

Perturbation theory in Bianchi I space-times

Predictions from an anisotropic inflationary era

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Outline

1 Motivations

2 The background space-time

- Equations
- Background Dynamics

3 The perturbed space-time

- Gauge invariant formalism
- Equations and canonical variables

4 Initial conditions (IC)

- Isotropic inflation
- Anisotropic inflation: limitations

5 Primordial spectrum

Motivations

- A sufficient period of accelerated expansion solves the problem of **flatness** and **isotropy** in the hot big-bang.
- Inflation also explains the nearly **scale-invariant spectrum** but assuming perturbations around a homogenous, isotropic and flat, that is Friedmann-Lemaître (FL) space-time.
- It would be more satisfactory to get all these predictions in a consistent way.

Publications

- We have studied perturbations around a homogenous flat but **anisotropic** space-time: Bianchi I
-  T.S. Pereira, C. Pitrou, J.-P. Uzan JCAP **09** 006 (2007) [arXiv:0707.0736].
-  C. Pitrou, T.S. Pereira, J.-P. Uzan [arXiv:0801.3596].

Metric

- $ds^2 = g_{\mu\nu} dx^\mu dx^\nu = -dt^2 + \sum_{i=1}^3 X_i^2(t) (dx^i)^2$.
- $ds^2 = S^2 [-d\eta^2 + \gamma_{ij}(\eta) dx^i dx^j]$
- $S \equiv [X_1(t)X_2(t)X_3(t)]^{1/3}, \quad S d\eta = dt$

Matter content

$$T_{\mu\nu} = \partial_\mu \varphi \partial_\nu \varphi - \left(\frac{1}{2} \partial_\alpha \varphi \partial^\alpha \varphi + V \right) g_{\mu\nu}$$

Anisotropy: shear

- $\sigma_{ij} \equiv \frac{1}{2} \gamma'_{ij}$
- $\gamma \equiv \gamma^{ij} \sigma_{ij} = 0$
- $\sigma^2 \equiv \sigma_{ij} \sigma^{ij}$
- $x^2 \equiv \sigma^2 / (6H^2)$

Friedmann equations

- $\mathcal{H}^2 = \frac{\kappa}{3} \left[\frac{1}{2}\varphi'^2 + V(\varphi)S^2 \right] + \frac{\sigma^2}{6},$
- $\mathcal{H}' = -\frac{\kappa}{3}[\varphi'^2 - V(\varphi)S^2] - \frac{\sigma^2}{3}$
- $(\sigma_j^i)' = -2\mathcal{H}\sigma_j^i$
- $\varphi'' + 2\mathcal{H}\varphi' + S^2V_\varphi = 0$ (Klein-Gordon)
- $\kappa = 8\pi G, \quad \mathcal{H} = S'/S$

Main features

- σ is like a massless scalar field.
- $\sigma \sim 1/S^2$
- Klein-Gordon is the same!
- Positive energy condition is $x < 1$.



