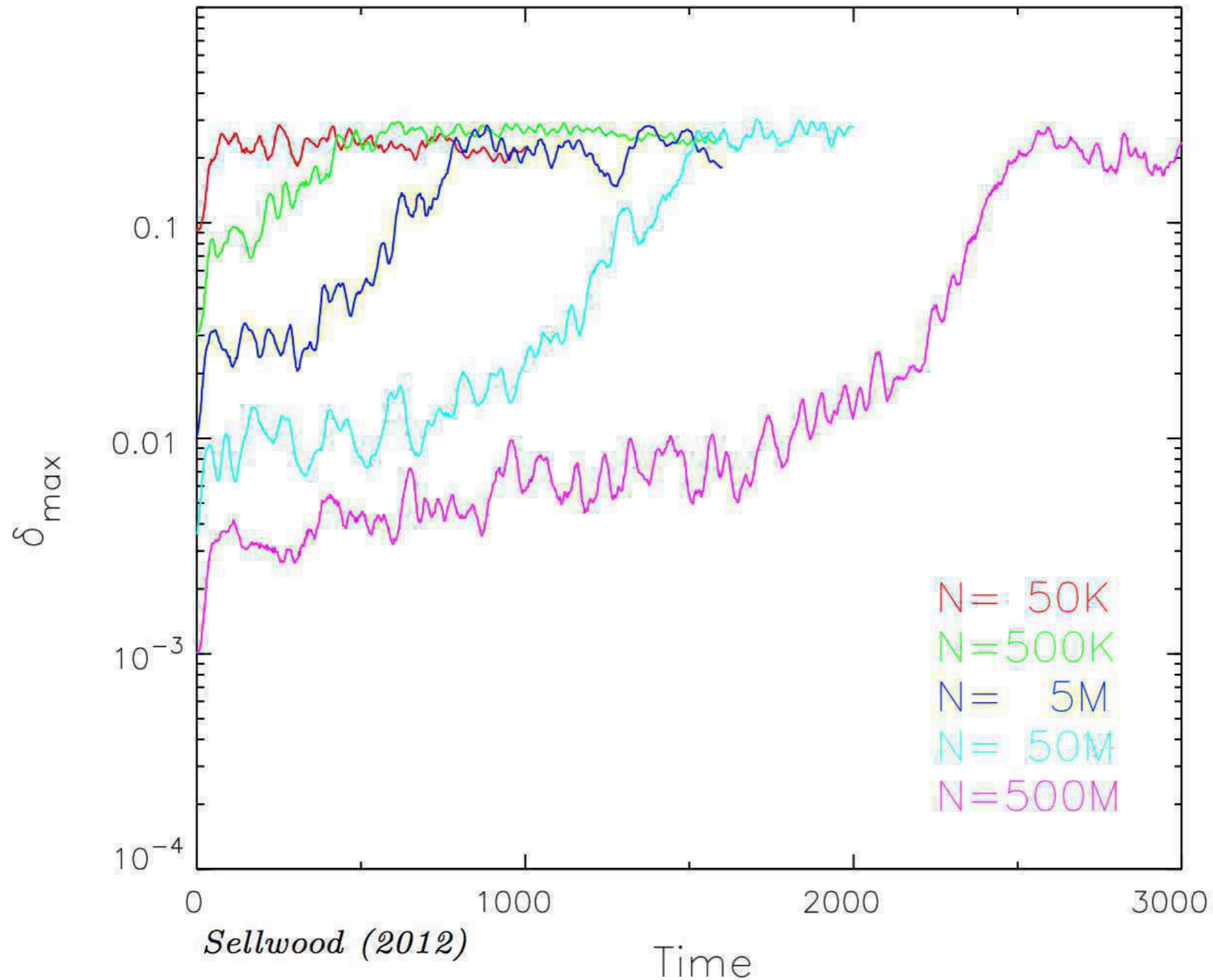
**Self-gravitating**

+ **Localised** heating

**Resonant**



# A dynamics sourced by finite-N effects



The larger the number of particles, the slower the effect



# Needed ingredients

Needed ingredients for that dynamics

+ Disc is frozen on **dynamical times**

**Linearly stable**

+ Disc is **isolated**

**No external perturbations**

+ Disc has **internal** fluctuations

**Internal Poisson noise**

+ Fluctuations are **large**

**Self-gravitating**

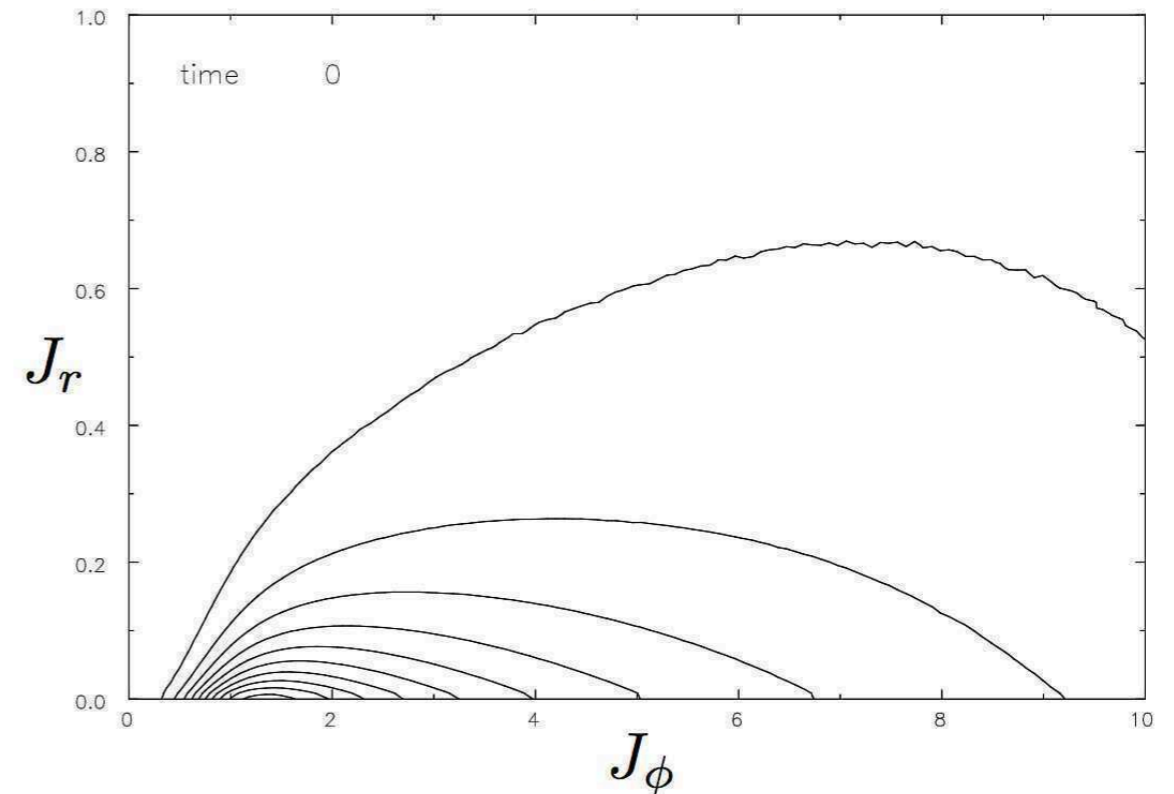
+ Heating happens in **orbital space**

**Inhomogeneous**

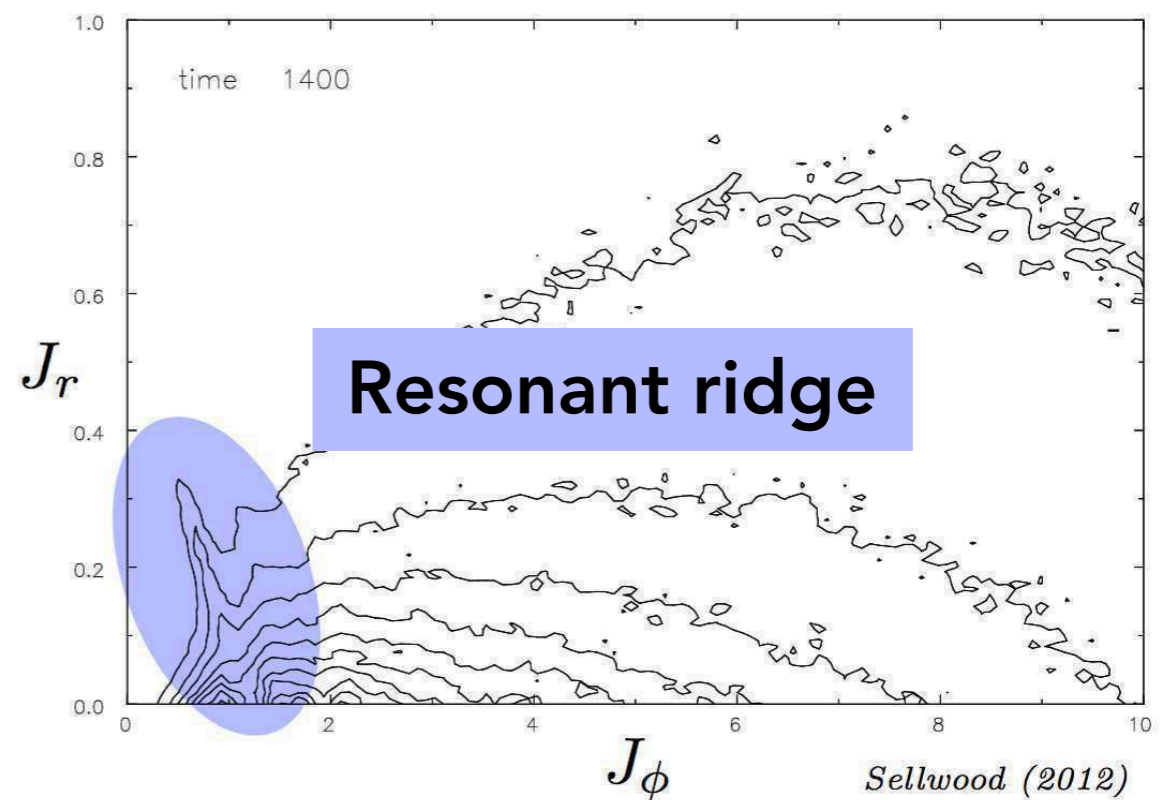
+ Heating is **localised**

**Resonant**

Initial stable/stationary DF



Evolved DF



## Balescu-Lenard equation

The master equation for **self-induced orbital relaxation**

$$\frac{\partial F(\mathbf{J}, t)}{\partial t} = \frac{1}{N} \frac{\partial}{\partial \mathbf{J}} \cdot \left[ \sum_{\mathbf{k}, \mathbf{k}'} \mathbf{k} \int d\mathbf{J}' \frac{\delta_D(\mathbf{k} \cdot \boldsymbol{\Omega}(\mathbf{J}) - \mathbf{k}' \cdot \boldsymbol{\Omega}(\mathbf{J}'))}{|E_{\mathbf{k}\mathbf{k}'}(\mathbf{J}, \mathbf{J}', \mathbf{k} \cdot \boldsymbol{\Omega}(\mathbf{J}))|^2} \times \left( \mathbf{k} \cdot \frac{\partial}{\partial \mathbf{J}} - \mathbf{k}' \cdot \frac{\partial}{\partial \mathbf{J}'} \right) F(\mathbf{J}, t) F(\mathbf{J}', t) \right]$$

Some properties

$F(\mathbf{J}, t)$  Orbital distortion in **action space**

$1/N$  Sourced by **finite-N effects**

$\partial/\partial \mathbf{J} \cdot$  Divergence of a **diffusion flux**

$(\mathbf{k}, \mathbf{k}')$  Discrete **resonances**

$\int d\mathbf{J}'$  Scan of **orbital space**

$\delta_D(\mathbf{k} \cdot \boldsymbol{\Omega}(\mathbf{J}) - \mathbf{k}' \cdot \boldsymbol{\Omega}(\mathbf{J}'))$  **Resonance cond.**

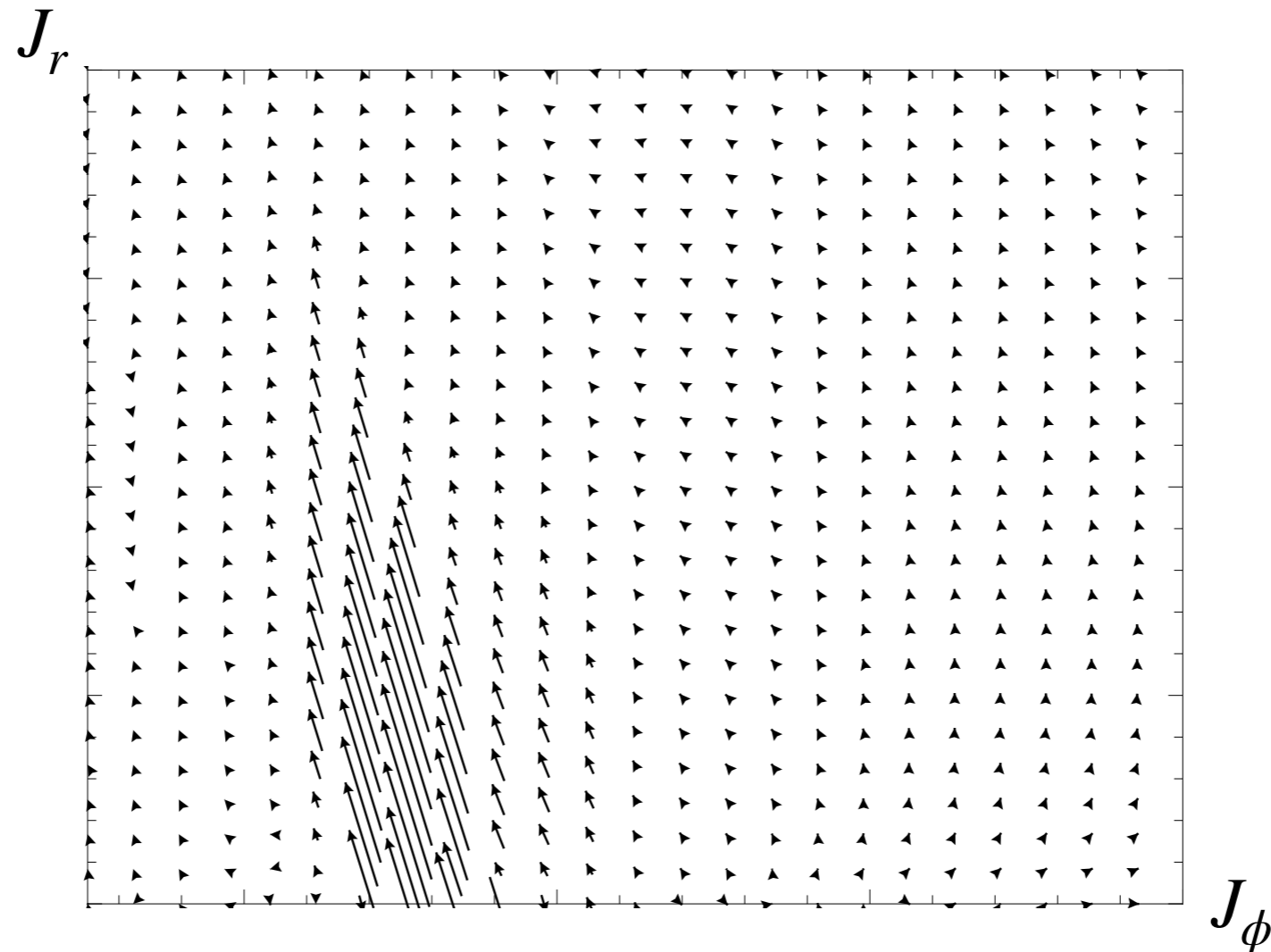
$1/|E_{\mathbf{k}\mathbf{k}'}(\mathbf{J}, \mathbf{J}', \omega)|^2$  **Dressed couplings**

## Prediction for the diffusion

Diffusion flux in action space

$$\frac{\partial F(\mathbf{J}, t)}{\partial t} = - \frac{\partial}{\partial \mathbf{J}} \cdot \mathbf{F}(\mathbf{J}, t)$$

Spontaneous formation of **anisotropic** sub-structures in action space



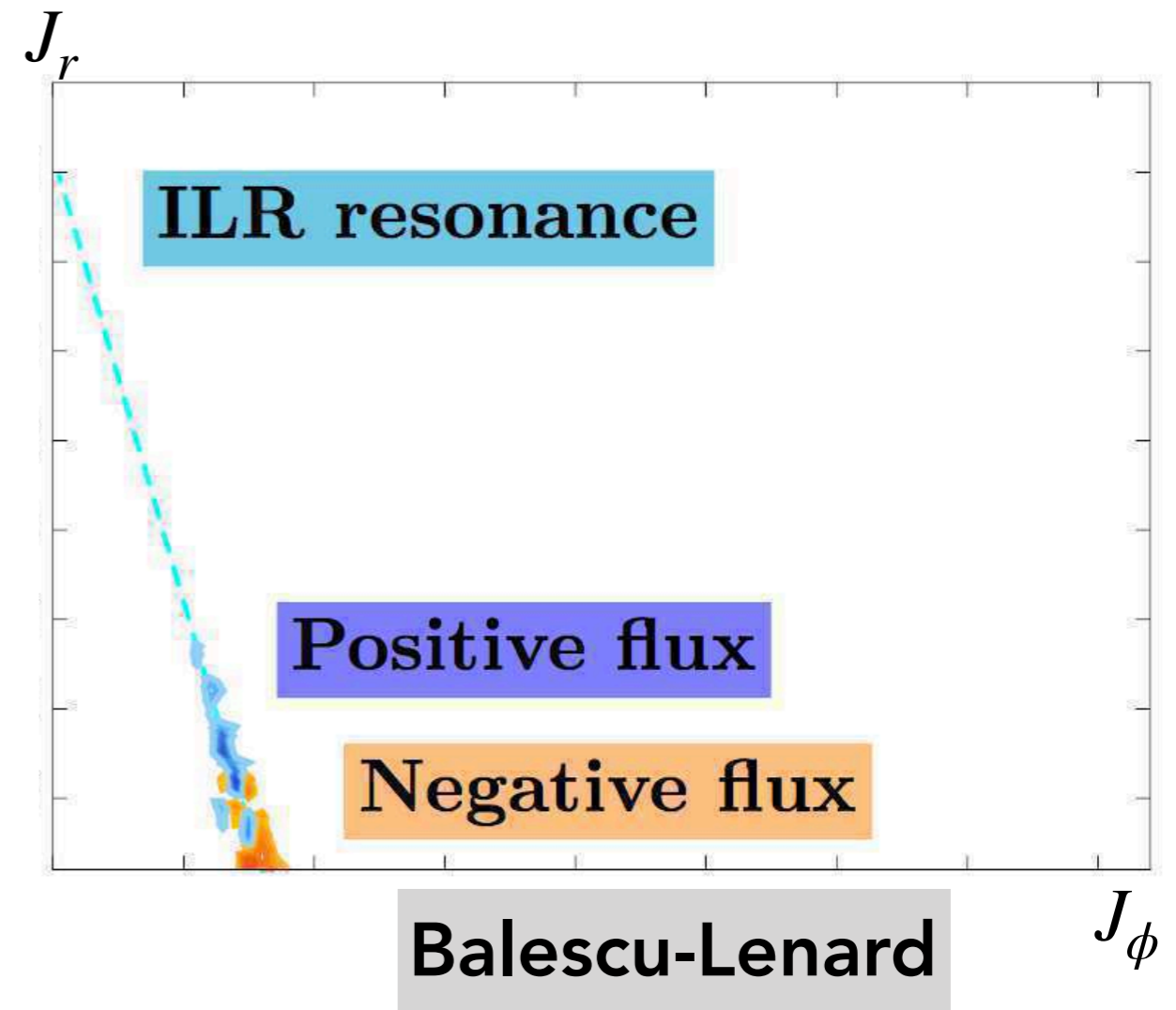
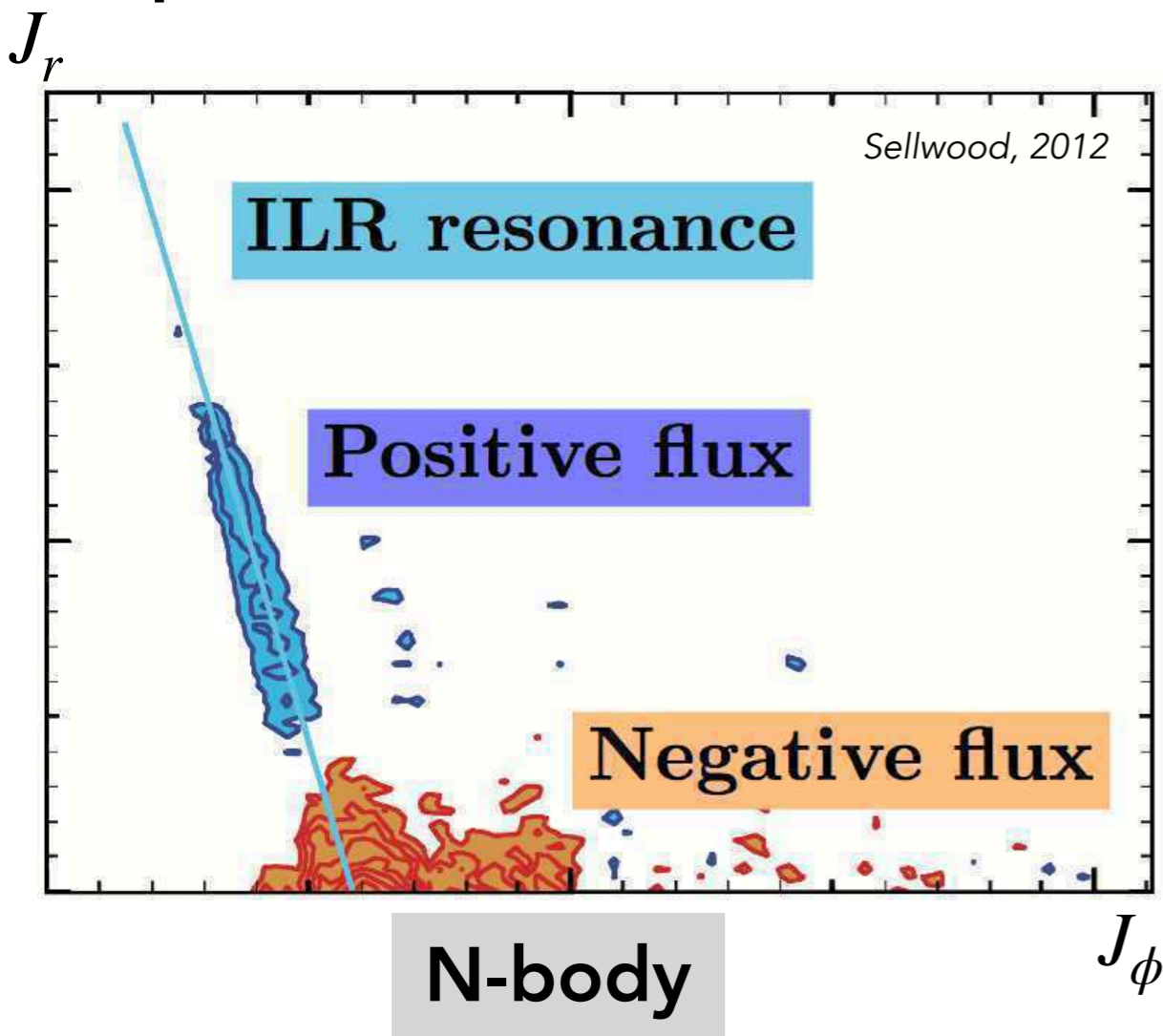
Balescu-Lenard

## Prediction for the diffusion

Diffusion flux in action space

$$\frac{\partial F(\mathbf{J}, t)}{\partial t} = - \frac{\partial}{\partial \mathbf{J}} \cdot \mathbf{F}(\mathbf{J}, t)$$

Spontaneous formation of **anisotropic** sub-structures in action space



Kinetic theory can predict **localised anisotropic heatings**

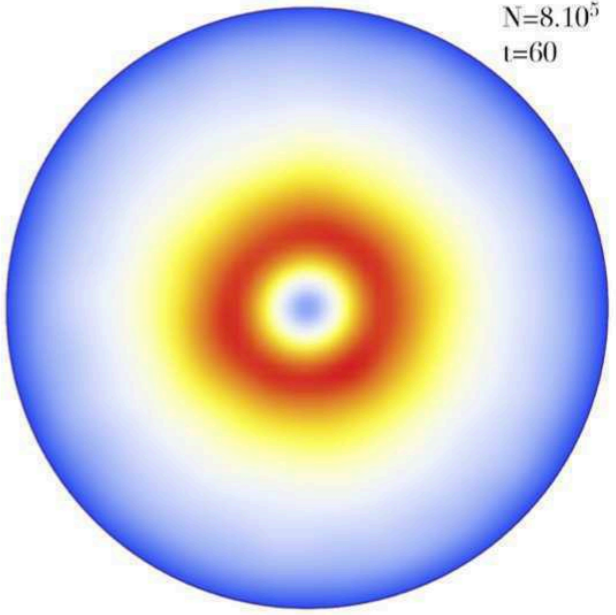
# What happens after the ridge formation?

