

Separating expansion from collapse and generalizing TOV condition in spherically symmetric models with pressure, with Λ -CDM examples

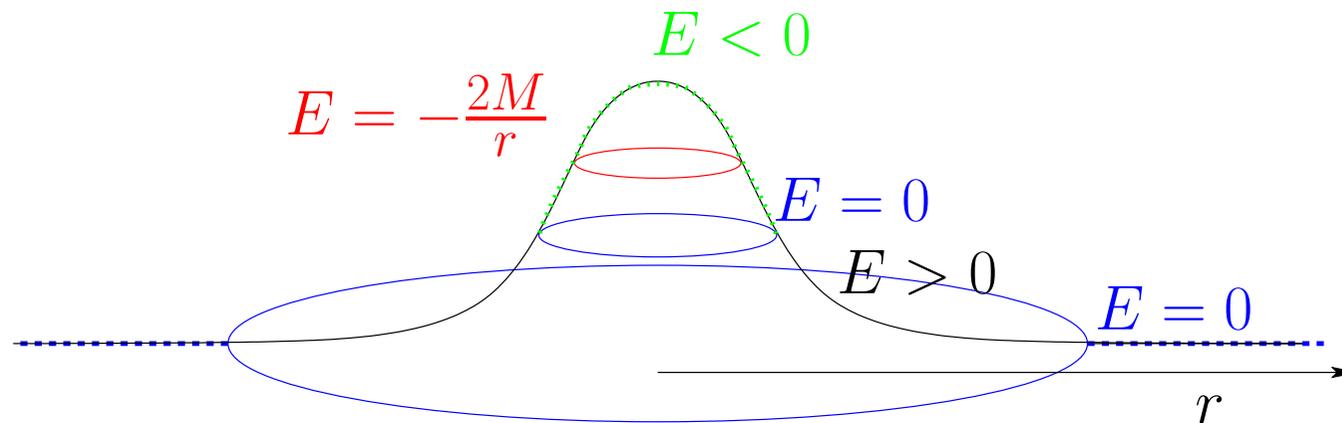
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Mass/curvature perturbation in a flat background



Introduction-a

Context: •Structure formation in a Cosmology of **Dark Energy (DE)** and **Dark Matter (DM)** → spherical collapse with pressure and outer expansion •Press-Schechter Structure formation

In the Press-Schechter scheme,
relativistic spherical collapse → get the non-linear collapse time

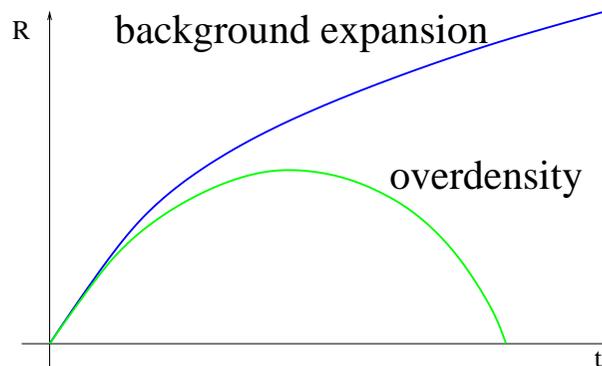
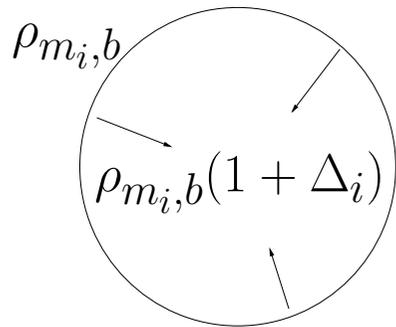
for the simple minimally coupled homogeneous **DE**

top hat model

Einstein's Equations - with "Birkhoff's Theorem"

FLRW model

with "Top hat" model



Surface tension not treated!

Introduction-b

Context:

- Possibility of an analog/extension to Birkhoff's theorem in non-vacuum background
- trapped surfaces [Penrose, Hawking 1969; Cattoen 2005; Grundlach, Joshi 2007]

Birkhoff's theorem invoked in the Press-Schechter scheme
in the spherical collapse
to justify ignoring the backreactions of **background** vs
overdensity

However,
the theorem is proved in the case of asymptotic flatness (vacuum at infinity),
not in **cosmological expanding background**.

An analog/extension would introduce some weaker sort of causal separation
than the so-called trapped surfaces that capture all causal links.

Introduction-c

Goal: Does the **expansion** of the universe affect **collapsing fluctuations**?
In spherical symmetry, can an analog/extension to Birkhoff's theorem be developed for cosmological boundary conditions?
Can we define locally/globally matter-trapped surfaces separating cosmological **expansion** from **collapsing** regions?

Plan

- I. ADM approach to LTB models in GPG system and GLTB: **General Description**
- II. Definition of a separating shell: **Non-linear collapse model with P and expansion**
- III. Initial fluctuation(s) with homogeneous pressure: **the perturbed Λ CDM**

I-a ADM in GPG:

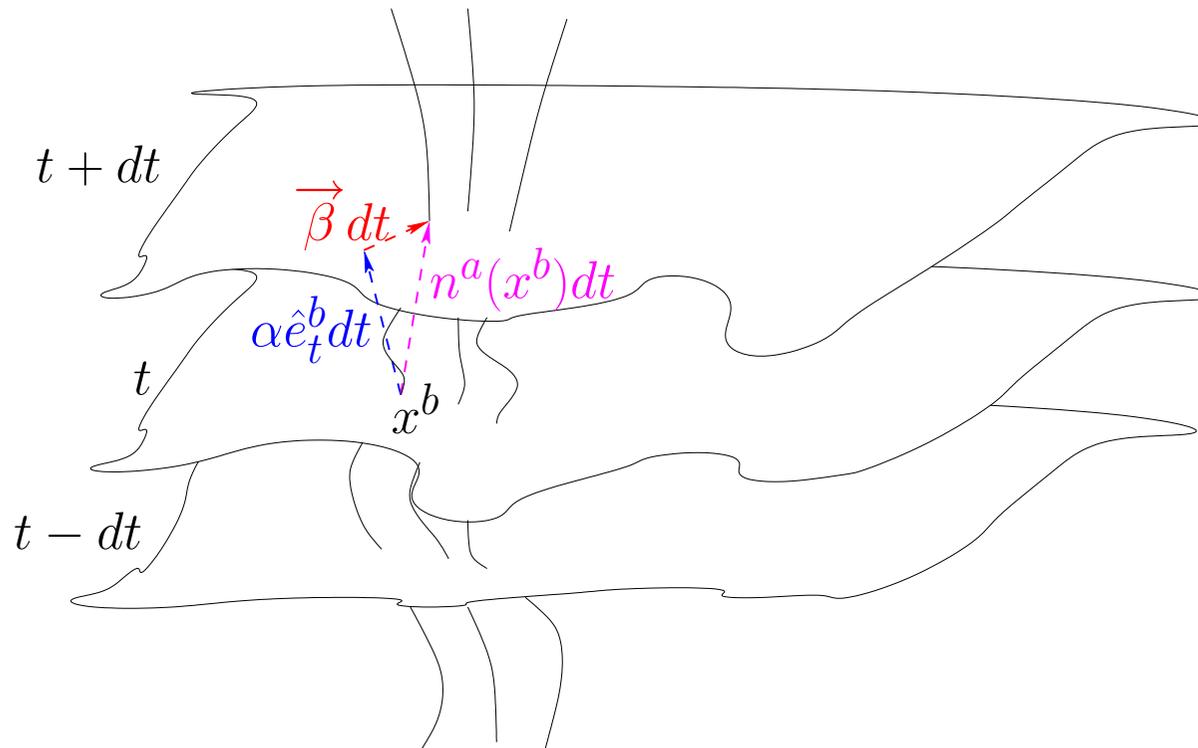
- **metric:** with ADM 3+1 splitted along flow n^a , defined with a lapse function

a spherically symmetric shift vector $\vec{\beta} = \begin{pmatrix} \beta & 0 & 0 \end{pmatrix}$

a curvature/energy function E

in Generalised Painlevé-Gullstrand coordinates [Laski & Lun 2006]

$$ds^2 = -\alpha(t,r)^2 dt^2 + \frac{1}{1 + E(t,r)} (\beta(t,r)dt + dr)^2 + r^2 d\Omega^2.$$



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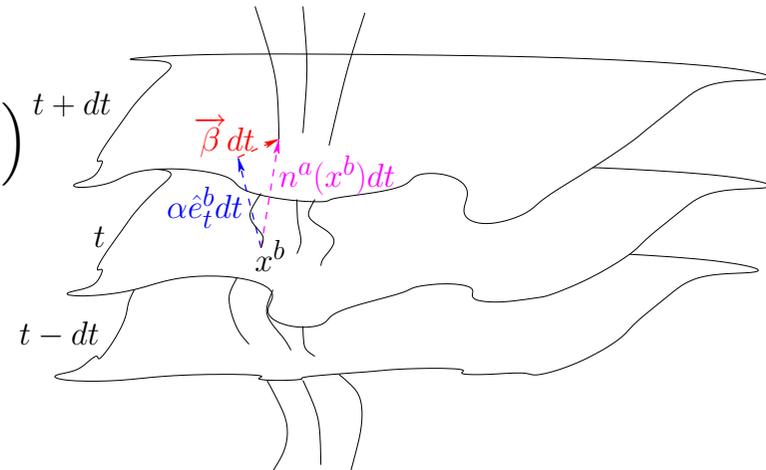
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$$\begin{matrix} \alpha \\ \vec{\beta} \\ E \end{matrix} = \begin{pmatrix} & & & \\ \beta & 0 & 0 & \\ & & & \end{pmatrix} \begin{matrix} t+dt \\ \\ \end{matrix}$$



in Generalised Painlevé-Gullstrand coordinates

[Laski & Lun 2006]

$$ds^2 = -\alpha(t, r)^2 dt^2 + \frac{1}{1 + E(t, r)} (\beta(t, r) dt + dr)^2 + r^2 d\Omega^2.$$

- **Perfect fluid** → **projected Bianchi identities:**

along the flow,

energy density conservation,

$$n^b T_{b;a}^a = -\mathcal{L}_n \rho - (\rho + P) \Theta = 0,$$

orthogonal to it,

the Euler equation:

$$h_a^b T_{b;c}^c = 0 \Rightarrow P' = -(\rho + P) \frac{\alpha'}{\alpha}.$$

I-a ADM in GPG:

- **metric:** with ADM 3+1 split, spherically symmetric, in GPG coordinates [Laski & Lun 2006]

$$ds^2 = -\alpha(t, r)^2 dt^2 + \frac{1}{1 + E(t, r)} (\beta(t, r) dt + dr)^2 + r^2 d\Omega^2.$$

- **Einstein Field Equations** read as Lie derivatives along the flow, of curvature E (in explicit presence of a Λ)

a **Misner-Sharp Mass** $M \equiv r^2 (1 + E) (\ln \alpha)' - 4\pi P r^3 + \frac{1}{3} \Lambda r^3 + r^2 \mathcal{L}_n \left(\frac{\beta}{\alpha} \right)$

$$\mathcal{L}_n E = \pm 2 \sqrt{2 \frac{M}{r} + \frac{1}{3} \Lambda r^2 + E} \frac{1 + E}{\rho + P} P' = 2 \frac{\beta}{\alpha} \frac{1 + E}{\rho + P} P',$$

$$\mathcal{L}_n M = \pm 4\pi P r^2 \sqrt{2 \frac{M}{r} + \frac{1}{3} \Lambda r^2 + E} = 4\pi P r^2 \frac{\beta}{\alpha}.$$

with the radial evolution

$$E + 2 \frac{M}{r} + \frac{1}{3} \Lambda r^2 = \left(\frac{\beta}{\alpha} \right)^2.$$

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with the radial evolution

$$E + 2 \frac{M}{r} + \frac{1}{3} \Lambda r^2 = \left(\frac{\beta}{\alpha} \right)^2.$$

We also isolated a **gTOV** parameter (generalised Tolman-Oppenheimer-Volkoff)

$$\text{gTOV} = \left[\frac{1 + E}{\rho + P} P' + 4\pi P r + \frac{M}{r^2} - \frac{1}{3} \Lambda r \right] = \mathcal{L}_n \left(\frac{\beta}{\alpha} \right).$$

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- **metric:** with ADM 3+1 split, spherically symmetric, in GPG coordinates [Laski & Lun 2006]

$$ds^2 = -\alpha(t, r)^2 dt^2 + \frac{1}{1 + E(t, r)} (\beta(t, r) dt + dr)^2 + r^2 d\Omega^2.$$

- **EFEs** read as Lie along the flow

$$\mathcal{L}_n E = \pm 2 \sqrt{2 \frac{M}{r} + \frac{1}{3} \Lambda r^2 + E} \frac{1 + E}{\rho + P} P' = 2 \frac{\beta}{\alpha} \frac{1 + E}{\rho + P} P',$$

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- **Perfect fluid** → **projected Bianchi identities:**

along the flow,

orthogonal to it,

energy density conservation,

the Euler equation:

$$n^b T_{b;a}^a = -\mathcal{L}_n \rho - (\rho + P)^3 \Theta = 0, \quad h_a^b T_{b;c}^c = 0 \Rightarrow P' = -(\rho + P) \frac{\alpha'}{\alpha}.$$

System is **closed** with equation of state $f(\rho, P) = 0$.

I-a ADM in GPG:

- **metric:** with ADM 3+1 split, spherically symmetric, in GPG coordinates [Laski & Lun 2006]

$$ds^2 = -\alpha(t, r)^2 dt^2 + \frac{1}{1 + E(t, r)} (\beta(t, r) dt + dr)^2 + r^2 d\Omega^2.$$

- **EFEs:** In terms of GPG time derivatives it reads

$$\dot{M} = \pm \alpha \sqrt{2\frac{M}{r} + \frac{1}{3}\Lambda r^2 + E} (M' + 4\pi P r^2) = \beta (M' + 4\pi P r^2),$$

$$\dot{E} = \pm \alpha \sqrt{2\frac{M}{r} + \frac{1}{3}\Lambda r^2 + E} \left(E' + 2\frac{1 + E}{\rho + P} P' \right) = \beta \left(E' + 2\frac{1 + E}{\rho + P} P' \right).$$

with radial evolution

$$E + 2\frac{M}{r} + \frac{1}{3}\Lambda r^2 = \left(\frac{\beta}{\alpha}\right)^2.$$

I-b ADM in GLTB:

- **metric: Generalised Lemaître-Tolman-Bondi:** choosing $\beta = -\dot{r}$ in GPG, we can get almost Lemaître-Tolman-Bondi coordinates [Laski & Lun 2006]

$$ds^2 = -\alpha(T, R)^2 (\partial_T t)^2 dT^2 + \frac{(\partial_R r)^2}{1 + E(T, R)} dR^2 + r^2 d\Omega^2,$$

I-b ADM in GLTB:

- **metric: Generalised LTB:** choosing $\beta = -\dot{r}$ we can get almost LTB coordinates [Laski & Lun 2006]

$$ds^2 = -\alpha(T, R)^2 (\partial_{Tt})^2 dT^2 + \frac{(\partial_{Rr})^2}{1 + E(T, R)} dR^2 + r^2 d\Omega^2,$$

- **EFEs:** the Lie derivatives in GPG become time derivatives in GLTB

$$\dot{M} = \beta 4\pi P r^2 = \pm \alpha \sqrt{2\frac{M}{r} + \frac{1}{3}\Lambda r^2 + E} 4\pi P r^2,$$

$$\dot{E} r' = 2\beta \frac{1 + E}{\rho + P} P' = \pm 2 \frac{1 + E}{\rho + P} P' \alpha \sqrt{2\frac{M}{r} + \frac{1}{3}\Lambda r^2 + E}$$

with the radial evolution

$$E + 2\frac{M}{r} + \frac{1}{3}\Lambda r^2 = \left(-\frac{\dot{r}}{\alpha}\right)^2.$$

I-b ADM in GLTB:

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$$ds^2 = -\alpha(T, R)^2 (\partial_T t)^2 dT^2 + \frac{(\partial_R r)^2}{1 + E(T, R)} dR^2 + r^2 d\Omega^2,$$

- **EFEs:** the Lie becomes time derivatives

$$\dot{M} = \beta 4\pi P r^2 = \pm \alpha \sqrt{2\frac{M}{r} + \frac{1}{3}\Lambda r^2 + E} 4\pi P r^2,$$

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with radial evolution

$$E + 2\frac{M}{r} + \frac{1}{3}\Lambda r^2 = \left(-\frac{\dot{r}}{\alpha}\right)^2.$$

- **Many fluids** mass equation (absorbs Λ term): each component i uses the $\frac{\beta}{\alpha}$ term from the overall sum of the masses.

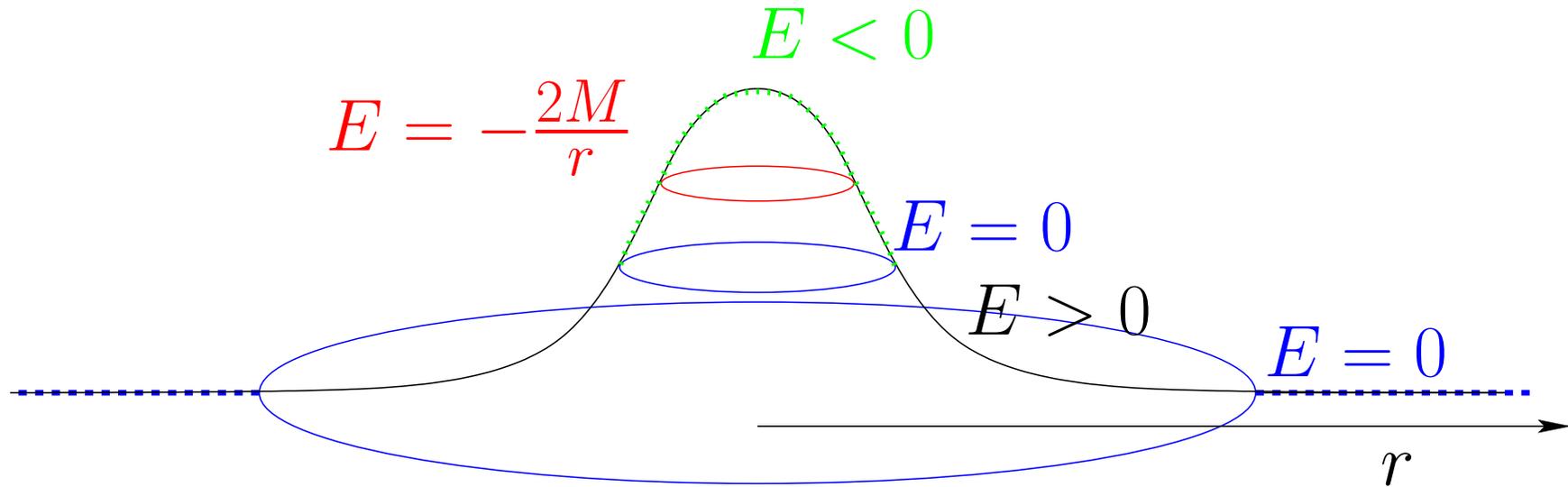
$$\dot{M}_i = \beta 4\pi P_i r^2 = \pm \alpha \sqrt{2\frac{M}{r} + E} 4\pi P_i r^2, \quad \text{where } M = \sum M_i.$$

II Definitions of a separating shell

Equations of motion and behaviour of dust suggest to focus on shells with dust-like **null mass/energy flow**, that is:

$$\forall t, \mathcal{L}_n M(t, r_\star(t)) = 0 \quad \Leftrightarrow \quad \forall t, E = -2 \frac{M}{r_\star} < 0!!$$

Mass/curvature perturbation in a flat background



II Definitions of a separating shell

Equations of motion and behaviour of dust suggest shells with dust-like **null mass/energy flow**:

$$\forall t, \mathcal{L}_n M(t, r_\star(t)) = 0 \quad \Leftrightarrow \quad \forall t, E = -2 \frac{M}{r_\star} < 0!!$$

Radial behaviour of that shell is then similar to a turnaround shell

$$r_\star = -\frac{2M_\star}{E_\star}, \quad \dot{r}_\star = 0, \quad \ddot{r}_\star = -\alpha^2 \left[g_{\text{TOV}_\star} - r_\star^2 \frac{g_{\text{TOV}_\star}^2}{M_\star} \right].$$

The only difference in LTB coordinates is acceleration:

$$\ddot{r}_{LTB,\star} = -\alpha^2 g_{\text{TOV}_\star}.$$

II Definitions of a separating shell

Equations of motion and behaviour of dust suggest shells with dust-like **null mass/energy flow**:

$$\forall t, \mathcal{L}_n M(t, r_*(t)) = 0 \quad \Leftrightarrow \quad \forall t, E = -2 \frac{M}{r_*} < 0!!$$