General solutions

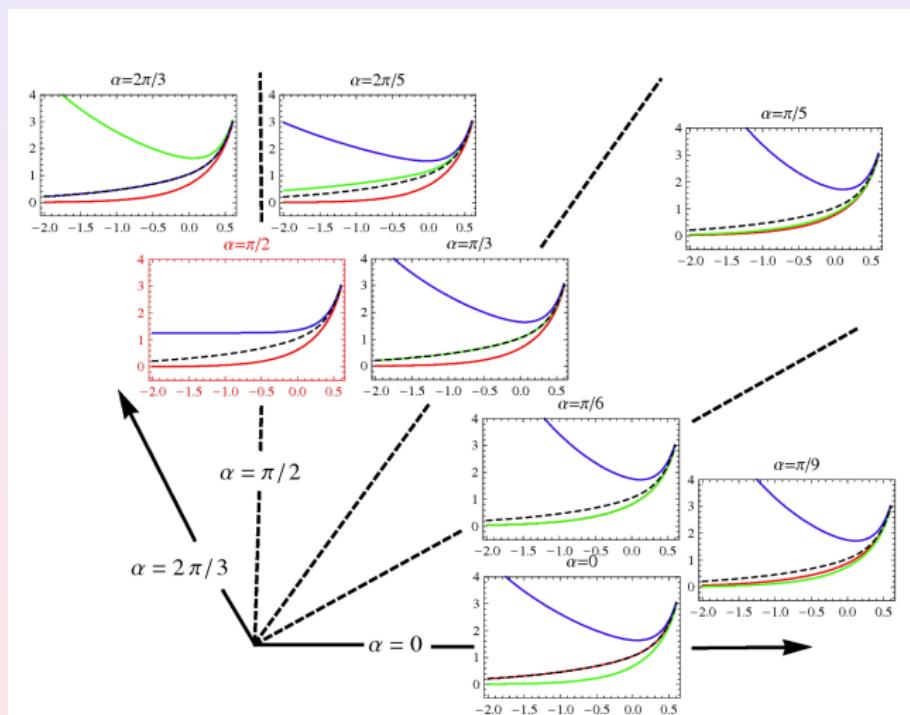
Adapted coordinates

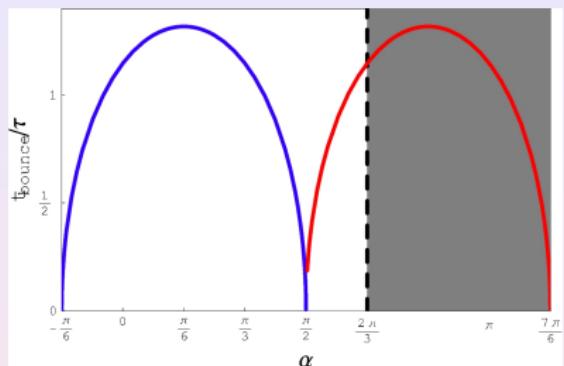
- In a basis where σ_{ij} is diagonal
 $\gamma_{ij} = \exp[2\beta_i(t)] \delta_{ij} = a_i^2 \delta_{ij}$
- Directional scale factors and Hubble parameters
 $X_i = Sa_i, \quad h_i = \frac{X'_i}{X_i} = \mathcal{H} + \beta'_i$

Pure Cosmological constant: single parameter α

- $S(t) = S_* [\sinh(t/\tau_*)]^{1/3}, \quad \tau_*^{-1} = \sqrt{3\kappa V}$
- $X_i = S_* \left[\sinh\left(\frac{t}{\tau_*}\right) \right]^{1/3} \left[\tanh\left(\frac{t}{2\tau_*}\right) \right]^{\frac{2}{3}\sin\alpha_i}$
- $\alpha_i = \alpha + i\frac{2\pi}{3}$

Directional scale factors in function of α





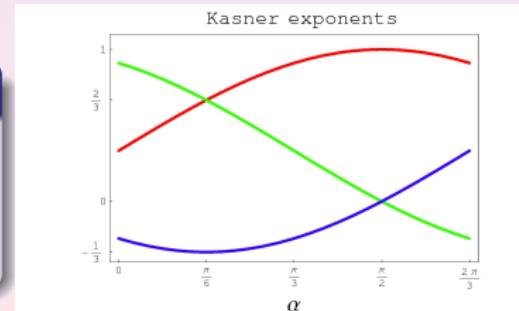
Kasner exponents

$$ds_{\text{Kasner}}^2 = -dt^2 + S_*^2 \sum_{i=1}^3 \left(\frac{t}{2\tau_*} \right)^{2p_i} (dx^i)^2$$

$$p_i(\alpha) = \frac{2}{3} \sin \alpha_i + \frac{1}{3}$$

Particular cases with two scale factors being equal

- $\alpha = \pi/2$: Bouncing time at the singularity
- $\alpha = \pi/6$

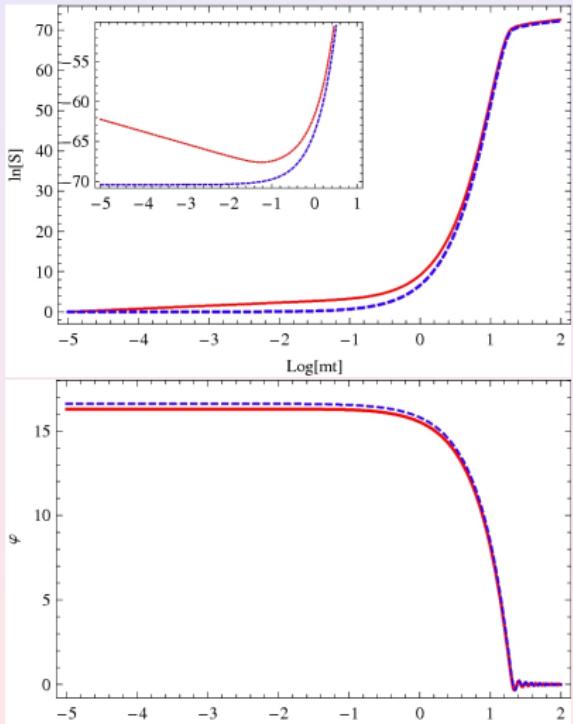


Invariants of the metric

- $R = \frac{4}{3\tau_*^2}$
- $R_{\mu\nu}R^{\mu\nu} = \frac{4}{9\tau_*^2}$

$$R_{\mu\nu\rho\sigma}R^{\mu\nu\rho\sigma} = \frac{1}{27\tau_*^2} \left\{ 8 + \frac{4}{\cosh^4 [t/(2\tau_*)]} \right.$$
$$\left. + \frac{32 \cosh(t/\tau_*)}{\sinh^4(t/\tau_*)} \left[3 \cos(\alpha)^2 \sin(\alpha) - \sin(\alpha)^3 + 1 \right] \right\}$$