When the ridge gets large enough

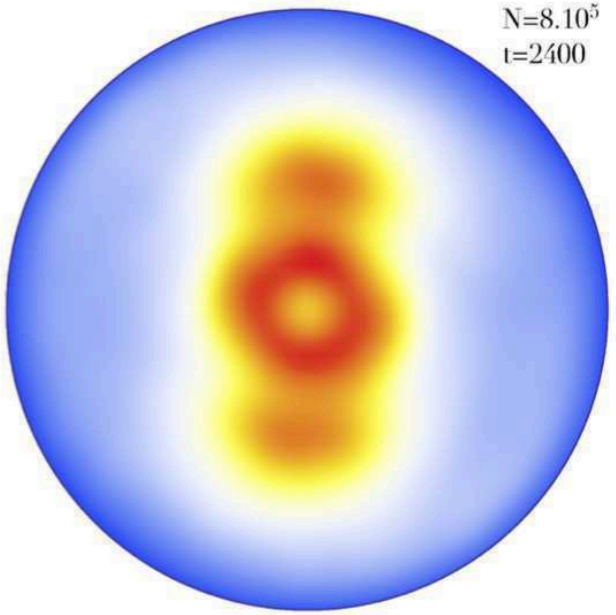
$$\frac{1}{|E(\omega)|} = +\infty$$

Linear instability

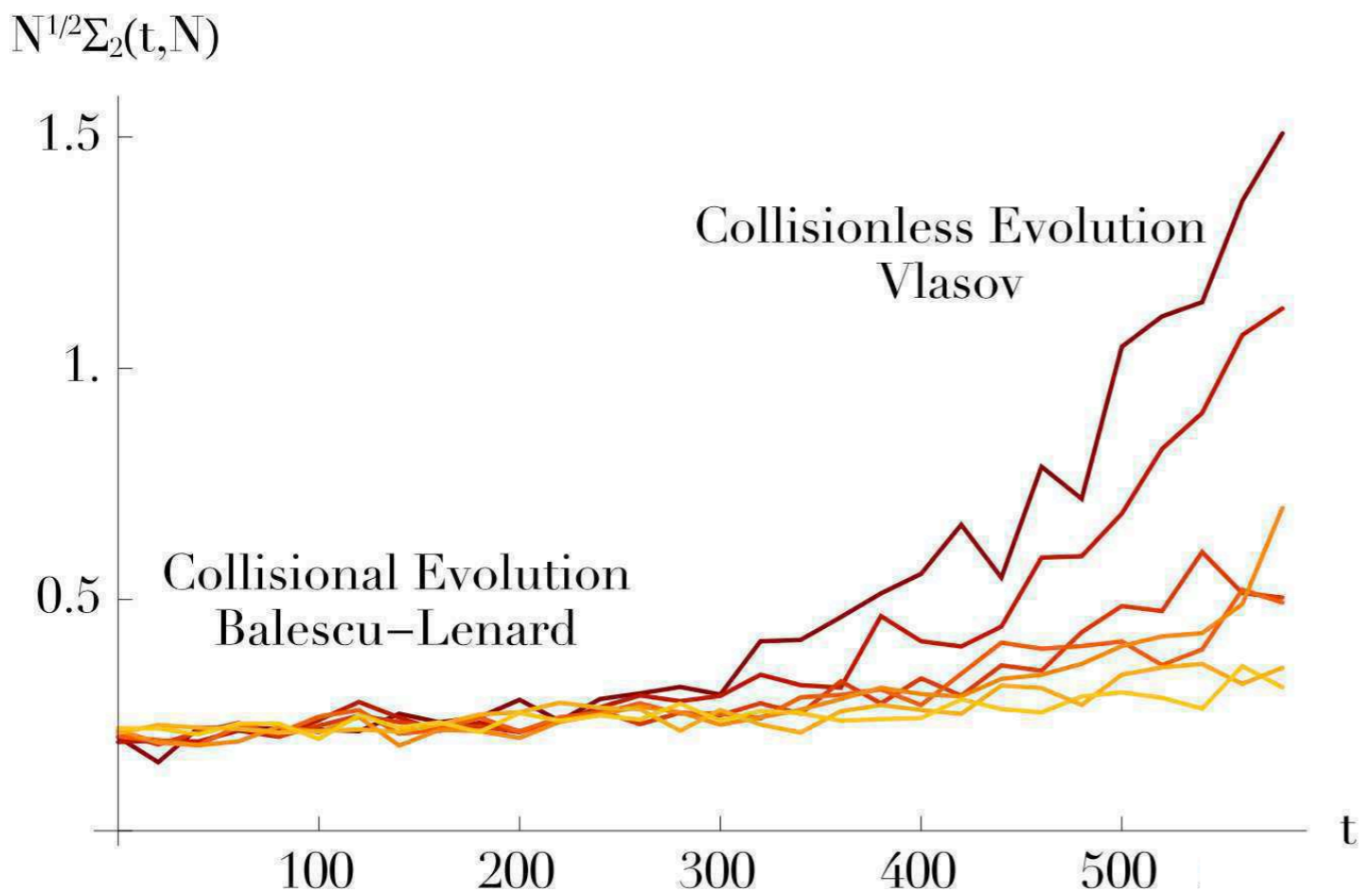
Balescu-Lenard  $\longrightarrow$  Vlasov



Initial times

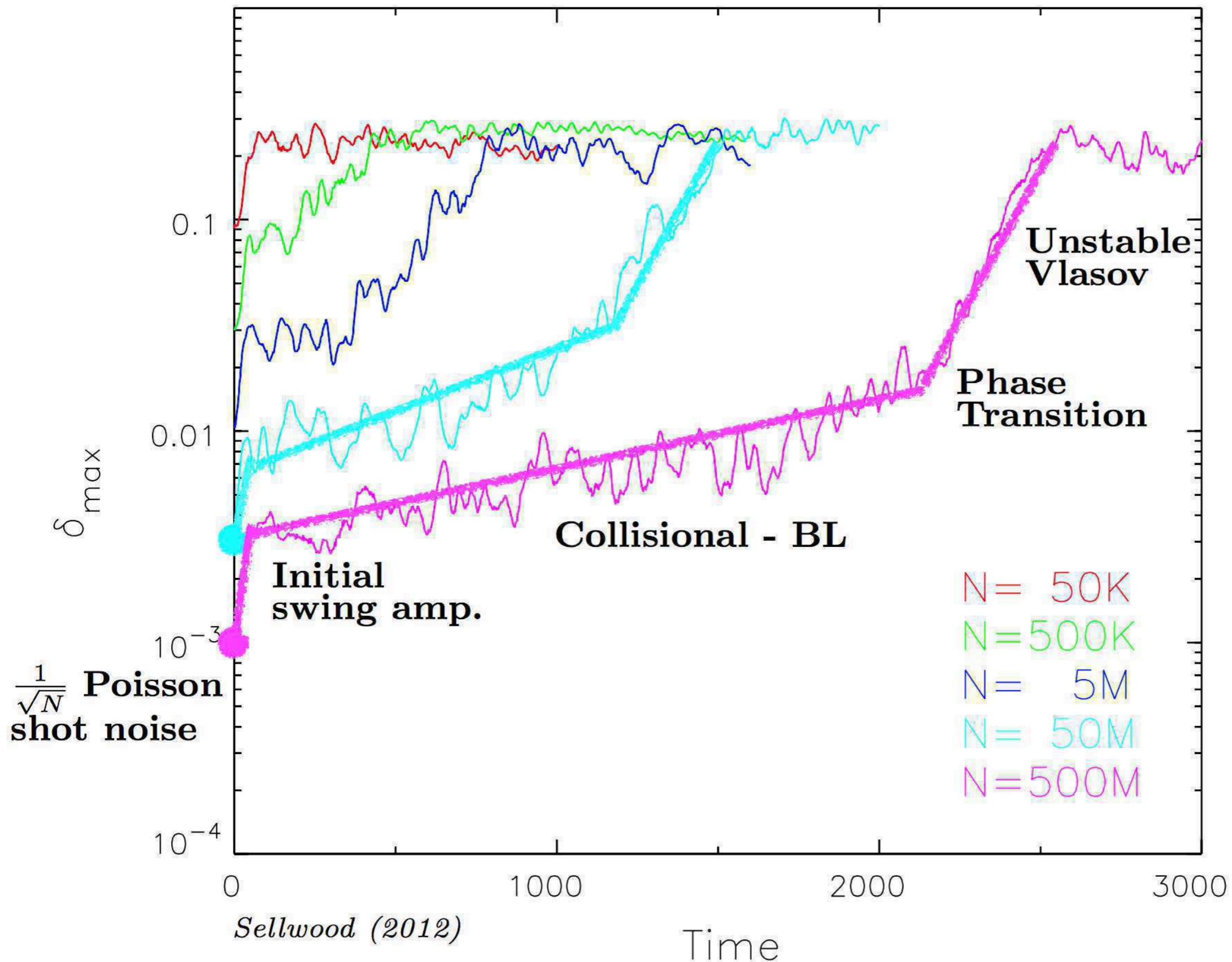


Late times



2-body resonant relaxation creates small-scale structures in the DF

## The fate of secular evolution



# Does it work?

## Galactic discs

$$\frac{1}{|\varepsilon(\omega)|} \gg 1$$

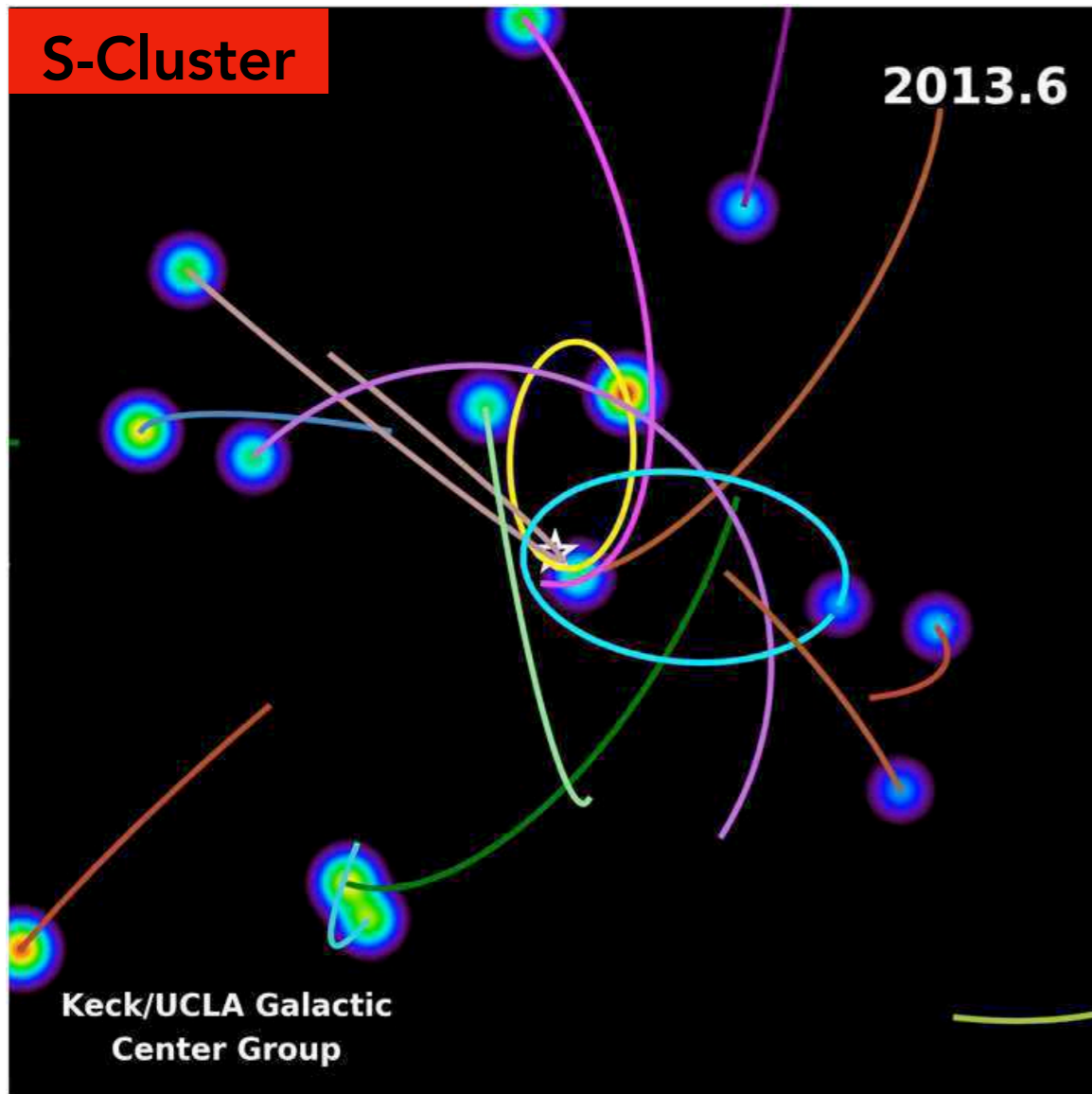
Dynamically cold system

## Galactic nuclei

$$U(\mathbf{w}, \mathbf{w}') \mapsto \bar{U} = \int \frac{d\theta}{2\pi} \frac{d\theta'}{2\pi} U$$

Orbit-averaged interactions

# Galactic centres



S-Cluster of **SgrA\***

**Densest** stellar system of the galaxy  
Dynamics dominated by the **central black hole**

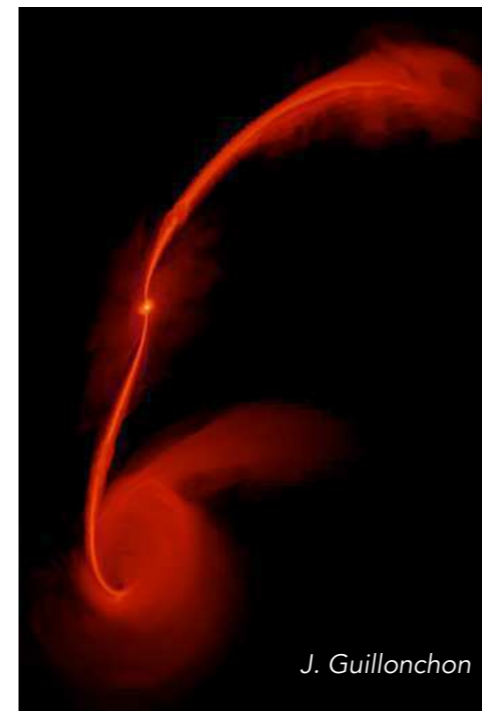
What is the diet of a **supermassive black hole**?

**Stellar diffusion** in galactic centres

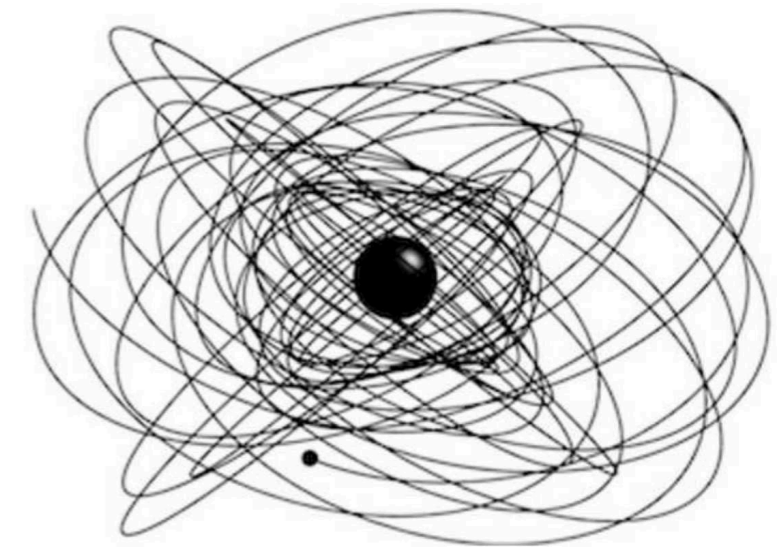
- + **Origin and structure** of SgrA\*
- + Relaxation in **eccentricity, orientation**

Sources of **gravitational waves**

- + BHs-binary mergers
- + TDE, EMRIs



Tidal Disruption Event

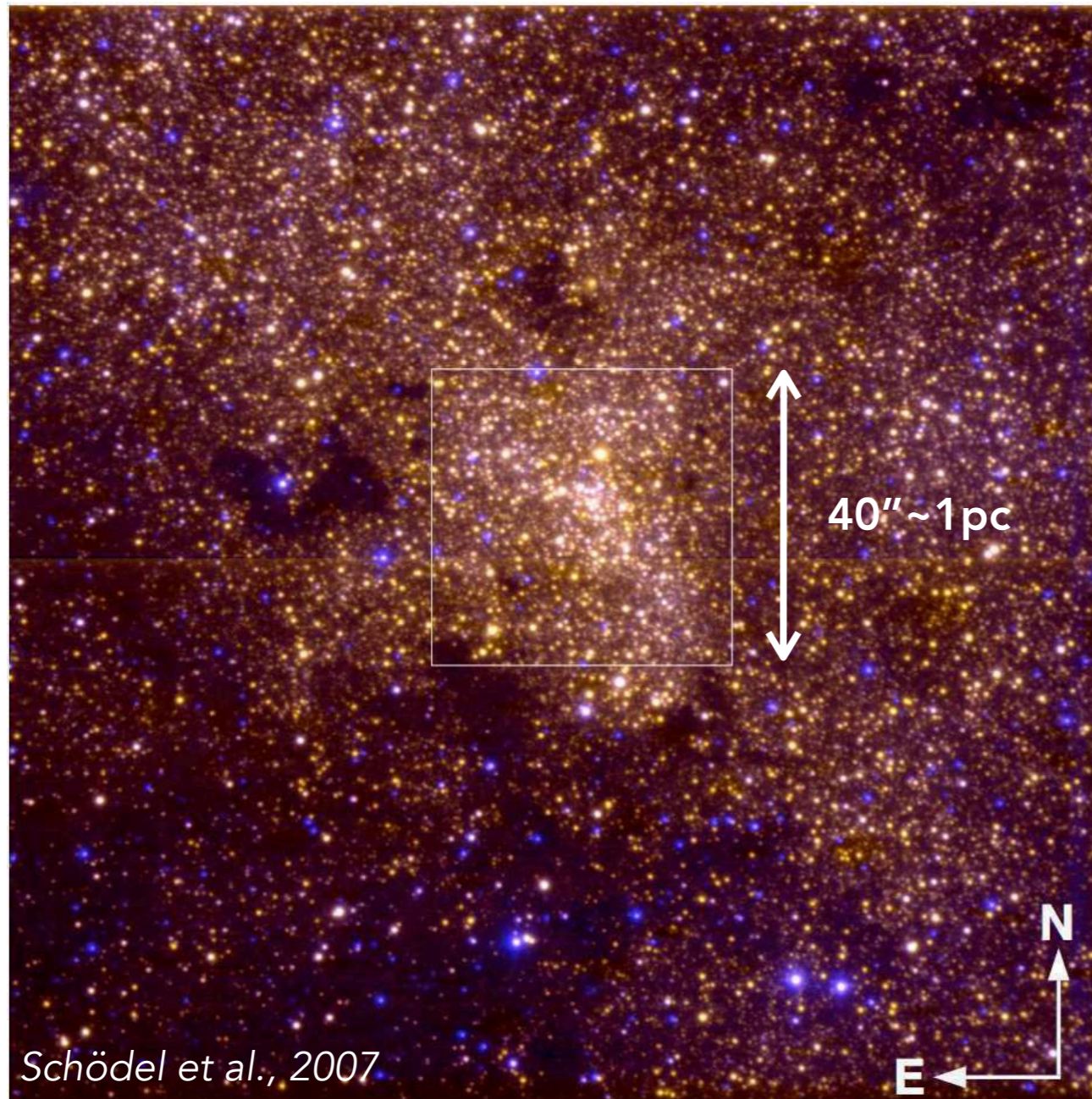


Extreme Mass Ratio Inspiral

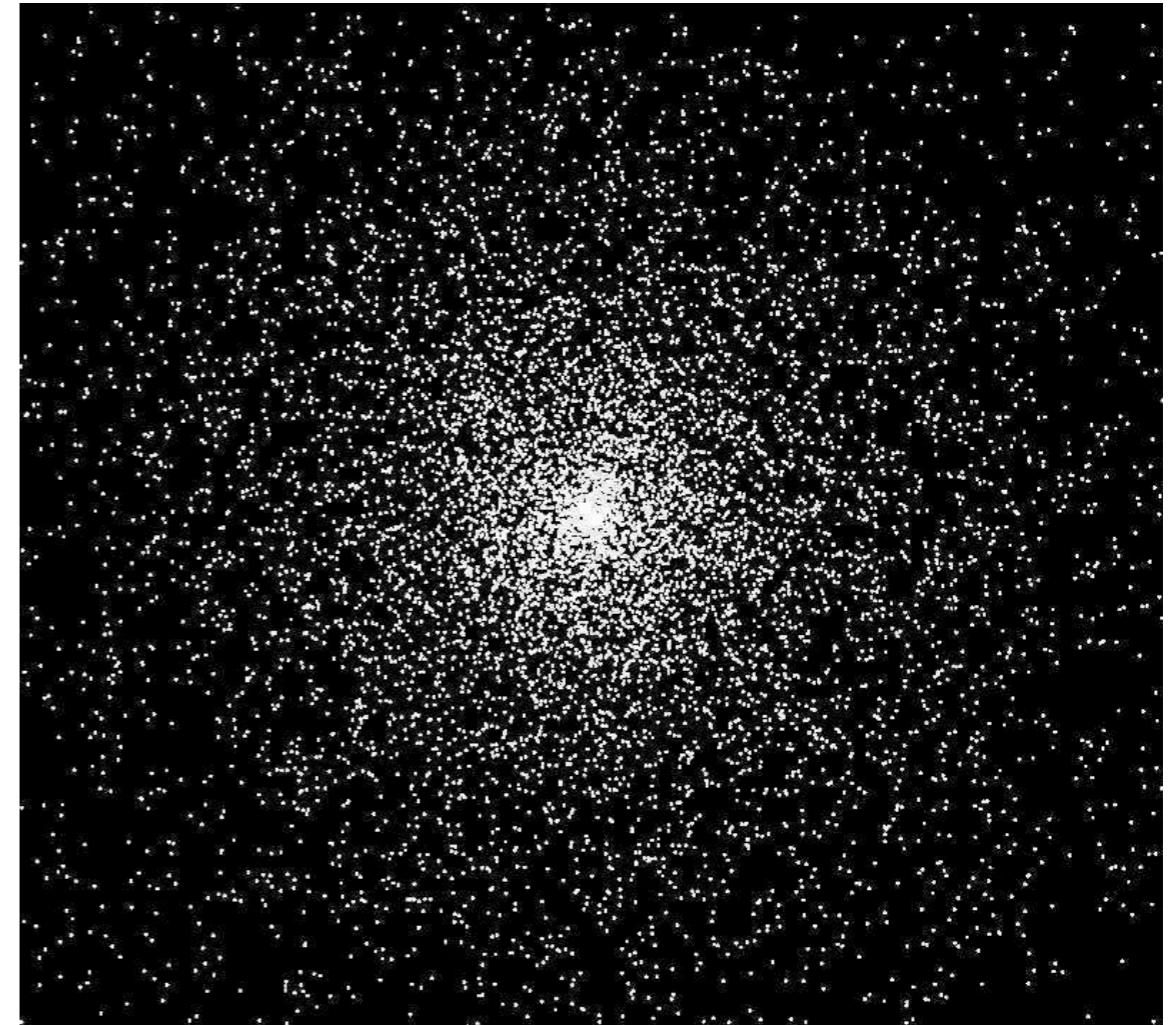
**What is the long-term dynamics of stars in these very dense systems?**



## Galactic centres are extremely dense



VLT observations



N-body simulations (*B. Bar-Or*)

Perfect "lab" to investigate the **statistical physics** of a stellar system

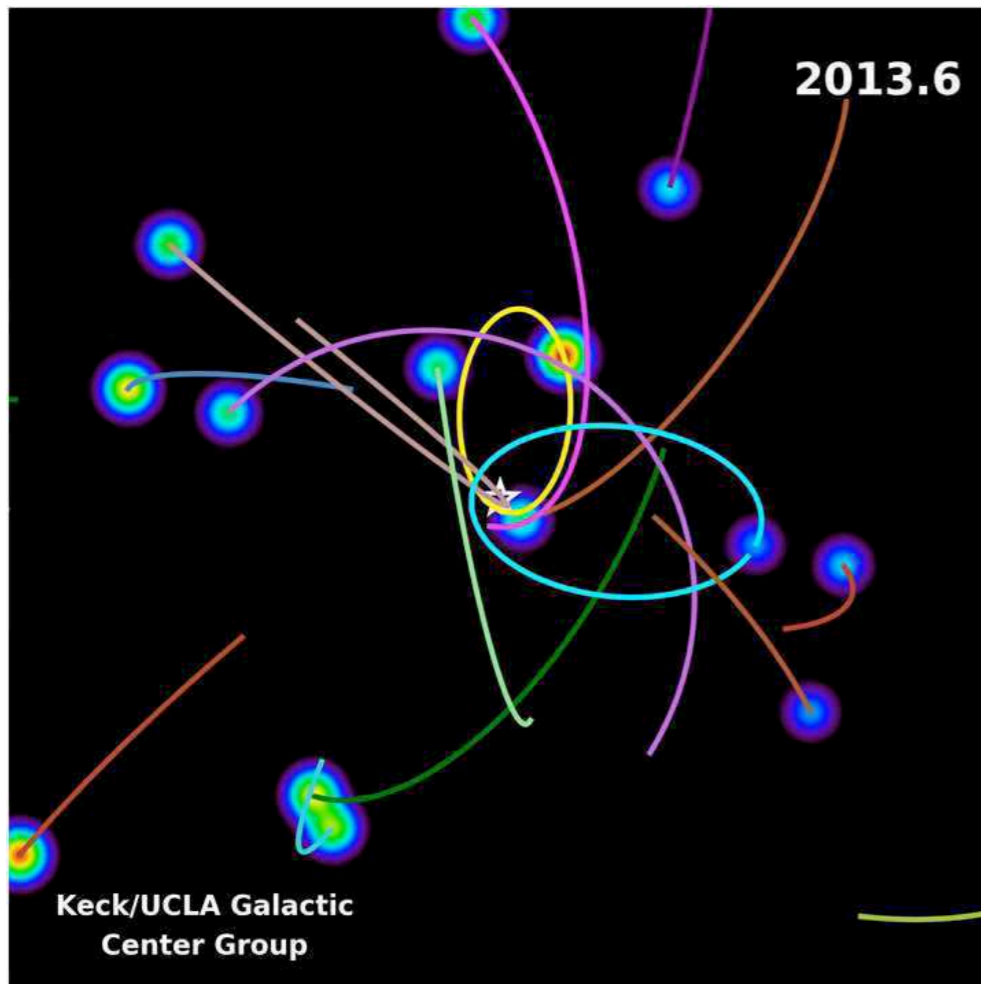
# Galactic centers are degenerate

Potential dominated by the SMBH:

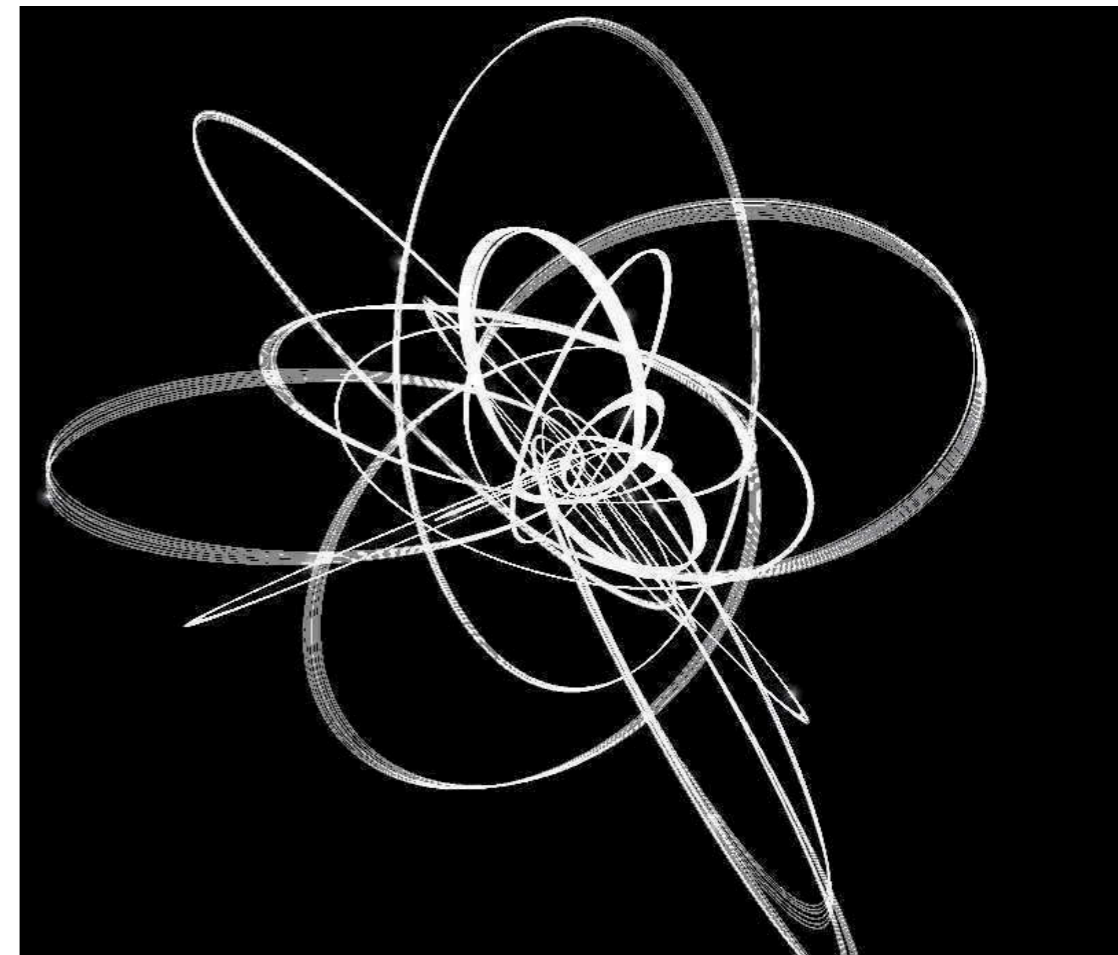
+ Keplerian orbits are **closed**

$$\varepsilon = M_{\star}/M_{\bullet} \ll 1$$

Dynamical degeneracy:  $\forall \mathbf{J}, \mathbf{n} \cdot \boldsymbol{\Omega}_{\text{Kep}}(\mathbf{J}) = 0$



KECK observations

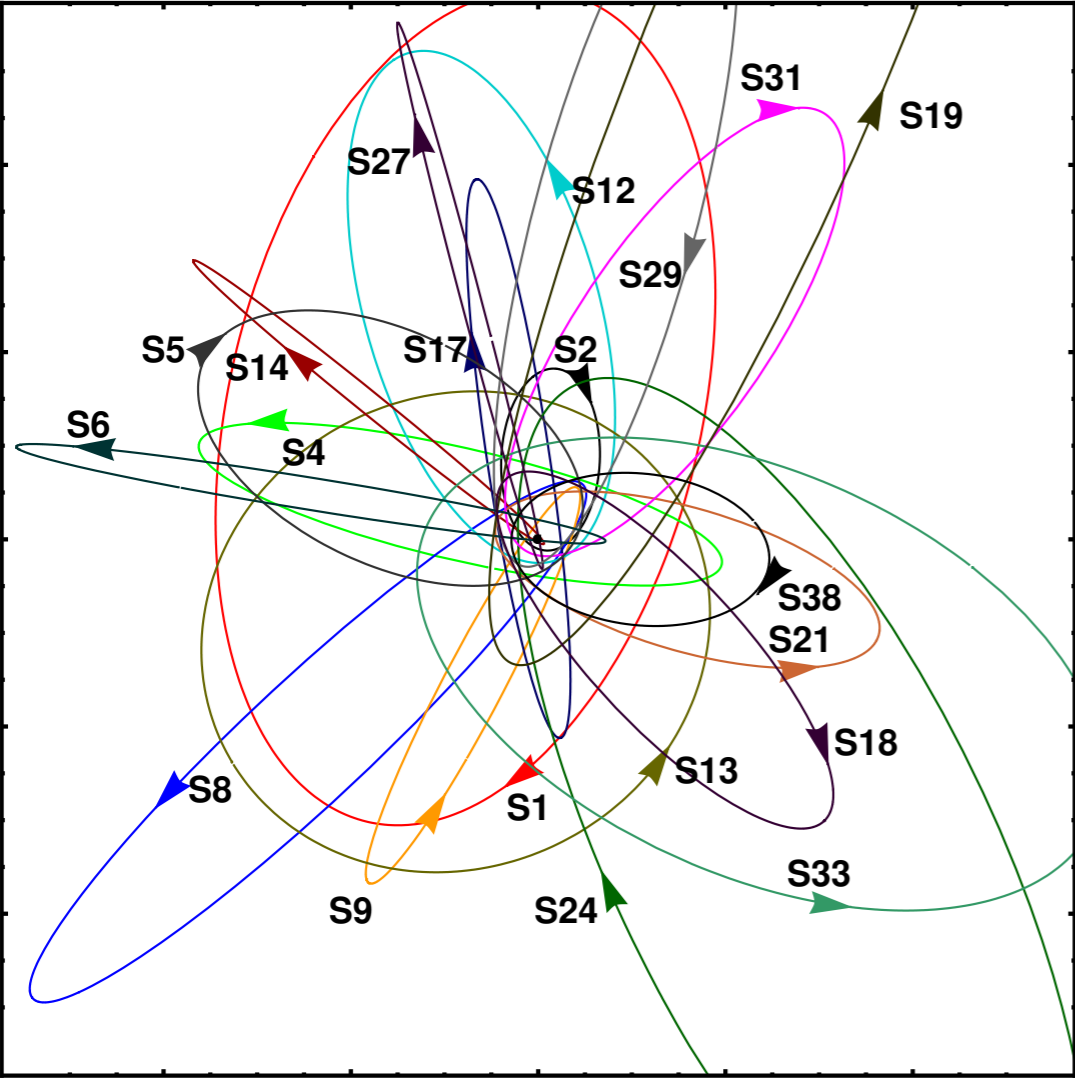


N-body simulations (B. Bar-Or)

Orbit-average: stars are replaced by Keplerian wires

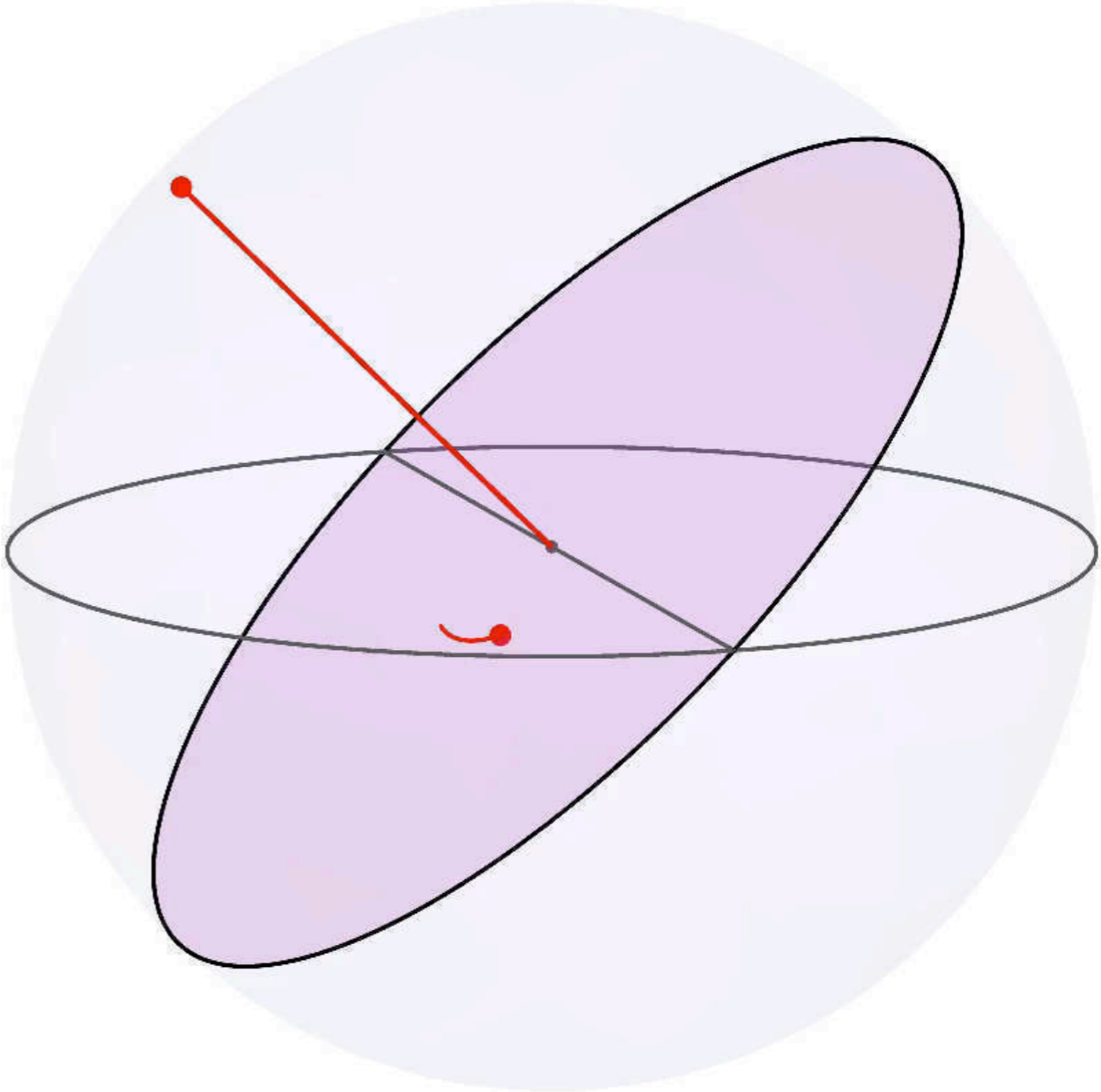
# Keplerian orbits

The BH dominates the dynamics



*Gillessen et al., 2009*

VLT observations

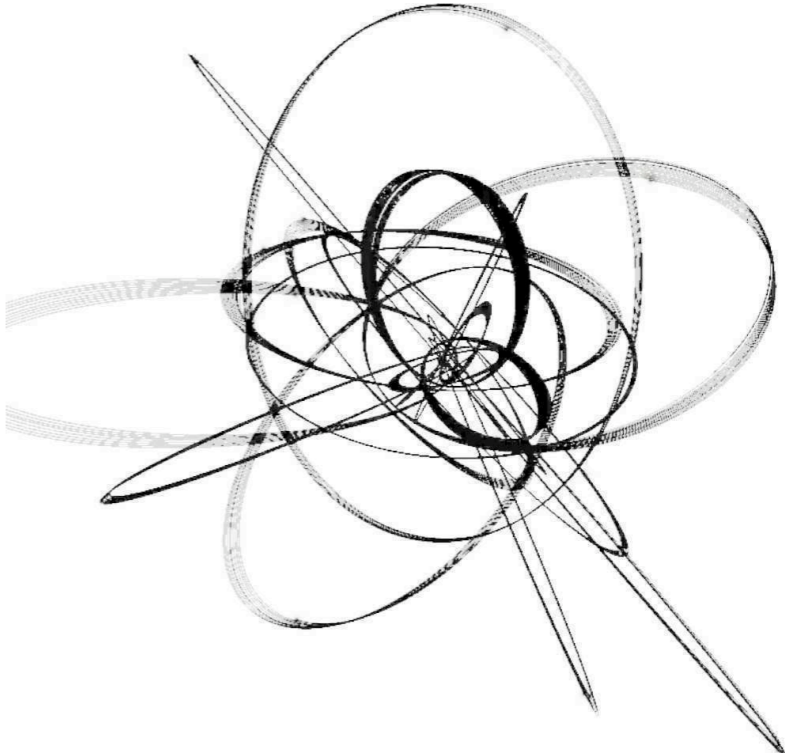
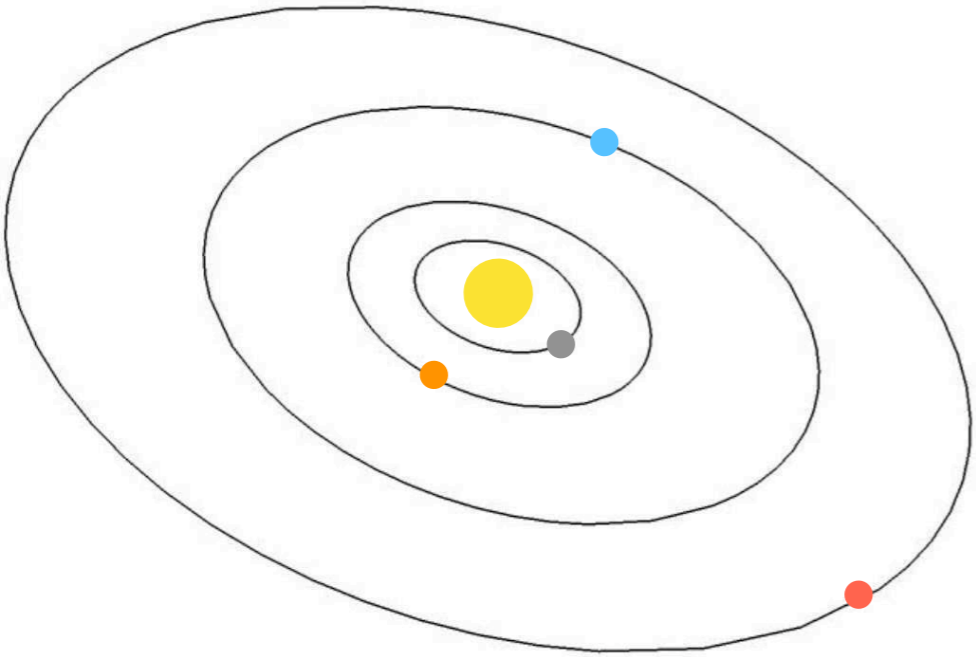


Typical orbit

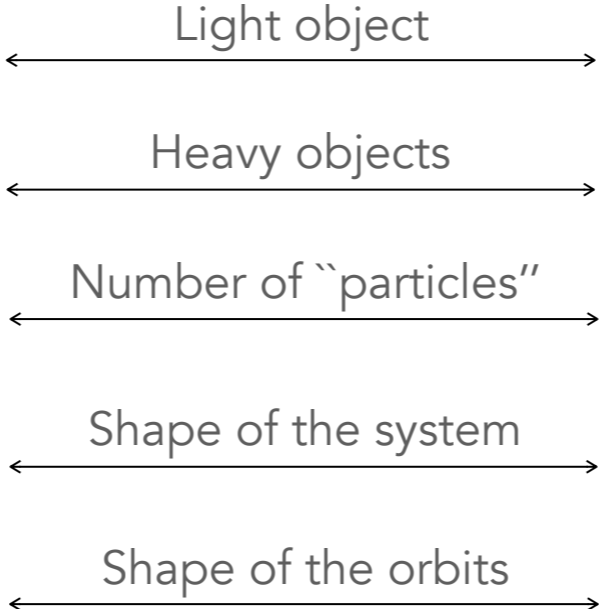
# Keplerian systems

Solar System

Galactic Centre



**Planets**  
**Sun**  
 $N \simeq 10$   
**Planar** symmetry  
**Quasi-circular** orbits

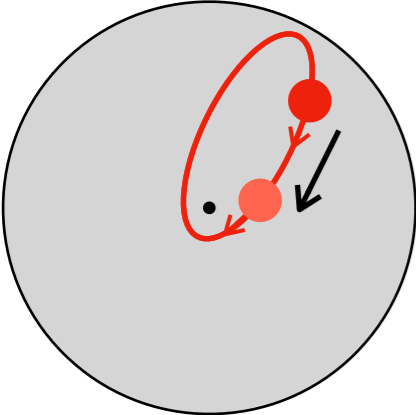


**Stars**  
**Black hole**  
 $N \simeq 10^6$   
**Spherical** symmetry  
**Eccentric** orbits

# Keplerian orbits

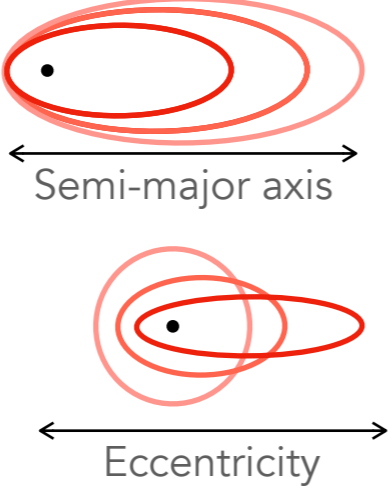
How to describe an **orbit**

**Position** of the star

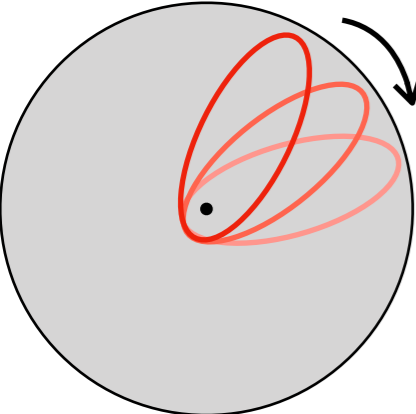


Dynamical motion

**Shape** of the orbit

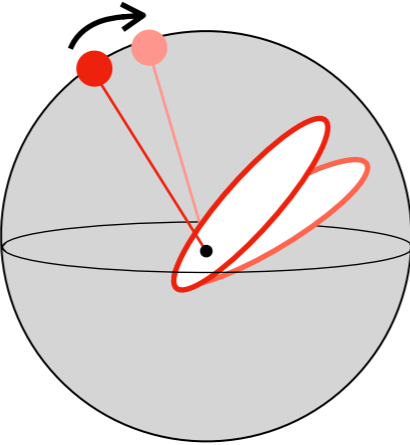


**Phase** of the orbit

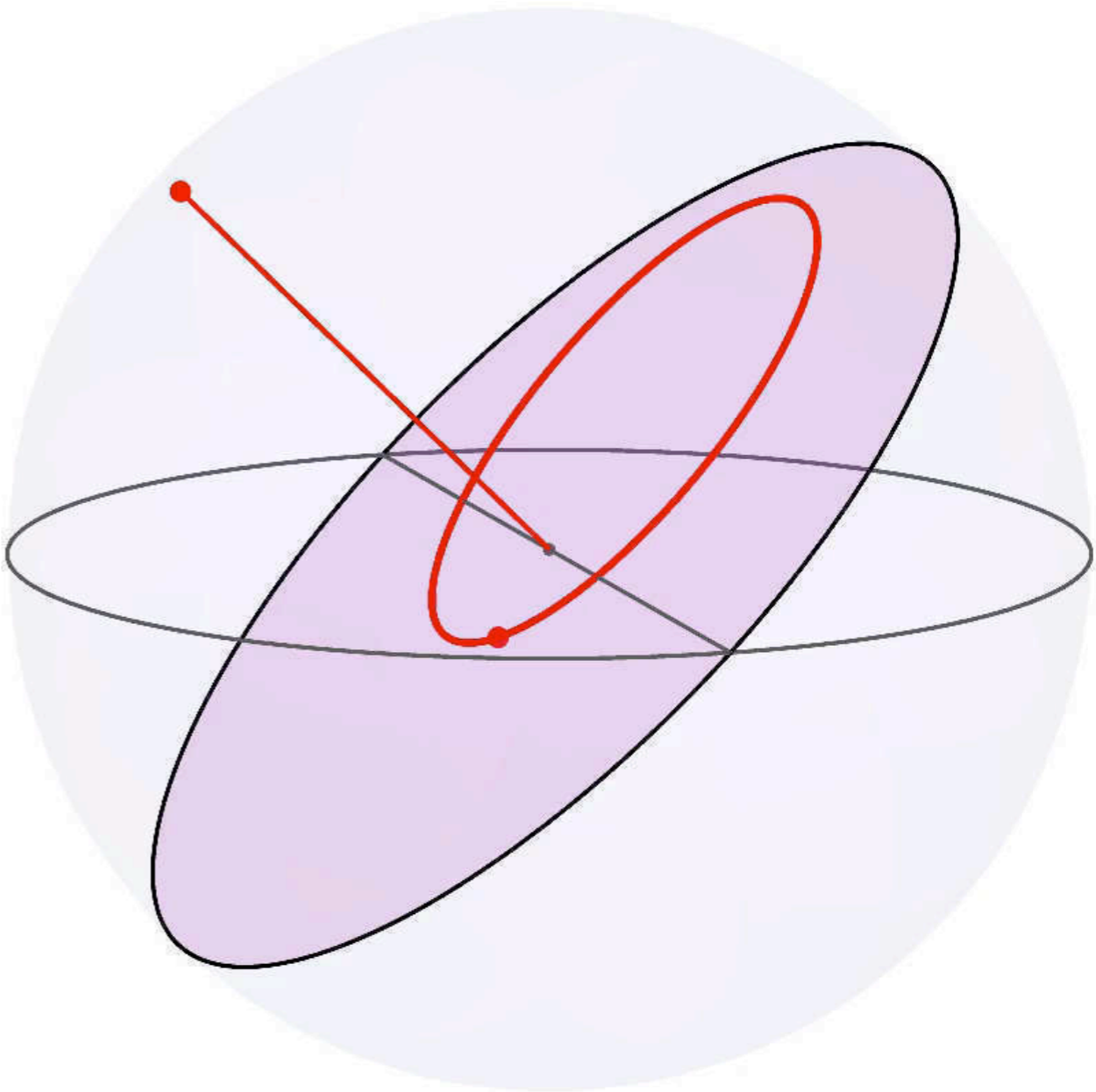


Pericentre phase

**Orientation** of the orbit



Spatial orientation



Keplerian orbit

## Wires dynamics

## Orbit Average

$$J_{\text{fast}} = I(a) \quad \text{adiabatically conserved}$$

Wires may **precess constructively**:

## + In-plane precessions

- Spherical cluster mass
- 1PN relativistic **Schwarzschild precession**

$$\dot{\omega} = \Omega_{\text{prec}} ; \quad \hat{\mathbf{L}} = \text{cst.}$$

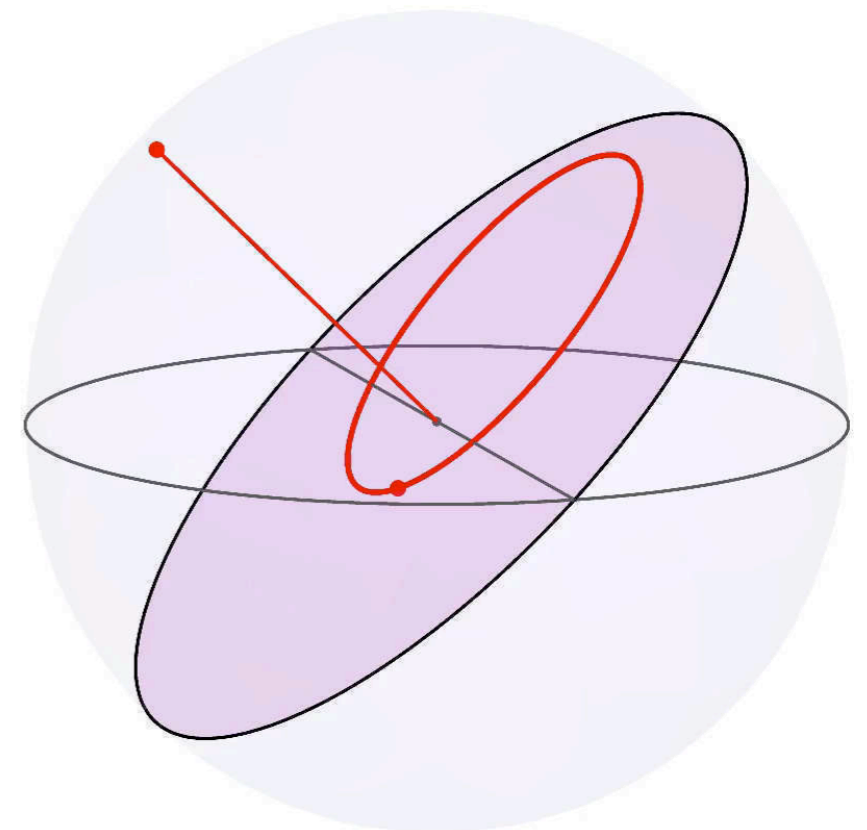
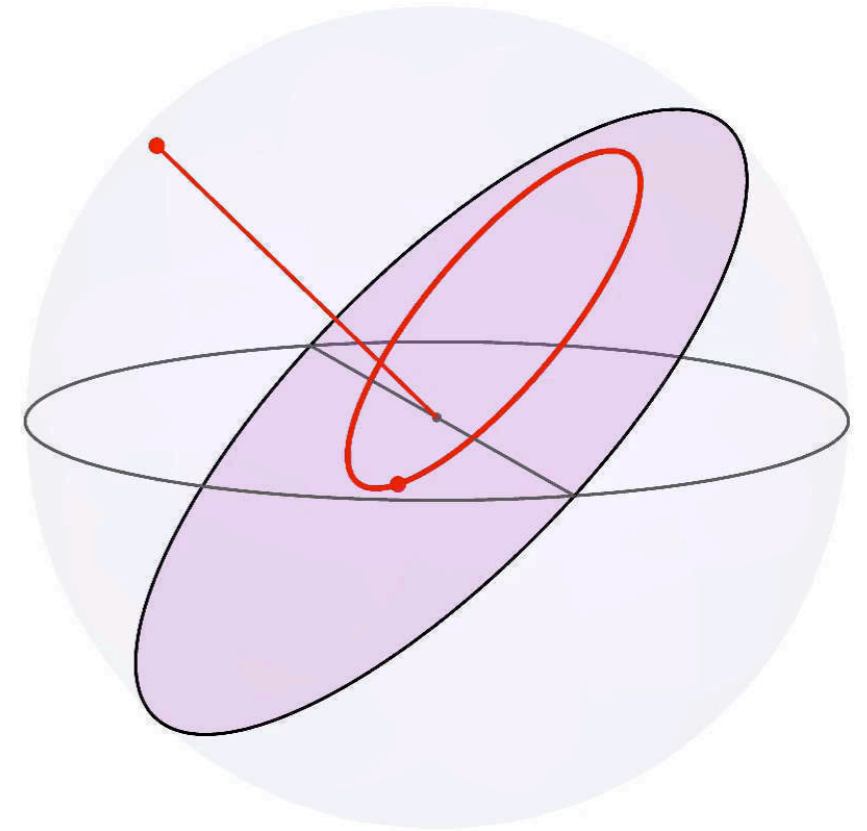
## + Out-of-plane precessions