Radial behaviour of that shell is then similar to turnaround shell

$$r_* = -\frac{2M_*}{E_*}, \quad \dot{r}_* = 0, \quad \ddot{r}_* = -\alpha^2 \left[g\text{TOV}_* - r_*^2 \frac{g\text{TOV}_*^2}{M_*} \right]; \quad \ddot{r}_{LTB,*} = -\alpha^2 g\text{TOV}_*.$$

Locally, **gTOV**=0 gives the **TOV** equation on the limit shell

\Leftrightarrow static condition

$$g\text{TOV}_* = 0 \quad \Leftrightarrow \quad -\frac{1}{\rho + P} P' = \left[\frac{4\pi P r + \frac{M}{r^2}}{1 - \frac{2M}{r}} \right]_*.$$

Note that

$$\mathcal{L}_n r = -\frac{\beta}{\alpha} \quad \Leftrightarrow \quad \mathcal{L}_n^2 r = -g\text{TOV}.$$

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Alternate approach: turnaround shell? (null expansion Θ),
gauge invariant definition (Θ linked with shear a)

$$\Theta = -3 \left(a + \frac{\beta}{\alpha r} \right), \quad \Theta_\star + 3a_\star = 0.$$

II Definitions of a separating shell

Equations of motion and behaviour of dust suggest shells with dust-like **null mass/energy flow**:

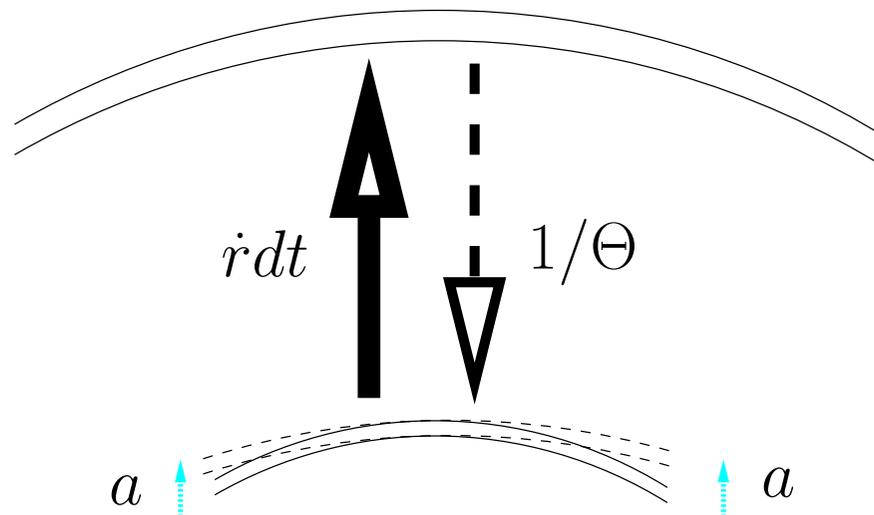
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$$\Theta = -3 \left(a + \frac{\beta 1}{\alpha r} \right), \quad \Theta_\star + 3a_\star = 0.$$

Non Zero Shear in spherical symmetry due to non-flatness:

$a \neq 0$ in spherical symmetry



II Definitions of a separating shell

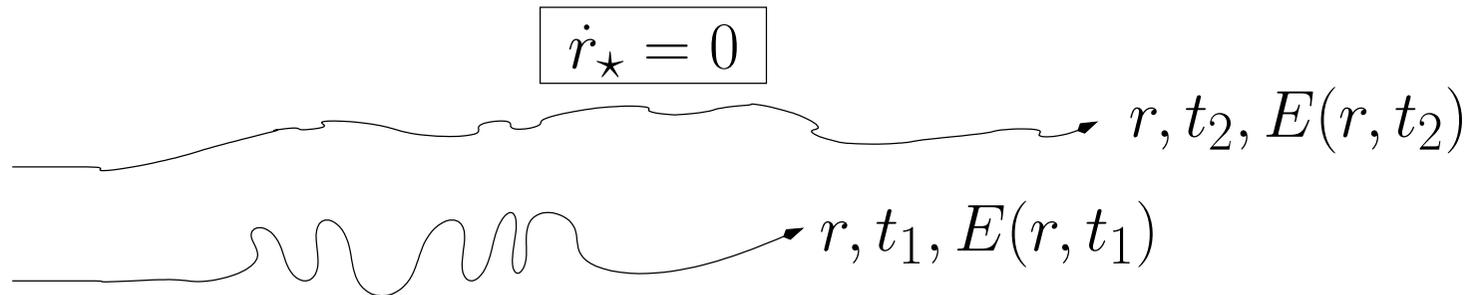
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Constant Areal radius doesn't imply global staticity:



II Definitions of a separating shell

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Dynamics of shells governed by the Raychaudhuri equation. Reformulated:

$$\begin{aligned} -\mathcal{L}_n \Theta - \Theta^2 - \frac{6\beta}{r\alpha} \left[\frac{2\Theta}{3} + \frac{1\beta}{r\alpha} \right] &= \underbrace{\frac{4\pi(\rho + 3P)}{3}}_{\text{FLRW Friedmann source}} - \frac{P'}{2(\rho + P)} E' \\ + \left(\text{gTOV} - \frac{4\pi r (\langle \rho \rangle + 3P)}{3} \right)' &+ \left(\frac{2}{r} - \frac{P'}{\rho + P} \right) \left(\text{gTOV} - \frac{4\pi r (\langle \rho \rangle + 3P)}{3} \right), \\ &\underbrace{\hspace{10em}}_{\text{FLRW-like source}} \end{aligned}$$

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Examples= Dynamics: Raychaudhuri equation, shear free

$$\begin{aligned} -3\mathcal{L}_n \frac{\Theta}{3} - 3 \left(\frac{\Theta}{3} \right)^2 &= \underbrace{\frac{4\pi(\rho + 3P)}{3}}_{\text{FLRW Friedmann source}} - \frac{P'}{2(\rho + P)} E' \\ + \left(\text{gTOV} - \frac{4\pi r (\langle \rho \rangle + 3P)}{3} \right)' &+ \left(\frac{2}{r} - \frac{P'}{\rho + P} \right) \left(\text{gTOV} - \frac{4\pi r (\langle \rho \rangle + 3P)}{3} \right), \\ &\quad \text{FLRW-like source} \qquad \qquad \qquad \text{FLRW-like source} \end{aligned}$$

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Alternate approach: **gauge invariant definition** (expansion Θ linked with shear a)

$$\Theta = -3 \left(a + \frac{\beta}{\alpha r} \right), \quad \Theta_\star + 3a_\star = 0.$$

Ex.=Dynamics: Raychaudhuri equation, homogeneous pressure ($P' = 0$)

$$-\mathcal{L}_n \Theta - \Theta^2 - \frac{6\beta}{r\alpha} \left[\frac{2\Theta}{3} + \frac{1\beta}{r\alpha} \right] = \frac{4\pi(\rho + 3P)}{\text{FLRW Friedmann source}},$$

$$\text{gTOV} = \frac{4\pi r (\langle \rho \rangle + 3P)}{\text{FLRW-like source}}$$

$$\text{gTOV}_r = 0 \Rightarrow P = -\frac{\langle \rho \rangle_r}{3}.$$

II Definitions of a separating shell

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Ex.=Dynamics: Raychaudhuri equation, FLRW model (also defined with $\alpha = 1, \beta = -\dot{r}, E = -kx^2, r = ax = \partial_x r \cdot x$)

$$-3\mathcal{L}_n \frac{\Theta}{3} - 3 \left(\frac{\Theta}{3} \right)^2 = -3\dot{H} - 3H^2 = -3 \frac{\ddot{a}}{a} = \frac{4\pi(\rho + 3P)}{\text{Friedmann source}}$$

$$\langle \rho \rangle = \rho \Rightarrow -\ddot{r} = \frac{4\pi r(\rho + 3P)}{\text{FLRW source}} = \text{gTOV},$$

$$\text{gTOV} = 0 \Rightarrow P = -\frac{\rho}{3}, \text{ only dark radiation}$$

III-a Non-linearly perturbed Λ CDM

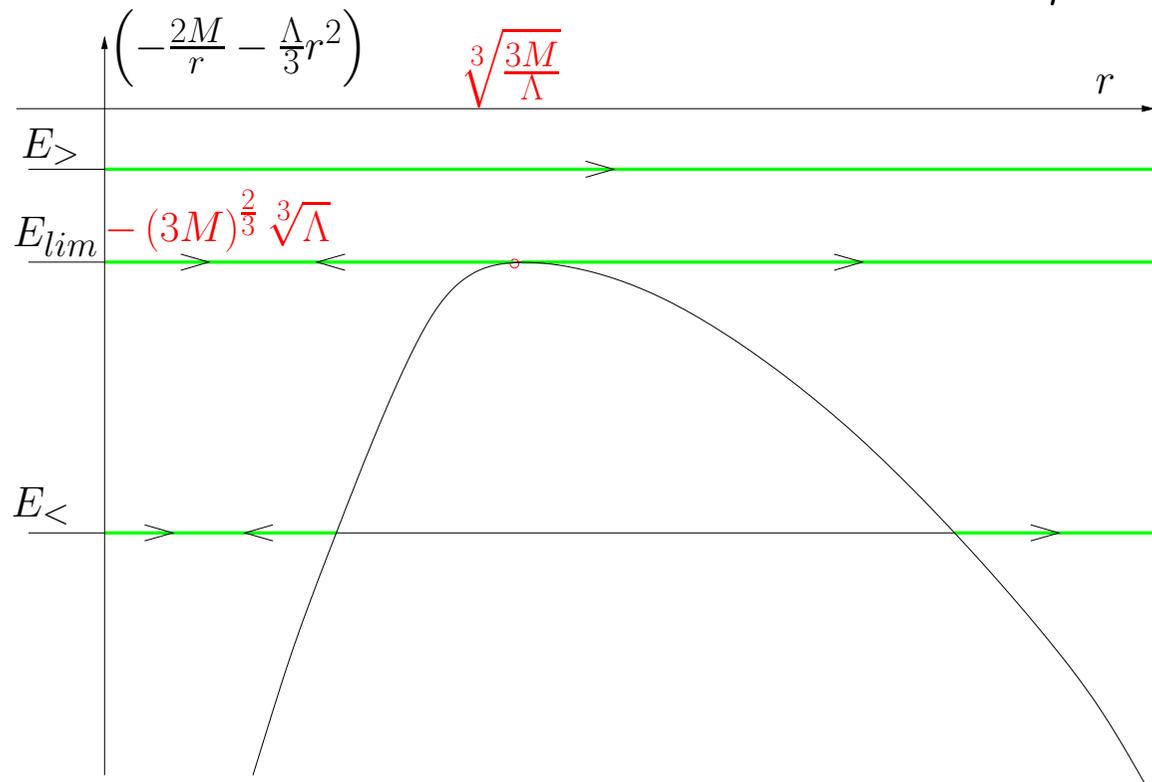
Simplest example of non-pressureless perfect fluid away from dust:
spherically symmetric non-linear perturbation in a Λ CDM background.

