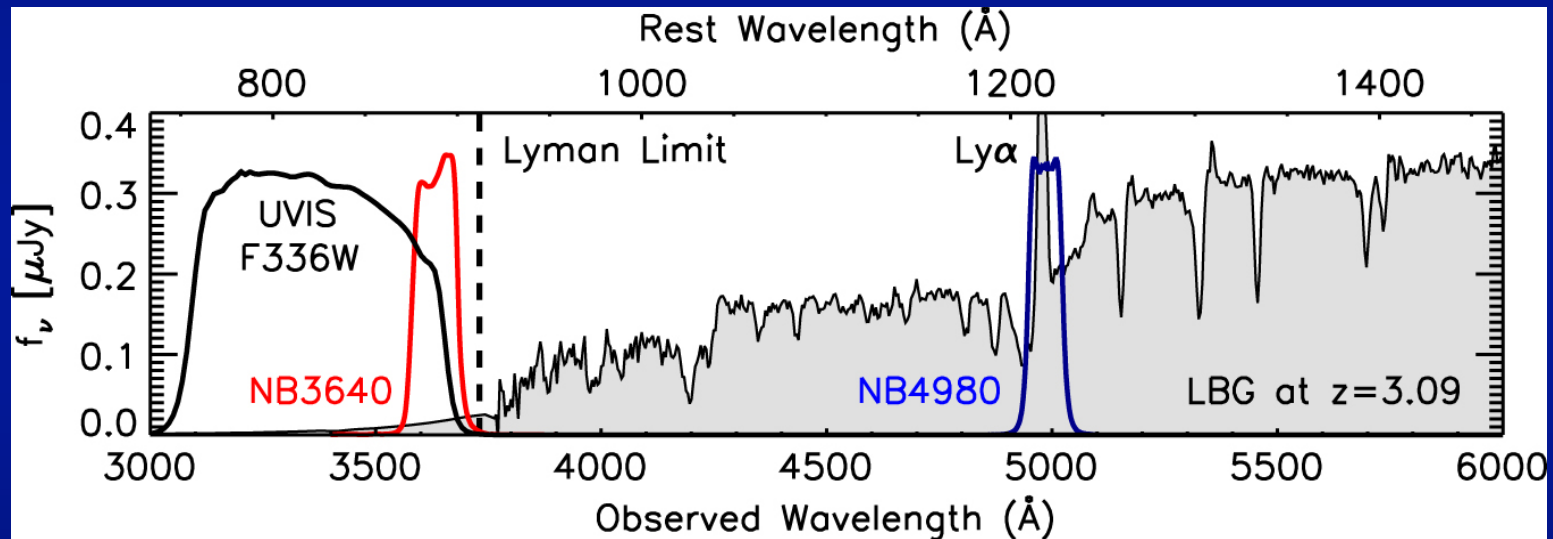


# Radiation from High-Redshift Sources and the Release of Ionizing Photons

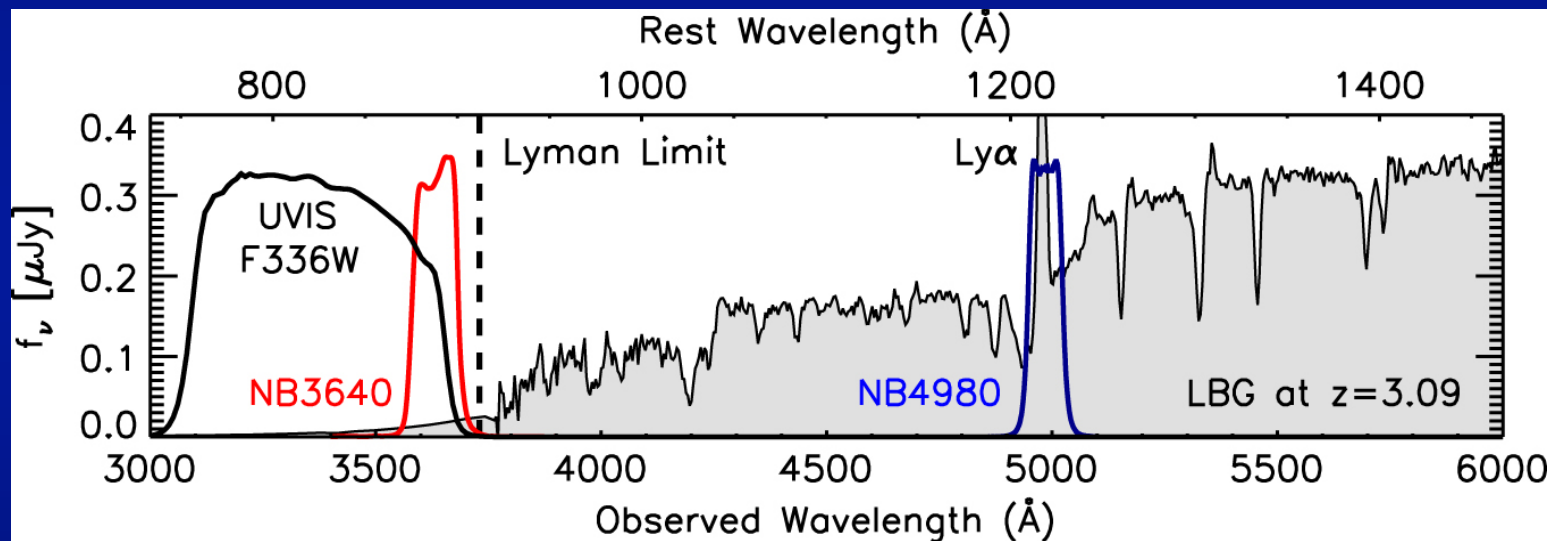


(Siana et al. 2015)

**Alice Shapley (UCLA)**

**Collaborators: Brian Siana, Robin Mostardi, Daniel Nestor, Chuck Steidel, Naveen Reddy, Max Pettini**

# Direct Measurement of the Escape of Ionizing Radiation from Galaxies at High Redshift



(Siana et al. 2015)

**Alice Shapley (UCLA)**

**Collaborators: Brian Siana, Robin Mostardi, Daniel Nestor, Chuck Steidel, Naveen Reddy, Max Pettini**

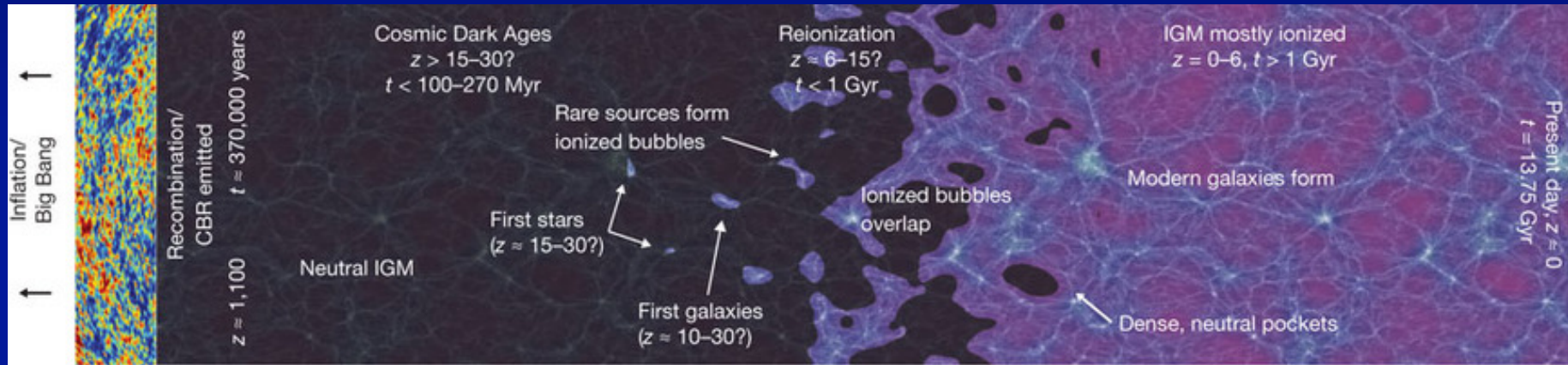
# Overview

- Reionization and The Big Picture.
- Direct measurements of  $f_{\text{esc}}$  from star-forming galaxies.
- The future.

# The Big Picture



# Cosmic Timeline & Reionization

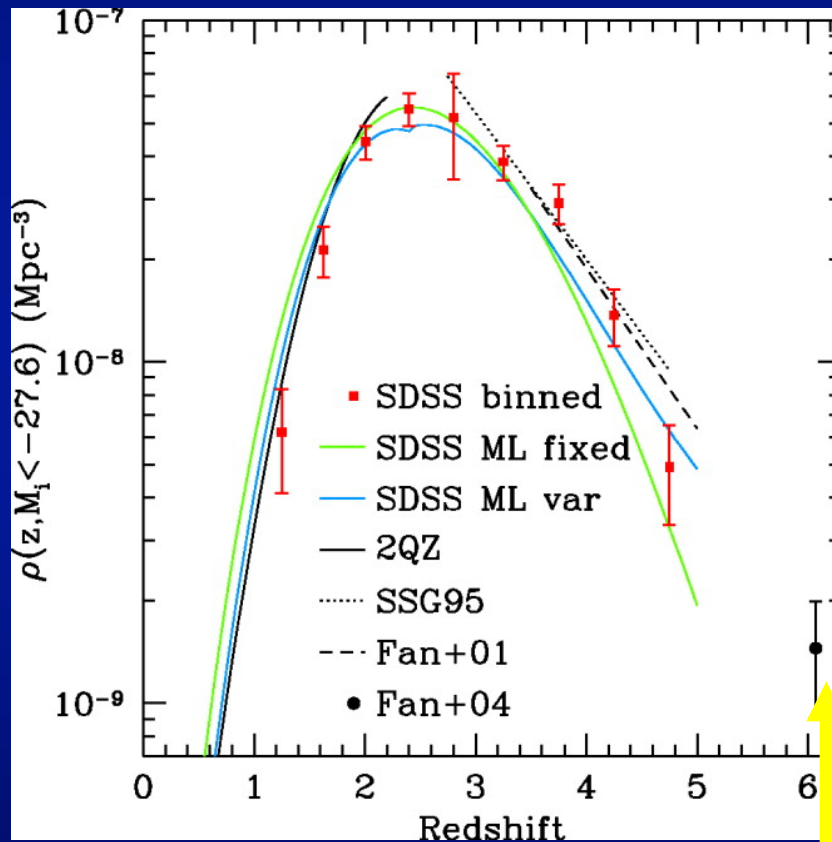


- **Much theoretical work (simulations and semi-analytic models) on the process of reionization.**
- **Observations (CMB,  $z \sim 6$  QSOs, galaxy Ly $\alpha$  emission statistics) tell us about the beginning and end of reionization.**
- **Planned 21-cm experiments.**

**(Robertson et al. 2010)**

# Sources of Ionizing Photons

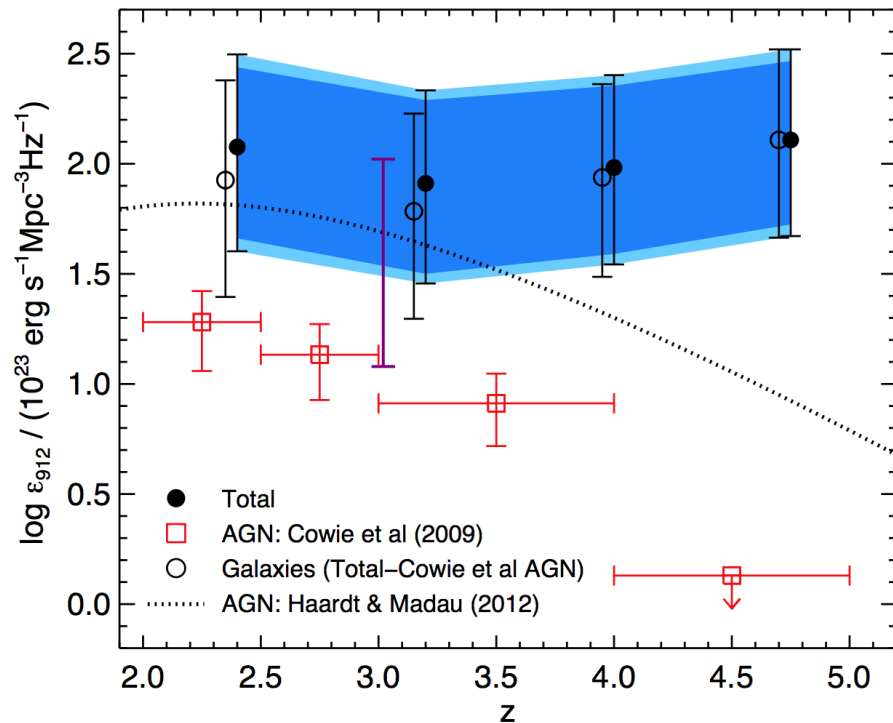
- While they are very luminous, quasars are also very rare.
- Furthermore, the number density of optically luminous QSOs appears to drop off from from a peak level at  $z \sim 2$ , both towards higher and lower redshifts.
- Number density of faint QSOs at  $z \sim 4-6$  is uncertain, but reasonable estimates of QSO contribution at these redshifts suggests they are not important.