- Triaxial cluster mass
- 1.5PN relativistic **Lense-Thirring precession**

$$\dot{\hat{\mathbf{L}}} = \Omega_{\text{prec}} ; \quad L = \text{cst.}$$

Wires may also **jitter stochastically**

$$\text{- Finite-N effects} \quad \dot{\hat{\mathbf{L}}} = \eta(t)$$



## Long-term dynamics of wires

### In-plane precessions $(L, \omega)$

**Constructive** mean field motion

$$\Omega^{\text{prec}} = \Omega_{\text{self}}^{\text{prec}} + \Omega_{\text{rel}}^{\text{prec}} + \Omega_{\text{ext}}^{\text{prec}}$$

Long-term diffusion of  $L = L(e)$

### Scalar Resonant Relaxation

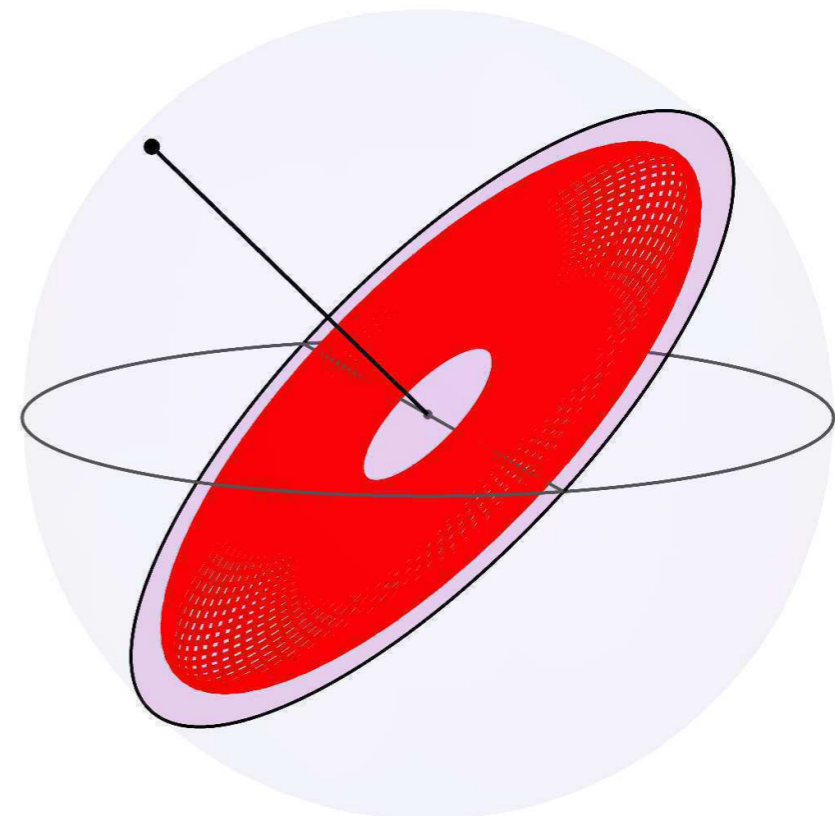
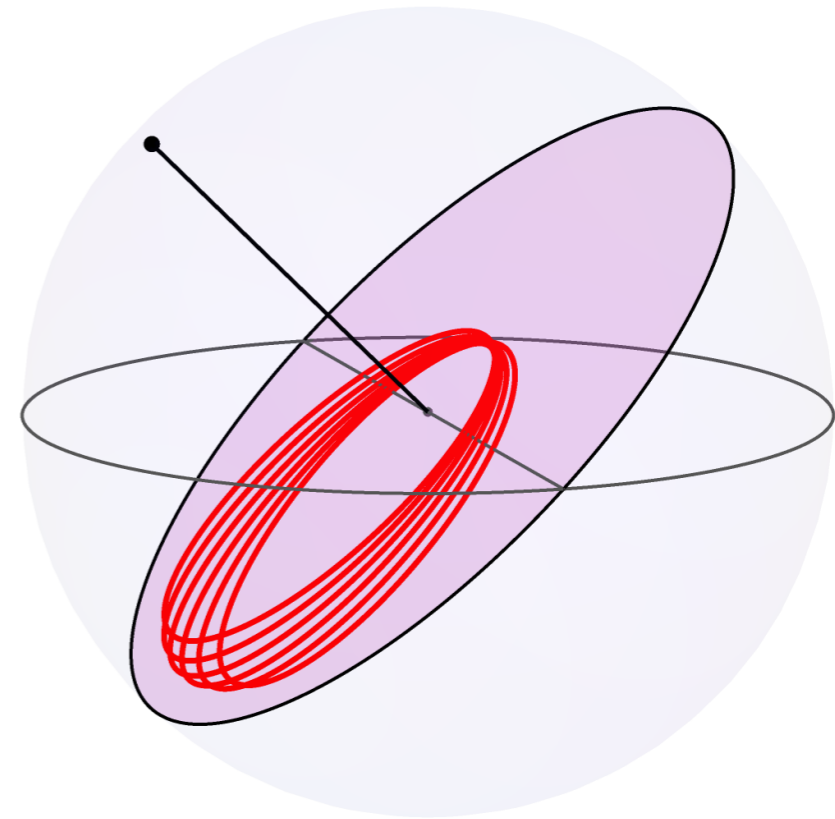
### Out-of-plane precessions $\hat{\mathbf{L}}$

No mean field motion

$$\langle \Omega^{\text{prec}} \rangle = 0$$

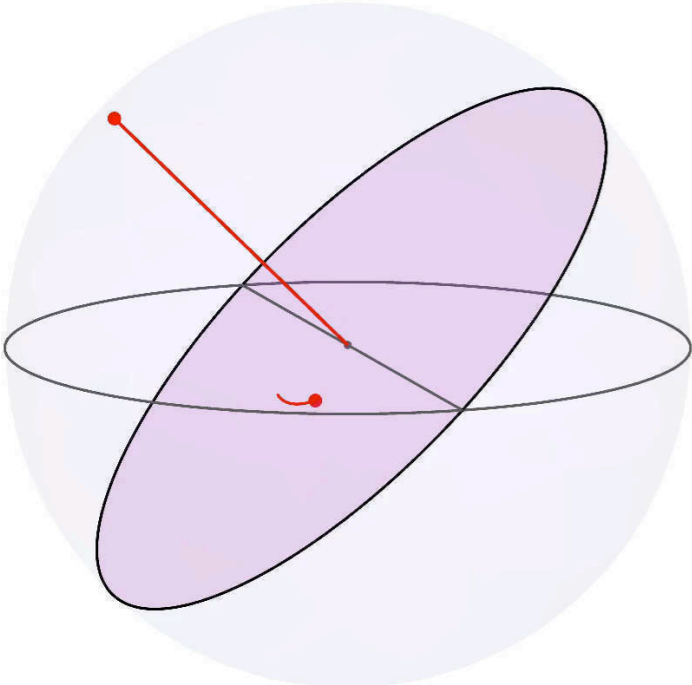
Random walk on the sphere of  $\hat{\mathbf{L}}$

### Vector Resonant Relaxation



# Stellar dynamics

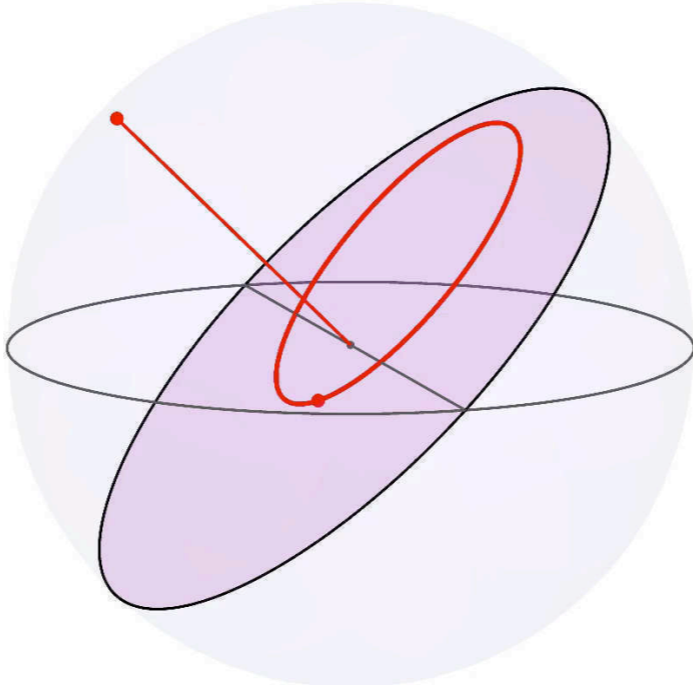
**Stars**



~10 years

**Orbital** motion

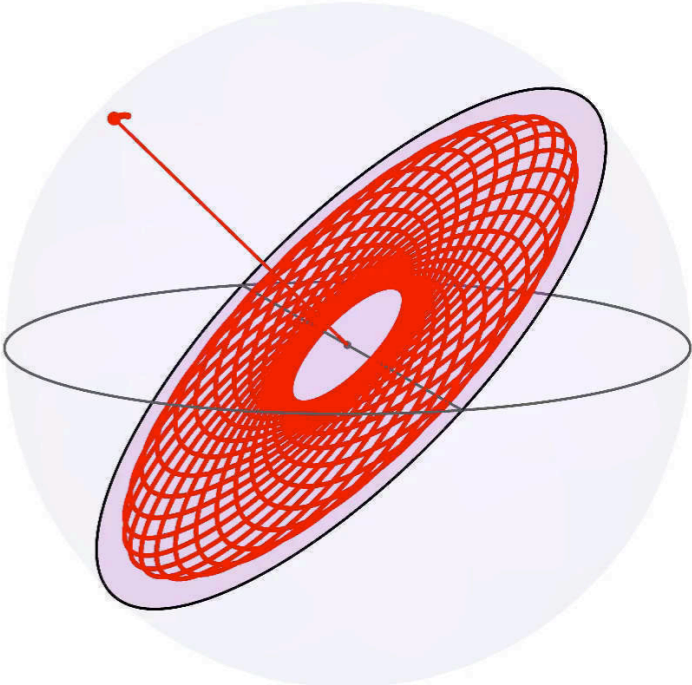
**Ellipses**



~30,000 years

**Pericentre** precession

**Annuli**



~1,000,000 years

**Orientation** precession

SgrA\* is 10,000,000,000 years old, we can wait longer...

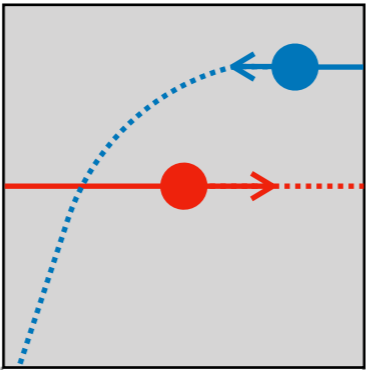


# Deflections

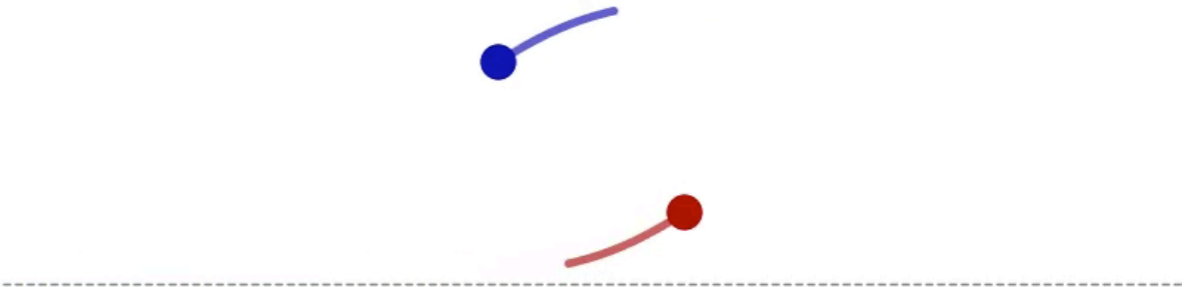
Typical timescale  
~1,000,000,000 years

What happens along the **stellar orbit**?

Local  
**deflections**



*Zoom on the orbit*



Velocity



Change  
in velocity



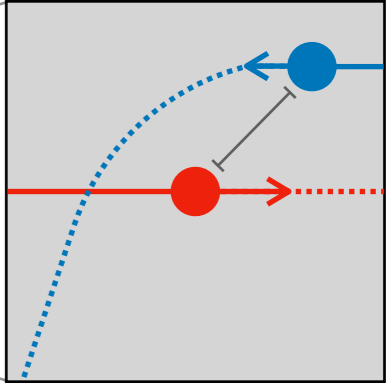
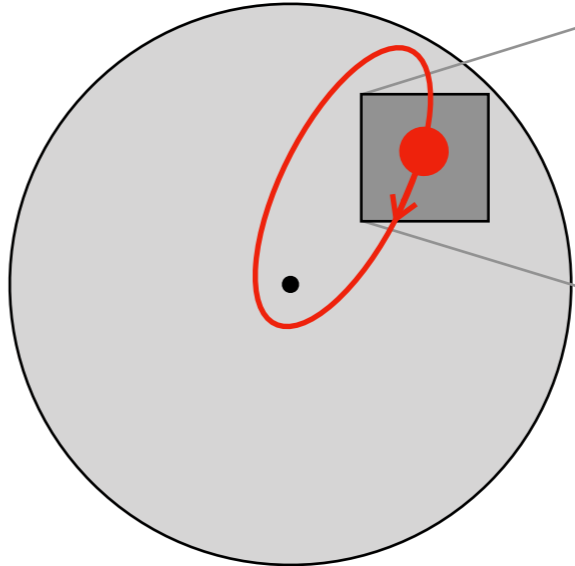
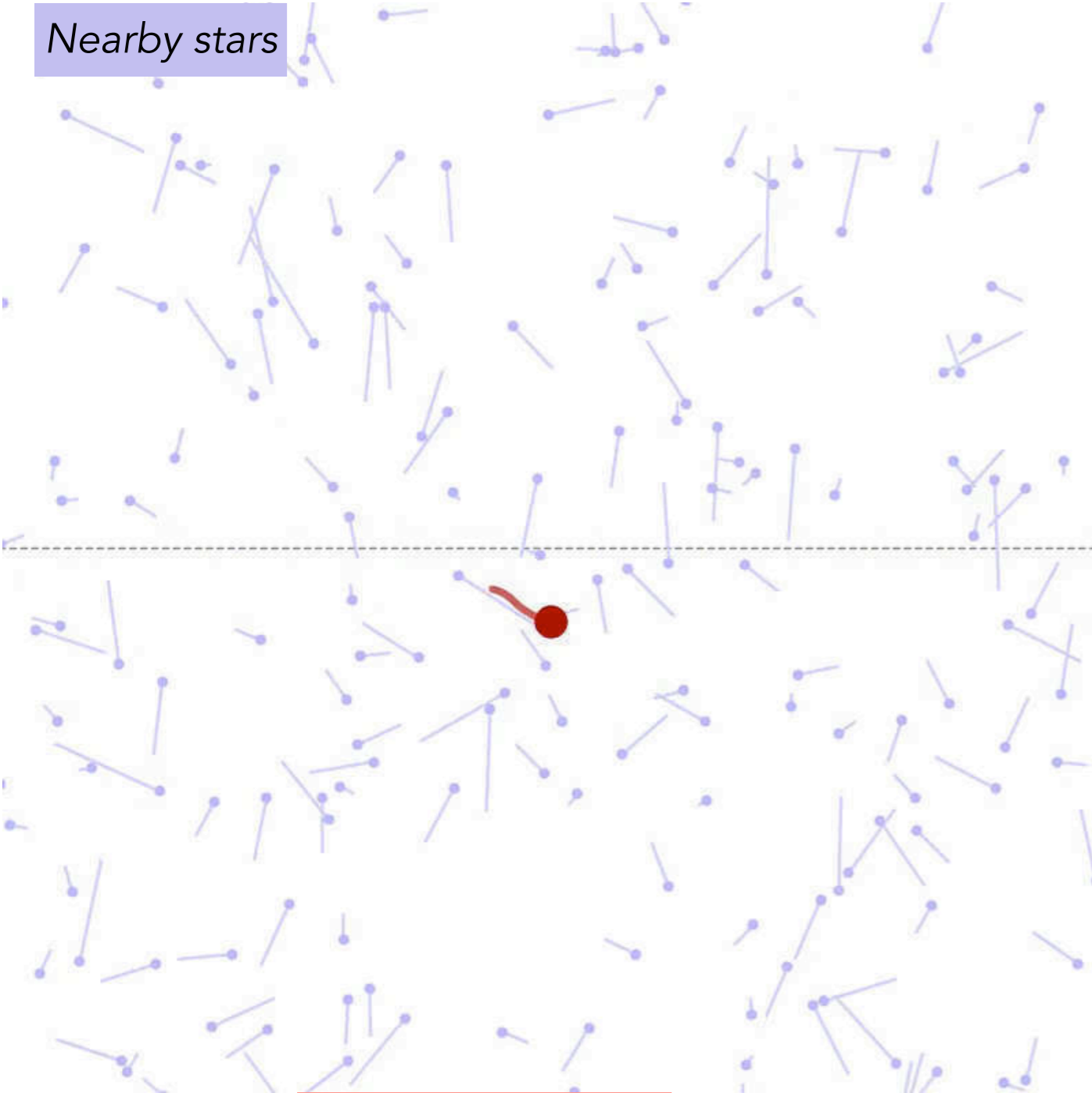
Time

# Deflections

Typical timescale  
~1,000,000,000 years

The star has a lot of neighbours

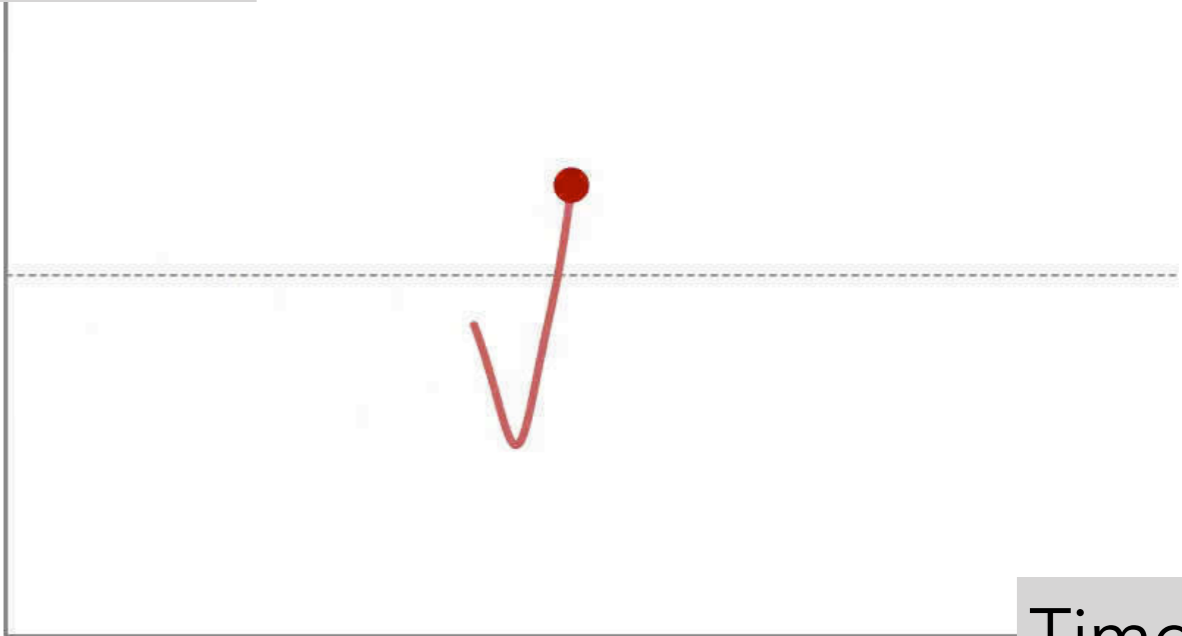
Nearby stars



Local perturbations

Series of deflections

Velocity



Random walk

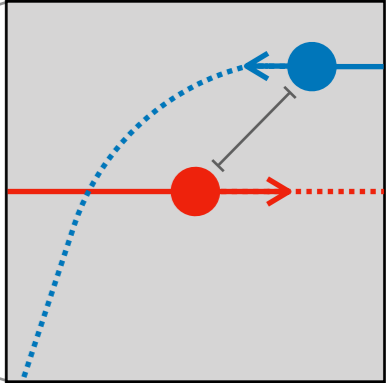
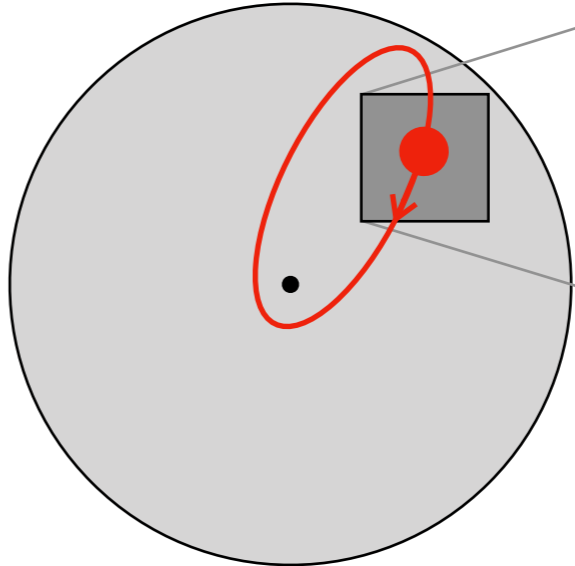
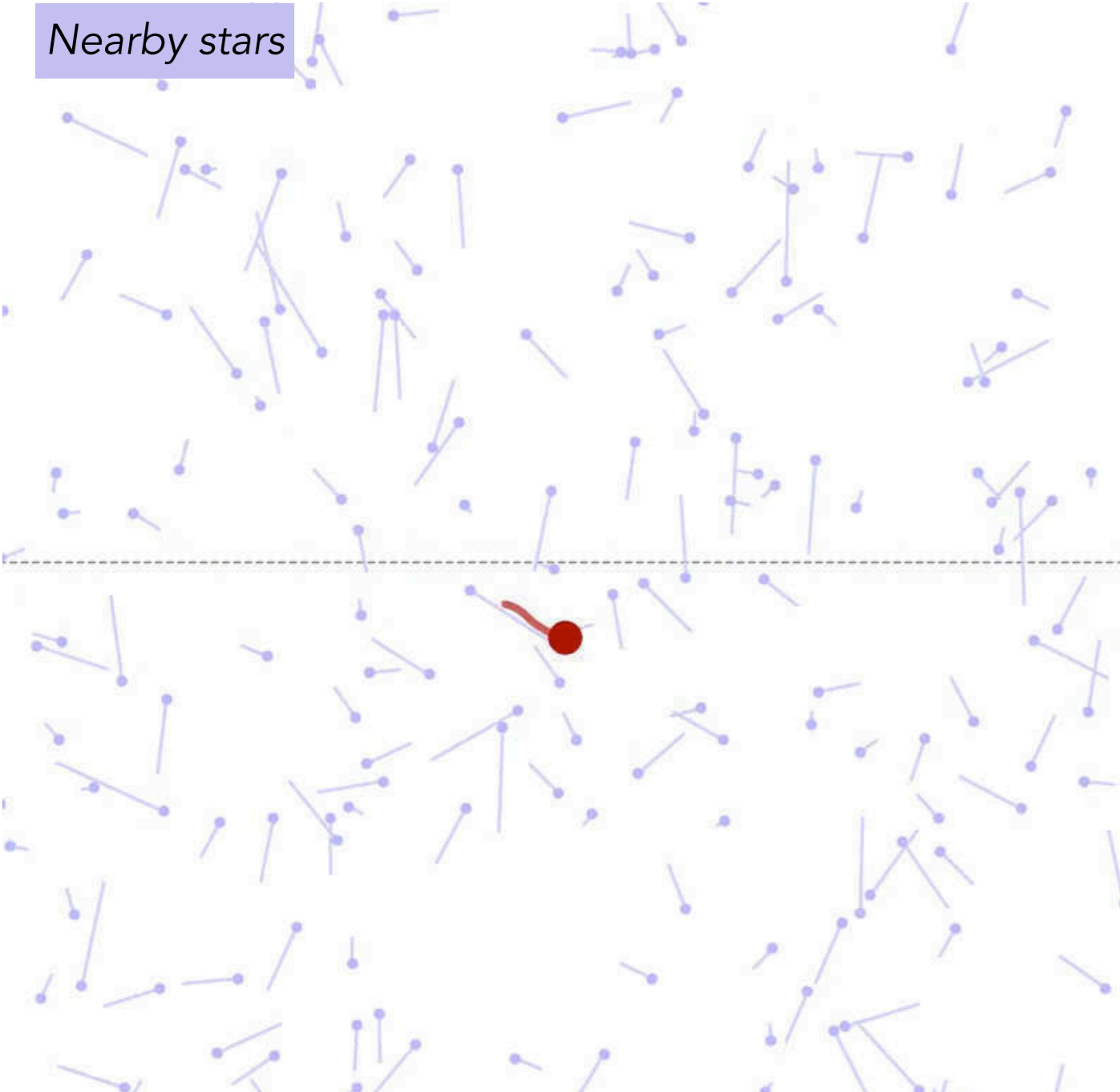
Time