→ Almost dust: no P gradients \Rightarrow no shell crossing, $\alpha' = 0 \Rightarrow \alpha = 1$,
 E and M conserved:

Use radial equation in GLTB and its time derivative per shell

$$\dot{r}^2 = 2\frac{M}{r} + \frac{1}{3}\Lambda r^2 + E, \quad \text{with } \ddot{r} = -\frac{M}{r^2} + \frac{\Lambda}{3}r,$$

we perform Kinematic analysis, using $E = V(r) \equiv -\frac{2M}{r} - \frac{\Lambda}{3}r^2$.



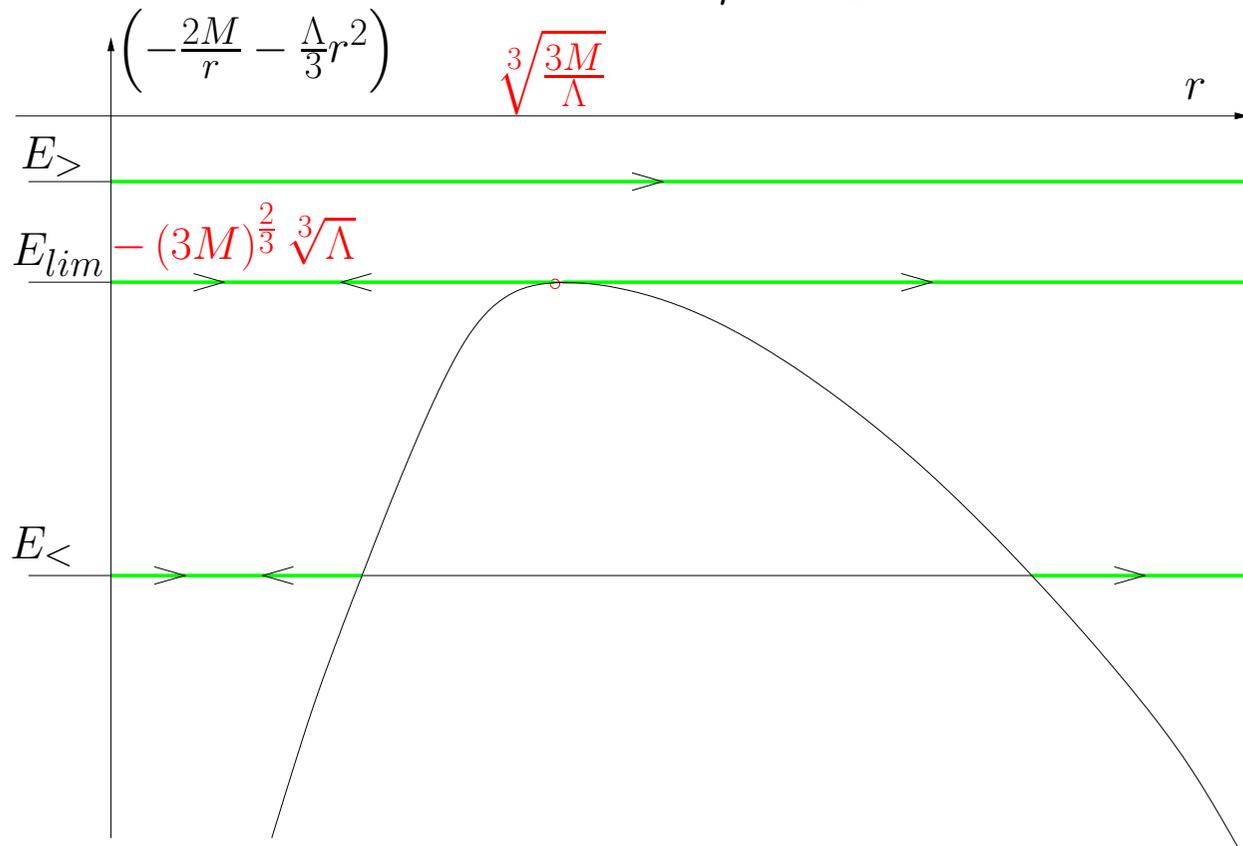
III-a Non-linearly perturbed Λ CDM

Kinematic analysis per shell: for each shell of a given E and $V(r)$, turnaround is reached when $\dot{r} = 0 \Leftrightarrow E = V(r)$.

Motion in effective potential V .

Possibility of a stable shell: for $\dot{r} = 0 \Rightarrow r = r_{lim} = \sqrt[3]{\frac{3M}{\Lambda}}$ and
 $E = E_{lim} = - (3M)^{\frac{2}{3}} \Lambda^{\frac{1}{3}}$

Remark that for the Λ CDM, $gTOV = \frac{M}{r^2} - \frac{\Lambda}{3}r = -\ddot{r}$!



III-a Non-linearly perturbed Λ CDM

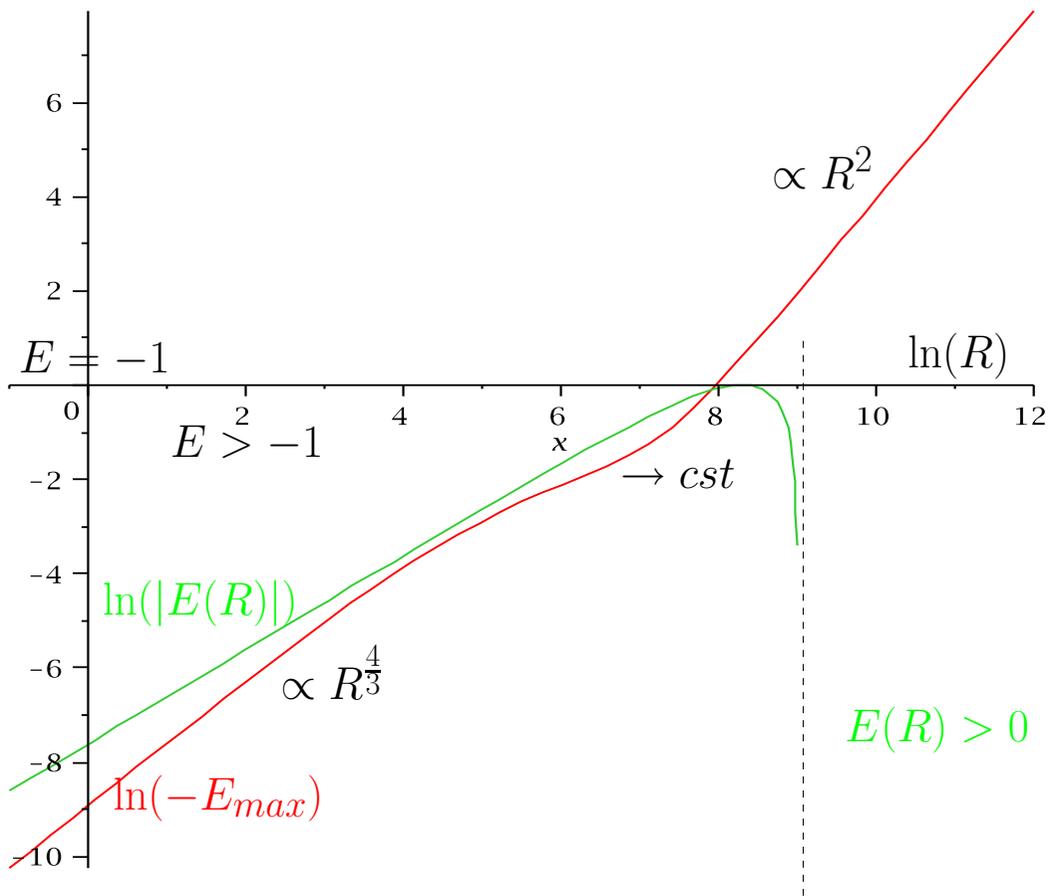
Apply this to whole system:

choose initial ρ_i profile sets the profile of E_{lim} s, where shells are stable,
 v_i sets the actual E_i .

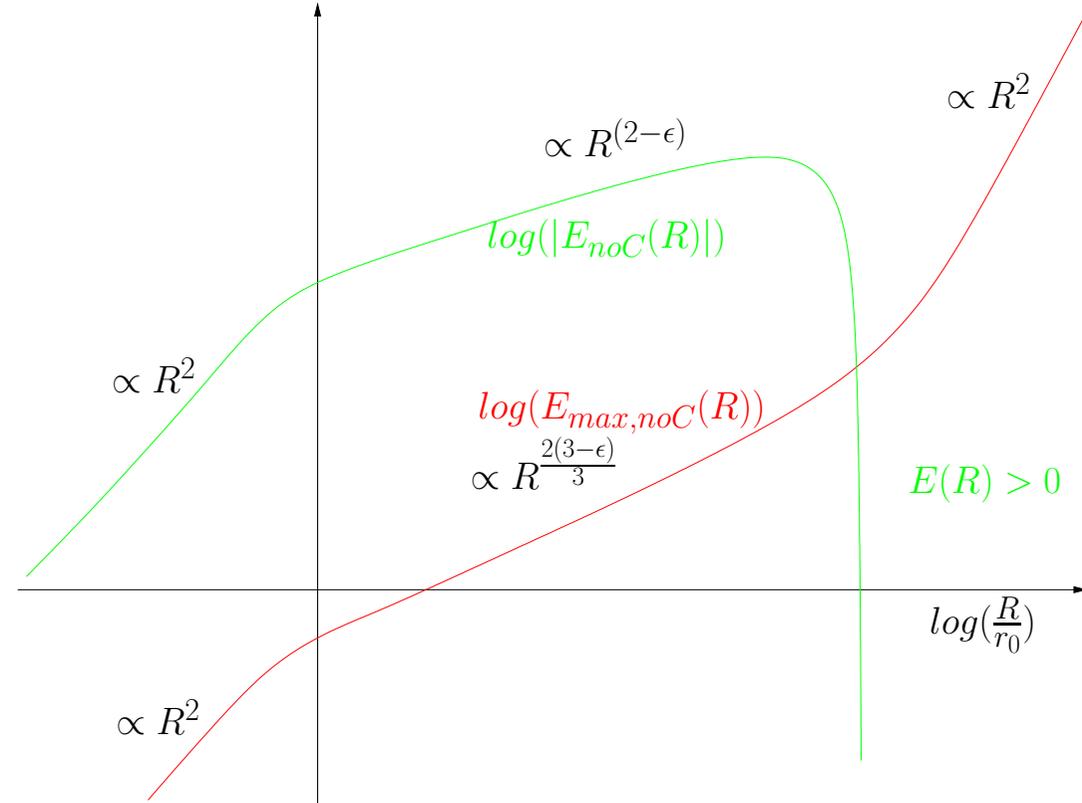
Intersection of E_{lim} and E_i gives the actual stable separating shell