(Richards et al. 2006)

Epoch of  
Reionization

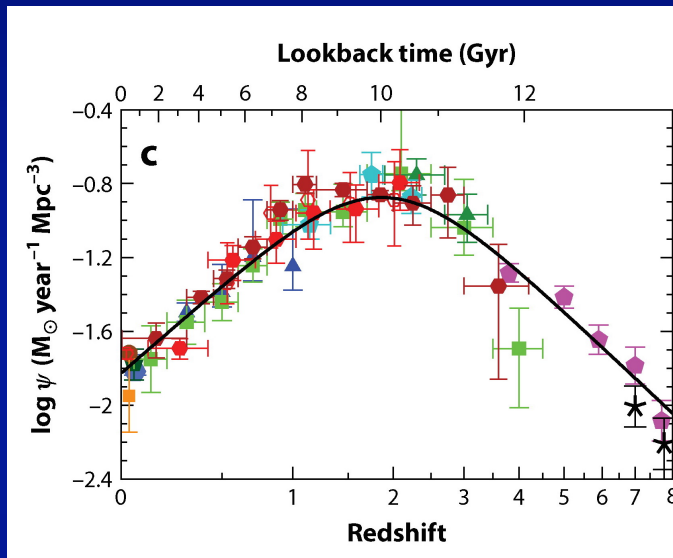
# Sources of Ionizing Photons



- At the same time, the rate at which the ionizing background evolves is much more gradual.
- When the intergalactic medium (IGM) became reionized, there were probably not enough quasars to do the job.
- Therefore, we must understand the contribution of galaxies to reionization and to the ionizing background over a large range in redshifts.

(Becker & Bolton 2013)

# Sources of Ionizing Photons



Evolution of the global density of star formation in the universe, based on non-ionizing UV light.

(Madau & Dickinson 2014)

- Critical questions: What are the sources that reionized the universe? What is the ionizing photon production rate from galaxies and their contribution to the global ionization rate of hydrogen?
- To answer these questions, we must chart the abundance and star-formation rates of galaxies as a function of redshift, and estimate  $f_{\text{esc}}$ , the escape fraction of ionizing photons from star-forming galaxies.

# Simple Models of Reionization

- Evolution of ionized fraction of the universe is described as a balance between ionization and recombination (e.g., Robertson et al. 2015):

$$\dot{Q}_{\text{HII}} = \frac{\dot{n}_{\text{ion}}}{\langle n_{\text{H}} \rangle} - \frac{Q_{\text{HII}}}{t_{\text{rec}}}$$

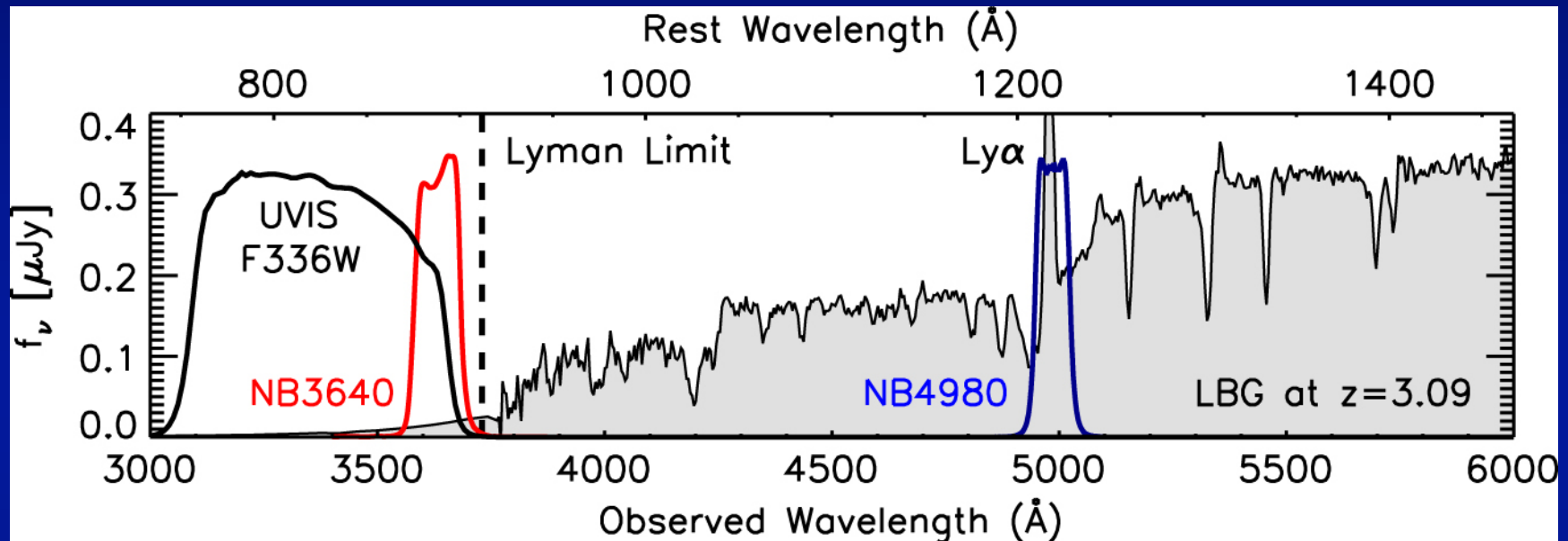
- The ionizing photon production rate is expressed as:

$$\dot{n}_{\text{ion}} = f_{\text{esc}} \xi_{\text{ion}} \rho_{\text{SFR}}$$

- The evolution of the ionized fraction directly follows from  $\dot{n}_{\text{ion}}$ .  $\rho_{\text{SFR}}$  follows from measured UV luminosity function.  $\xi_{\text{ion}}$  follows from population synthesis models. Realistic estimates of  $f_{\text{esc}}$  are critical for understanding how  $Q_{\text{HII}}$  evolves.

# Direct Measurements of $f_{\text{esc}}$

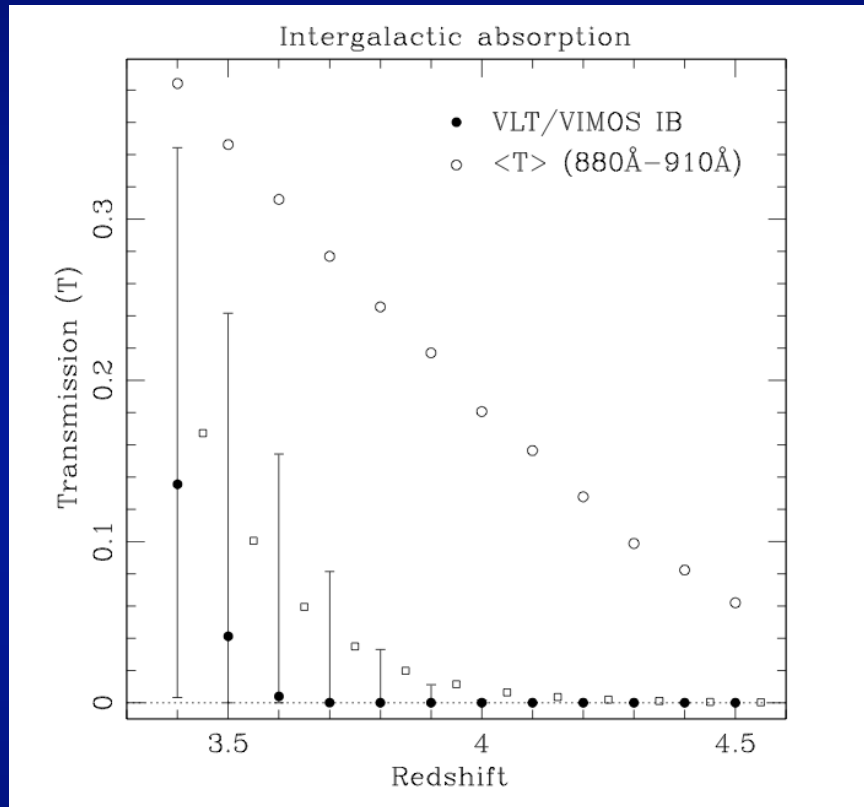
# What is a direct measurement?



(Siana et al. 2015)

- **Spectroscopy:** Spectroscopy below the Lyman limit at 912 Å.
- **Imaging:** Broadband or narrowband image entirely below the Lyman limit at 912 Å.

# Measuring $f_{\text{esc}}$



- Unfortunately, at the epoch of reionization, the Ly $\alpha$  forest is so thick that it is impossible to determine  $f_{\text{esc}}$  directly from  $z > 6$  (or even  $z > 4$ ) galaxies.
- Solution: measure  $f_{\text{esc}}$  from lower-redshift galaxies, relate these sources to objects at  $z > 6$  (see Heckman's talk).
- Highest practical redshift for direct  $f_{\text{esc}}$  measurements is  $z \sim 3.5$ .

Transmission of ionizing photons.(Vanzella et al. 2012)



# Definitions of $f_{\text{esc}}$ , etc.

- What is  $f_{\text{esc}}$ ?

$$f_{\text{esc}}(\text{LyC}) = \frac{L_{\text{LyC},\text{out}}}{L_{\text{LyC},\text{in}}}$$

LyC=880-910 Å

- How are  $f_{\text{esc}}$  and  $f_{\text{esc,rel}}$  related?

$$f_{\text{esc,rel}}(\text{LyC}) = \frac{f_{\text{esc}}(\text{LyC})}{f_{\text{esc}}(1500)}$$

$$f_{\text{esc}}(\text{LyC}) = f_{\text{esc,rel}}(\text{LyC}) f_{\text{esc}}(1500)$$

$$f_{\text{esc,rel}}(\text{LyC}) = \frac{f_{900}/f_{1500}}{(L_{900}/L_{1500})t_{\text{IGM}}}$$

$f_{\text{esc,rel}}$  useful for deriving global quantities, such as  $\epsilon_{\text{LyC}}$ , based on LBG UV luminosity function

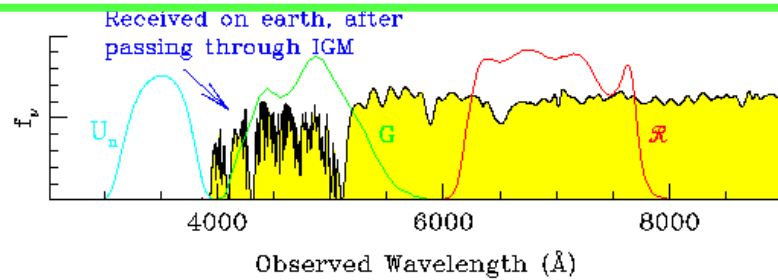
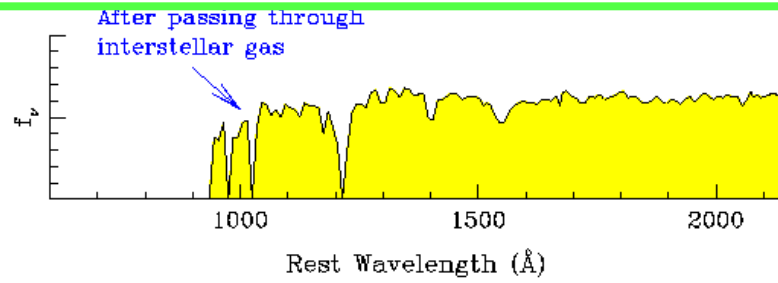
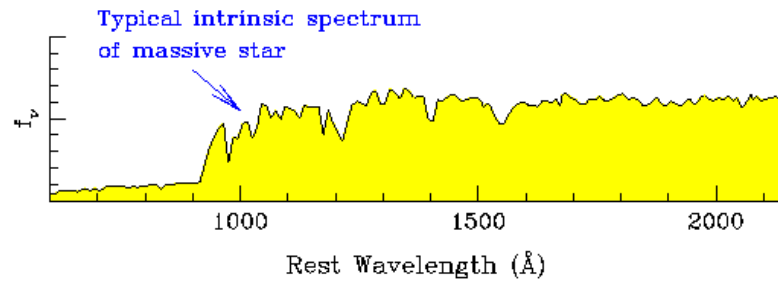
- Can be re-arranged:

$$f_{900}/f_{1500} = f_{\text{esc,rel}}(\text{LyC})(L_{900}/L_{1500})t_{\text{IGM}}$$