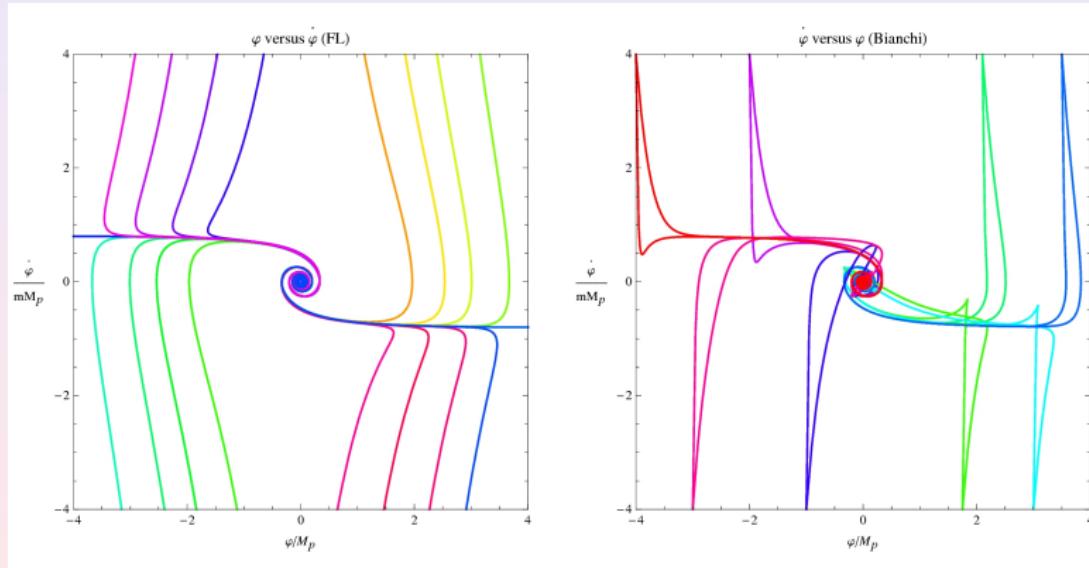
Chaotic inflation: $V = \frac{1}{2}m^2\varphi^2$

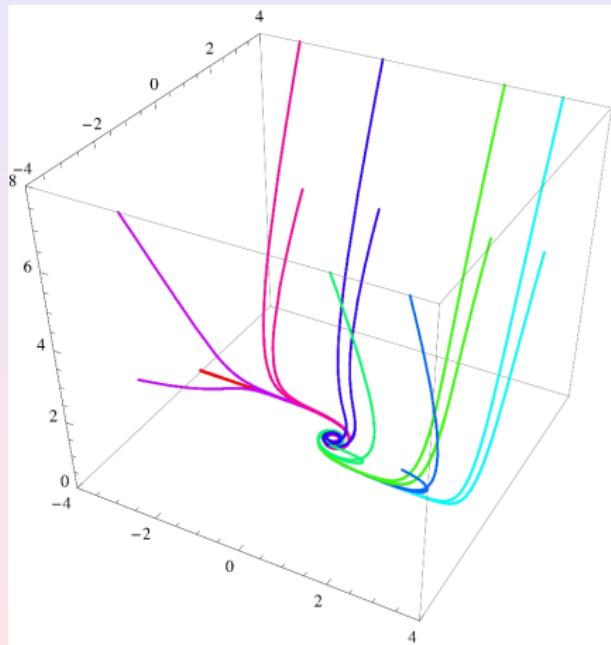


Bianchi I vs FL

- Scale factor
- Scalar field
- Dynamics is only slightly changed: lasts slightly longer

Reaching the attractor





Attraction towards the FL attractor

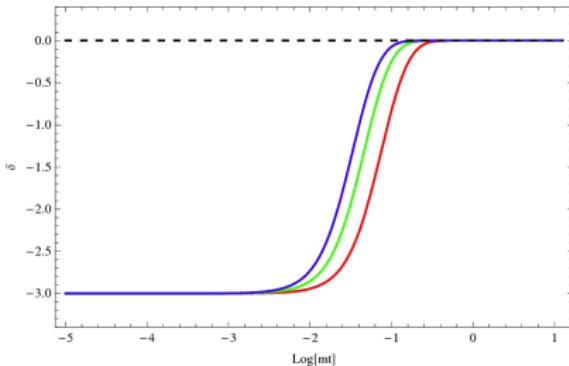
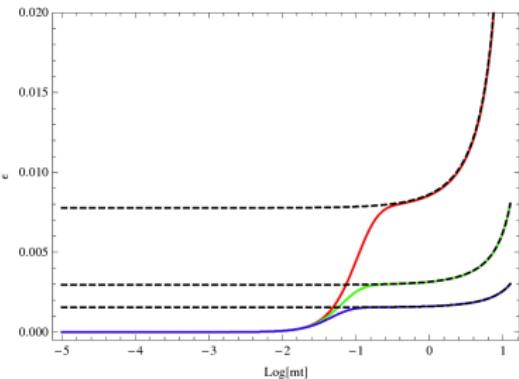
On the attractor