We use cosmological or plausible cosmological initial conditions

ρ_i is NFW and E_i a parabola



ρ_i : cusplless power law; v_i : Hubble flow



III-a Non-linearly perturbed Λ CDM

Intersection of E_{lim} and E_i gives the actual stable separating shell

We use cosmological or plausible cosmological initial conditions

ρ_i is NFW and E_i a parabola

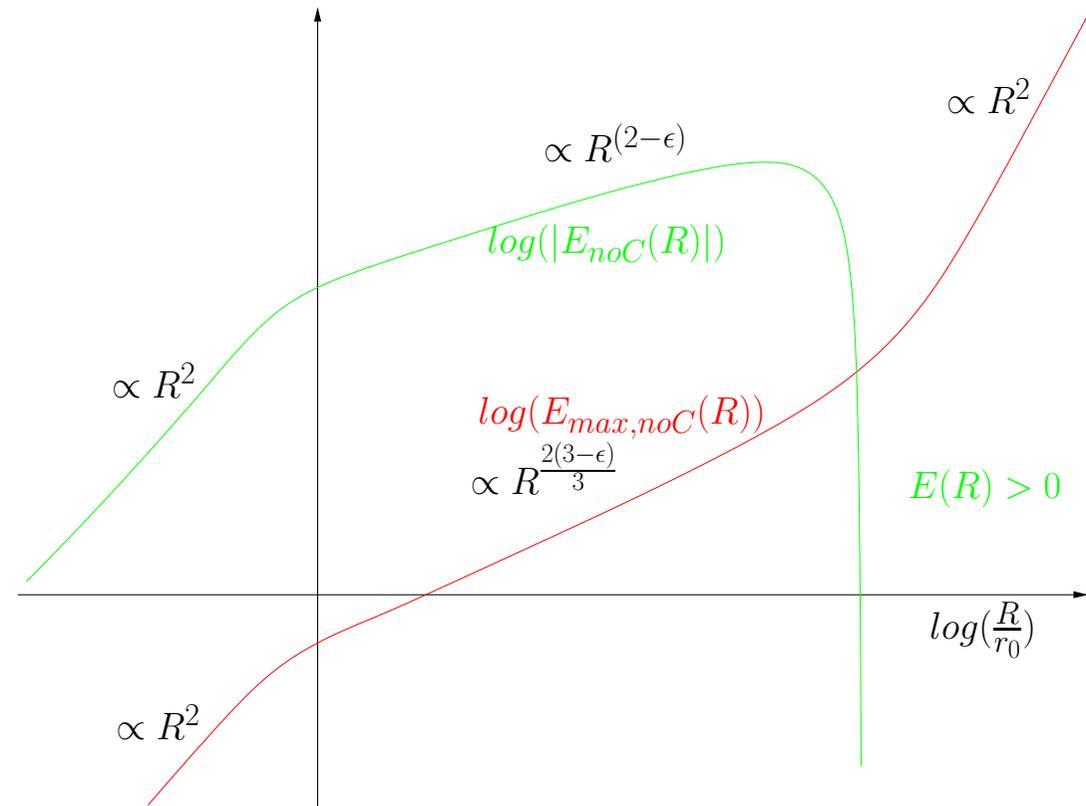
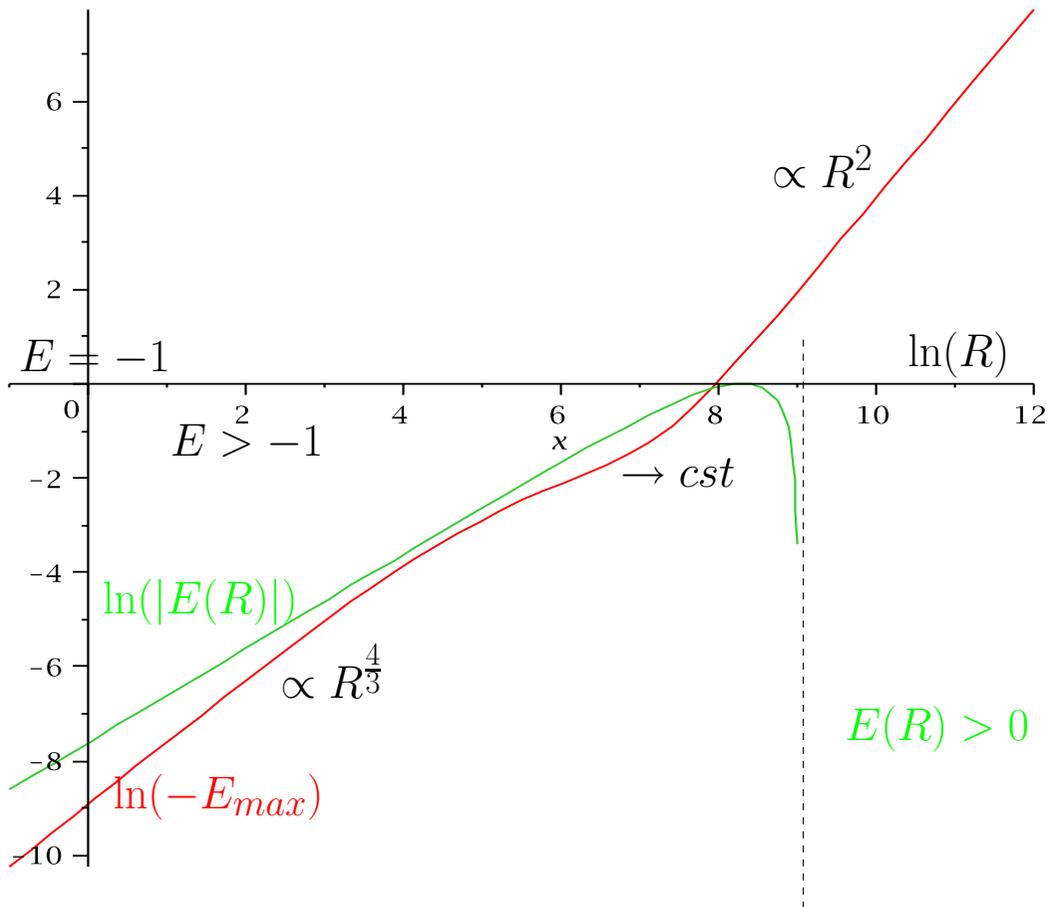
$$\rho_i(R) = \frac{\rho_0}{\frac{R}{R_0} \left(1 + \frac{R}{R_0}\right)^2} + \rho_b$$

$$E(R) = E_i = -4E_{min} \left(\frac{R}{r_1}\right) \left(\frac{R}{r_1} - 1\right)$$