We measure this

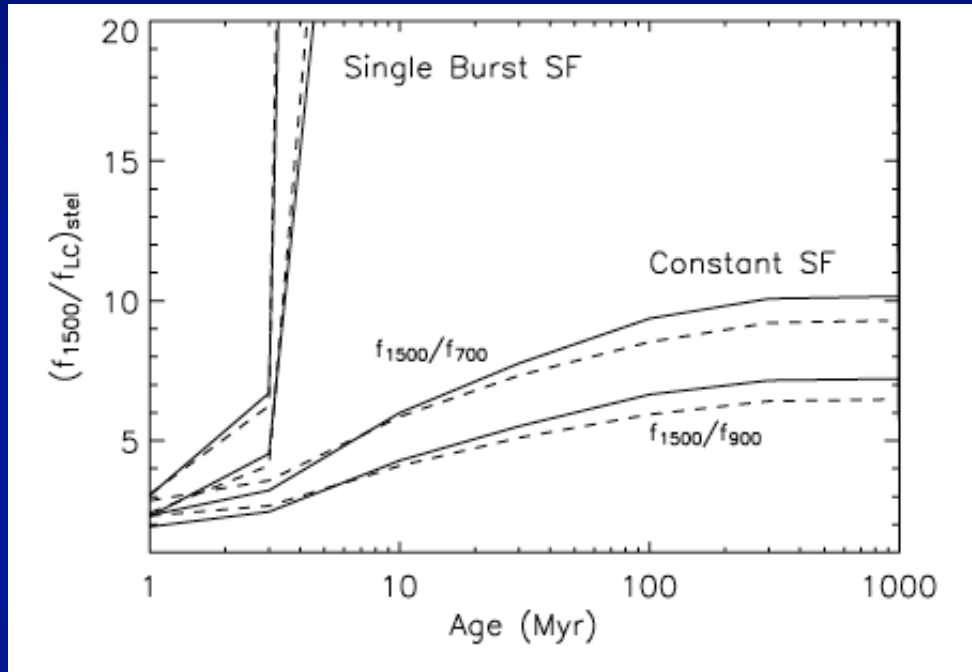
Stellar pop.  
models: 1.5-8

Simulations of  
z~3 IGM opacity  
in LyC: 0.17-0.55



$$f_{esc,rel}(\text{LyC}) = \frac{f_{900}/f_{1500}}{(L_{900}/L_{1500})t_{IGM}}$$

# Intrinsic $L_{UV}/L_{LyC}$



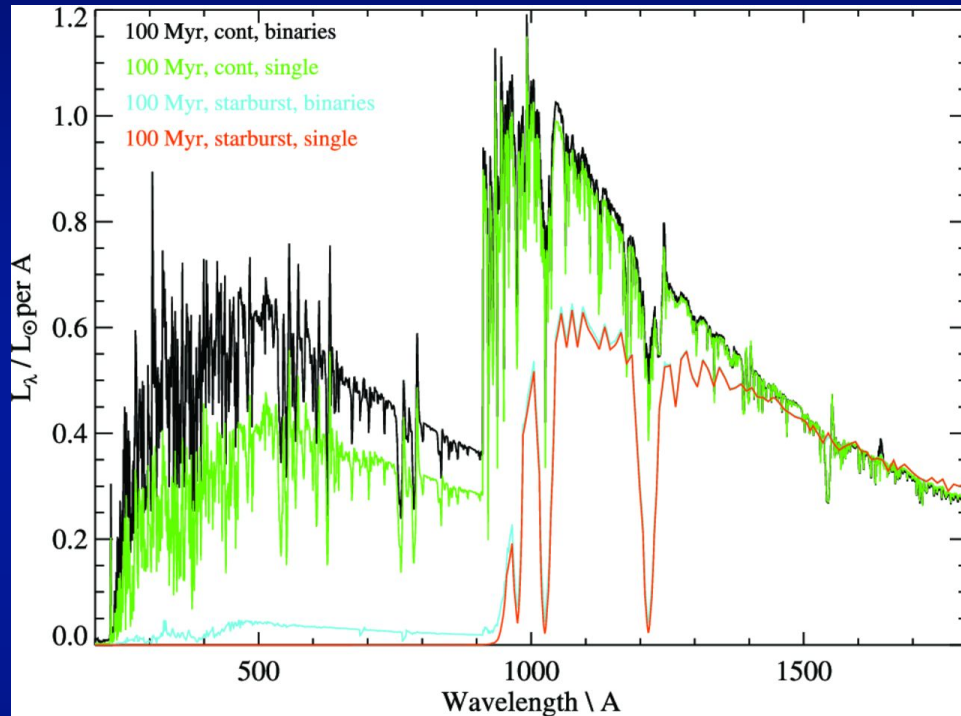
(Siana et al. 2007)

- Key for estimating  $f_{\text{esc}}$  is an assumption about the intrinsic ratio of non-ionizing UV (i.e. “UV,” 1500 Å) and ionizing-UV (i.e. “LyC,” 900 Å) flux density,  $L_{UV}/L_{LyC}$ .

- BC03 Stellar population synthesis models predict  $L_{UV}/L_{LyC} \sim 7$  for reasonable assumptions of ages, metallicities, and IMFs.

- Recall: We evaluate “UV” at  $\sim 1500 \text{ Å}$ , and “LyC” at  $\sim 900 \text{ Å}$  (880-910 Å).

# Intrinsic $L_{\text{UV}}/L_{\text{LyC}}$



(Stanway et al. 2016)

- Key for estimating  $f_{\text{esc}}$  is an assumption about the intrinsic ratio of non-ionizing UV (i.e. “UV,” 1500 Å) and ionizing-UV (i.e. “LyC,” 900 Å) flux density,  $L_{\text{UV}}/L_{\text{LyC}}$ .
- BPASS and S99 models suggest a lower ratio of  $L_{\text{UV}}/L_{\text{LyC}}$  ( $\sim 4$ ) when evolution of interacting binaries and stellar rotation are included. Lower for lower metallicity ( $\sim 3$ ), different IMF.

# Global Implications

- Compute  $\epsilon_{\text{LyC}}$  (ionizing luminosity density), based on  $\epsilon_{\text{UV}}$  (non-ionizing UV luminosity density) and  $\langle F_{\text{UV}}/F_{\text{LyC}} \rangle$ , corrected for IGM absorption:

$$\epsilon_{\text{LyC}} = \left( \frac{F_{\text{UV}}}{F_{\text{LyC}}} \right)_{\text{corr}}^{-1} \int_{L_{\text{min}}}^{L_{\text{max}}} L \Phi dL$$

- For  $\epsilon_{\text{UV}}$ , integrate luminosity function of population of interest (e.g., Reddy et al. 2008 for LBGs; Ouchi et al. 2008 for LAEs).
- $\epsilon_{\text{LyC}}$  is directly related to  $\dot{n}_{\text{ion}}$

# Global Implications

- Based on  $\epsilon_{\text{LyC}}$  and  $\Delta l_{\text{mfp}}$  (mean free path for ionizing photons at  $z \sim 3$ ), compute contribution from LBGs to the global hydrogen ionization rate,  $\Gamma$ .

$$\Gamma(z) \approx \frac{\sigma_{\text{HI}} \Delta l(\nu_{\text{HI}}, z) \epsilon_{\nu_{\text{HI}}}(z)}{h(\alpha_{\text{HI}} + 3)}$$

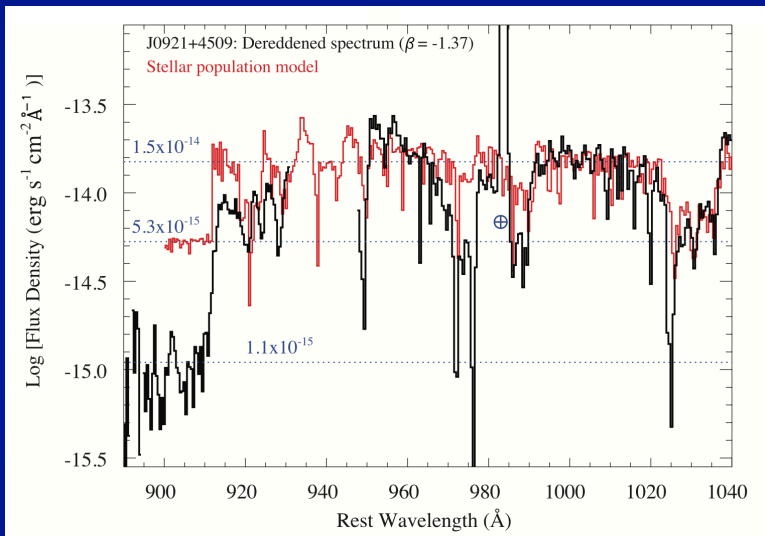
$\sigma_{\text{HI}}$ =hydrogen ionization cross-section;  
 $\Delta l$ = mean free path;  $\epsilon_{\nu_{\text{HI}}}$ =ionizing  
luminosity density ( $\epsilon_{\text{LyC}}$ );  $h$ =Planck's  
constant;  $\alpha_{\text{HI}}$ =spectral index of ionizing  
radiation.

# z~0 LyC Measurements

- For ~2 decades, no convincing direct detections of LyC emission from local galaxies or z~1-2.

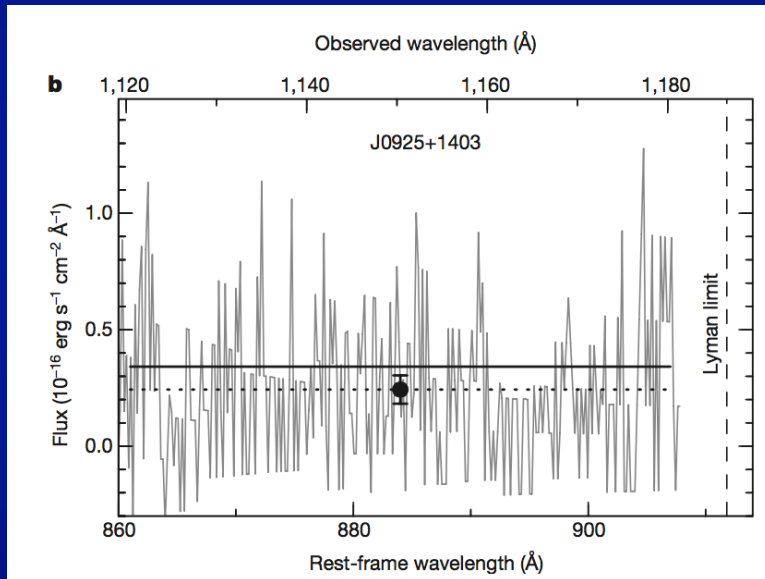
- Haro 11 (controversial; Bergvall et al. 2006, Grimes et al. 2007; Leitner et al. 2011).

- Borthakur et al. (2014): z=0.23 starburst (SFR~50  $M_{\text{sun}}/\text{yr}$ ), very compact; blueshifted ISM lines indicate non-unity covering fraction. *HST/COS* spectrum covers 890-1040 Å, implies  $f_{\text{esc}} \sim 1\%$ .



# $z \sim 0$ LyC Measurements

(Izotov et al. 2016a)



- For  $\sim 2$  decades, no convincing direct detections of LyC emission from local galaxies or  $z \sim 1-2$ .

- Haro 11 (controversial; Bergvall et al. 2006, Grimes et al. 2007; Leitet et al. 2011).

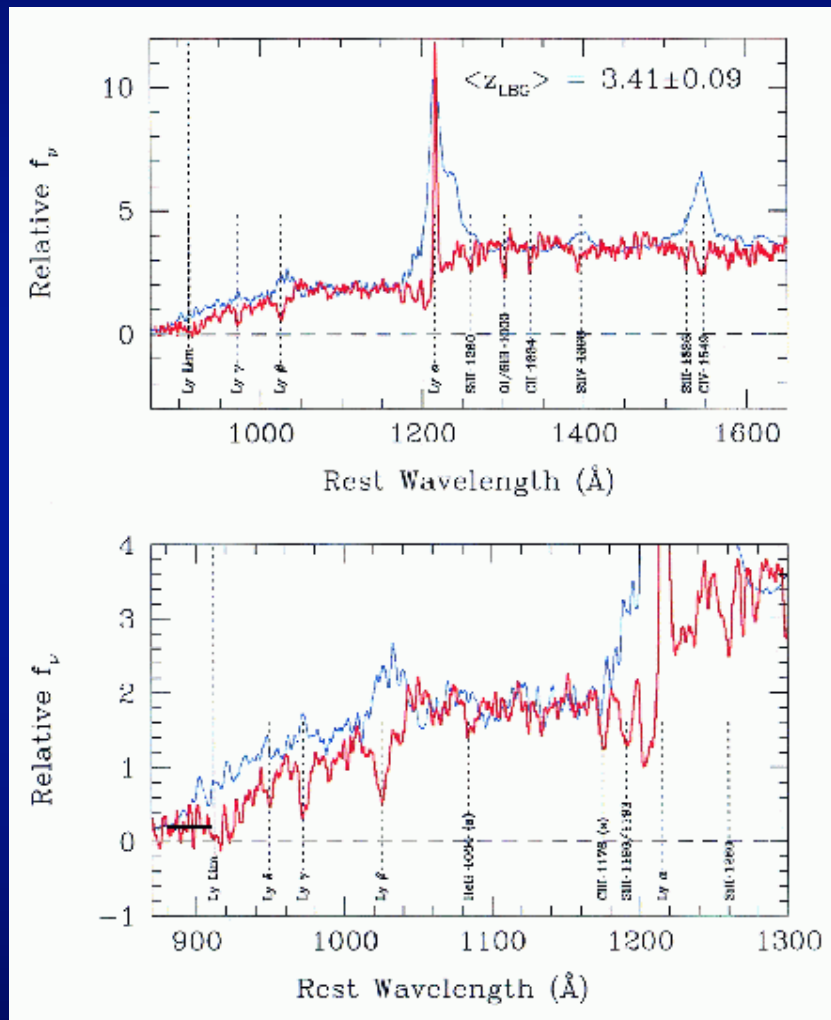
- Izotov et al. (2016a):  $z=0.3$  “Green Pea” galaxy (strong rest-optical emission lines, compact), low-metallicity. *HST/COS* spectrum implies  $f_{\text{esc}} \sim 8\%$ .

- Leitherer et al. (2016) present 2 *HST/COS* LyC detections at  $z=0.04-0.05$ ,  $f_{\text{esc}} \sim 2.5-4.5\%$ .

- Izotov et al. (2016b): 4 additional  $z \sim 0.3$  objects with  $f_{\text{esc}} \sim 6-13\%$  based on *HST/COS*.