# Deflections

Typical timescale  
~1,000,000,000 years

The star has a lot of neighbours

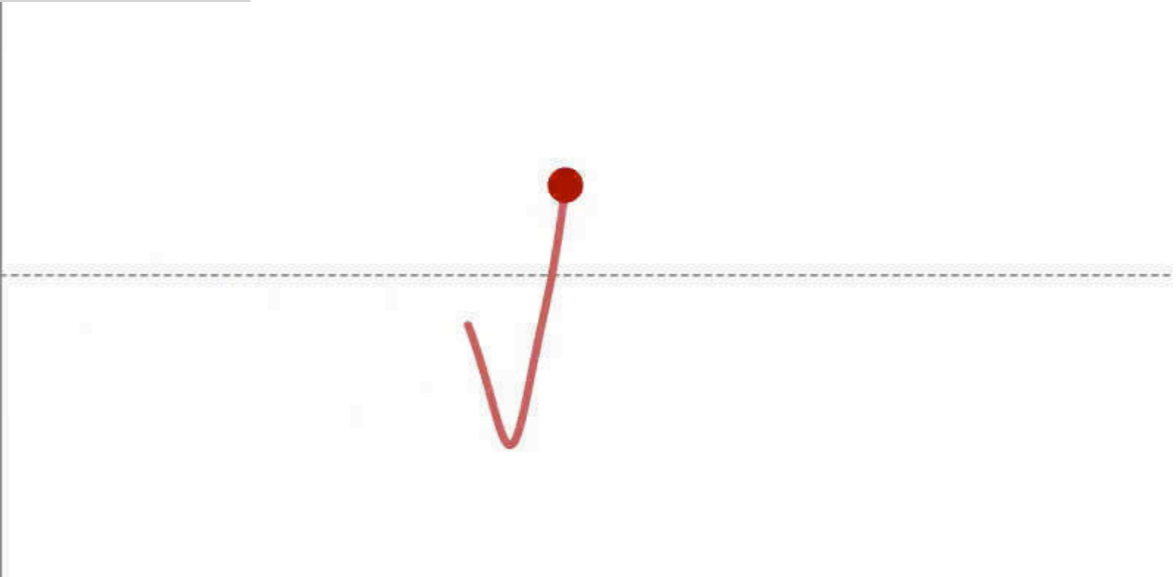
Nearby stars



Local perturbations

Series of deflections

Velocity



Characterised by the **homogeneous Landau equation**

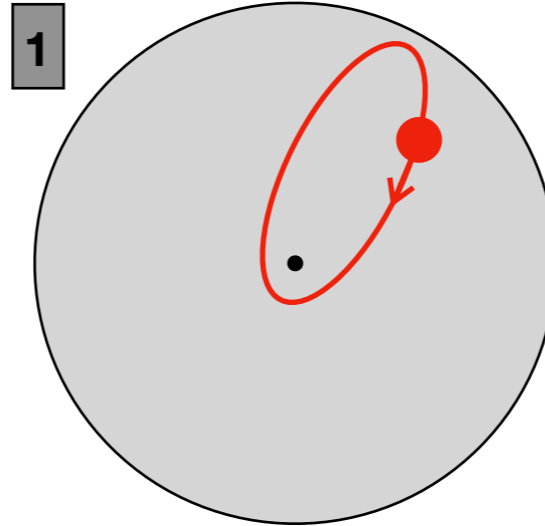
Time

# Timescales are highly hierarchical

## 1. Dynamical time

*Fast orbital motion induced by the BH*

$$\frac{dM}{dt} = \Omega_{\text{Kep}}$$



# Timescales are highly hierarchical

## 1. Dynamical time

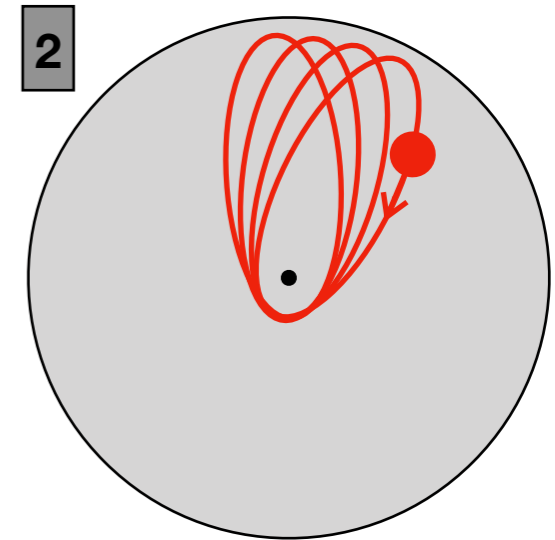
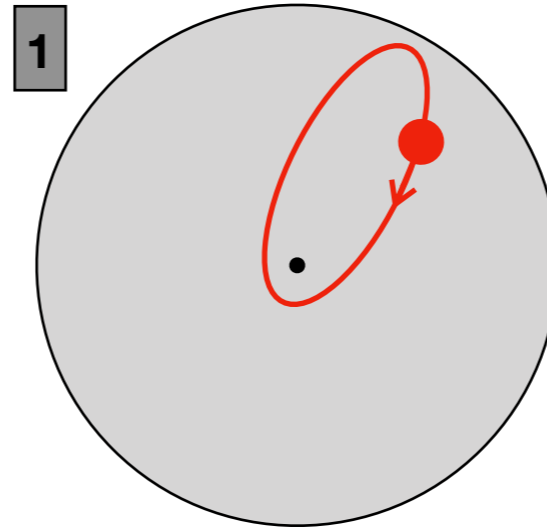
Fast orbital motion induced by the BH

$$\frac{dM}{dt} = \Omega_{\text{Kep}}$$

## 2. Precession time

In-plane precession (mass + relativity)

$$\frac{d\omega}{dt} = \Omega_{\text{prec}}$$



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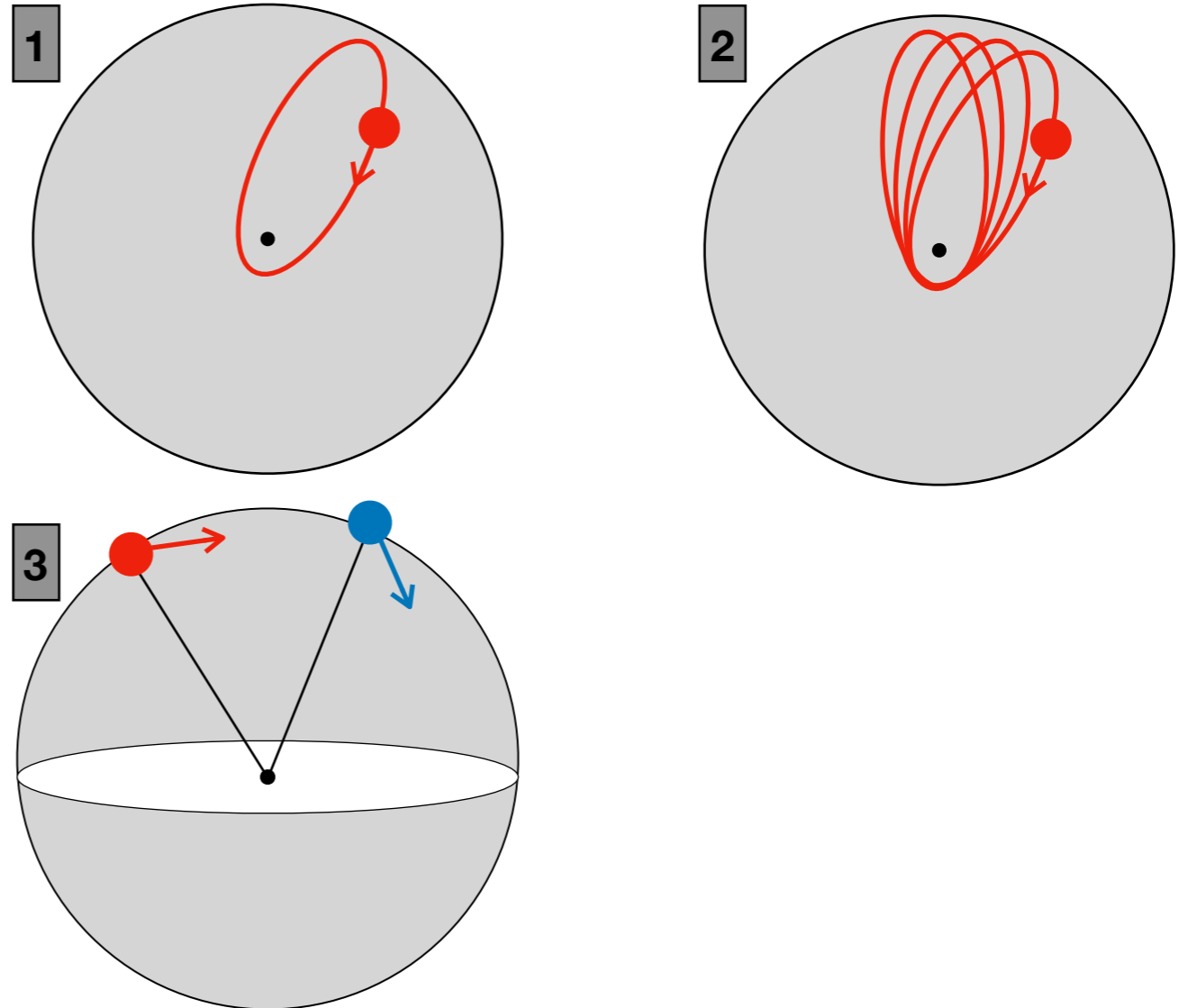
In-plane precession (mass + relativity)

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## 3. Vector Resonant Relaxation

Non-spherical torque coupling

$$\frac{d\hat{\mathbf{L}}}{dt} = \eta(\hat{\mathbf{L}}, t)$$



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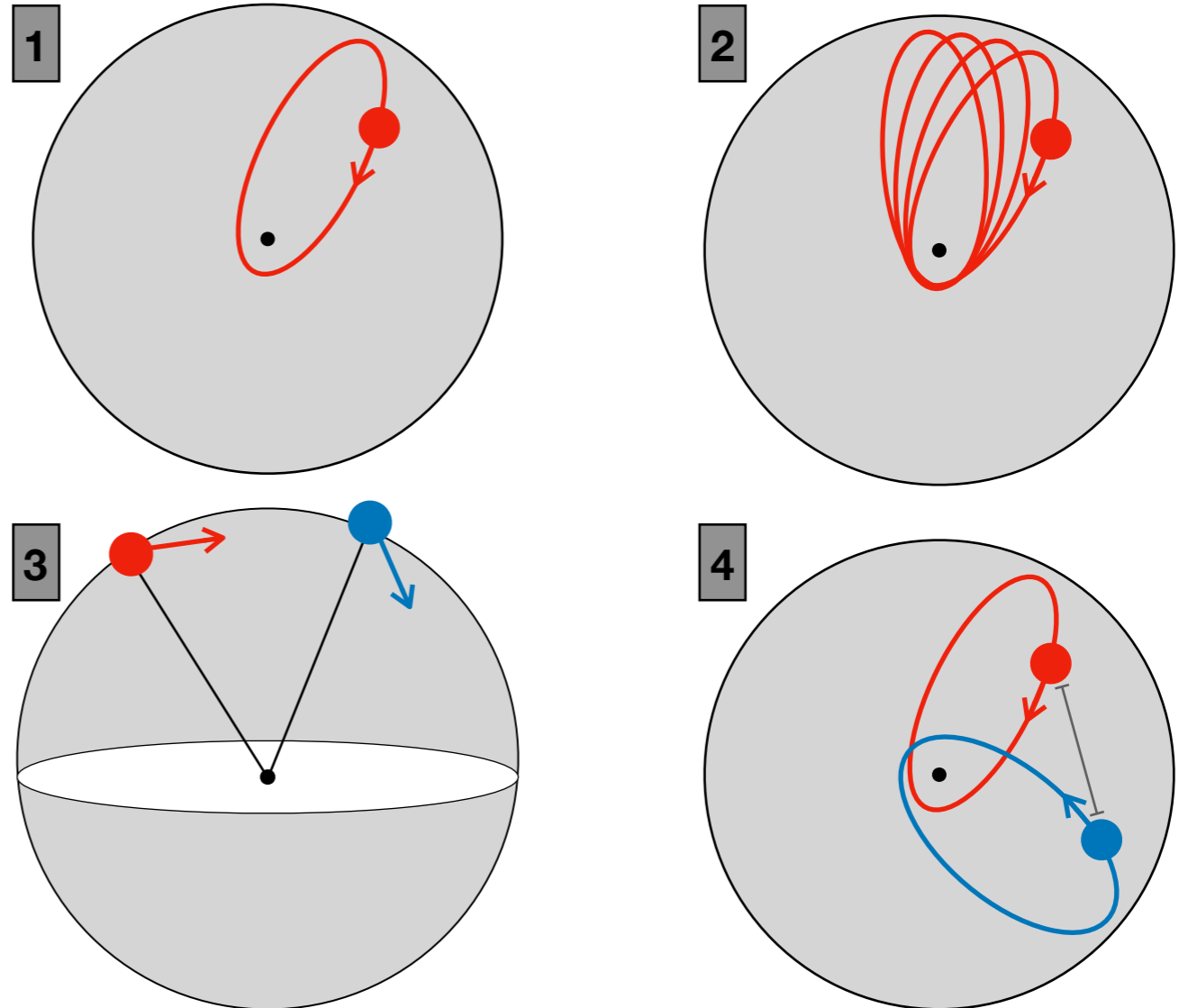
Non-spherical torque coupling

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## 4. Scalar Resonant Relaxation

Resonant coupling on precessions

$$\frac{d|\mathbf{L}|}{dt} = \eta(|\mathbf{L}|, t)$$



# Timescales are highly hierarchical

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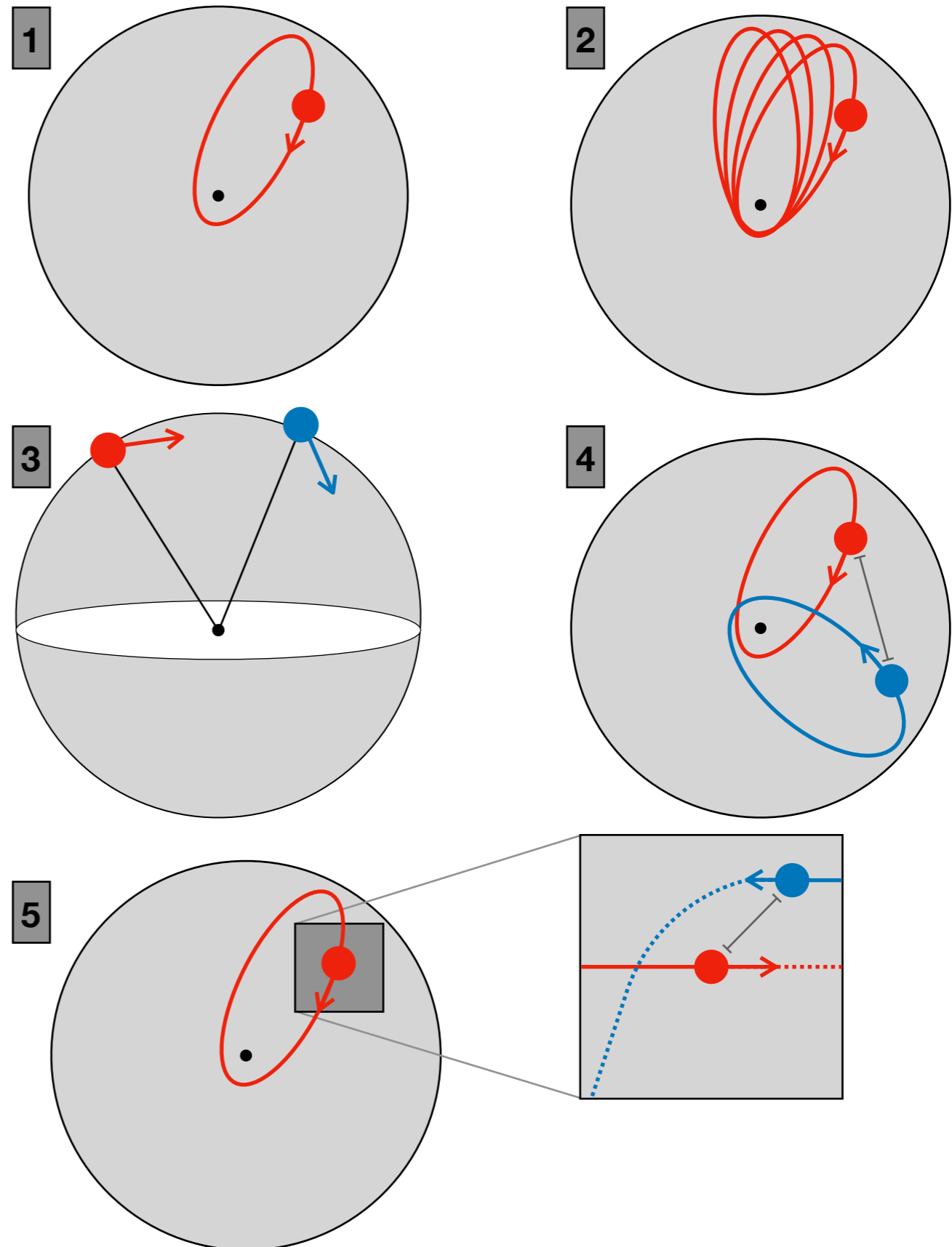
Resonant coupling on precessions

$$\frac{d|\mathbf{L}|}{dt} = \eta(|\mathbf{L}|, t)$$

## 5. Non-Resonant Relaxation

Local two-body encounters

$$\frac{da}{dt} = \eta(a, t)$$





# Timescales are highly hierarchical

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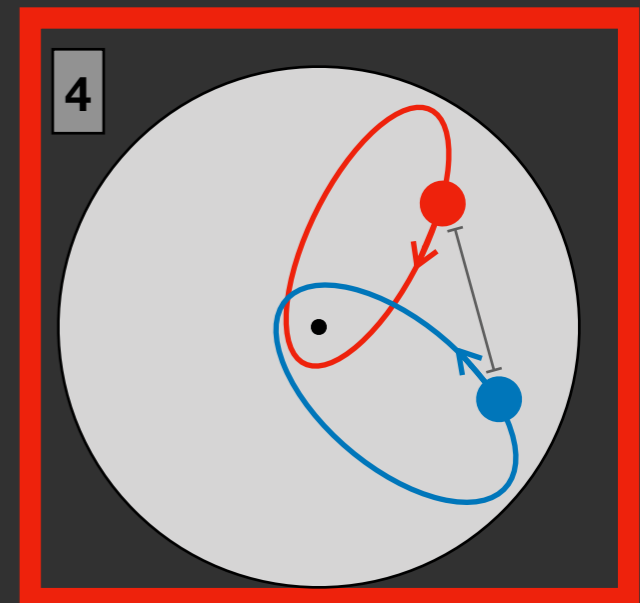
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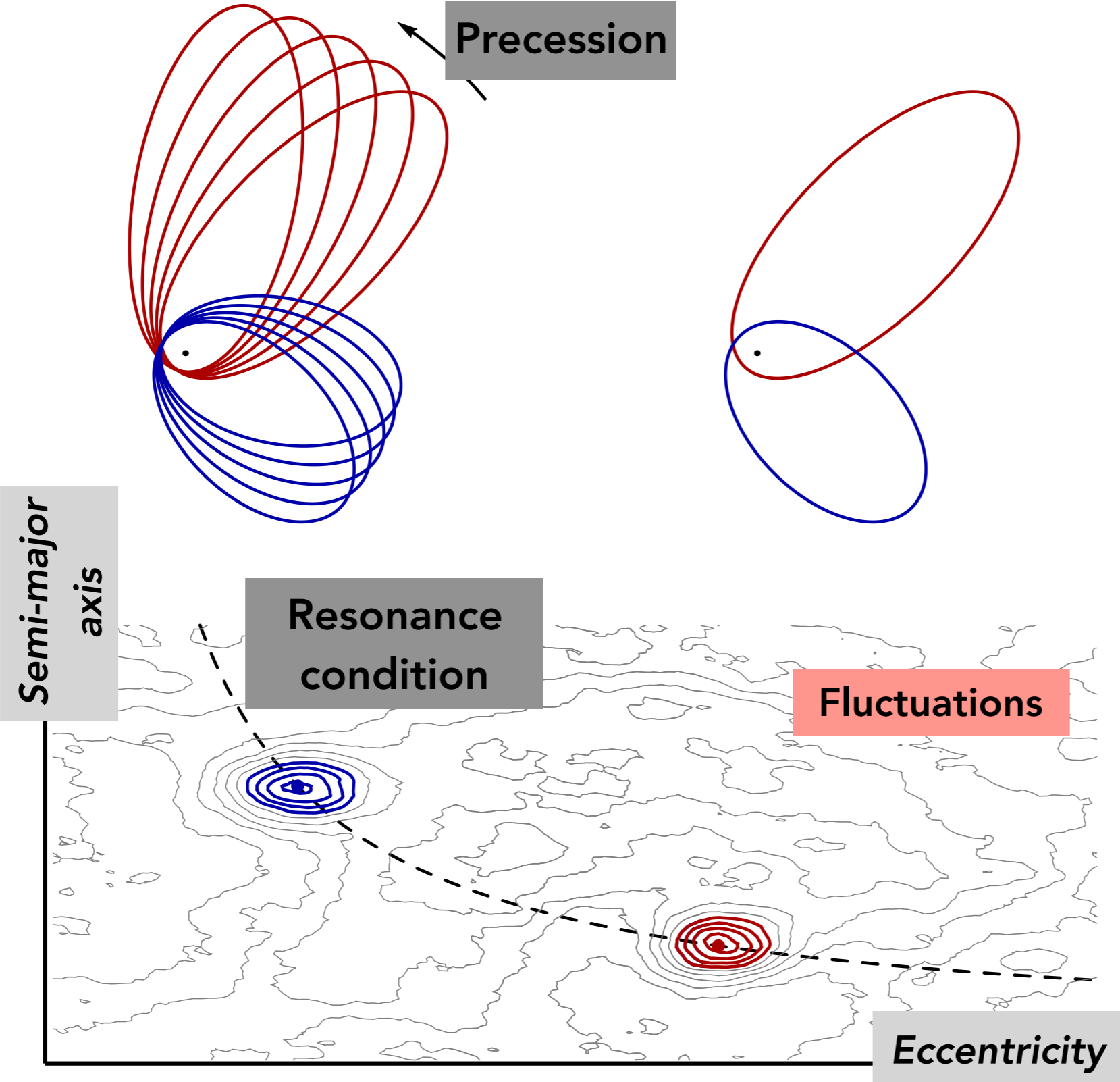
## 5. Non-Resonant Relaxation

*Local two-body encounters*

$$\frac{da}{dt} = \eta(a, t)$$



# Non-local resonances



*Non-local resonances  
between wires*

# The (degenerate) Balescu-Lenard equation

The master equation of **scalar resonant relaxation**

$$\frac{\partial F(L, a, t)}{\partial t} = \frac{1}{2} \frac{\partial}{\partial L} \left[ L D_{LL}(L, a) \frac{\partial}{\partial L} \frac{F(L, a, t)}{L} \right]$$

**Anisotropic diffusion coefficients**

$$D_{LL}(L, a) \propto \frac{1}{N_{\star}} \sum_{n, n'} n^2 \int dL' da' \delta_D(n\Omega^{\text{prec}}(L, a) - n'\Omega^{\text{prec}}(L', a')) \times |A_{nn'}(L, a, L', a')|^2 F^{\text{Cluster}}(L', a', t)$$

Some properties

$F(L, a, t)$  Orbital distortion

$\partial/\partial L$  Adiabatic invariance

$D_{LL}(L, a)$  Anisotropic diffusion

$1/N_{\star}$  Finite-N effects

$n$  Resonance numbers

$\int dL' da'$  Scan of orbital space

$\delta_D(n\Omega^{\text{prec}} - n'\Omega^{\text{prec}'})$  Resonance condition

$|A_{nn'}(L, a, L', a')|^2$  Coupling coefficients

# Timescales are highly hierarchical

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$$\frac{dM}{dt} = \Omega_{\text{Kep}}$$