ρ_i : cusplless power law; v_i : Hubble flow

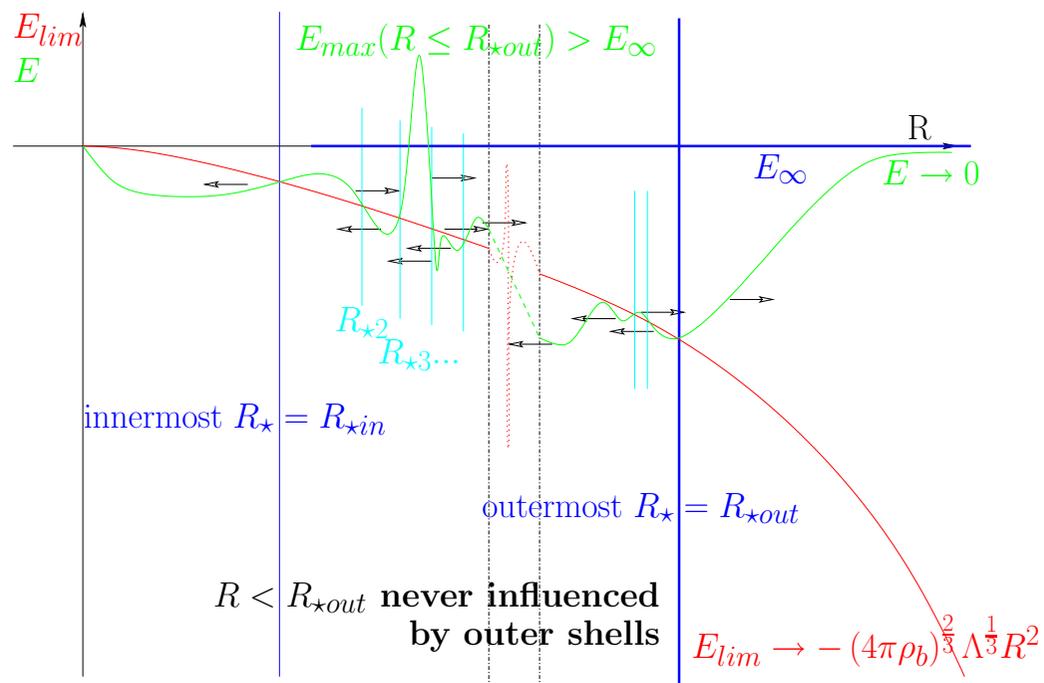
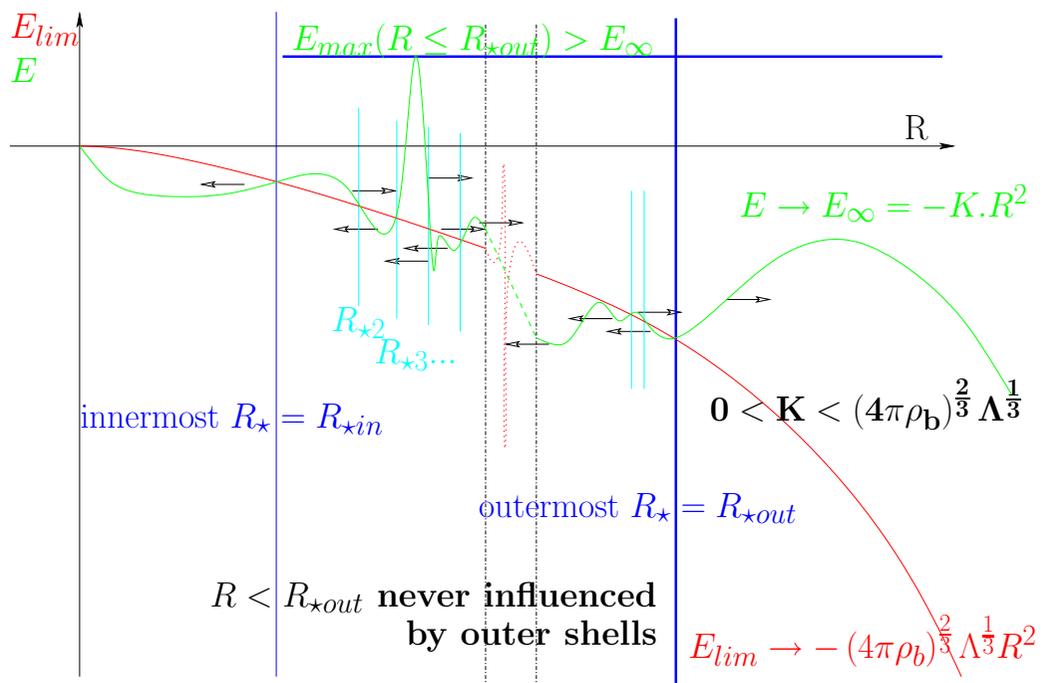
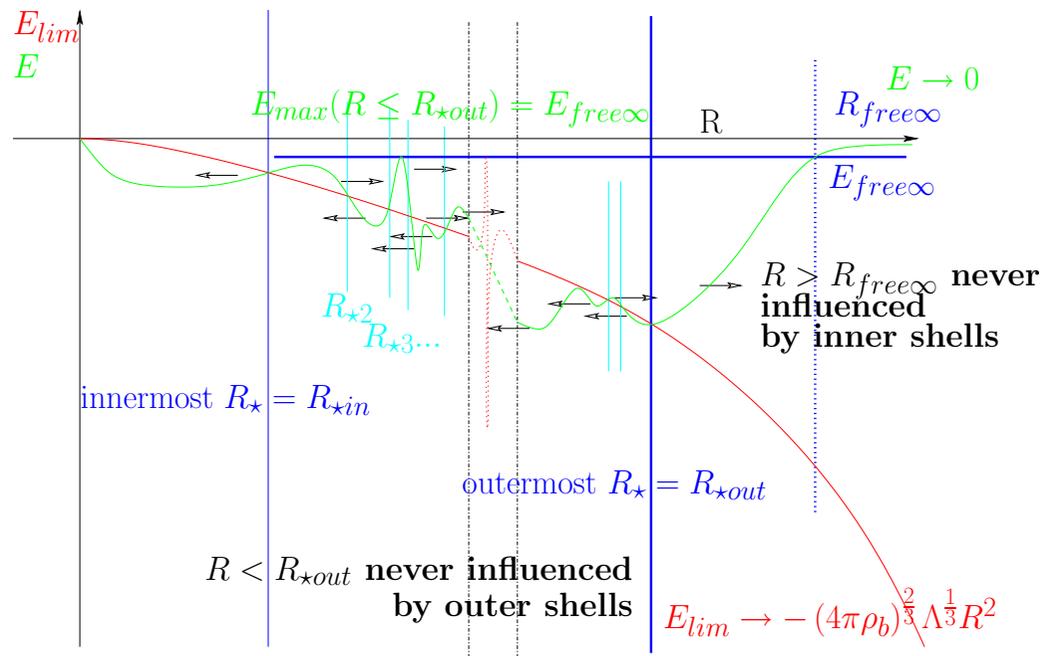
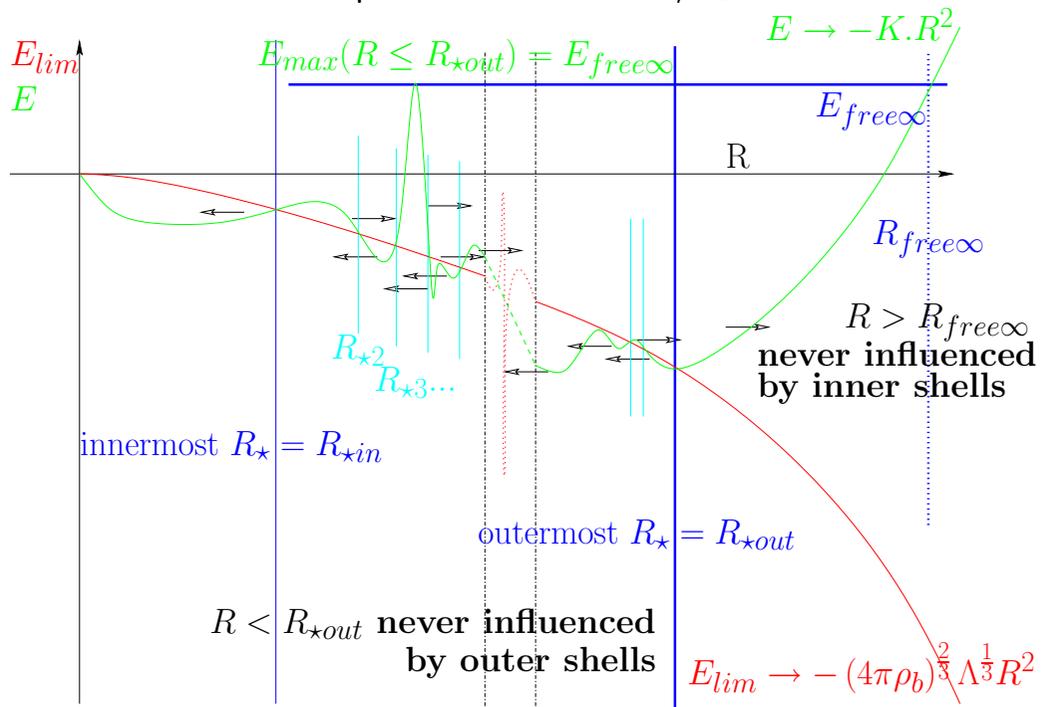
$$\rho_i(R) = \rho_0 \left(1 + \frac{R}{R_0}\right)^{-\epsilon} + \rho_b$$

$$E(R) = \left(H_i^2 - \frac{\Lambda}{3}\right) R^2 - \frac{2M}{R}, \quad \text{from } v_i = H_i \cdot R$$



III-b Non-linearly perturbed Λ CDM

More general initial conditions: Local E_{lim} and $E_i \rightarrow$ stable shells, \bar{w} shell crossing, + intersection part local futures; Global in. cond. w cosmological settings at ∞ set global future