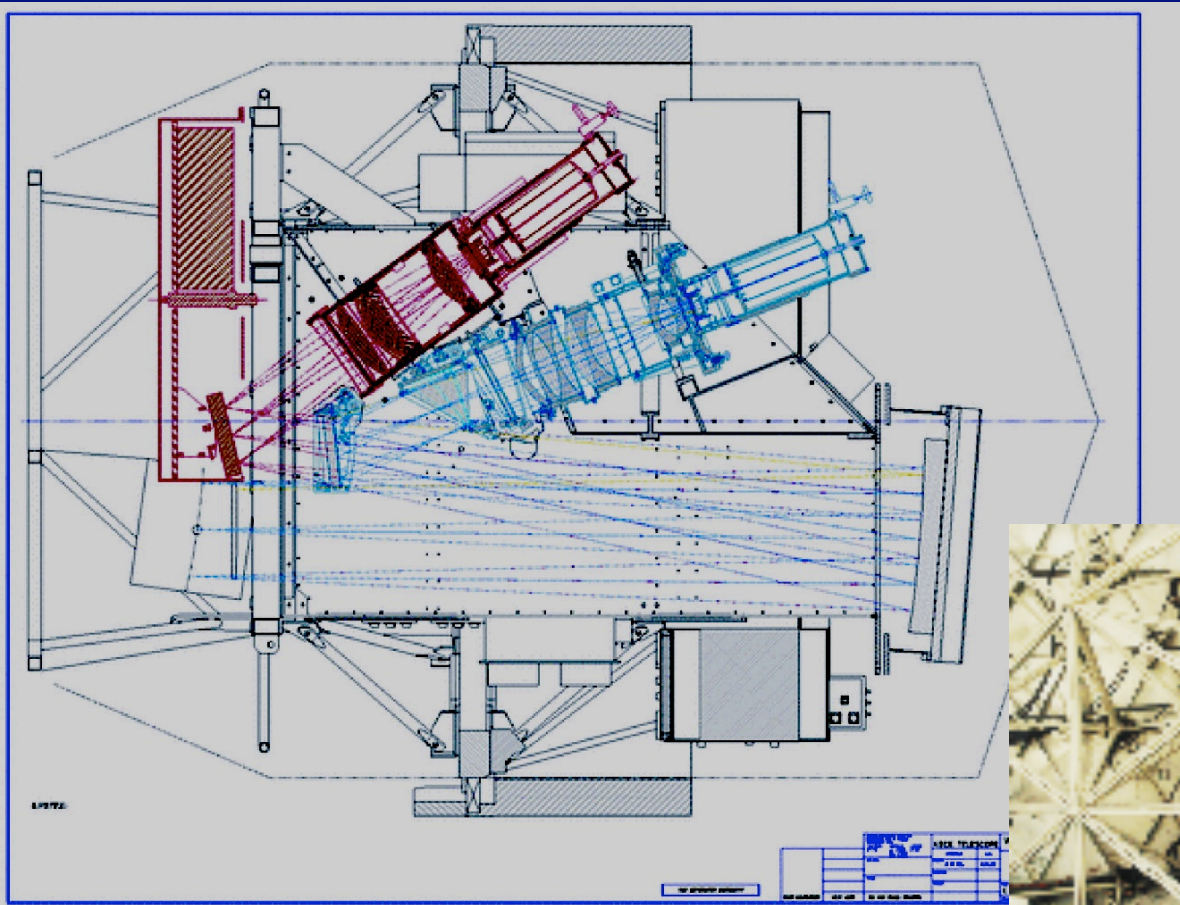
# First Detection of $z \sim 3$ LyC Emission?



- First reported detection of LyC emission at high redshift in Steidel et al. (2001).
- Composite spectrum: 29 LBGs at  $\langle z \rangle = 3.4 \pm 0.09$
- Apparently significant LyC flux in composite spectrum  $\rightarrow$  5 times more ionizing flux than QSOs at  $z \sim 3$
- Probably spurious.

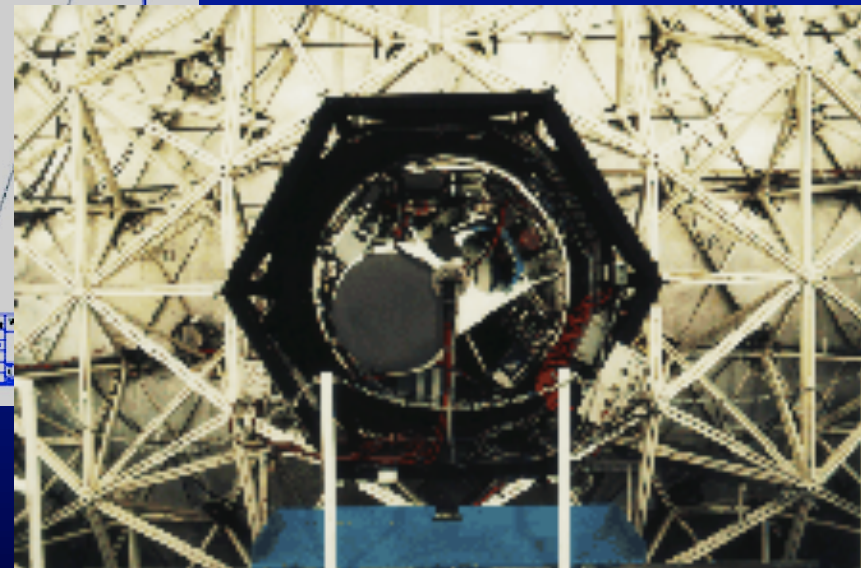
(Steidel et al. 2001)

# Measuring Galaxy Spectra: Keck/LRIS



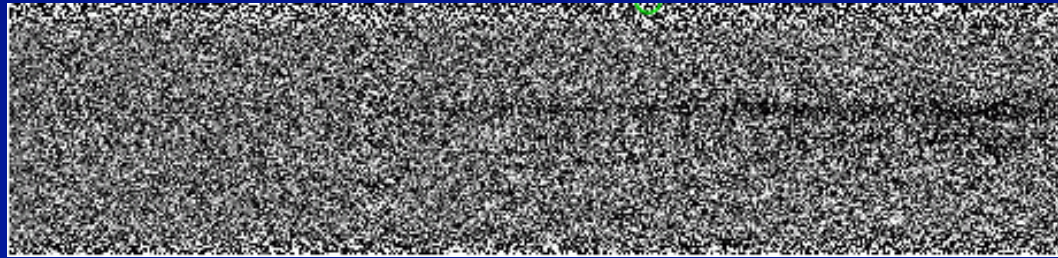
- Low Resolution Imaging Spectrometer (LRIS), a *dichroic* spectrograph sensitive to optical light
- Light is split into blue and red portions, sent to 2 different detectors

(LRIS at the Cassegrain focus behind the Keck primary mirror, P. Shopbell)

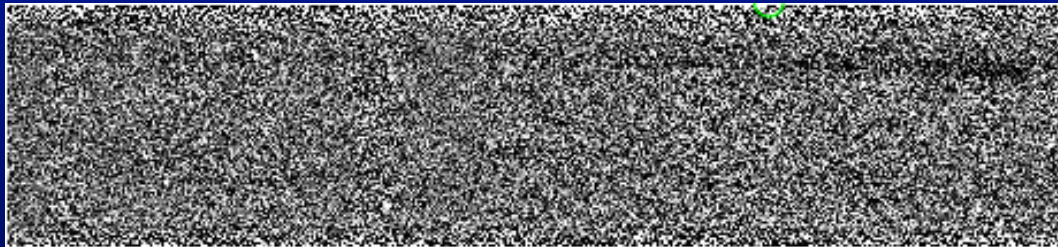


# More apparent $z \sim 3$ LyC Detections

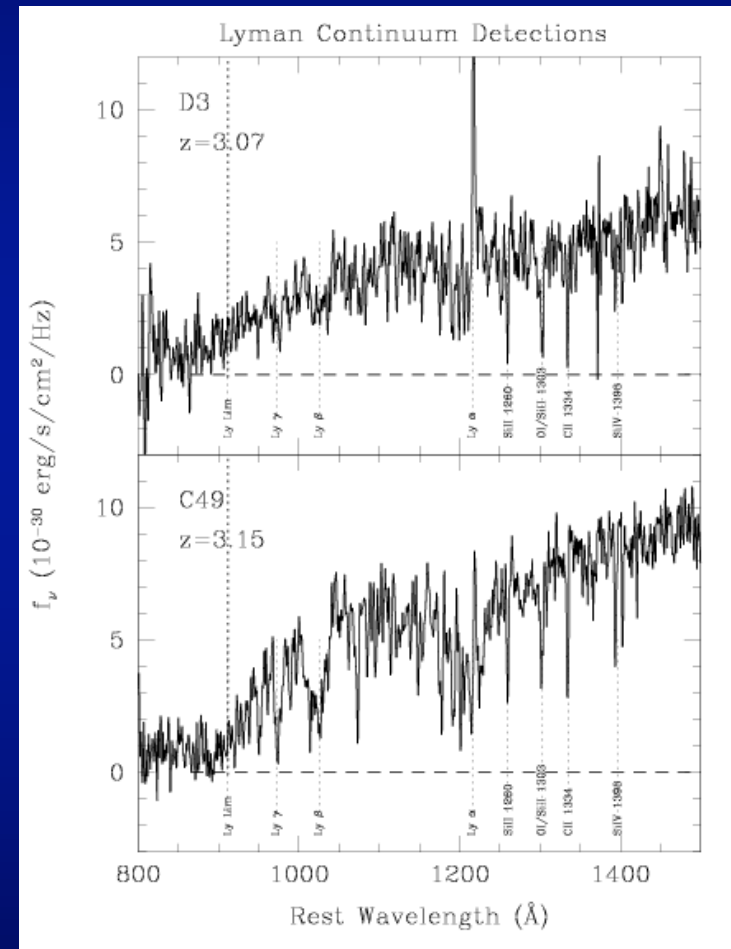
Lyman limit, 912 Å



Lyman limit, 912 Å



Wavelength (3350-3950 Å)



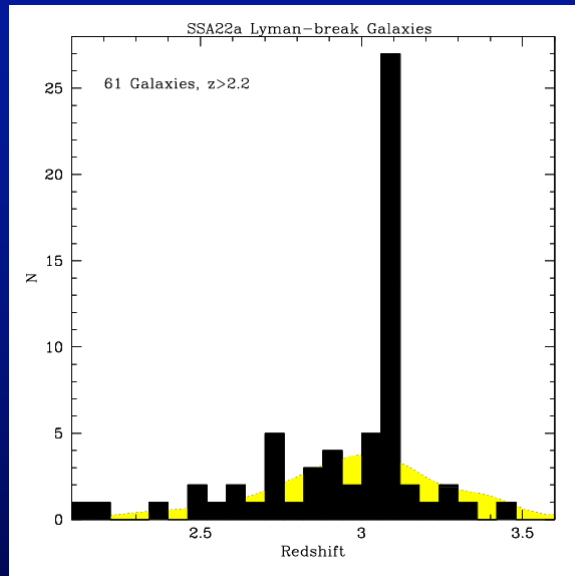
(Shapley et al. 2006)



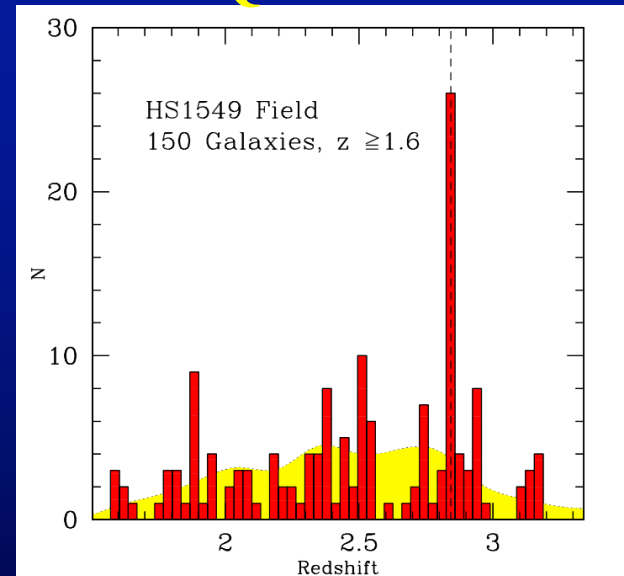
# Narrowband Imaging and $z \sim 3$ LyC

- Narrowband imaging, just below the Lyman limit, provides complementary technique for detecting escaping ionizing radiation
- Why narrowband? At  $z \sim 3$ , LyC mean free path is only  $\Delta z = 0.35$  ( $\sim 80$  Å rest frame), so it's important to probe just below the Lyman limit. Broadband filter would tell you more about IGM opacity than escape fraction.
- Protoclusters are efficient targets for narrowband imaging (lots of LBGs and LAEs at the same redshift):

**SSA22a**



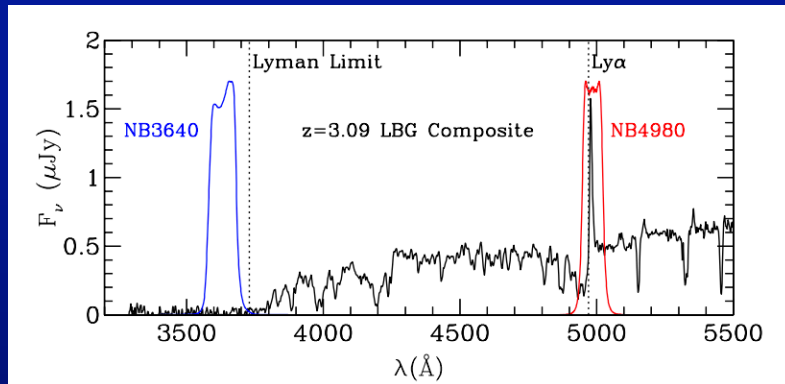
**Q1549**



# Narrowband Imaging and $z \sim 3$ LyC

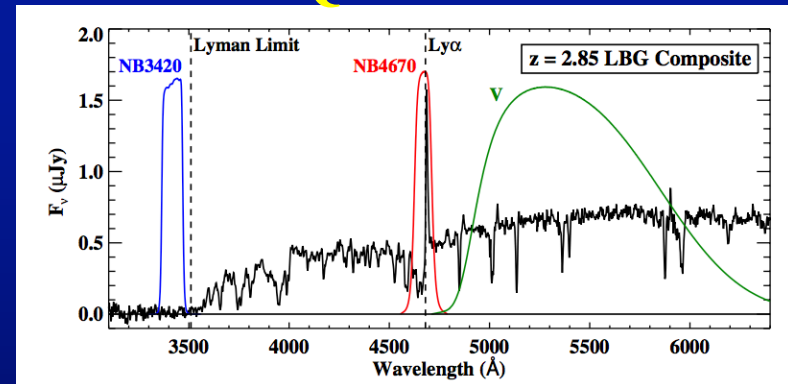
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**SSA22a**