Slow-roll parameters

- $\epsilon \equiv 3 \frac{\dot{\varphi}^2}{\dot{\varphi}^2 + 2S^2 V}$
- $\delta \equiv 1 - \frac{\dot{\varphi}''}{\mathcal{H}\dot{\varphi}} = -\frac{\ddot{\varphi}}{\mathcal{H}\dot{\varphi}}$

Dynamics of ϵ and δ

- $\epsilon' = 2\mathcal{H}\epsilon(\epsilon - \delta)$
- $\delta' = \mathcal{H}(3 - \delta) \left[\frac{\epsilon^2 - 3\delta}{3 - \epsilon} - x^2(3 - \epsilon) \right]$



Dynamics on the attractor near the singularity

- $\epsilon \rightarrow 0 \quad \delta \rightarrow -3$,
- $\delta' = \mathcal{O}(\epsilon, \delta), \quad \epsilon' = \mathcal{O}(\epsilon, \delta)$
- $H \simeq \frac{1}{3t}$
- $x \simeq 1$

Scalar Vector Tensor (SVT) decomposition

- $g_{\mu\nu} = \bar{g}_{\mu\nu} + \delta g_{\mu\nu}^{(S)} + \delta g_{\mu\nu}^{(V)} + \delta g_{\mu\nu}^{(T)}$
- $\varphi = \bar{\varphi} + \delta\varphi$

Perturbation variables

- $ds^2 = S^2 [- (1 + 2A) d\eta^2 + 2B_i dx^i d\eta + (\gamma_{ij} + h_{ij}) dx^i dx^j]$
- $B_i = \partial_i B + \bar{B}_i$
- $h_{ij} = 2C (\gamma_{ij} + \frac{\sigma_{ij}}{\mathcal{H}}) + 2\partial_i \partial_j E + 2\partial_{(i} E_{j)}$

Mode decomposition

$$f(x^j, \eta) = \int \frac{d^3 k_i}{(2\pi)^{3/2}} \hat{f}(k_i, \eta) e^{ik_i x^i}$$

- $k'_i = 0$ but $k^{i'} = (\gamma^{ij} k_j)' = -2\sigma^{ij} k_j \neq 0$
- $k^2 = k_i k^i$ is time dependent $k'/k = \sigma^{ij} k_i k_j$

Polarisation basis

Base $\{e^1, e^2\}$ orthonormal to $\hat{k}^i = k^i/k$

- $e_i^a k_j \gamma^{ij} = 0$
- $e_i^a e_j^b \gamma^{ij} = \delta^{ab}$

Projector orthogonal to k^i is $P_{ij} \equiv e_i^1 e_j^1 + e_i^2 e_j^2$.

SVT Decomposition in Fourier space

Vectors

$$V_i = \sum_a V_a e_i^a$$

Tensors

$$T_{ij} = \sum_{\lambda=+, \times} T_\lambda \epsilon_{ij}^\lambda$$

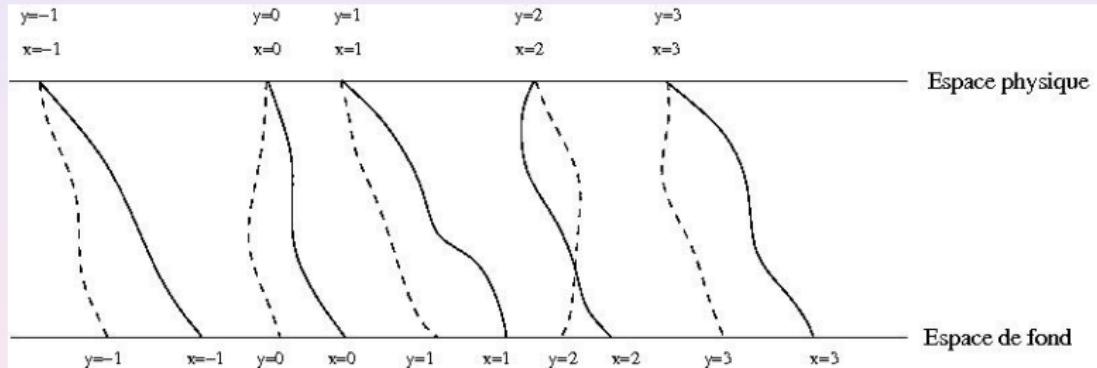
$$\epsilon_{ij}^\lambda = \frac{e_i^1 e_j^1 - e_i^2 e_j^2}{\sqrt{2}} \delta_+^\lambda + \frac{e_i^1 e_j^2 + e_i^2 e_j^1}{\sqrt{2}} \delta_\times^\lambda$$

$$\epsilon_{ij}^\lambda \gamma^{ij} = 0 \text{ (traceless)} \quad \epsilon_{ij}^\lambda k^i = 0 \text{ (transverse)}$$

Decomposition of the shear

$$\sigma_{ij} = \frac{3}{2} \left(\hat{k}_i \hat{k}_j - \frac{1}{3} \gamma_{ij} \right) \sigma_{||} + 2 \sum_{a=1,2} \sigma_{va} \hat{k}_{(i} e_{j)}^a + \sum_{\lambda=+, \times} \sigma_{\lambda} \epsilon_{ij}^\lambda$$

Gauge transformation



A vector ξ^μ on the background space-time generates the gauge transformation.