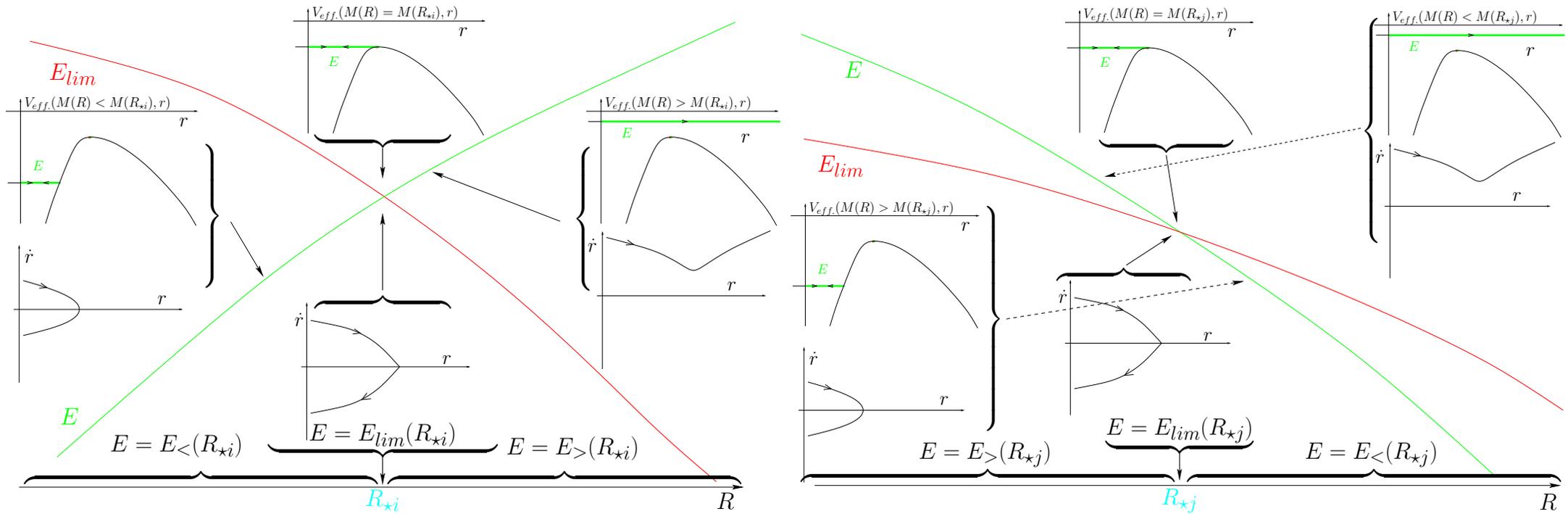


III-b Non-linearly perturbed Λ CDM

1. Global limit shells \bar{w} shell crossing

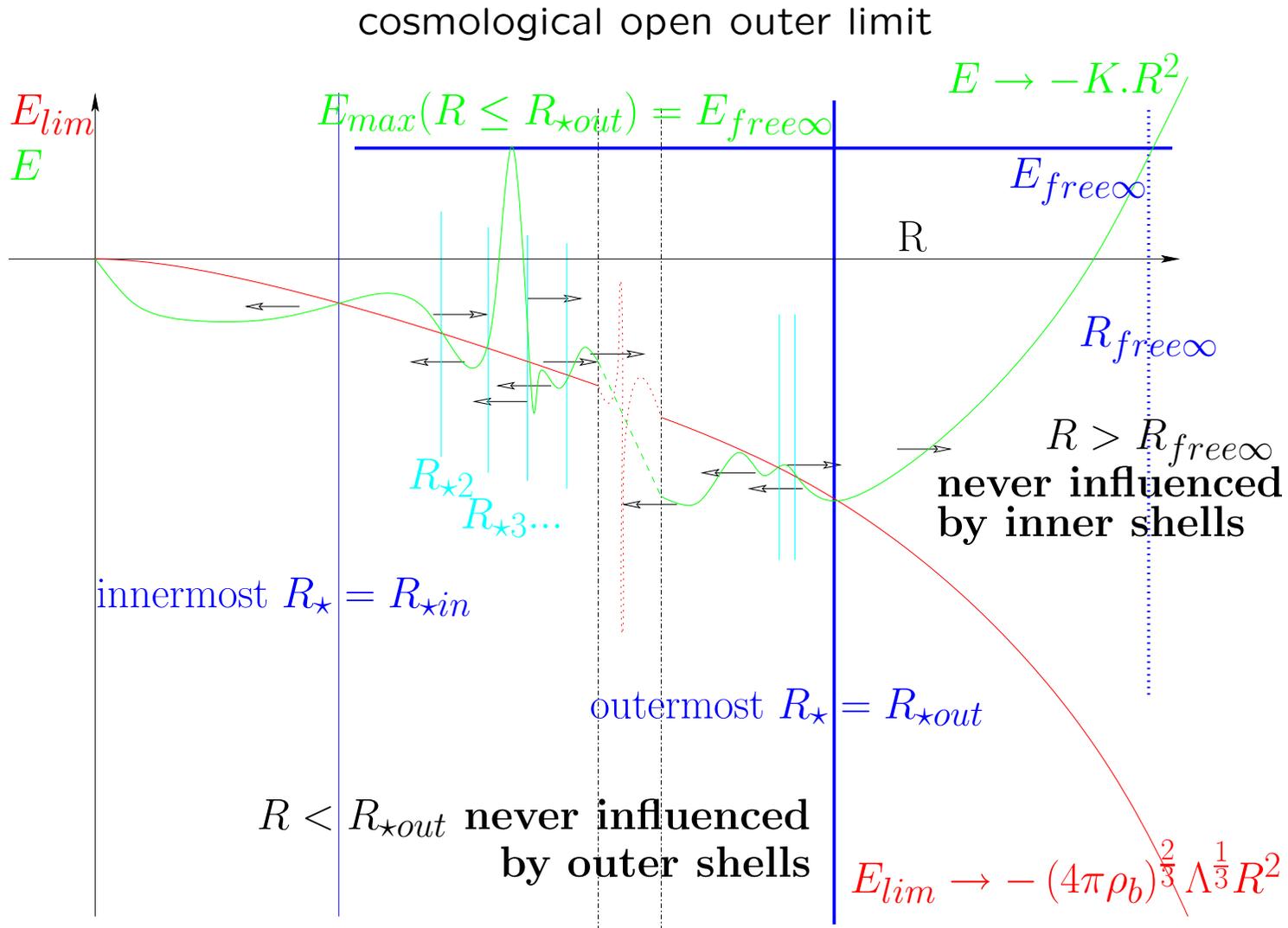
Then $\dot{E} = 0 = \dot{M}$ and thus E_{lim} and E_i are conserved: each shell is integrable from initial conditions

Local evolution: projections in time from the 2 possible local intersection configurations of E_{lim} and E_i



III-b Non-linearly perturbed Λ CDM

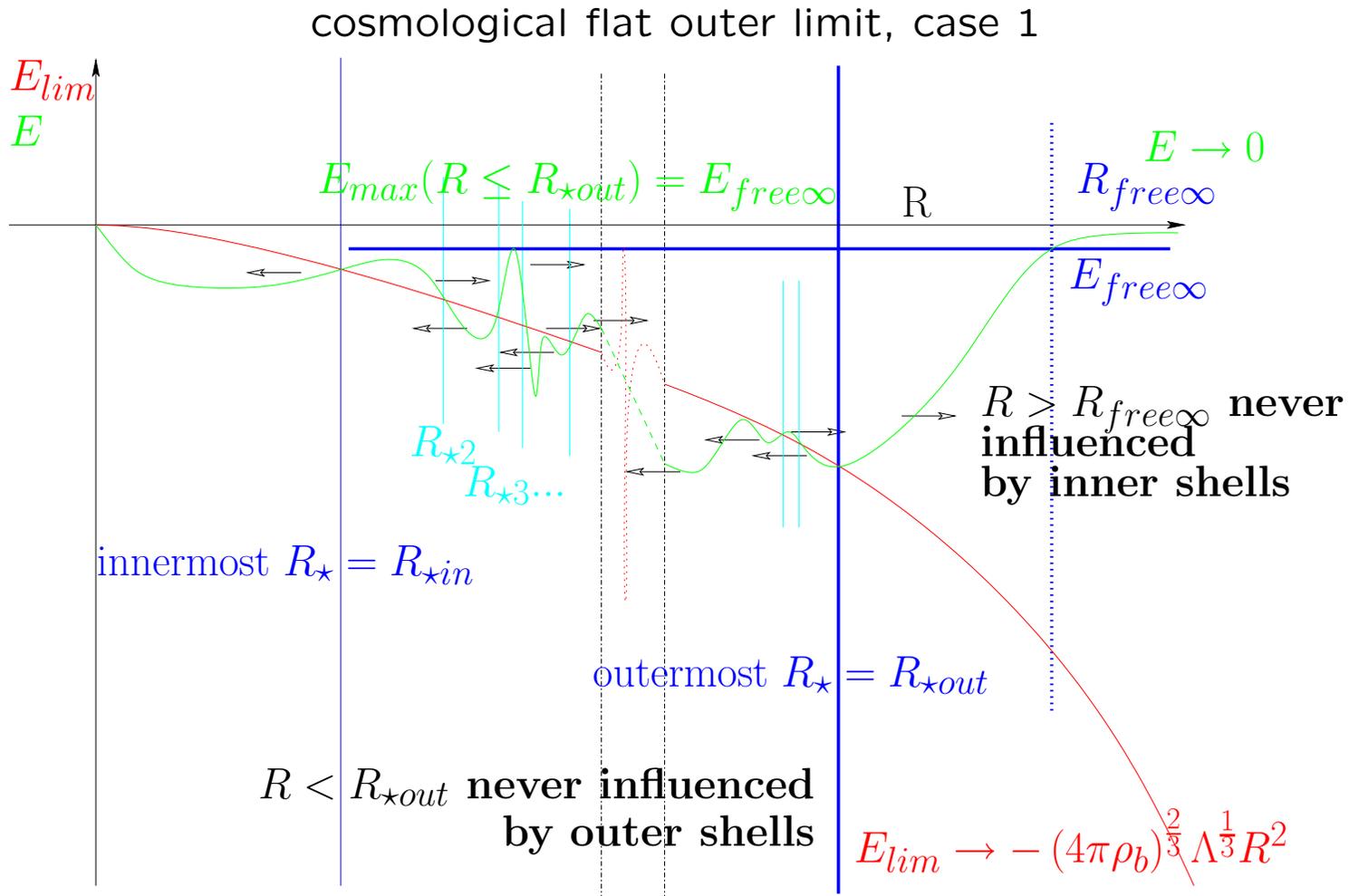
More general initial conditions: **1. Global limit shells \bar{w} shell crossing**



split into inner and outer boundaries

III-b Non-linearly perturbed Λ CDM

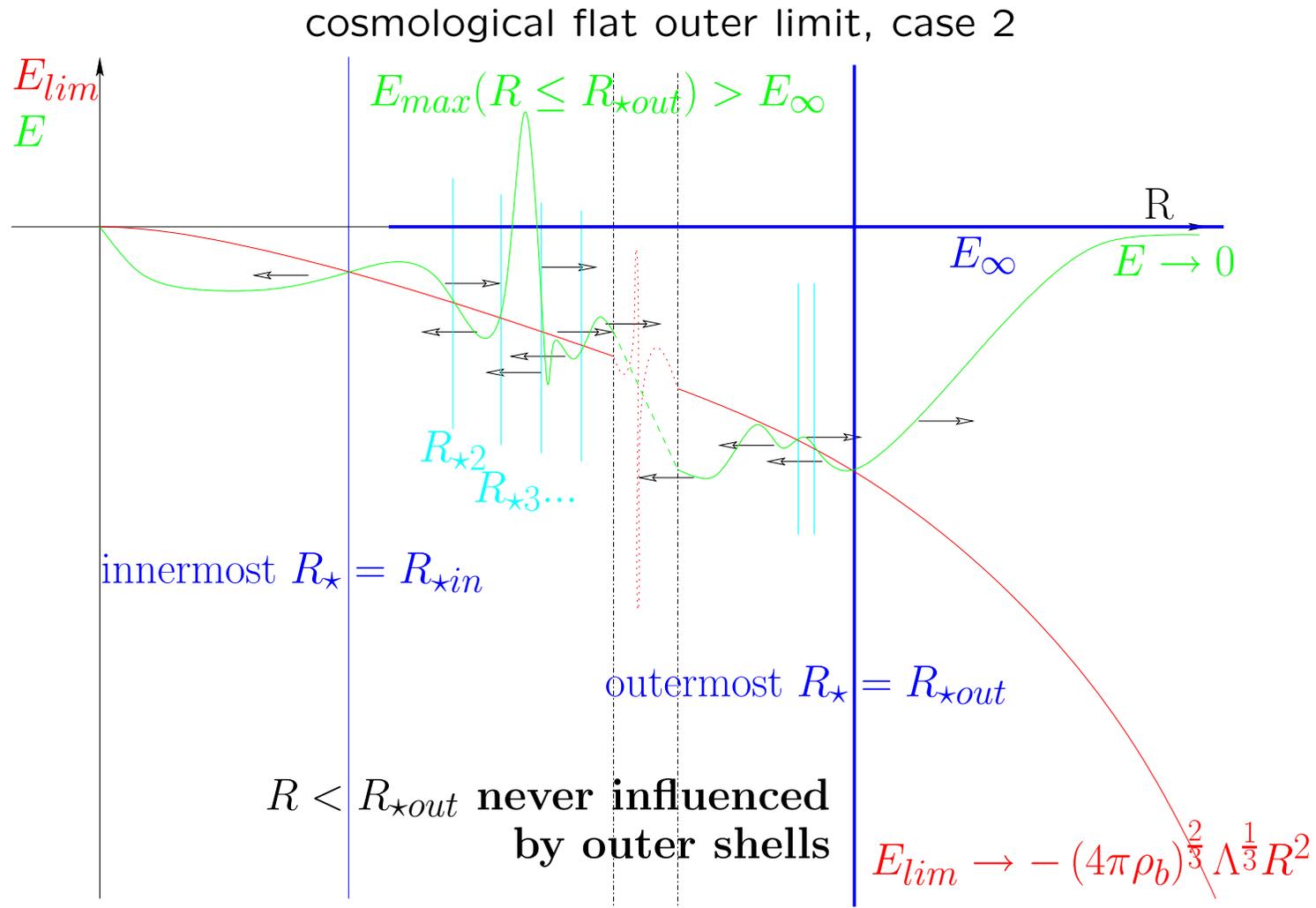
More general initial conditions: **1. Global limit shells \bar{w} shell crossing**



still split into inner and outer boundaries

III-b Non-linearly perturbed Λ CDM

More general initial conditions: **1. Global limit shells \bar{w} shell crossing**