(Nestor et al. 2011)

**Q1549**

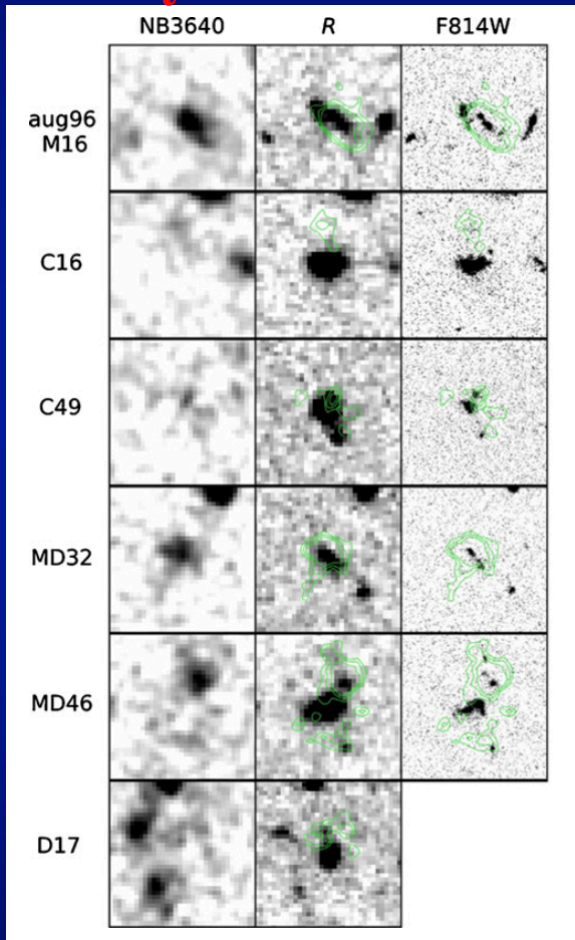


(Mostardi et al. 2013)

- See also work by Iwata et al. (2008), Micheva et al. (2015).

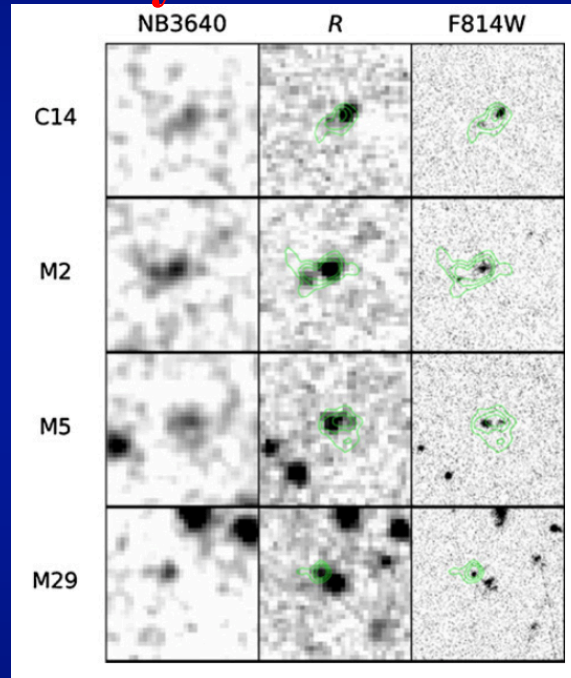
# Narrowband Imaging and $z \sim 3$ LyC

LyC UV



SSA22a

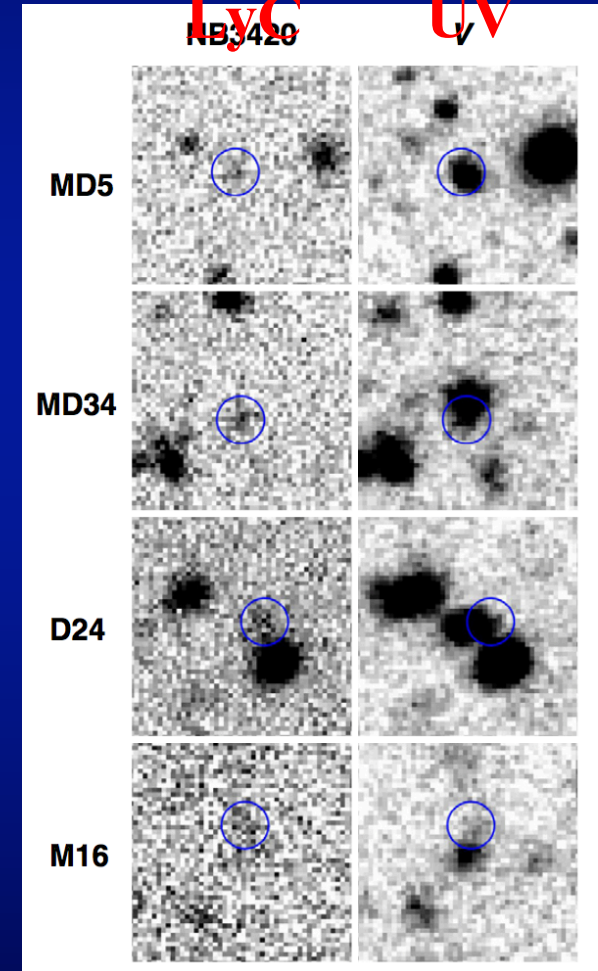
LyC UV



(Nestor et al. 2013)

Q1549

LyC UV

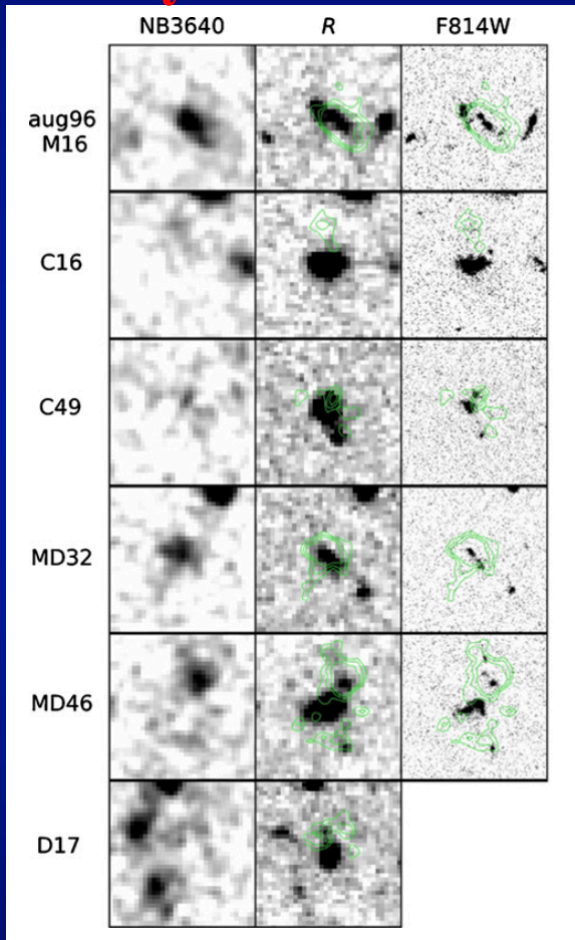


(Mostardi et al. 2013)

- LBG/LAE LyC detection rate is 10-20%

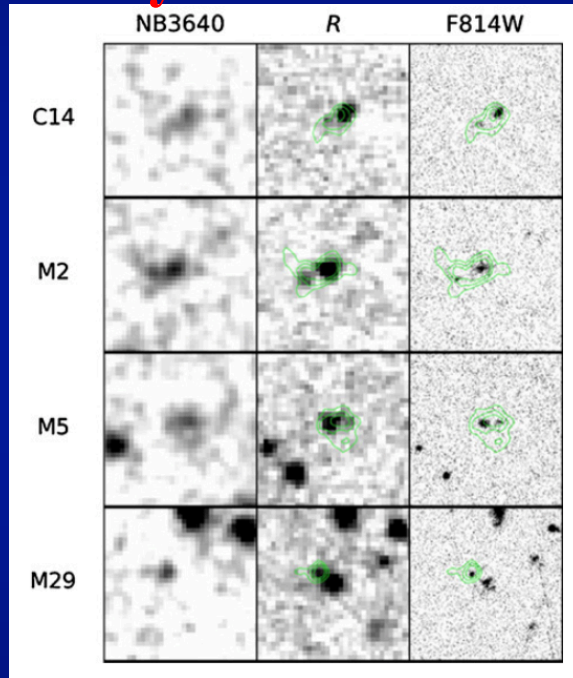
# Narrowband Imaging and $z \sim 3$ LyC

LyC UV



SSA22a

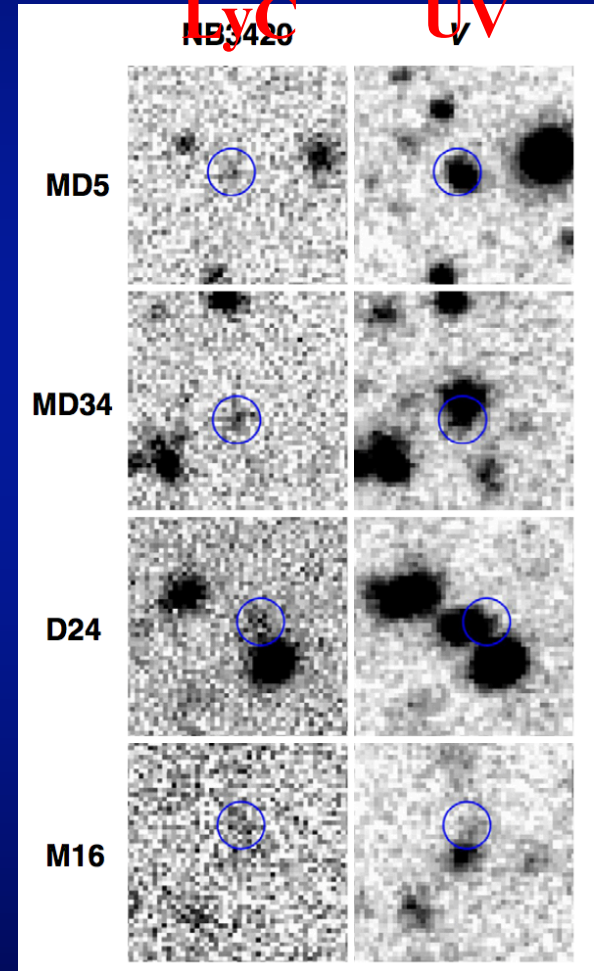
LyC UV



(Nestor et al. 2013)

Q1549

LyC UV

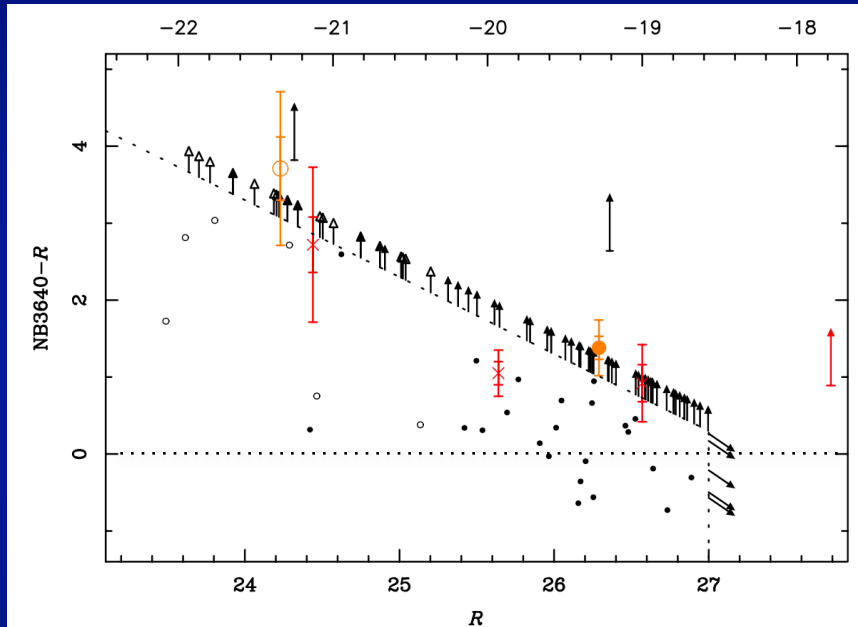


(Mostardi et al. 2013)

- NB3640/NB3420 (i.e., LyC) emission frequently appears offset from non-ionizing UV continuum (0.3''-1.3'').