At first order any tensor transforms as

$$\delta \mathbf{T}_{\mu\nu} \rightarrow \delta \mathbf{T}_{\mu\nu} + \mathcal{L}_\xi \bar{\mathbf{T}}_{\mu\nu}, \quad \xi^\mu = (T, \partial^i L + L^i)$$

Scalars

- $A \rightarrow A + T' + \mathcal{H}T$
- $B \rightarrow B - T + \frac{(k^2 L)'}{k^2}$
- $C \rightarrow C + \mathcal{H}T$
- $E \rightarrow E + L$

Vectors

- $\bar{B}_i \rightarrow \bar{B}_i + \gamma_{ij}(L^j)' - 2ik^j\sigma_{lj}P^l_i L$
- $E_i \rightarrow E_i + L_i$

Tensors

$$E_{ij} \rightarrow E_{ij}$$

Gauge invariant variables

Scalars

- $\Phi \equiv A + \frac{1}{S} \left\{ S \left[B - \frac{(k^2 E)'}{k^2} \right] \right\}'$
- $\Psi \equiv -C - \mathcal{H} \left[B - \frac{(k^2 E)'}{k^2} \right]$
- $v = a \left(\delta\varphi - \frac{C}{\mathcal{H}} \bar{\varphi}' \right)$

Vectors

$$\Phi_i \equiv \bar{B}_i - \gamma_{ij} (E^j)' + 2ik^j \sigma_{lj} P'_i E$$

Tensors

$$E_{ij} \text{ or } \mu_{ij} = a E_{ij}$$

Degrees of freedom

$10 \text{ (metric)} + 1 \text{ } (\varphi) - 4 \text{ (Gauge fixing)} - 4 \text{ (Constraints)} = 3$

- Scalars: $4 + 1 - 2 - 2 = 1$
- Vectors: $4 + 0 - 2 - 2 = 0$
- Tensors: $2 + 0 - 0 - 0 = 2$

Canonical degrees of freedom

- V
- μ_+
- μ_\times

They generalize the Mukhanov-Sasaki variables.

ADM Formalism

We start from the Hilbert-Einstein action and split it in space and time with the ADM formalism:

$$\begin{aligned} S = & \frac{1}{2} \int dt d^3x \sqrt{-g} \left[NR^{(3)} + N \left(K_{ij} K^{ij} - K^2 \right) \right. \\ & \left. - N \left(g^{ij} \partial_i \varphi \partial_j \varphi + 2V(\varphi) \right) + N^{-1} \left(\dot{\varphi} - N^i \partial_i \varphi \right)^2 \right] \end{aligned}$$

where

$$K_{ij} \equiv \frac{N^{-1}}{2} (\dot{g}_{ij} - 2\nabla_{(i} N_{j)}) , \quad K = K^i_i$$

Second order action

Einstein-Hilbert Action at second order

$$S_2 = \frac{1}{2} \int d\eta d^3k (|U'|^2 + U^T \Omega^2 U^*)$$

$$U \equiv (v, \mu_+, \mu_\times)$$

$$\Omega = \begin{pmatrix} -\omega_v^2 & \aleph_+ & \aleph_\times \\ \aleph_+ & -\omega_+^2 & \beth \\ \aleph_\times & \beth & -\omega_\times^2 \end{pmatrix}$$

ω^2

- $\omega_V^2(\eta, k_i) \equiv k^2 - \left[\frac{S''}{S} - S^2 V_{,\varphi\varphi} + \frac{1}{S^2} \left(\frac{2S^2 \kappa \varphi'^2}{2\mathcal{H} - \sigma_{||}} \right)' \right]$
- $\omega_+^2(\eta, k_i) \equiv k^2 - \left[\frac{S''}{S} + 2\sigma_{T\times}^2 + \frac{1}{S^2} \left(S^2 \sigma_{||} \right)' + \frac{1}{S^2} \left(\frac{2S^2 \sigma_{T+}^2}{2\mathcal{H} - \sigma_{||}} \right)' \right]$
- $\omega_\times^2(\eta, k_i) \equiv k^2 - \left[\frac{S''}{S} + 2\sigma_{T+}^2 + \frac{1}{S^2} \left(S^2 \sigma_{||} \right)' + \frac{1}{S^2} \left(\frac{2S^2 \sigma_{T\times}^2}{2\mathcal{H} - \sigma_{||}} \right)' \right]$

Coupling terms

- $\aleph_+(\eta, k_i) \equiv \frac{1}{S^2} \sqrt{\kappa} \left(\frac{2S^2\varphi'\sigma_{T+}}{2\mathcal{H}-\sigma_{||}} \right)'$
- $\aleph_\times(\eta, k_i) \equiv \frac{1}{S^2} \sqrt{\kappa} \left(\frac{2S^2\varphi'\sigma_{T\times}}{2\mathcal{H}-\sigma_{||}} \right)'$
- $\beth(\eta, k_i) \equiv \frac{1}{S^2} \left(\frac{2^2\sigma_{T\times}\sigma_{T+}}{2\mathcal{H}-\sigma_{||}} \right)' - 2\sigma_{T\times}\sigma_{T+}$