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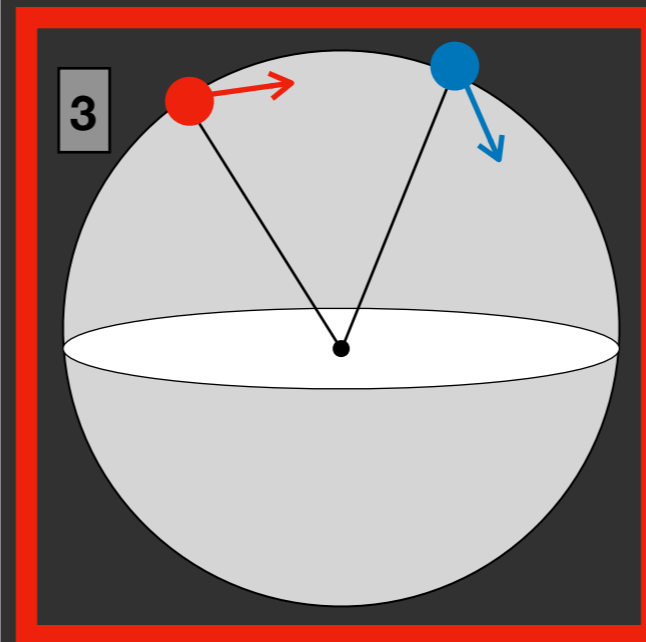
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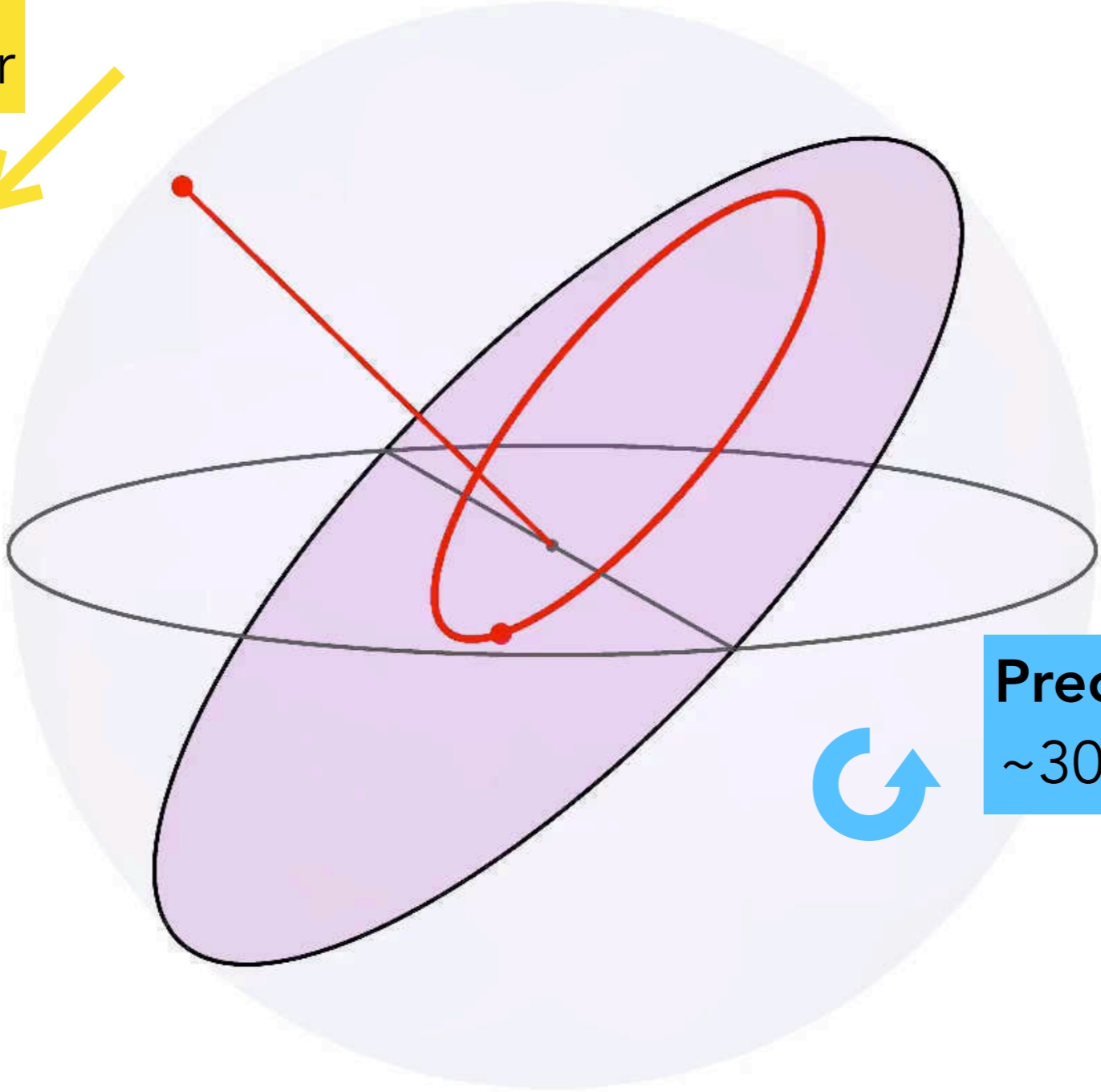
*Local two-body encounters*

$$\frac{da}{dt} = \eta(a, t)$$



# Orbital orientations

**Orientation**  
~1,000,000 yr



**Precession**  
~30,000 yr

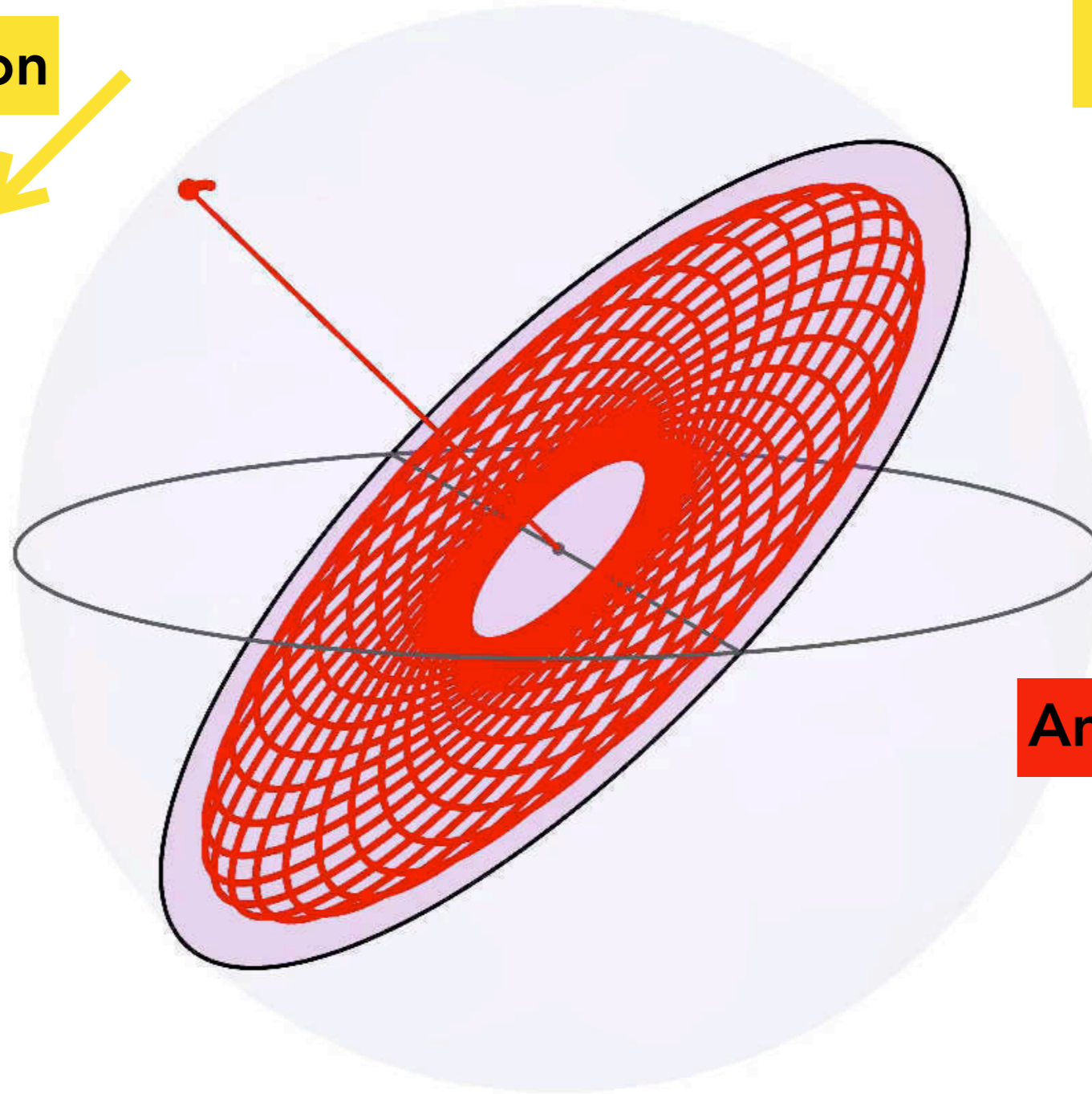
Two timescales : **Precession**  $\ll$  **Orientation**

## Orbital orientations

Orientation



Typical timescale  
~1,000,000 yr



Annuli

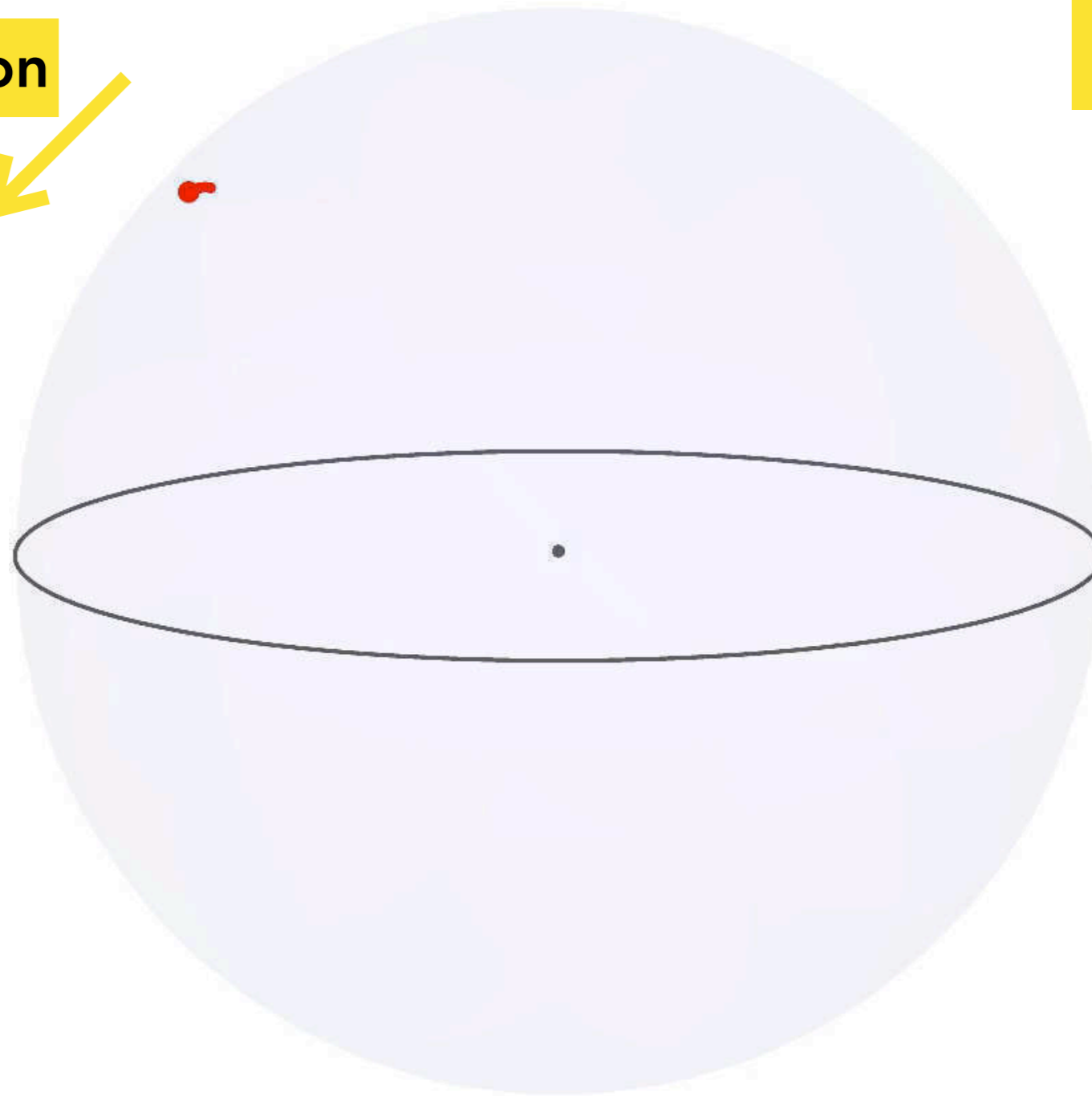
After one full precession, **ellipses** become **annuli**.

## Orbital orientations

Orientation



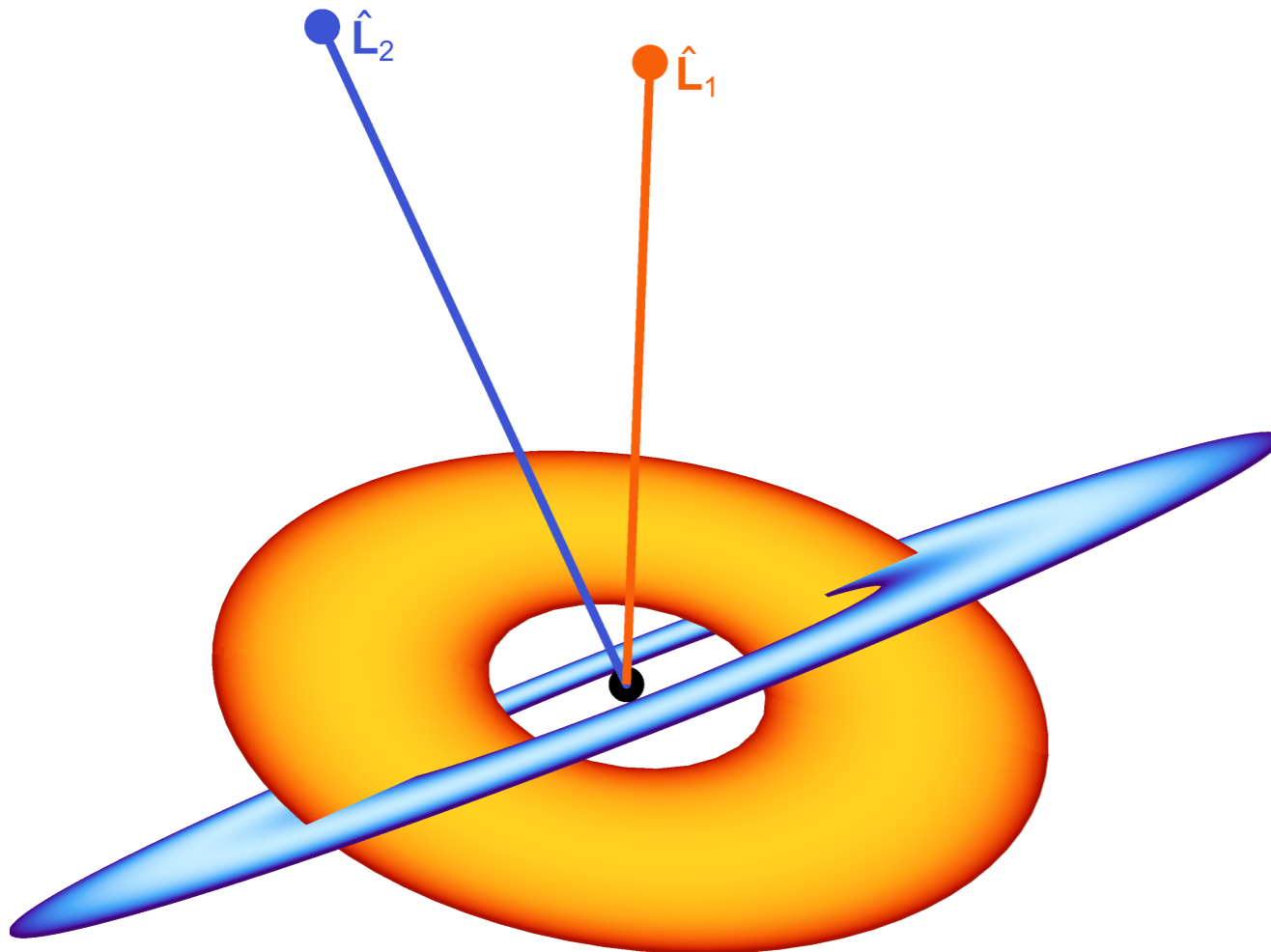
Typical timescale  
~1,000,000 yr



The orbital orientation simply becomes **a point on the unit sphere**.

# Vector Resonant Relaxation

Random walk of the stars' orientations



*Pairwise coupling between two annuli*

+ **Long-range** Hamiltonian system

$$H = \sum_{i < j} A(a_i, e_i, a_j, e_j) U(\hat{\mathbf{L}}_i \cdot \hat{\mathbf{L}}_j)$$

+ Dynamical variables - **orientations**:  $\hat{\mathbf{L}}$

+ Some properties

- No **kinetic energy**

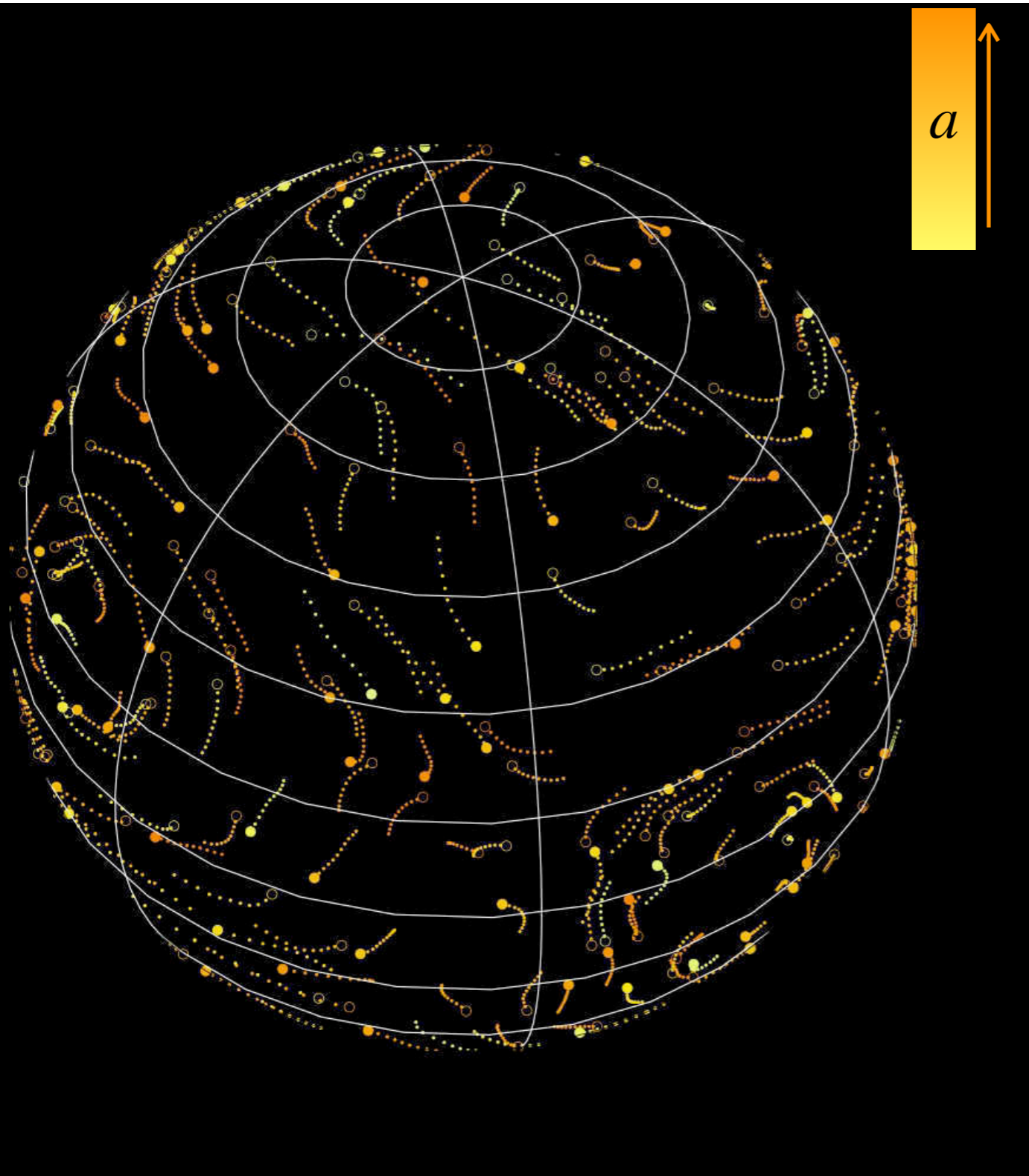
- Vanishing **mean field**  $\langle H \rangle = 0$

- Additional "labels"  $(a, e)$

- **Rotational invariance**  $\hat{\mathbf{L}}_i \cdot \hat{\mathbf{L}}_j$

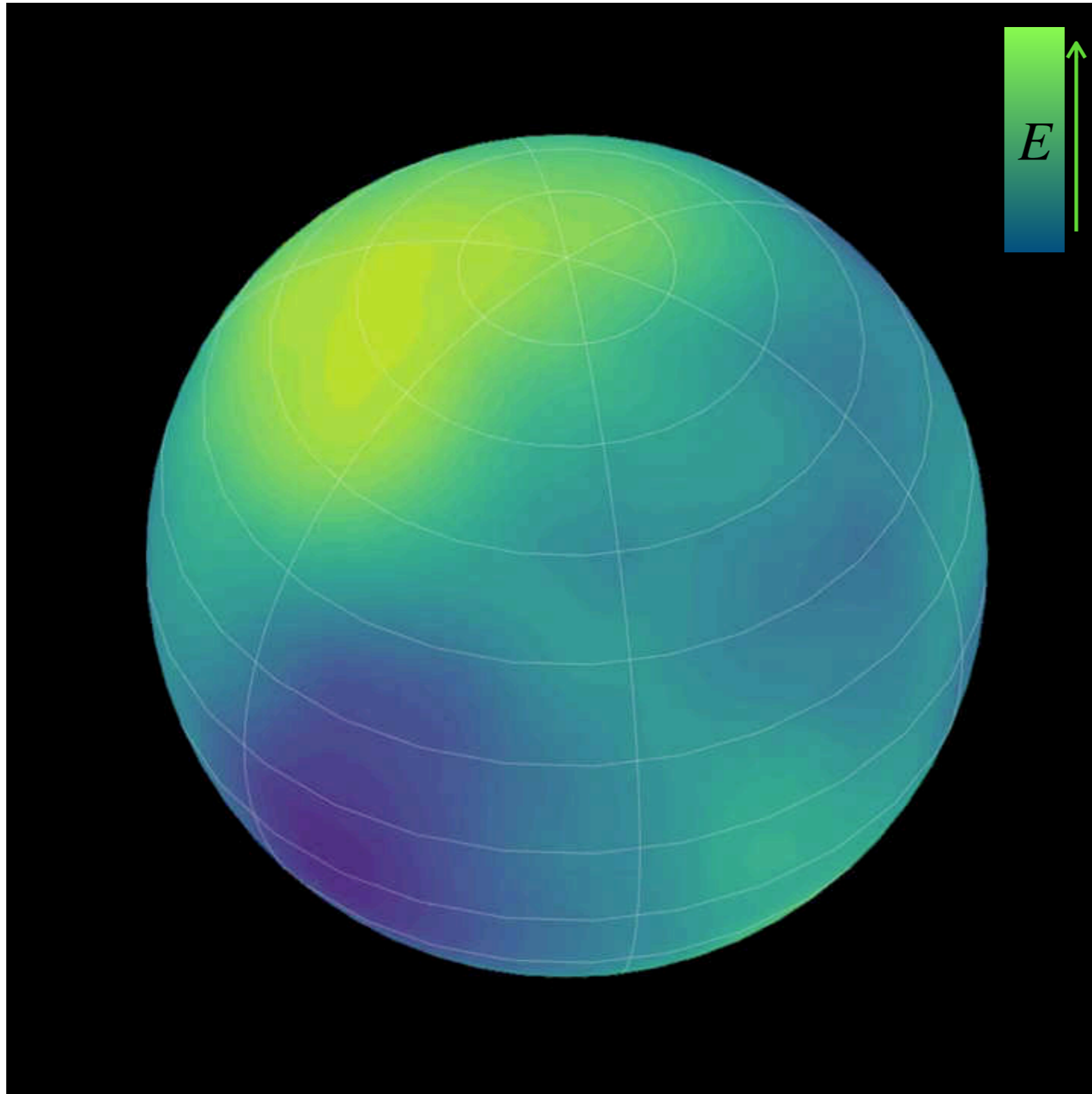


# Vector Resonant Relaxation



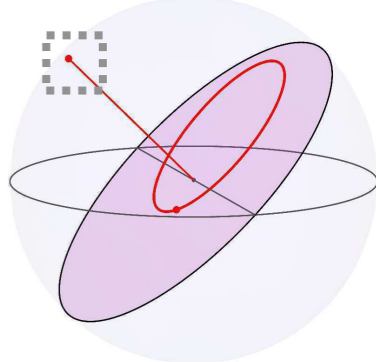
- + Motion coherent on large scales
  - **Long-range interacting system**
- + Motion smooth on short times
  - **Time-correlated noise**
- + Particles have “preferred friends”
  - **Parametric coupling  $(a, e)$**
- + System in statistical equilibrium
  - **Time stationarity  $(t - t')$**
  - **Rotation invariance  $(\hat{\mathbf{L}} \cdot \hat{\mathbf{L}}')$**

# Vector Resonant Relaxation

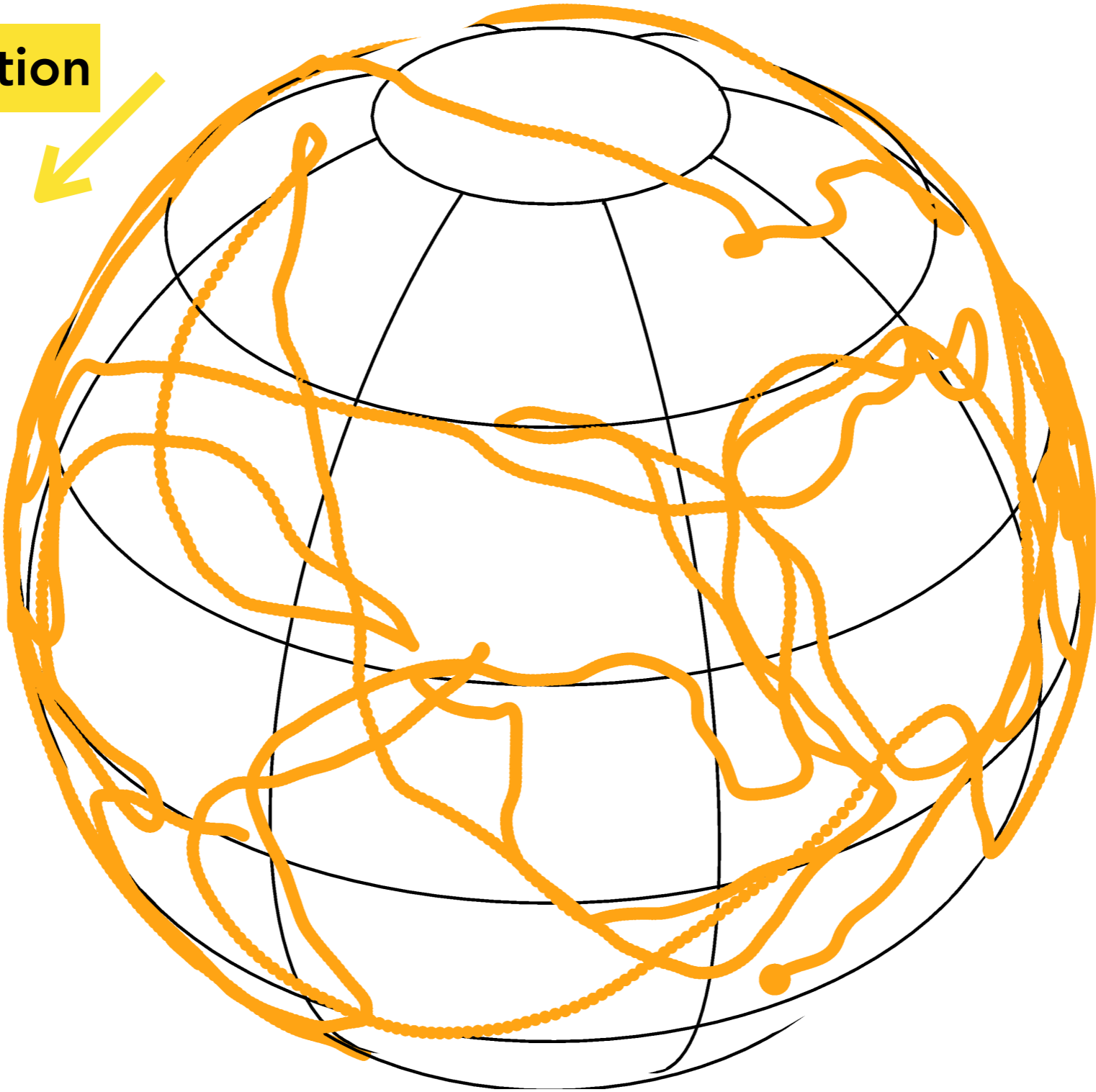


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  - **Rotation invariance**  $(\hat{\mathbf{L}} \cdot \hat{\mathbf{L}}')$