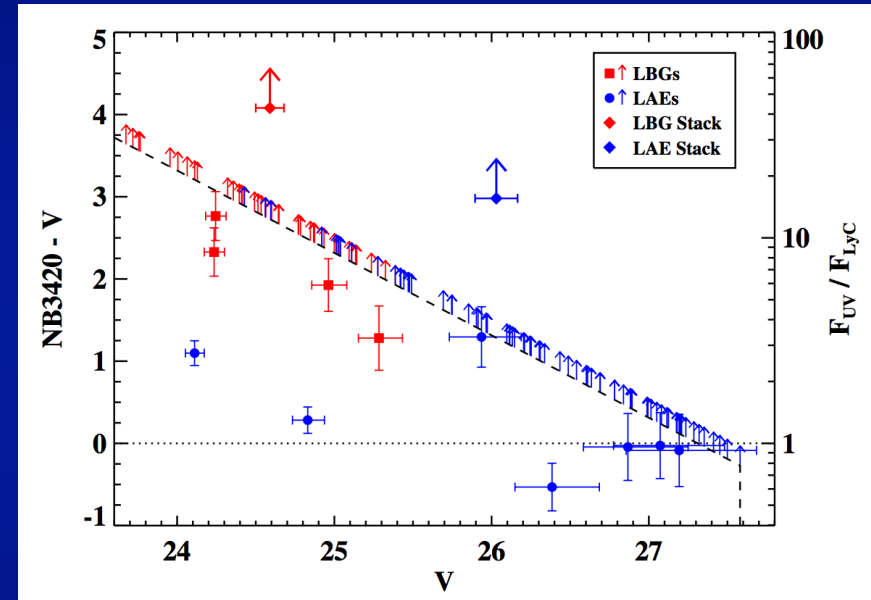
# Narrowband Imaging and $z \sim 3$ LyC

SSA22a



(Nestor et al. 2013)

Q1549



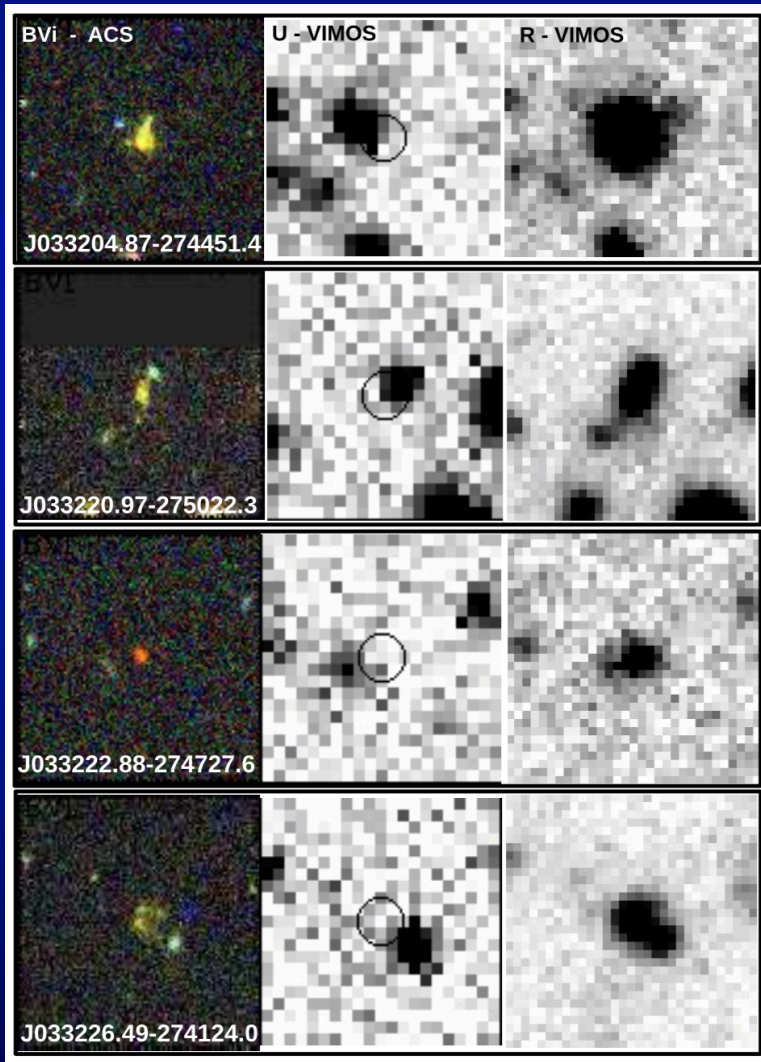
(Mostardi et al. 2013)

- UV/LyC ratios are uncomfortably small for some LAEs. NB3640-R, or NB3420-V colors  $\sim 0$  are not easy to explain with stellar population synthesis models. Too blue. Exotic stellar populations (Inoue et al. 2011)?



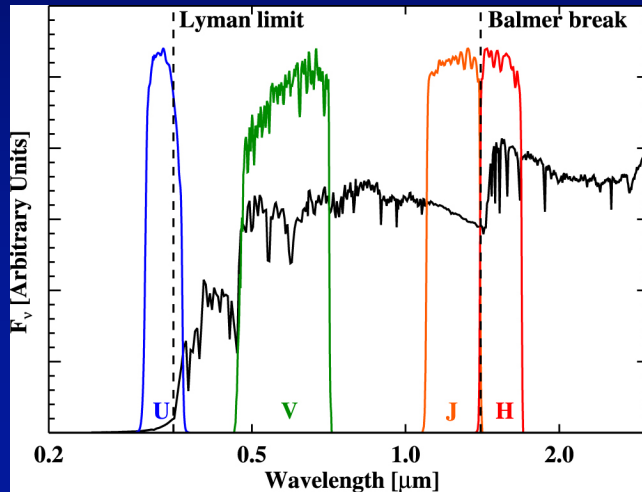
# What about contamination?

LyC      UV

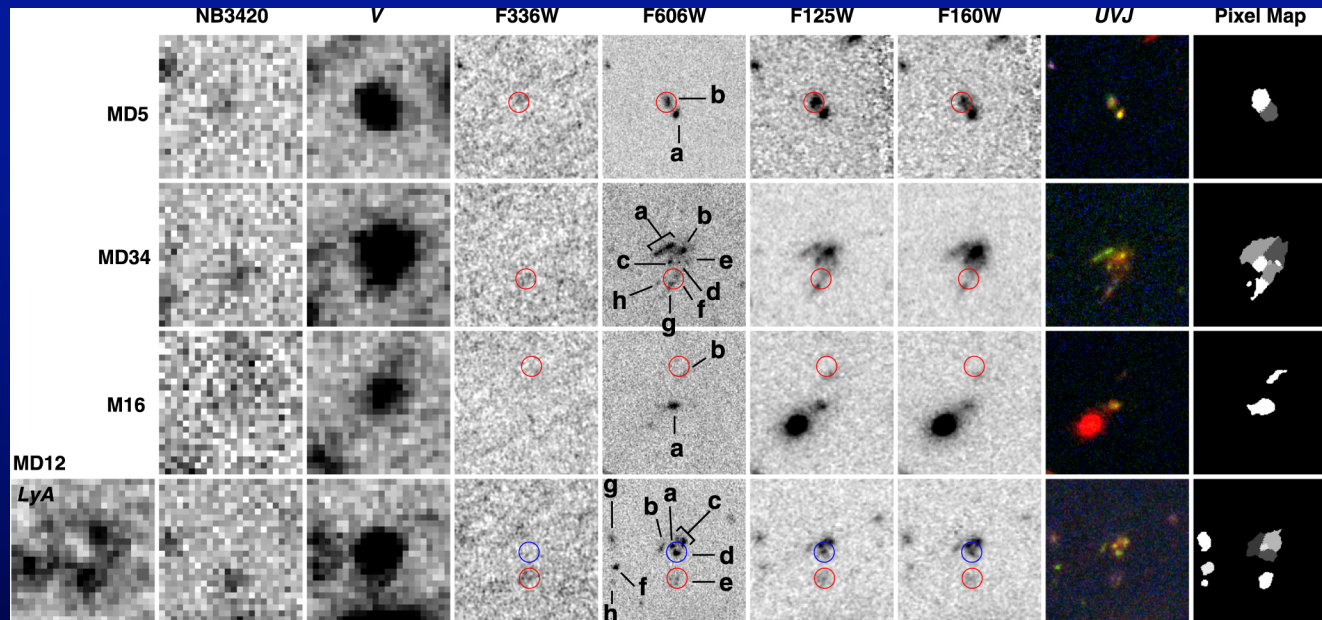


- Vanzella et al. (2012): HST imaging shows that apparently offset sources of LyC at  $z \sim 3$  are actually low-redshift interlopers (photometric redshifts).
- Ionizing radiation from a source at  $z \sim 3$  corresponds to the same wavelength as non-ionizing radiation from a source at, e.g.,  $z \sim 2$ .
- HST resolution required to show what's going on.
- In ground-based studies, we could only make a *statistical* correction for contamination.

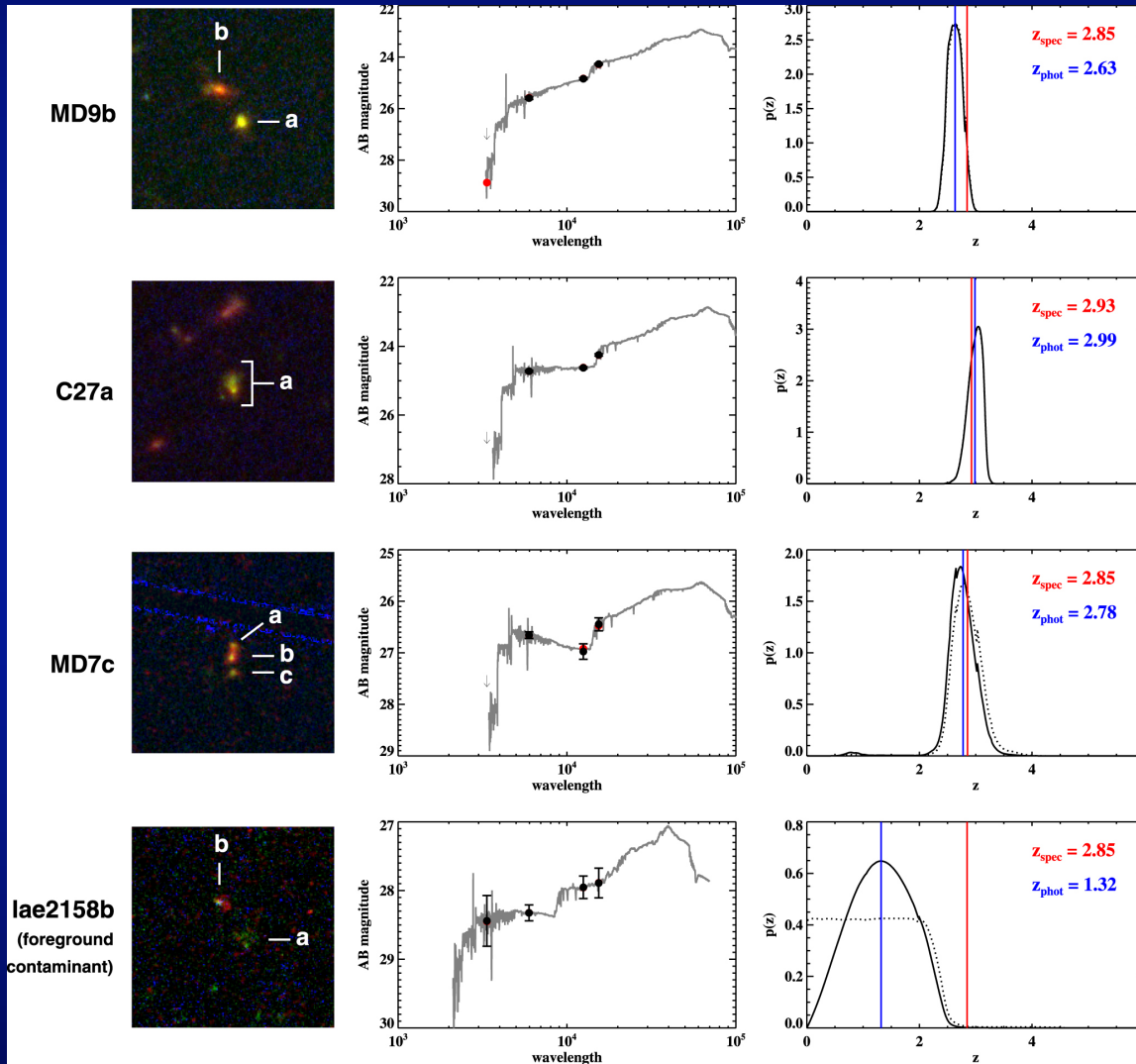
# What about contamination?



- We imaged 4 LBGs and 12 LAEs in Q1549 with apparent LyC detections using *HST* UVJH.
- Photometric redshifts for each subcomponent.
- J and H bracket Balmer break at  $z \sim 3$ .