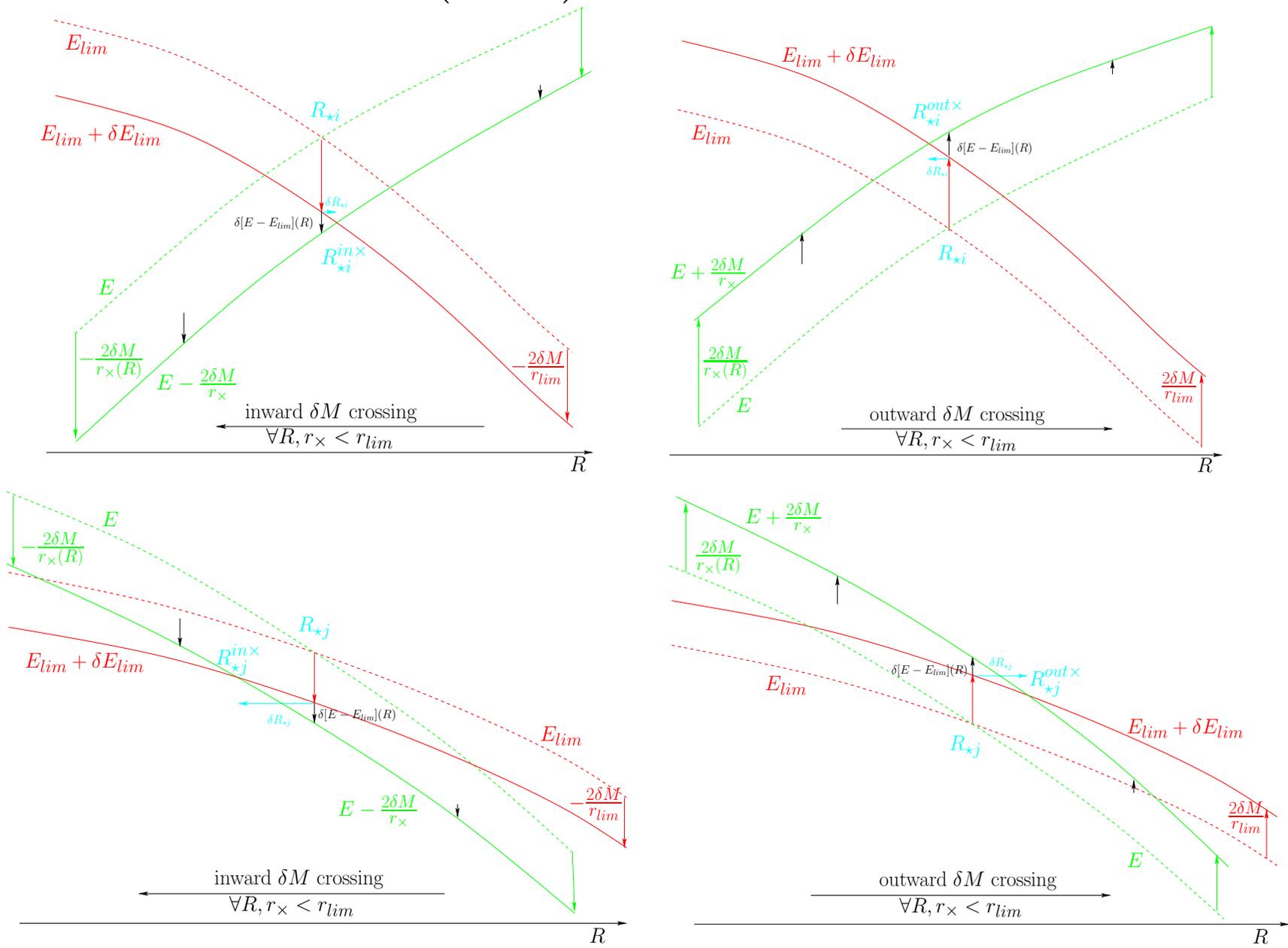
only exists inner boundary

III-b Non-linearly perturbed Λ CDM

2. Global limit shells w shell crossing

Shell crossing is generic. Locally, infinitesimal shell crossing causes differential shift:

$$\delta [E - E_{lim}] \simeq 2\delta M \left(\frac{1}{r_{lim}} - \frac{1}{r_{\times}} \right) < 0 \text{ for } \delta M > 0 \text{ as } r_{lim} > r_{\times}.$$



III-b Non-linearly perturbed Λ CDM

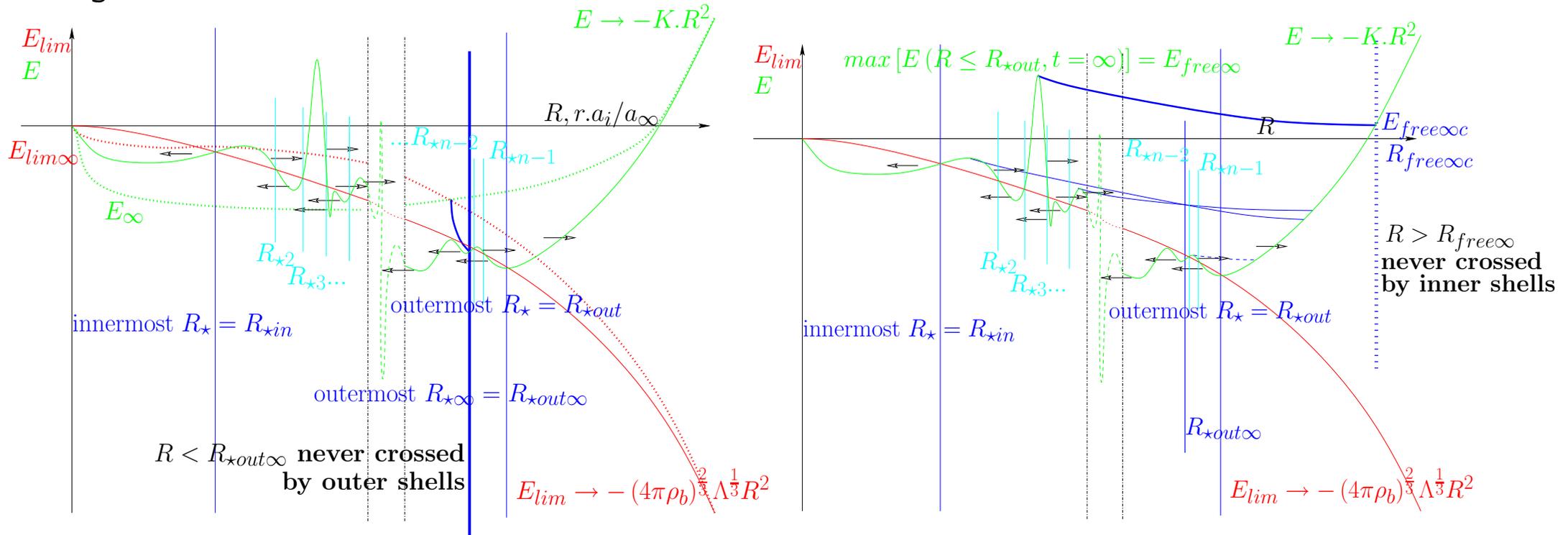
2. Global in. cond. w shell crossing: example of open background setting

Global in. cond. with cosmological settings at ∞ set global future: qualitative picture unchanged. Qualitative integration w time of local shell crossing effect

$$E_{+\delta} = E - \frac{2\delta M}{r_x},$$

$$E_{lim+\delta} \simeq E_{lim} + \frac{2}{3} \frac{\delta M}{M} E_{lim},$$

gives modification of fate of inner and outer limit shells



Conclusions

Using non-singular, **Generalized Painlevé-Gullstrand** coordinate formulation of the ADM *spherically symmetric*, perfect fluid system [Laski & Lun 2006] allows full description without junction conditions (required in Einstein-Straus models)

we found evidence [Mimoso, Le Delliou & Mena 2010] of possible local separating shells between **inner** and **outer** regions, only located in **elliptic** ($E < 0$) regions, and where **expansion** and **shear** are dependent

formulated as either

- **Misner-Sharp mass** flows or
- (gauge invariant) **expansion/shear** flows.

Moreover we have linked the conditions for **staticity** on these shells to the Tolman-Oppenheimer-Volkoff equation via a

- function of Pressure and Mass we coined **gTOV**

and pointed out in the Raychaudhuri equation this link, together with the *FLRW source* of acceleration.

Conclusions

We **argue** that -this **local** condition is **global** in a cosmological context (FLRW match at radial asymptote).
-Given appropriate initial conditions, this translates into global separations between an **expanding** outer region and an eventually **collapsing** inner region.

We present simple but physically interesting illustrations of the results, a model of Lemaître-Tolman dust with $\Lambda =$ spherical **perturbations** in a Λ CDM with two different initial sets of **cosmologically** interesting conditions → consistent with known phenomenological constraints [refs in Mimoso, Le Delliou & Mena 2010]

- an NFW density profile with a *simple curvature profile* going from bound to unbound conditions
- a non cuspy power law fluctuation with *initial Hubble flow*

We show, for these models, the existence of a **global** separation.

We also show, for generalised but asymptotically **cosmological** initial conditions that in these models, the existence of a **global** separation is split into **collapsed** and **expansion** regions separation and that in closed, and some flat, cases, only the former may survive. Shell crossing only modifies quantitatively this picture.

Conclusions

We **argue** that these shells are

- trapped *matter* surfaces [Mimoso, Le Delliou & Mena 2010]

and that they constitute the validity locus to

- an analog to *Birkhoff's theorem*.

Remark: Since, in the classic LTB and Λ LTB models, $\dot{M} = 0$ over all spacetime,
 \Rightarrow the extended *Birkhoff's theorem* valid **globally** on them.