Equations

- $v'' + \omega_v^2(k_i, \eta)v = \aleph_+(k_i, \eta)\mu_+ + \aleph_\times(k_i, \eta)\mu_\times$
- $\mu_+'' + \omega_+^2(k_i, \eta)\mu_+ = \aleph_+(k_i, \eta)v + \beth(k_i, \eta)\mu_\times$
- $\mu_\times'' + \omega_\times^2(k_i, \eta)\mu_\times = \aleph_\times(k_i, \eta)v + \beth(k_i, \eta)\mu_+$

Main features

- Directional dependence in k^2
- Coupling between degrees of freedom
- Tensor polarization not on the same footing
- Vector perturbations do not vanish (because of constraints)

Standard procedure

Canonical variables are promoted to operators (Heisenberg picture)

- $\hat{v}(\mathbf{x}, \eta) = \int \frac{d^3\mathbf{k}}{(2\pi)^{3/2}} \left[v_k(\eta) e^{i\mathbf{k}\cdot\mathbf{x}} \hat{a}_{\mathbf{k}} + v_k^*(\eta) e^{-i\mathbf{k}\cdot\mathbf{x}} \hat{a}_{\mathbf{k}}^\dagger \right]$
- $[\hat{a}_{\mathbf{k}}, \hat{a}_{\mathbf{k}'}^\dagger] = \delta^{(3)}(\mathbf{k} - \mathbf{k}')$
- $v''_k + \omega_v^2(k, \eta) v_k = 0$

Bunch-Davies vacuum choice

At small scale we want to recover standard oscillator quantization and thus we ask

$$v_k \rightarrow \frac{1}{\sqrt{2k}} e^{-ik\eta}$$

This sets the initial conditions for v_k .

FL case with slow-roll approximation

$$\omega_v^2 = k^2 - \frac{2+6\epsilon-3\delta}{\eta^2}, \quad \omega_+^2 = \omega_x^2 = k^2 - \frac{2+3\epsilon}{\eta^2}$$

We have an exact solution for v_k .

WKB approximation

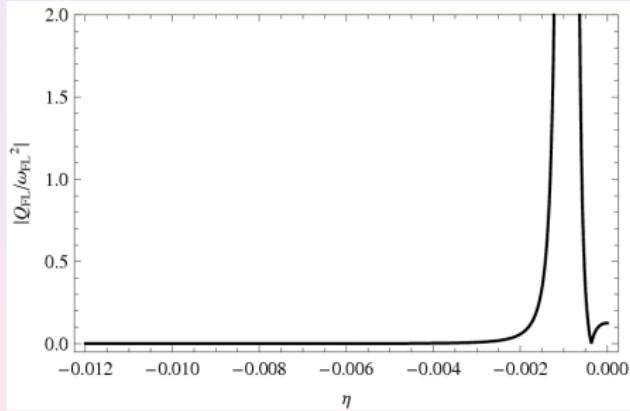
If no exact solution v_k is known. We can build an approximate solution

- $v_k^{\text{WKB}}(\eta) = \frac{1}{\sqrt{2\omega_v}} e^{\pm i \int \omega_v d\eta}$
- $v_k^{\text{WKB}''} + (\omega_v^2 - Q_{\text{WKB}}) v_k^{\text{WKB}} = 0$
- $Q_{\text{WKB}} = \frac{3}{4} \left(\frac{\omega'_v}{\omega_v} \right)^2 - \frac{1}{2} \frac{\omega''_v}{\omega_v}$

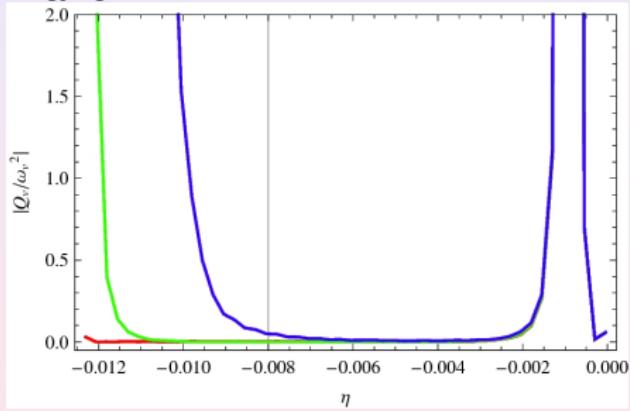
Valid if $|Q_{\text{WKB}}/\omega_v^2| \ll 1$. Then we have a well defined vacuum.