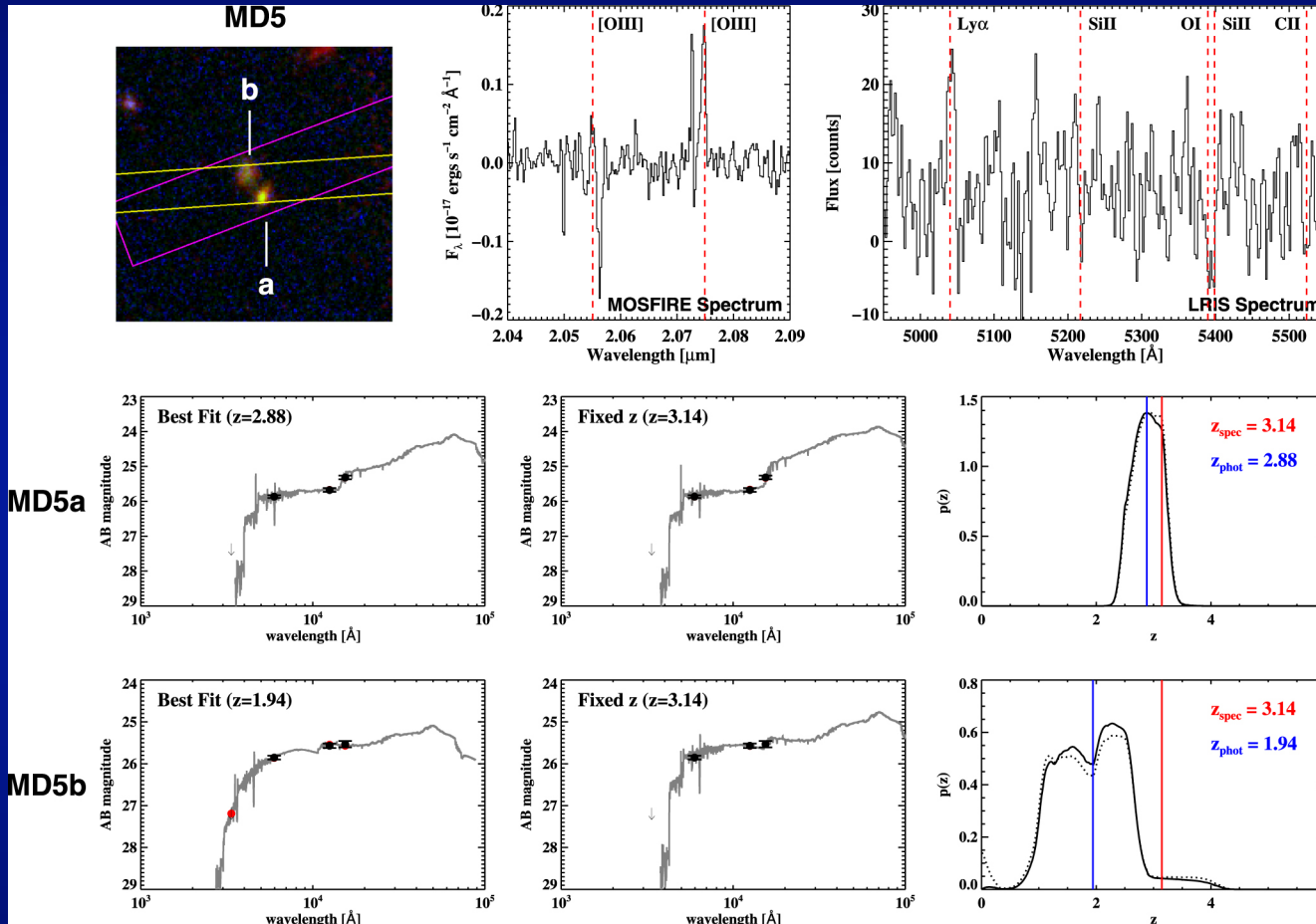
# What about contamination?



- Galaxies are typically lumpy!
- Examples of subcomponent SEDs.
- VJH colors of contaminant stand out from those of  $z \sim 3$  objects.
- These  $z \sim 3$  examples don't have LyC detections.

# What about contamination?

Two clumps, separated by 0.58".

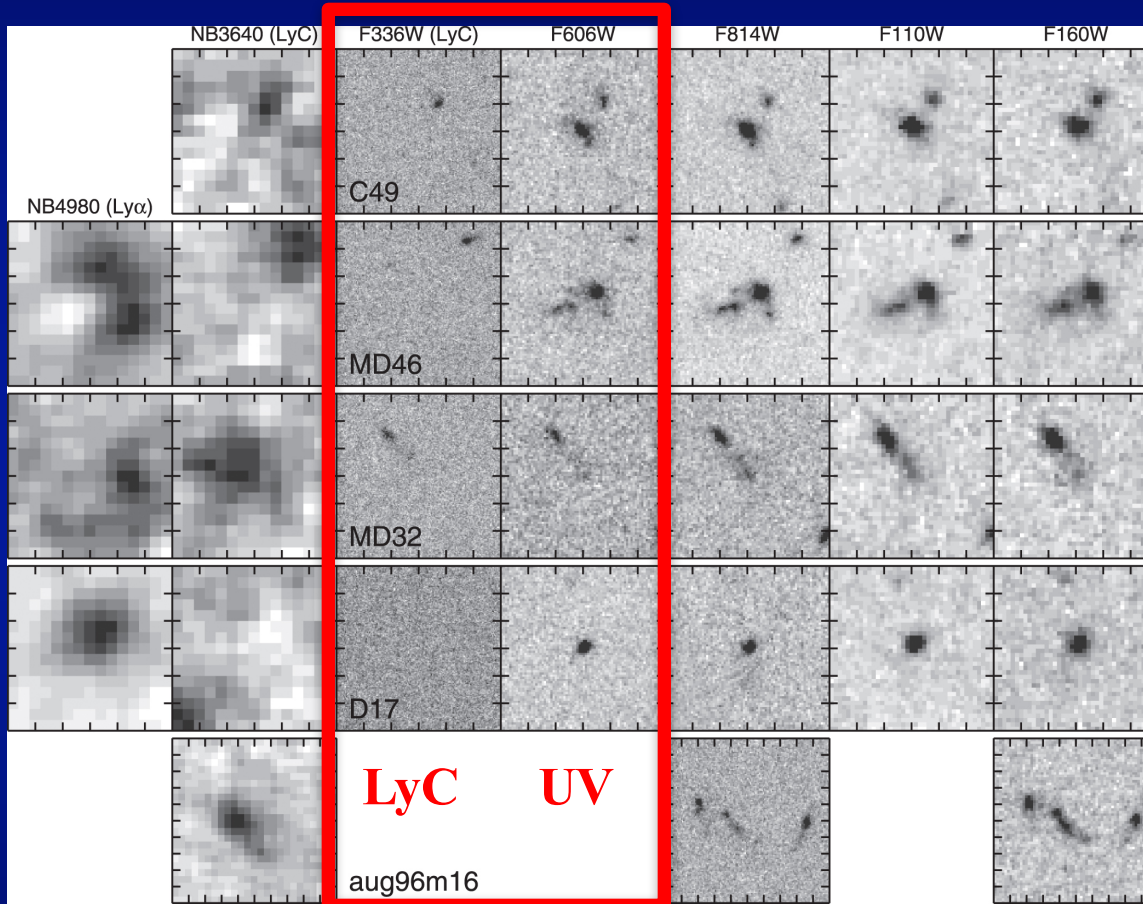


- One object out of 16 has robust LyC detection not due to obvious foreground contamination: MD5.
- Two components. Need to show definitively that MD5b is at  $z=3.15$ .



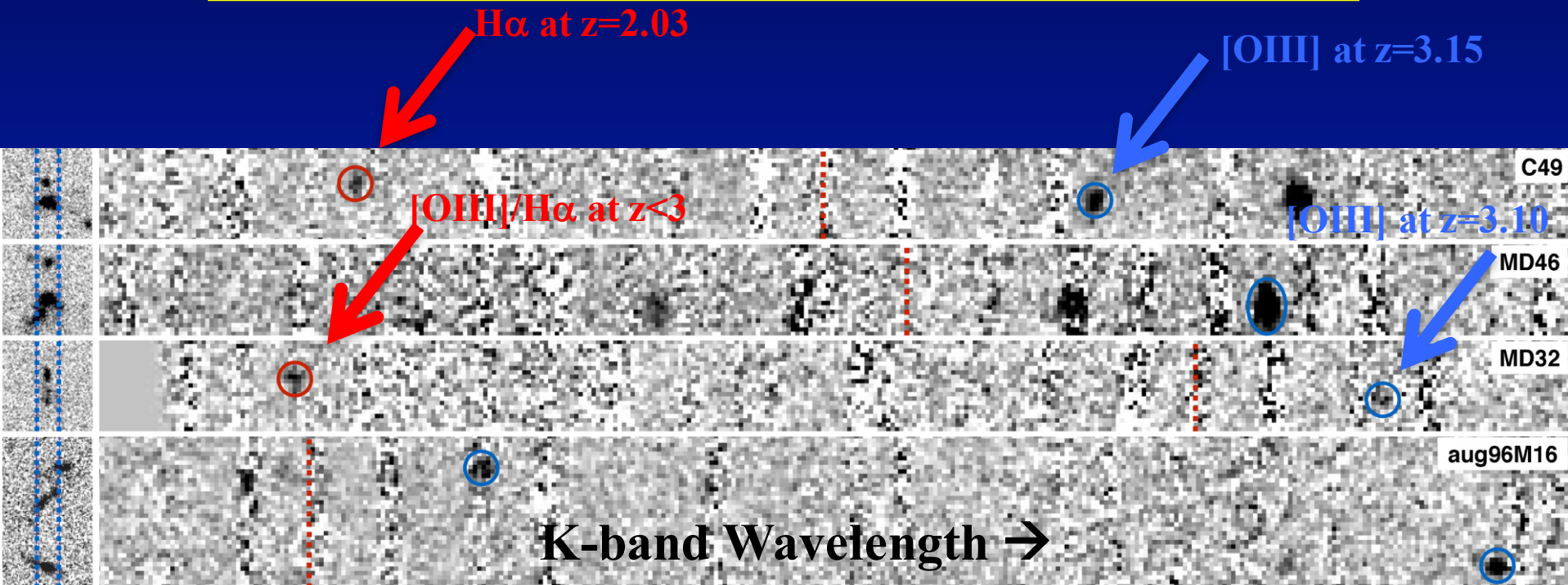
# What about contamination?

(Siana et al. 2015)



- HST UVI/JH imaging in SSA22a plus near-IR spectroscopy.
- Clumpy morphologies revealed by HST. Apparent LyC emission only associated with one of the clumps.

# What about contamination?



(Siana et al. 2015)

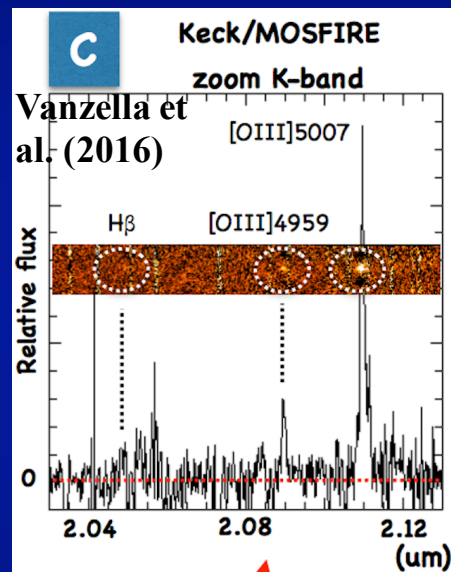
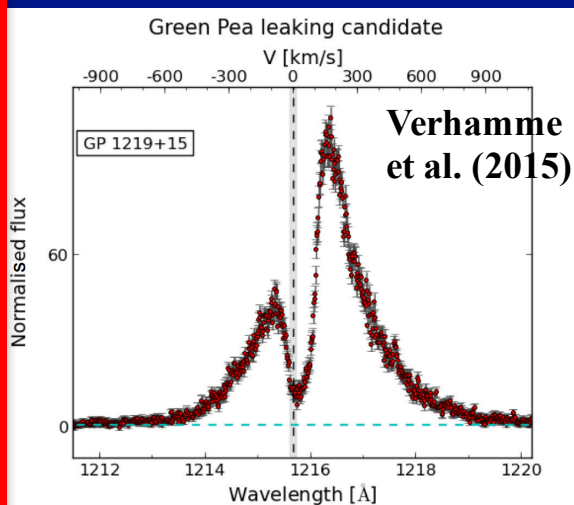
- Keck/NIRSPEC spectra of C49 and MD32 show evidence for low-redshift interlopers. Offset is  $\sim 0.5''$ . Difficult to resolve in optical data, for MD32 in particular.
- Contamination is very important! HST/AO spatial resolution required, or excellent near-IR seeing.

# What about contamination?

- Contamination rate is comparable to detection rate.
- Ground-based, seeing-limited observations (imaging and spectroscopy) cannot be interpreted without HST-level spatial resolution.
- A spectroscopic or photometric redshift is required for each subcomponent associated with LyC emission.
- Premature to calculate global quantities ( $\epsilon_{\text{LyC}}$ ,  $\Gamma$ ,  $\dot{n}_{\text{ion}}$ ), relate  $z \sim 3$  “leaker” properties to those of potential  $z > 6$  LyC leakers.

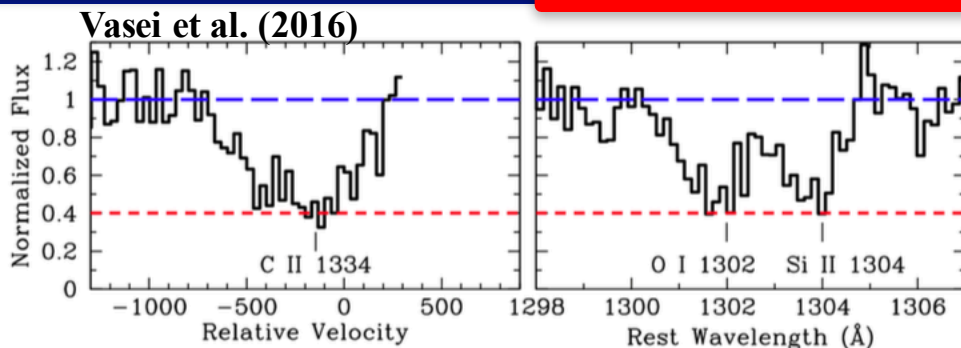
# Predicting LyC

- How to predict which objects are “leakers” based on non-ionizing emission?



- Ly $\alpha$  emission with significant flux at systemic and negative velocities?

- Interstellar absorption lines that are saturated but not black (non-unity covering fraction)?