# Typical evolution of an orientation



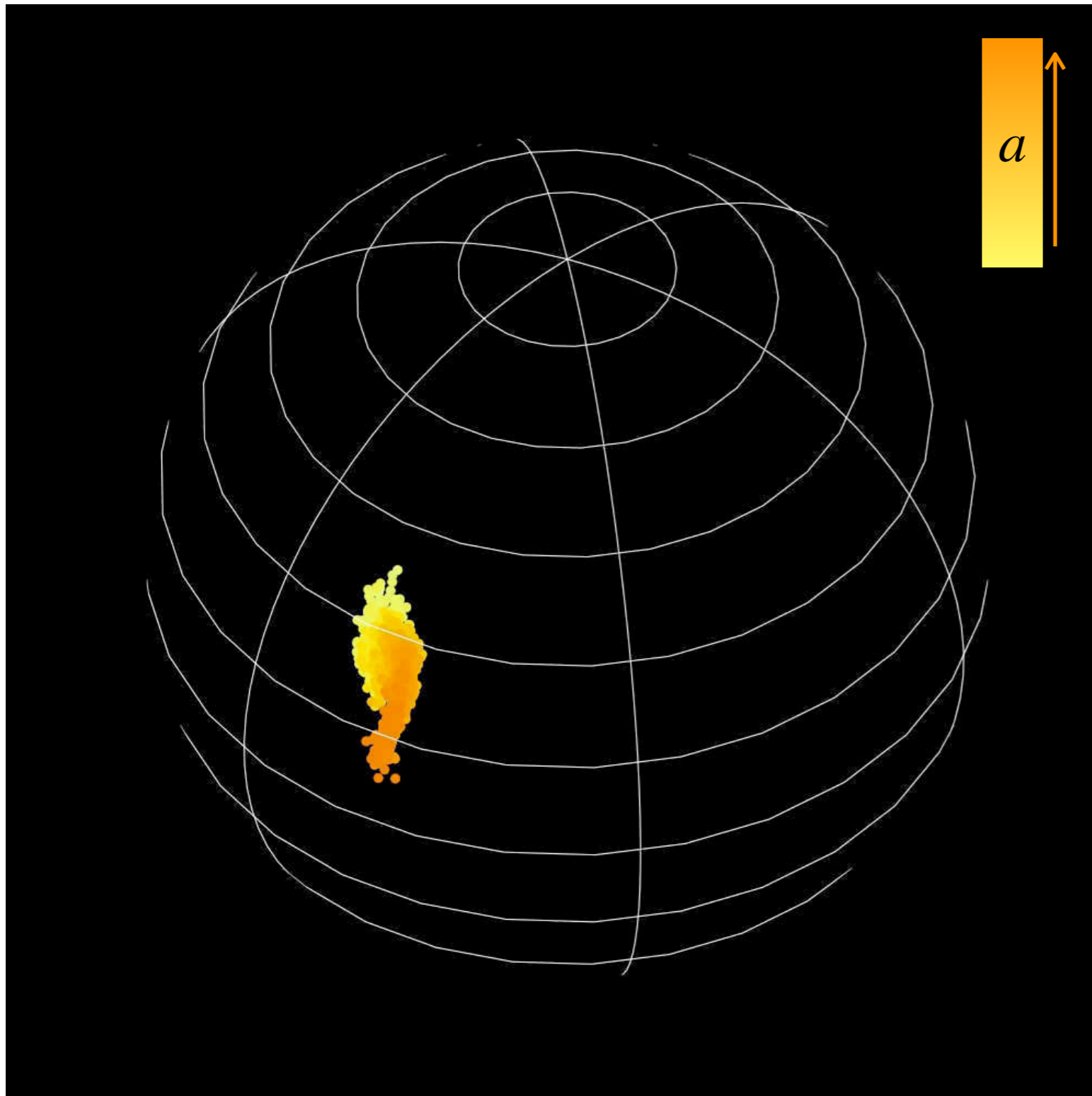
Orientation



Typical timescale  
~1,000,000 yr

The orbital orientation follows a **correlated random walk**.

# Vector Resonant Relaxation



+ How "neighbors" get separated

$$\frac{d\hat{\mathbf{L}}_i}{dt} = \eta(\hat{\mathbf{L}}_i, t)$$

+ Evolution sourced by a **shared, spatially-extended** and **time-correlated** noise

$$\begin{aligned} & \langle \eta(a_i, \hat{\mathbf{L}}_i, t) \eta(a_j, \hat{\mathbf{L}}_j, t') \rangle \\ & = C(a_i, a_j, \hat{\mathbf{L}}_i \cdot \hat{\mathbf{L}}_j, t - t') \end{aligned}$$

+ Two joint sources of **separation**

- **Parametric** separation

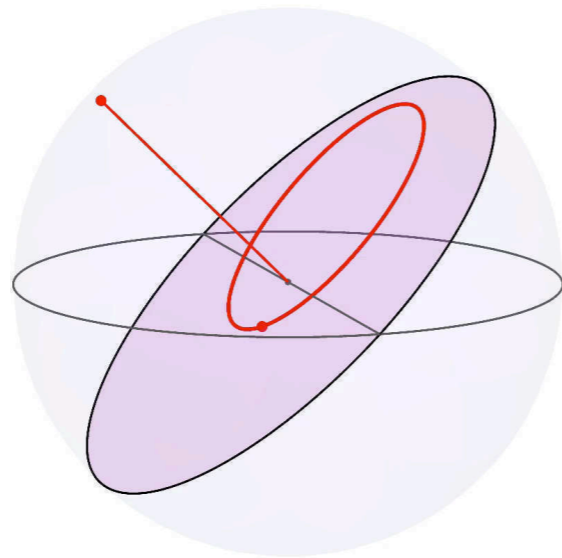
$$a_i \neq a_j$$

- **Angular** separation

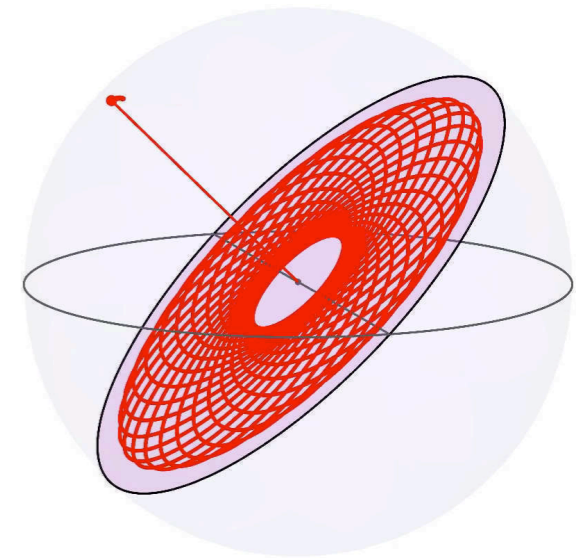
$$\hat{\mathbf{L}}_i \neq \hat{\mathbf{L}}_j$$

# Resonant Relaxation in Galactic nuclei

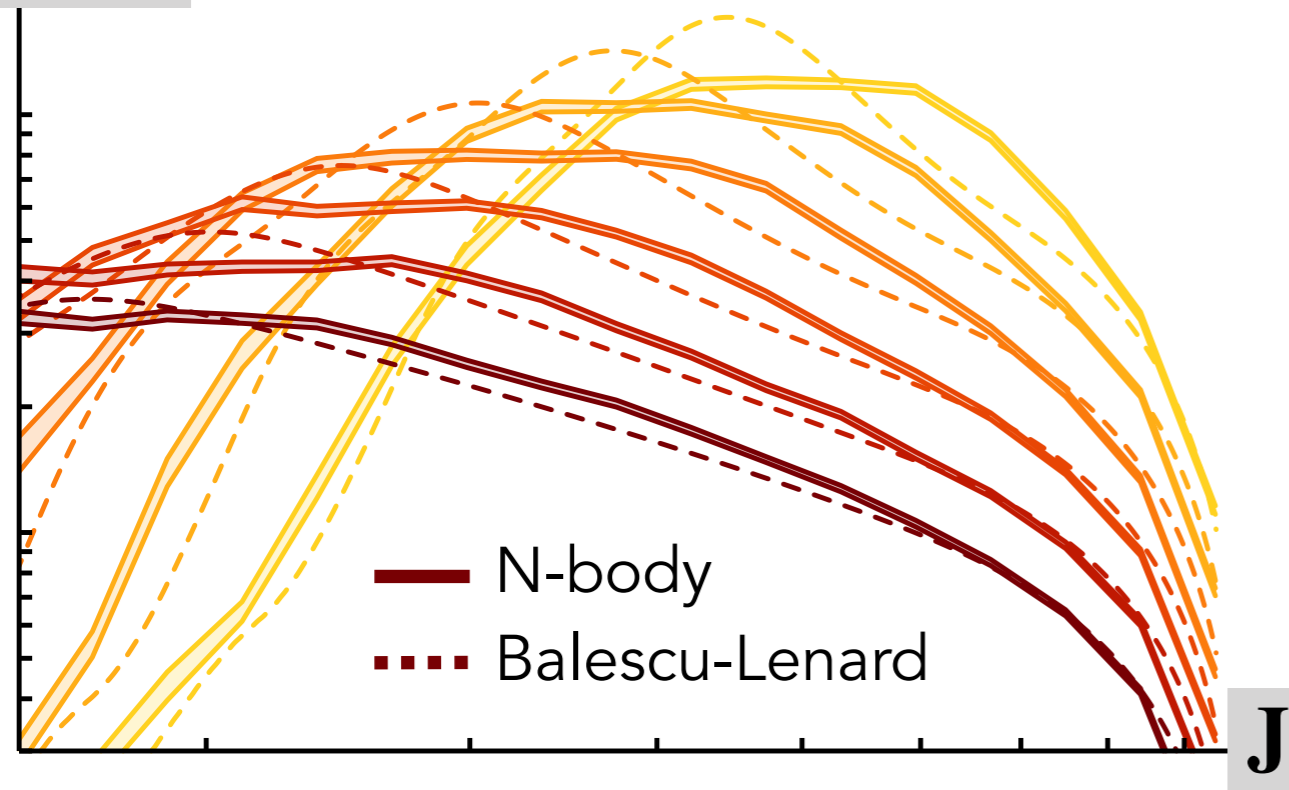
Relaxation  
of **eccentricities**



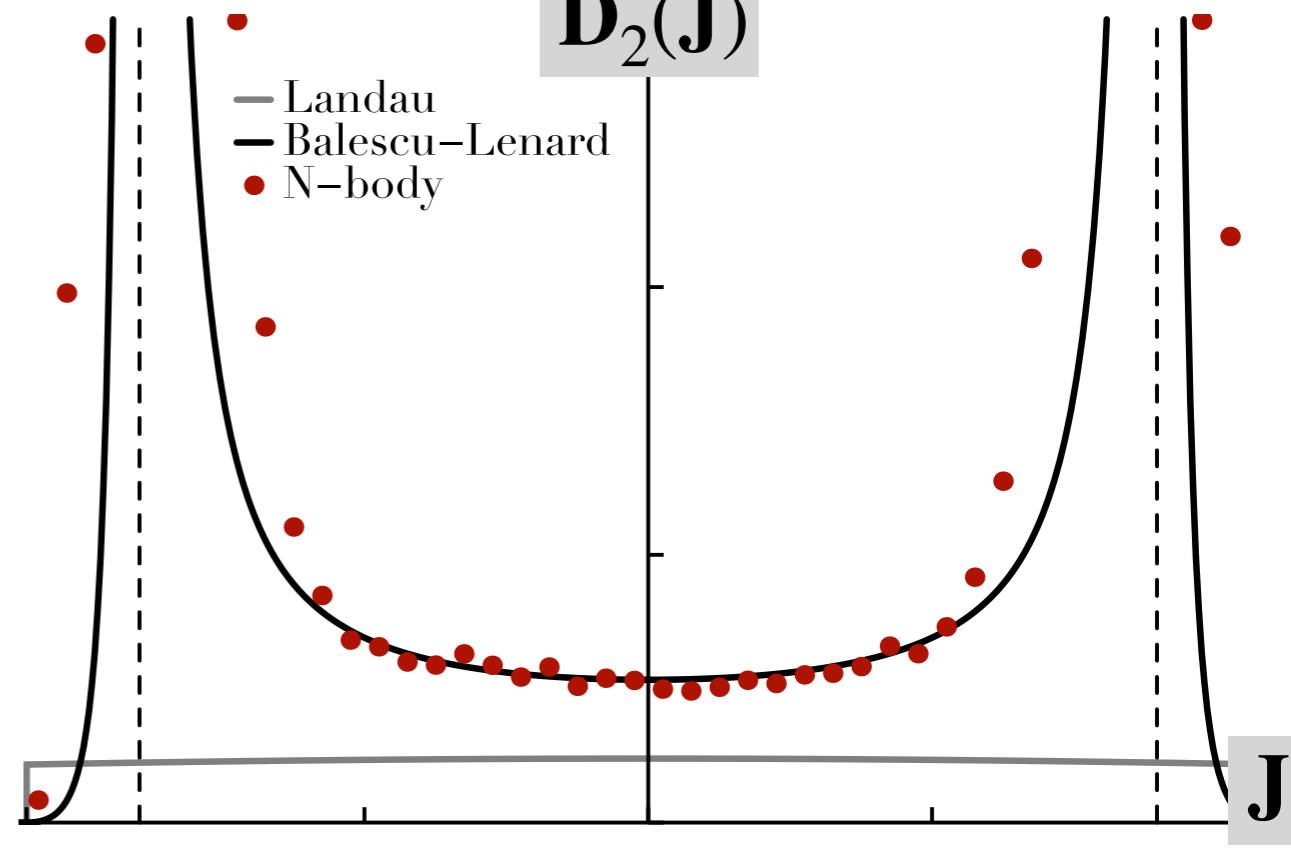
Relaxation  
of **orientations**



$D_2(J)$



$D_2(J)$



Kinetic theory can predict **long-term relaxations**.

**What's next?**

# What's next?

## Resonances

$$\mathbf{k}, \mathbf{k}' \rightarrow +\infty$$

$$\Omega(\mathbf{J}) = \text{cst}$$

## Kinetic blockings

$$d = 1 \quad \text{and} \quad \frac{1}{N^2}$$

## Deviations

$$\frac{\partial F_d}{\partial t} \quad \text{vs} \quad \frac{\partial \langle F_d \rangle}{\partial t}$$

## Integrability

$$\Phi(\mathbf{x}, t) \neq \Phi(\mathbf{J}, t)$$

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# (Non)-resonant relaxation

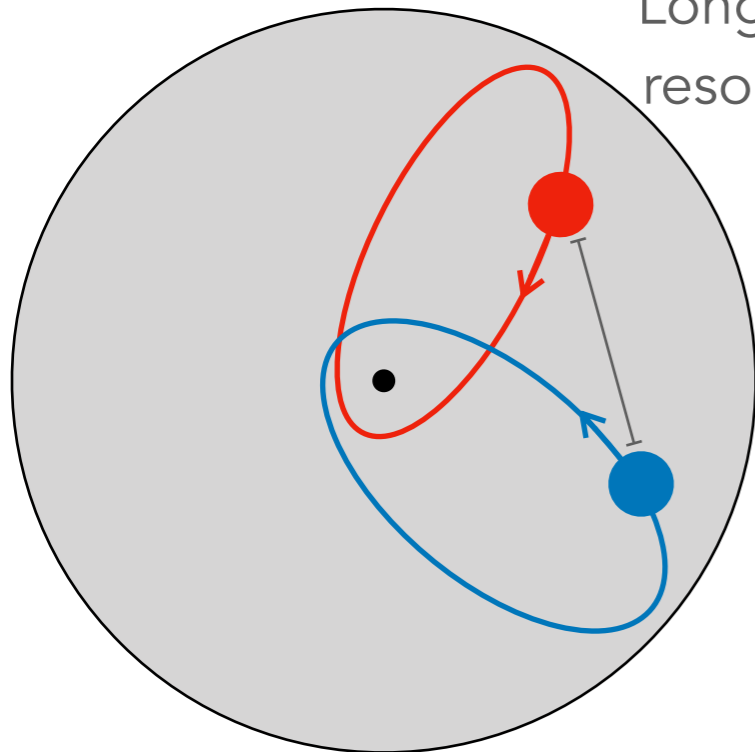
What about **high-order resonances**?

$$\frac{\partial F(\mathbf{J}, t)}{\partial t} = -\frac{\partial}{\partial \mathbf{J}} \cdot \left[ \sum_{\mathbf{k}, \mathbf{k}'} \left( \dots \right) \right]$$

## Resonant Relaxation

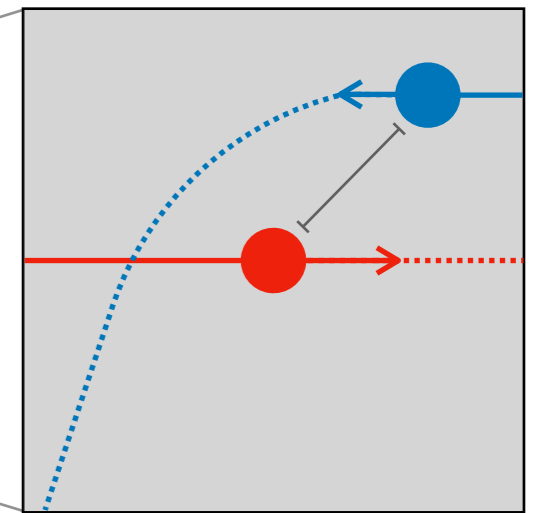
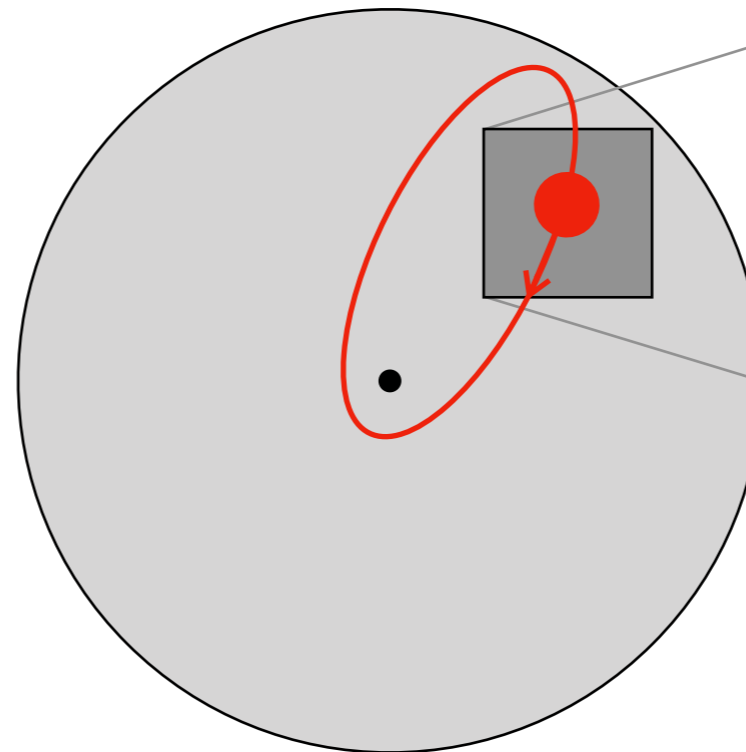
$$|\mathbf{k}|, |\mathbf{k}'| \simeq 1$$

Long-range resonances



## Non-Resonant Relaxation

$$|\mathbf{k}|, |\mathbf{k}'| \gg 1$$



Local deflections

Where is the **Coulomb logarithm**?

$$\ln \Lambda = \ln(k_{\min}/k_{\max})$$

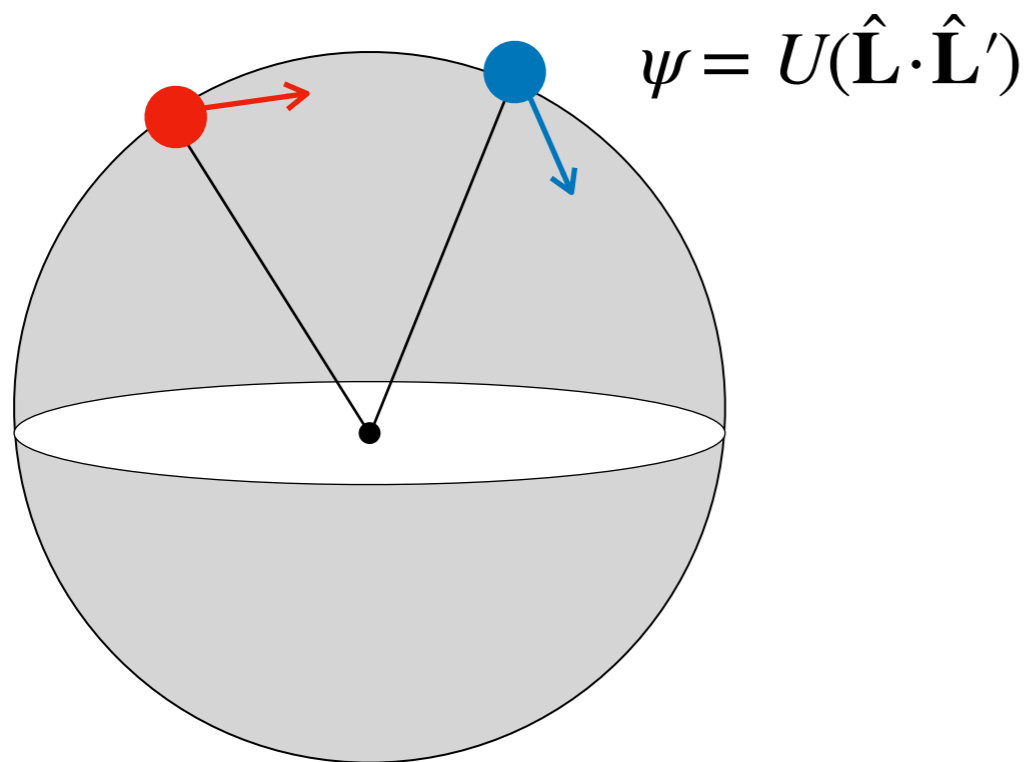
# Fundamental degeneracies

Dynamics in **degenerate** frequency profiles

$$\delta_D(\mathbf{k} \cdot \boldsymbol{\Omega}(\mathbf{J}) - \mathbf{k}' \cdot \boldsymbol{\Omega}(\mathbf{J}'))$$

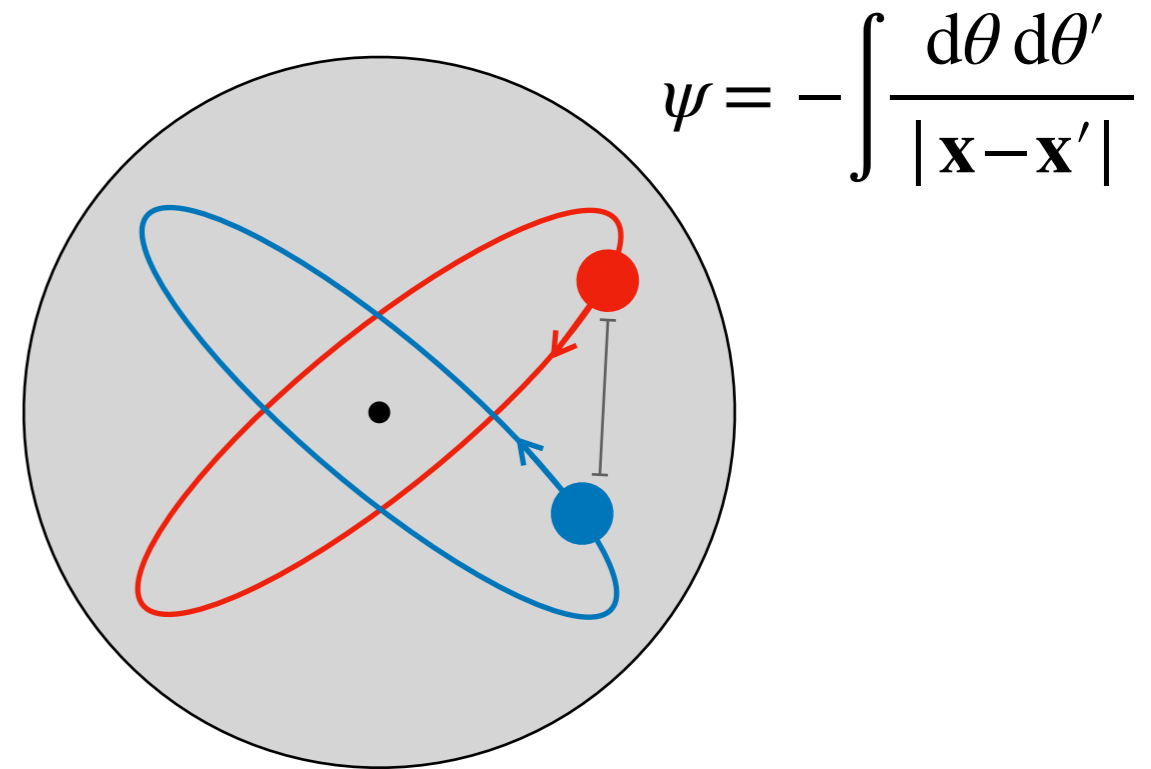
Resonance condition

$$\forall \mathbf{J}, \boldsymbol{\Omega}(\mathbf{J}) = 0$$



Vector Resonant Relaxation

$$\forall \mathbf{J}, \boldsymbol{\Omega}(\mathbf{J}) = \boldsymbol{\Omega}_0$$



Harmonic potential

How does relaxation occur in **degenerate systems**?