WKB condition asymptotically

FL



Bianchi I

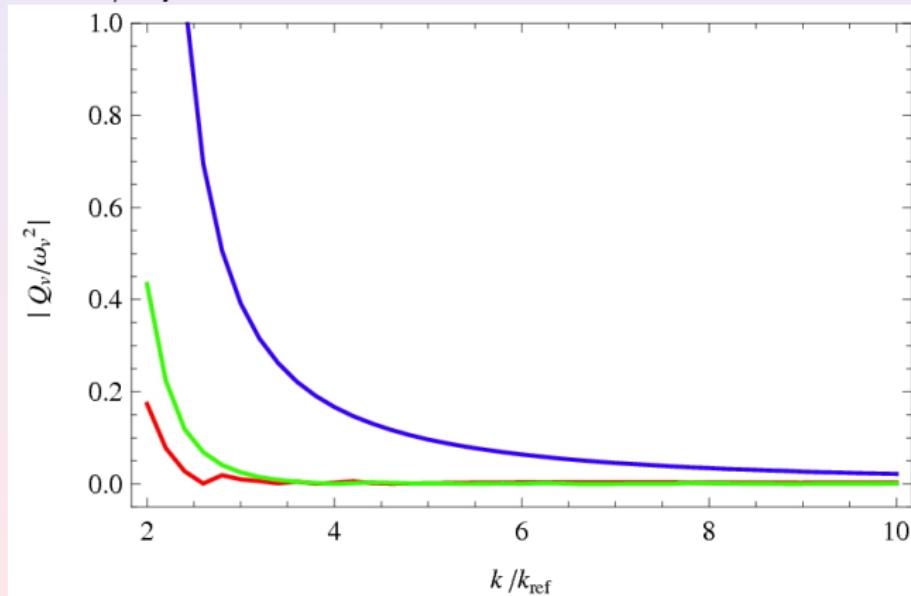


Procedure:

Set the initial condition when $|Q_{\text{WKB}}/\omega_v^2| \ll 1$ is minimum.

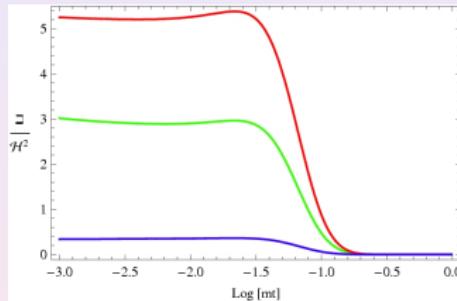
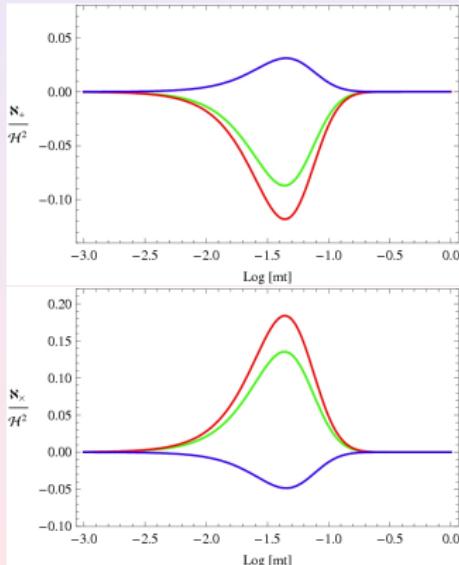
Validity of WKB when setting initial conditions

Q_{WKB}/ω_v^2 when CI set



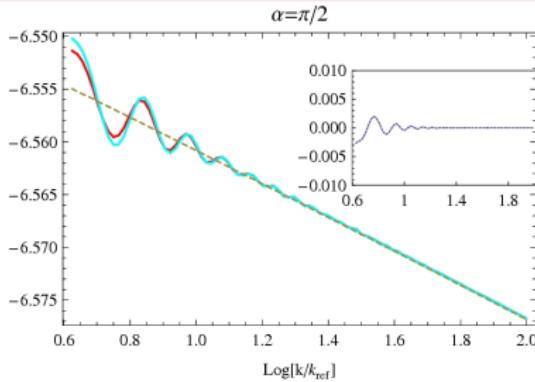
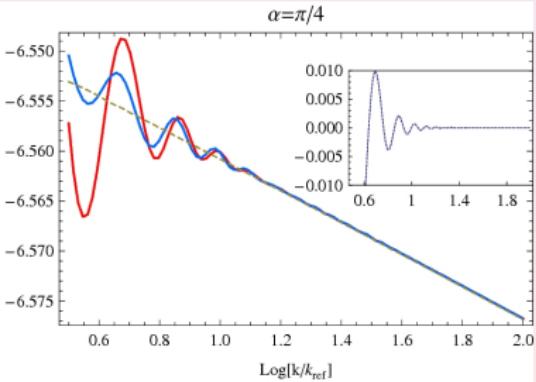
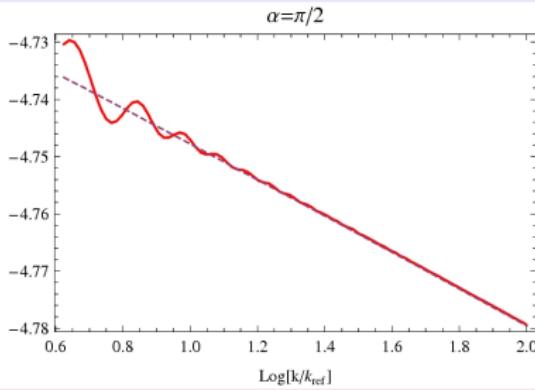
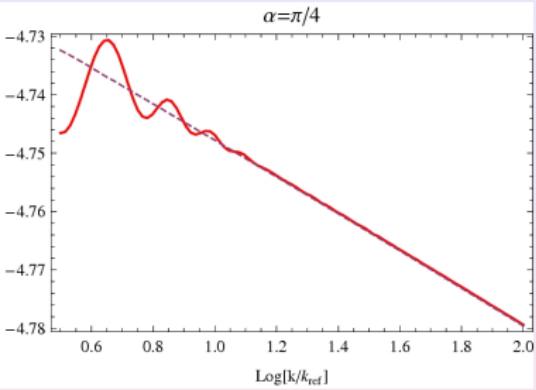
For k too small, this procedure is not valid.