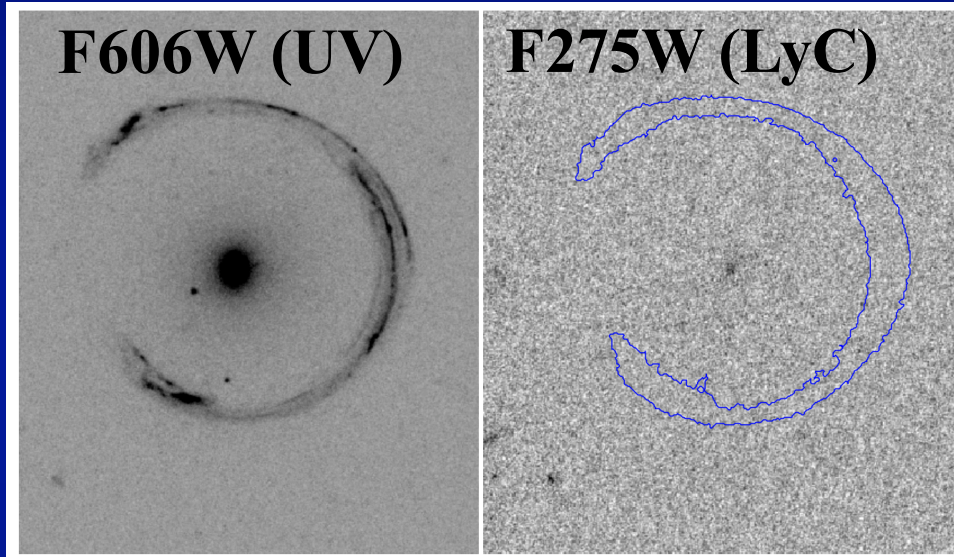


- High  $[\text{OIII}]/[\text{OII}]$  ratios indicative of density-bounded HII regions?



# A Cautionary Tale

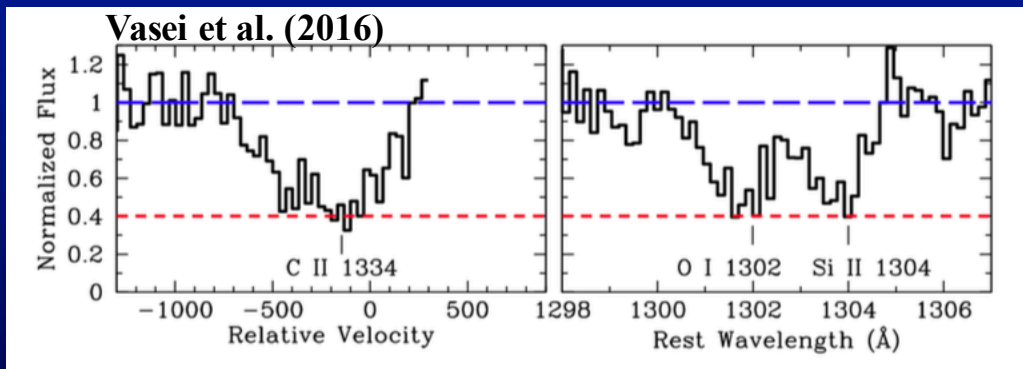
- How to predict which objects are “leakers” based on non-ionizing emission?



- Cosmic Horseshoe, lensed galaxy at  $z=2.38$ .

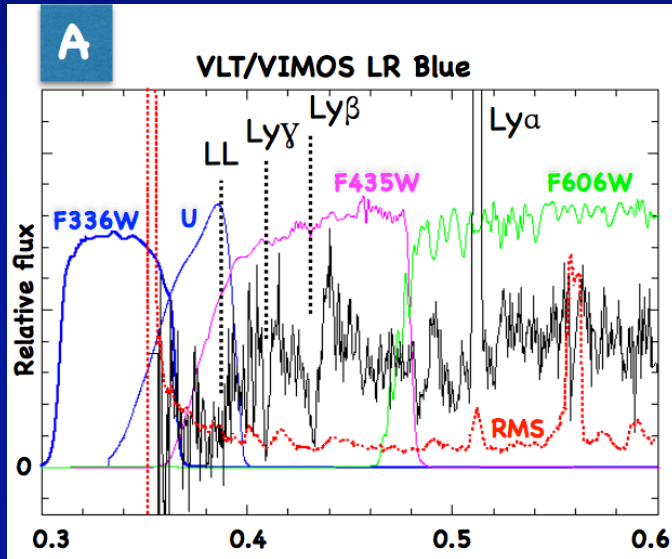
- Interstellar absorption lines that are saturated but not black (non-unity covering fraction)?

- HST F275W imaging reveals upper limit on LyC emission,  $f_{\text{esc,rel}}=0.08$ , 5 times lower than predicted by ISM lines.



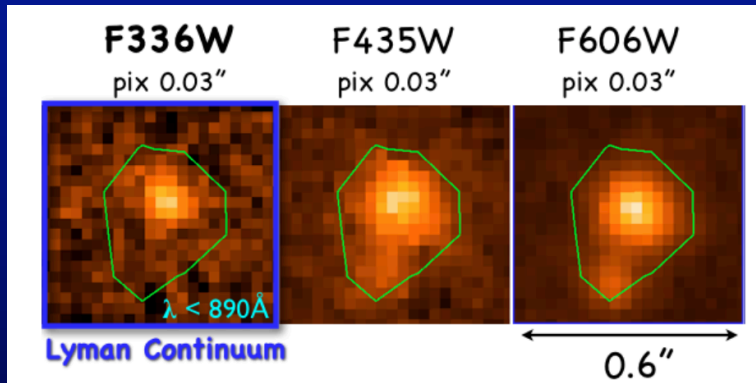
# Some Hope: Ion2

- Ion2 ( $z=3.2$ , in GOODS-S) has both deep spectrum and HST imaging.



- Spectrum and ground-based U-band imaging suggests LyC emission.

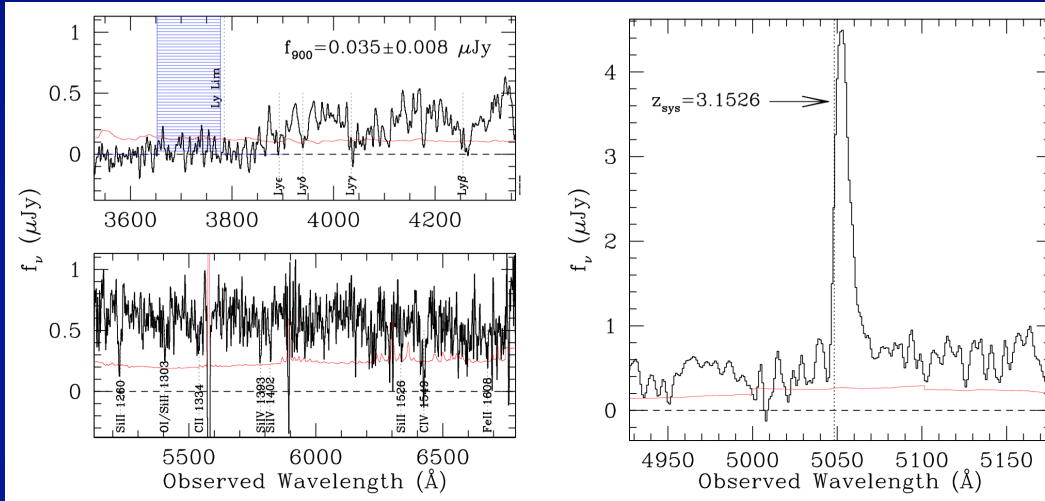
- HST imaging shows that F336W (i.e., LyC) is associated with bright component at  $z=3.2$ .



(Vanzella et al. 2016)

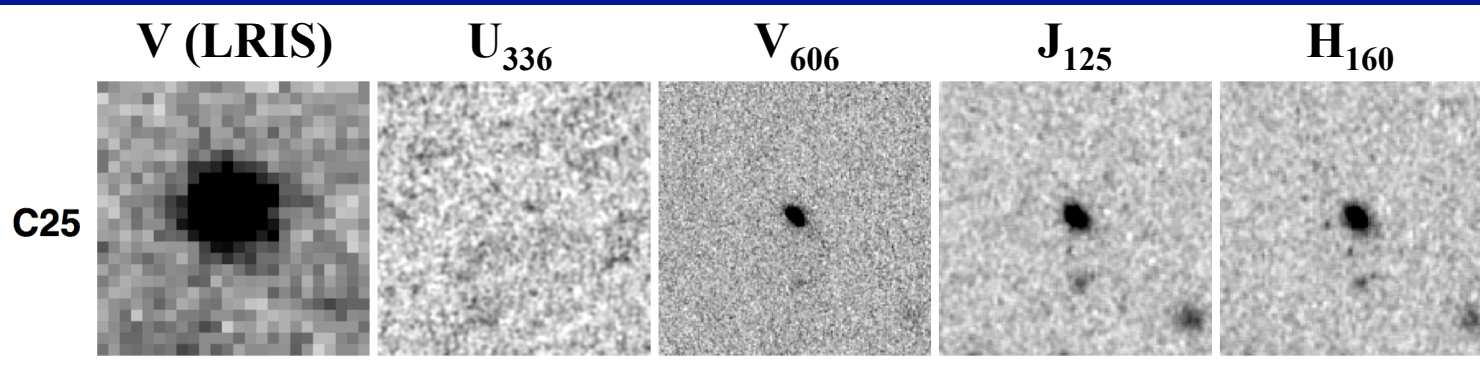
# Some Hope: Q1549-C25

- Q1549-C25 ( $z=3.15$ ) has both deep spectrum and HST imaging.



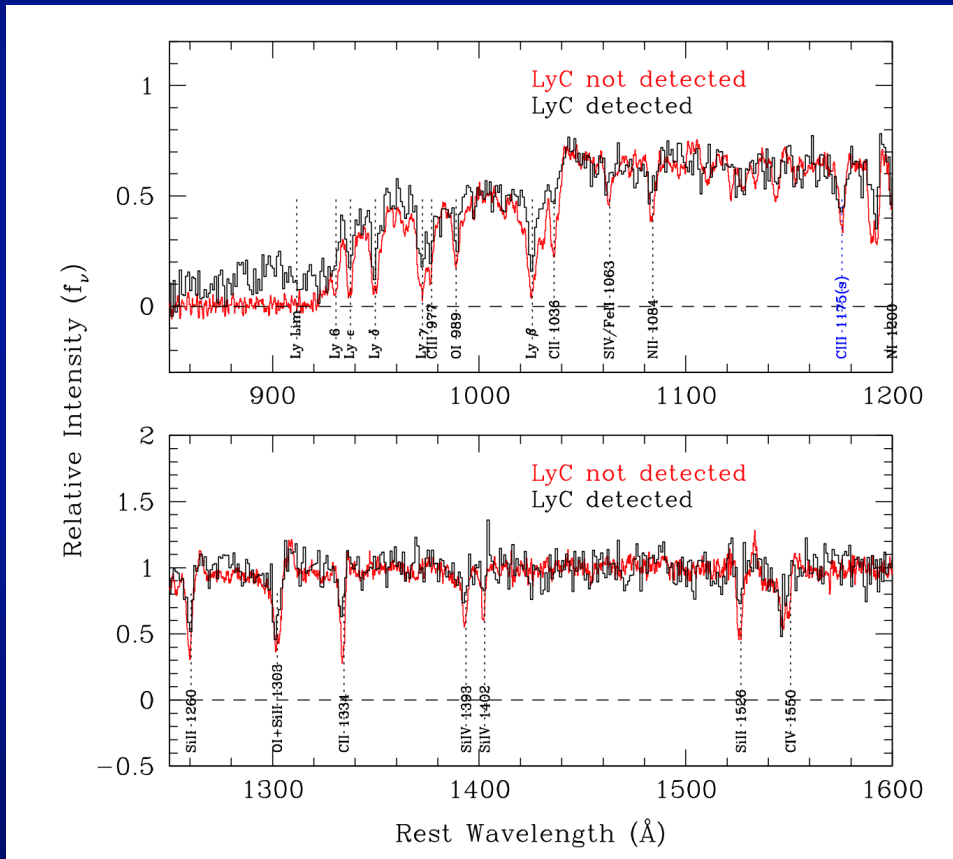
- Spectrum suggests LyC emission ( $4.4\sigma$ ).

- HST imaging shows that there is no contamination.



# What's next?

- **Requirement: promising sample of LyC detections, HST follow-up.**



- Steidel et al. (in prep.): Sample of 124 LBGs with  $\sim 8$  hour Keck/LRIS spectra covering the LyC region.

- 13/124 apparently detected spectroscopically in LyC.

- Follow up with HST UVJH imaging, determine contamination rate.

- Other spectroscopy: LRIS, MOSFIRE.

- *Increase current robust LyC sample by an order of magnitude.*

# Closing remarks

- Identifying the sources responsible for reionizing the intergalactic medium (IGM) is an important goal for observational cosmology.
- Direct measurements of leaking LyC are only possible at  $z < 3.5$ .
- Contamination from lower-redshift sources is a huge challenge to overcome when making this measurement.
- We need a much larger sample of robust detections to calculate global ionization-related quantities and relate  $z \leq 3$  leaking galaxies to those observed during reionization.
- We have identified the ideal  $z \sim 3$  sample for follow-up with *HST*.
- Why is this so hard? For  $V \sim 26$  (median LAE), even assuming only factor of  $\sim 3$  Lyman break:
  - $f_{\text{esc}} = 100\%$ ,  $t_{\text{IGM}} = 100\%$ :  $m_{900, \text{AB}} \sim 27.2$
  - $f_{\text{esc}} = 100\%$ ,  $t_{\text{IGM}} = 50\%$ :  $m_{900, \text{AB}} \sim 28$
  - $f_{\text{esc}} = 10\%$ ,  $t_{\text{IGM}} = 50\%$ :  $m_{900, \text{AB}} \sim 30.5$