# What's next?

## Resonances

$$\mathbf{k}, \mathbf{k}' \rightarrow +\infty$$

$$\Omega(\mathbf{J}) = \text{cst}$$

## Kinetic blockings

$$d = 1 \quad \text{and} \quad \frac{1}{N^2}$$

## Deviations

$$\frac{\partial F_d}{\partial t} \quad \text{vs} \quad \frac{\partial \langle F_d \rangle}{\partial t}$$

## Integrability

$$\Phi(\mathbf{x}, t) \neq \Phi(\mathbf{J}, t)$$

# Kinetic blockings

Generic **Balescu-Lenard** equation

$$\frac{\partial F(\mathbf{J}, t)}{\partial t} = \frac{1}{N} \frac{\partial}{\partial \mathbf{J}} \cdot \left[ \sum_{\mathbf{k}, \mathbf{k}'} \mathbf{k} \int d\mathbf{J}' \frac{\delta_D(\mathbf{k} \cdot \boldsymbol{\Omega}(\mathbf{J}) - \mathbf{k}' \cdot \boldsymbol{\Omega}(\mathbf{J}'))}{|E_{\mathbf{k}\mathbf{k}'}(\mathbf{J}, \mathbf{J}', \mathbf{k} \cdot \boldsymbol{\Omega}(\mathbf{J}))|^2} \times \left( \mathbf{k} \cdot \frac{\partial}{\partial \mathbf{J}} - \mathbf{k}' \cdot \frac{\partial}{\partial \mathbf{J}'} \right) F(\mathbf{J}, t) F(\mathbf{J}', t) \right]$$

What happens in **1D systems**?

$$\begin{cases} \mathbf{k} = \mathbf{k}' = k \\ \mathbf{J} = \mathbf{J}' = J \end{cases}$$

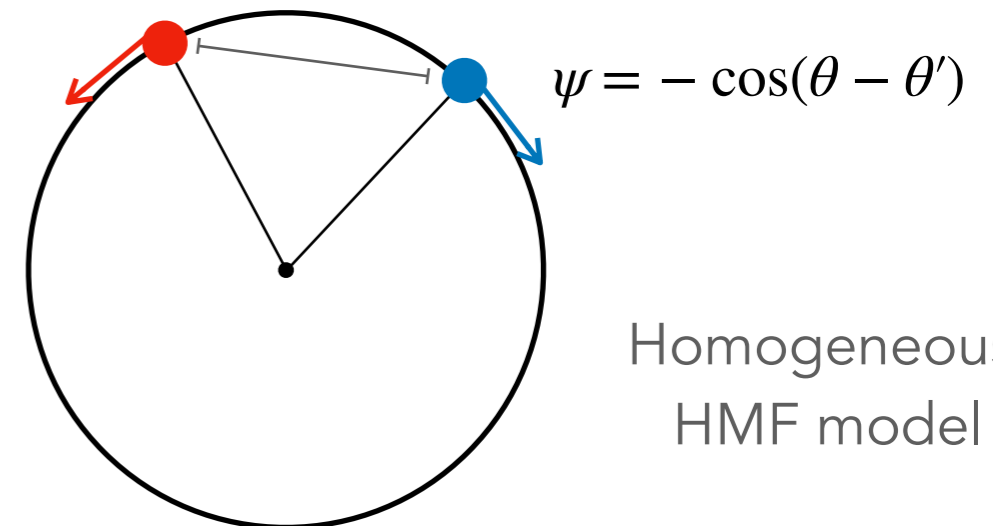


**No relaxation!**

$$\frac{\partial F(\mathbf{J}, t)}{\partial t} = \frac{1}{N} \times 0$$

**Conspiracy** for 2-body effects in 1D

$$\begin{cases} v_1 + v_2 = \text{cst} \\ v_1^2 + v_2^2 = \text{cst} \end{cases}$$



Kinetic theory at order  $1/N^2$  $1/N^2$  kinetic equation

Without collective effects | Without inhomogeneity

$$\begin{aligned} \frac{\partial F(v)}{\partial t} = & \frac{1}{N^2} \frac{\partial}{\partial v} \left[ \sum_{k_1, k_2} U(k_1, k_2) \mathcal{P} \int \frac{dv_1}{(v-v_1)^4} \right. \\ & \times \int dv_2 \delta_D \left[ (k_1 + k_2) v - k_1 v_1 - k_2 v_2 \right] \\ & \left. \times \left( (k_1 + k_2) \frac{\partial}{\partial v} - k_1 \frac{\partial}{\partial v_1} - k_2 \frac{\partial}{\partial v_2} \right) F(v) F(v_1) F(v_2) \right] \end{aligned}$$

- + How do **collective effects** contribute?
- + How do **frequency profiles** contribute?
- + What is the structure of kinetic theories at **higher order**  $1/N^s$  ?

# What's next?

## Resonances

$$\mathbf{k}, \mathbf{k}' \rightarrow +\infty$$

$$\Omega(\mathbf{J}) = \text{cst}$$

## Kinetic blockings

$$d = 1 \quad \text{and} \quad \frac{1}{N^2}$$

## Deviations

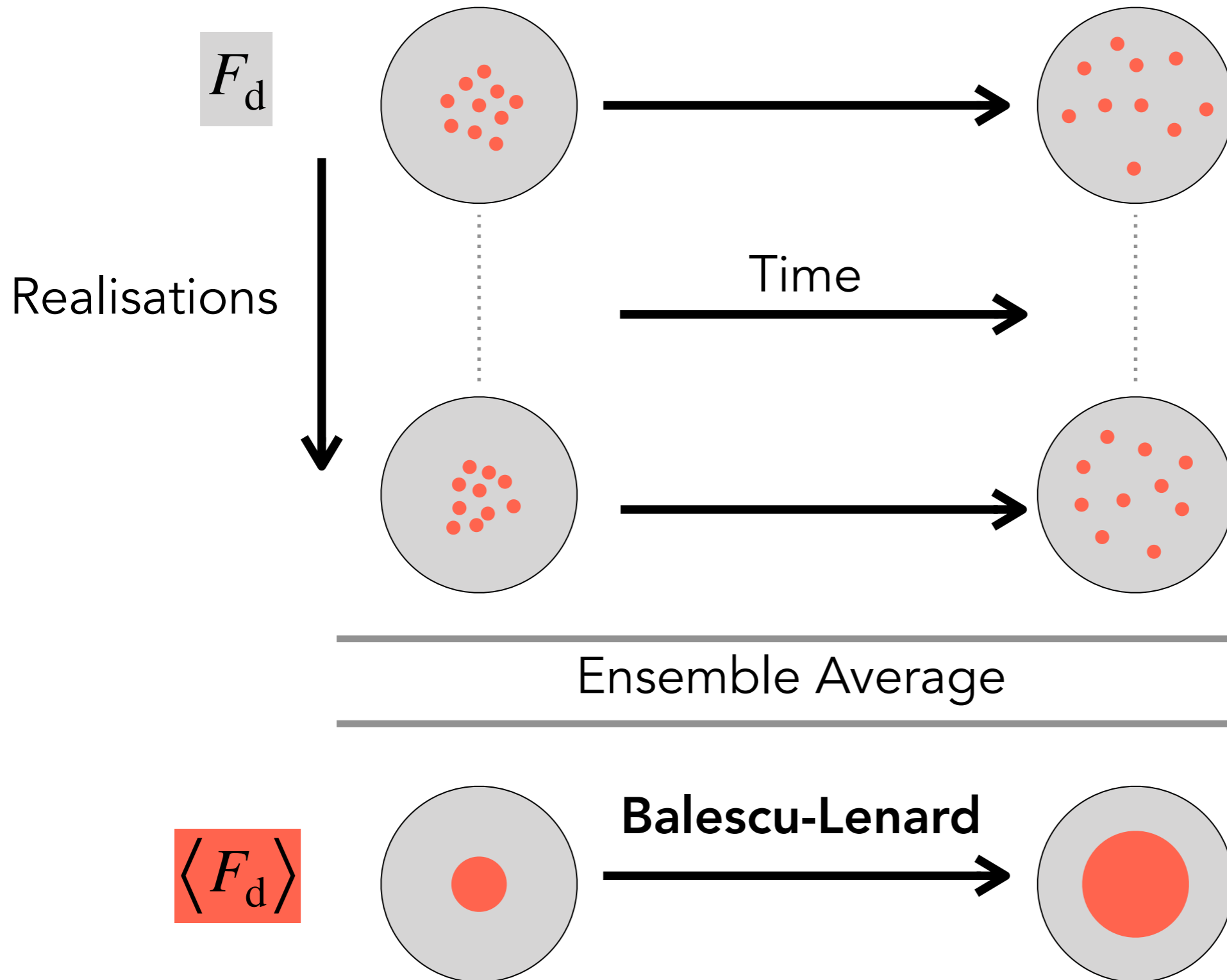
$$\frac{\partial F_d}{\partial t} \quad \text{vs} \quad \frac{\partial \langle F_d \rangle}{\partial t}$$

## Integrability

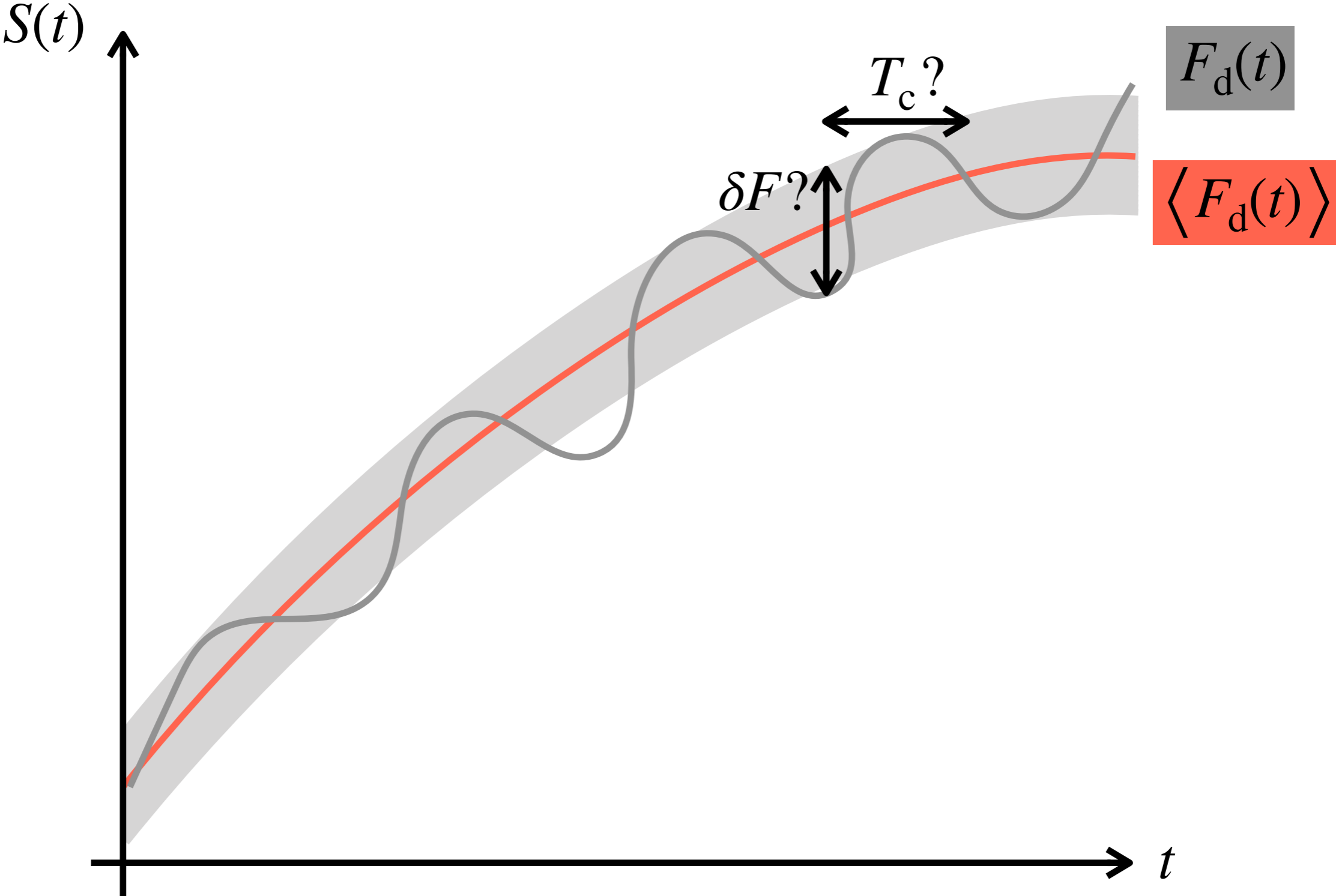
$$\Phi(\mathbf{x}, t) \neq \Phi(\mathbf{J}, t)$$

# Faking the dynamics

Kinetic theory predicts the **ensemble average** dynamics



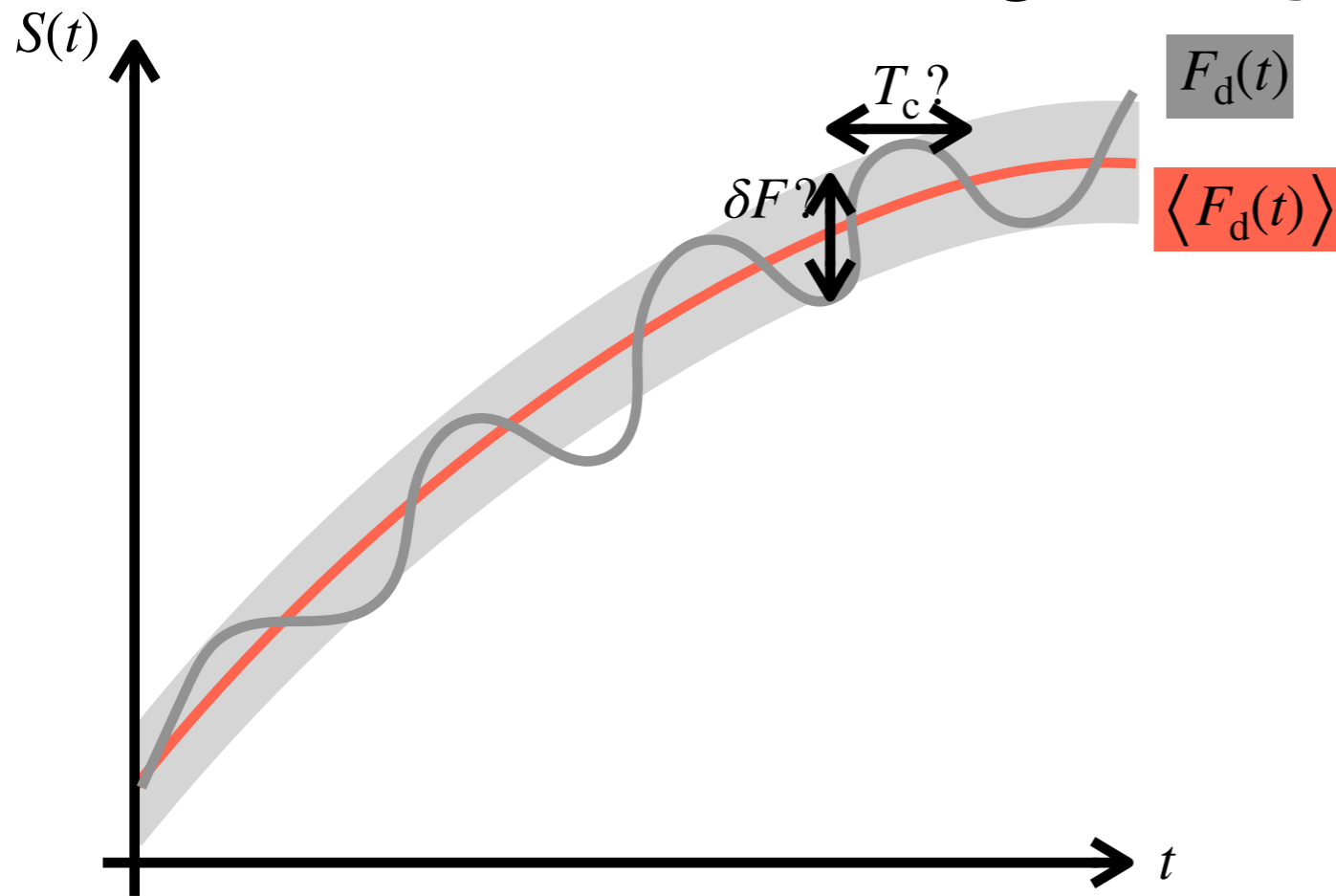
# Faking the dynamics



One realisation vs. **mean kinetic prediction**



# Faking the dynamics



What is the statistics of **(large) deviations**?

Probability of a given realisation?

$$\mathbb{P}(F_d(t) = F_0(t))$$

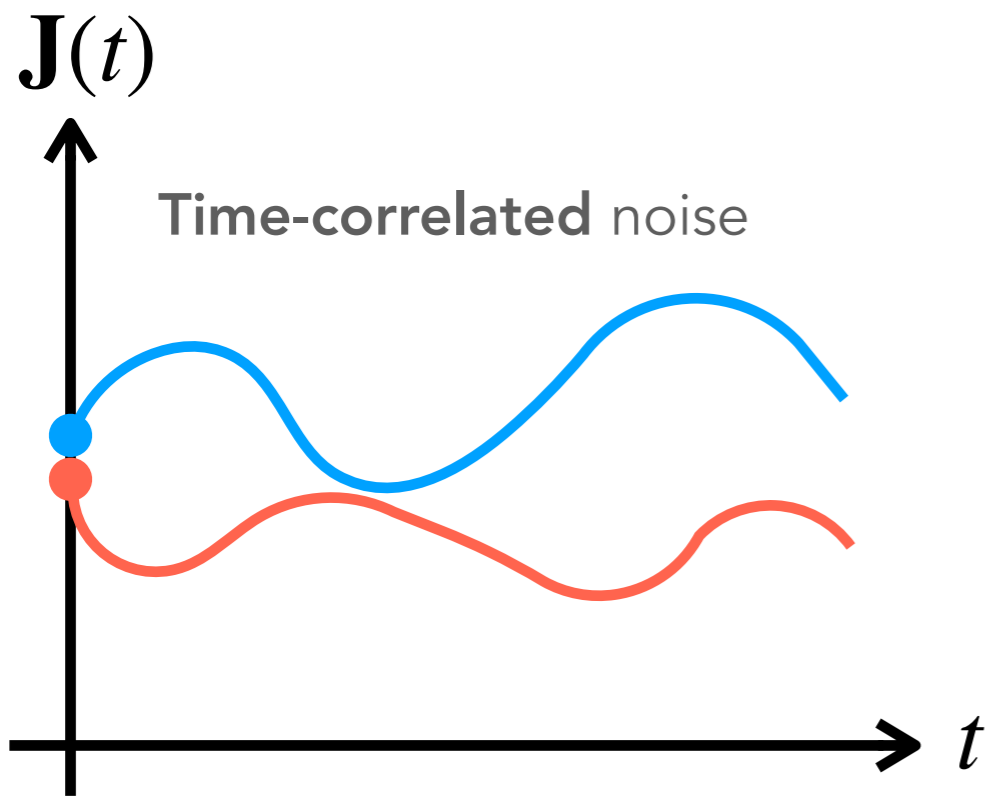
Most likely realisation?

$$\mathbb{P}(F_d(t) = \langle F_d(t) \rangle)$$

Can one **fake** realisations?

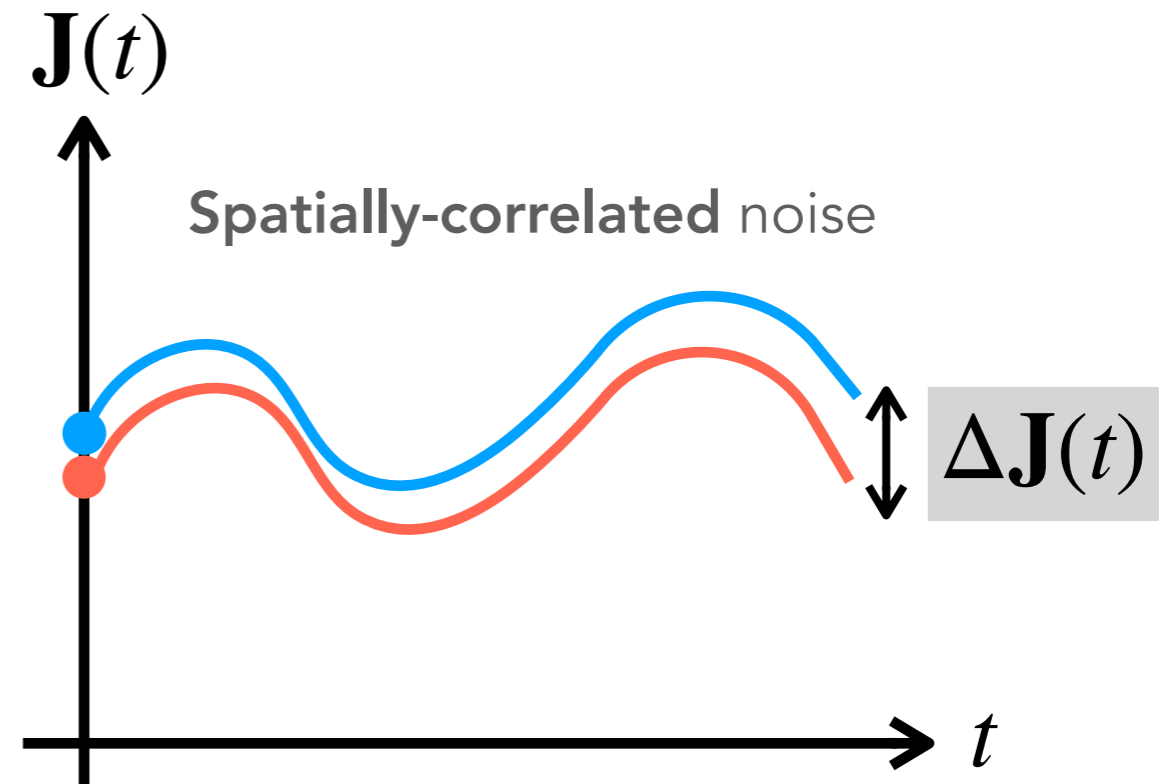
$$\frac{\partial F_d}{\partial t} = \text{BL}[F_d(t)] + \eta[F_d(t)] \quad \text{with the noise} \quad \langle \eta[F_d] \eta[F_d] \rangle = ??$$

## Faking the dynamics



Independent random walks

$$\frac{d\mathbf{J}}{dt} = \eta(\mathbf{J}(t), t)$$



Simultaneous random walks

$$\frac{d\Delta\mathbf{J}}{dt} = \eta(\mathbf{J}_1(t), \mathbf{J}_2(t), t)$$

How do **nearby particles** separate?

# What's next?

## Resonances

$$\mathbf{k}, \mathbf{k}' \rightarrow +\infty$$

$$\Omega(\mathbf{J}) = \text{cst}$$

## Kinetic blockings

$$d = 1 \quad \text{and} \quad \frac{1}{N^2}$$

## Deviations

$$\frac{\partial F_d}{\partial t} \quad \text{vs} \quad \frac{\partial \langle F_d \rangle}{\partial t}$$

## Integrability

$$\Phi(\mathbf{x}, t) \neq \Phi(\mathbf{J}, t)$$

## Going beyond isolated, integrable, resonant

Systems are not always **isolated**

$$\begin{cases} N = N(t) \\ [\delta H(t)]_{\text{tot}} = [\delta H(t)]_{\text{Poisson}} + [\delta H(t)]_{\text{ext}} \end{cases}$$

Structure formation  
Open clusters  
Collisionless relaxation

Systems are not always **integrable**

$$\left[ \frac{d\mathbf{J}}{dt} \right]_{\text{tot}} = \left[ \frac{d\mathbf{J}}{dt} \right]_{\text{resonant}} + \left[ \frac{d\mathbf{J}}{dt} \right]_{\text{chaotic}}$$

Thickened discs  
Barred galaxies  
Flattened halos

Systems are not always “nicely” **resonant**

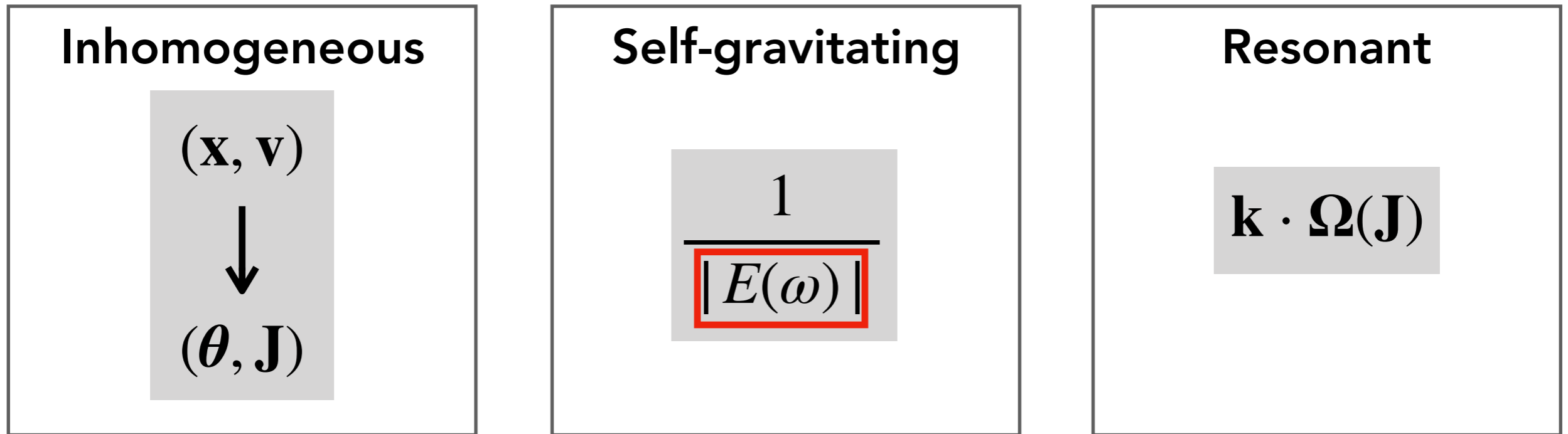
$$\boldsymbol{\Omega}(\mathbf{J}) = (\boldsymbol{\Omega}_1(\mathbf{J}), \epsilon \boldsymbol{\Omega}_2(\mathbf{J}))$$

Mean-motion resonances  
Eviction resonances  
Precession resonances

**Conclusion**

# Kinetic theory of self-gravitating systems

Long-range interacting systems are ubiquitous



Master equation for **dressed resonant relaxation**

$$\frac{\partial F(\mathbf{J}, t)}{\partial t} = \frac{1}{N} \frac{\partial}{\partial \mathbf{J}} \cdot \left[ \sum_{\mathbf{k}, \mathbf{k}'} \mathbf{k} \int d\mathbf{J}' \frac{\delta_D(\mathbf{k} \cdot \boldsymbol{\Omega}(\mathbf{J}) - \mathbf{k}' \cdot \boldsymbol{\Omega}(\mathbf{J}'))}{|E_{\mathbf{k}\mathbf{k}'}(\mathbf{J}, \mathbf{J}', \mathbf{k} \cdot \boldsymbol{\Omega}(\mathbf{J}))|^2} \times \left( \mathbf{k} \cdot \frac{\partial}{\partial \mathbf{J}} - \mathbf{k}' \cdot \frac{\partial}{\partial \mathbf{J}'} \right) F(\mathbf{J}, t) F(\mathbf{J}', t) \right]$$