Statistical independence

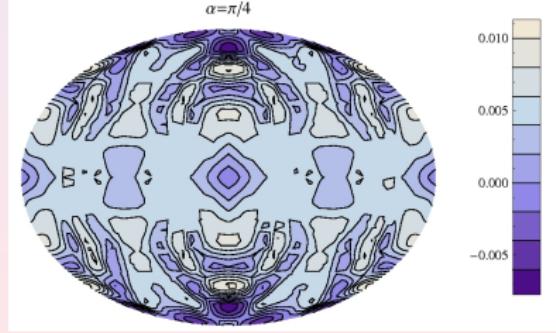
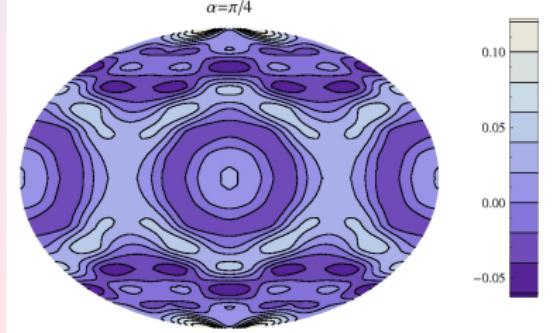
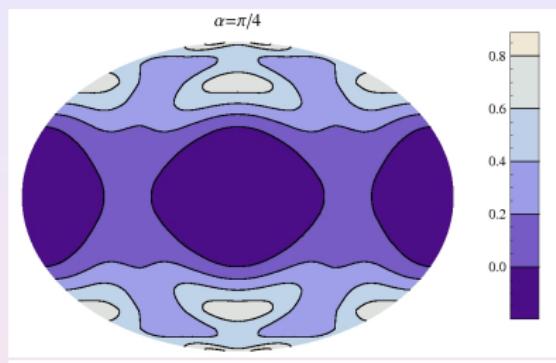
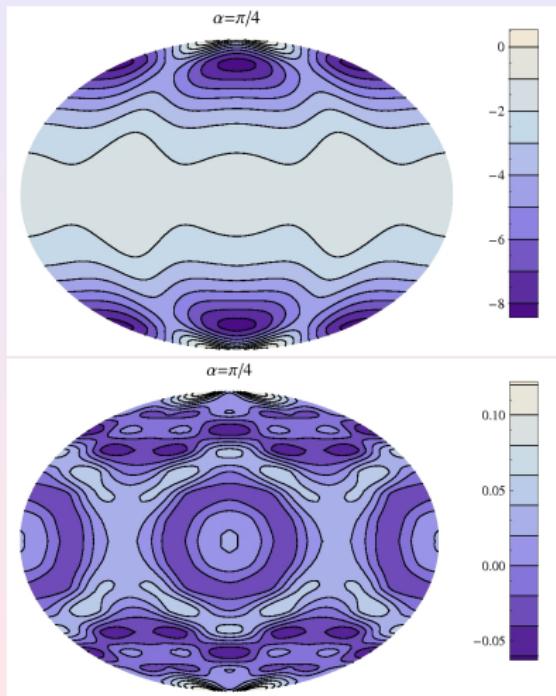


The coupling terms vanish essentially when the IC are set. Our procedure induces no coupling between the 3 degrees of freedom.

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Conclusion

- Perturbation formalism can be generalized. We hope to get it for any Bianchi. We will use the tetrad formalism for that.
- Canonical degrees of freedom identified. Direction dependence and couplings.
- Quantization procedure: no uniform convergence from Bianchi I to FL.
- Bunch Davies vacuum cannot be generalized easily: modes have always been super-Hubble. We cannot handle this in this theoretical frame. String theory?
- However, the spectrum converges towards the nearly scale-invariant isoptropic spectrum. For long inflation, no imprint of early anisotropic era, as expected.

THE END ...

THANK YOU