

Black-hole instabilities and instabilities in supersonic flows

Antonin Coutant¹, Stefano Finazzi² and Renaud Parentani¹

¹LPT, Paris-Sud Orsay

²SISSA, Trieste

IAP-GReCO 24/01/2011

PRD **81**, 0840242 (2010) AC + RP,

NJP **12**, 095015 (2010) SF + RP,

also based on PRA **80**, 043601 (2009) J.Macher + RP.

- I. Black hole instabilities: **a brief review.**
- II. Black holes in BEC.
 - Phonon mode equation in BEC: **exact eq.**
 - **Phonon spectra** in **supersonic** flows with
 - **one** sonic BH **or** WH horizon,
 - **a pair** of BH **and** WH horizons.
 - Impact of the **second** horizon on **observables.**
 - **Classical** vs **Quantum** description of **dyn. instabilities**, link with **Quasi Normal Modes.**
 - **General conditions** to have **dyn. instabilities.**

Black hole instabilities. 1. Pre-history

- The **stability** of the **Schwarzschild Black Hole**

$$ds^2 = -\left(1 - \frac{r_S}{r}\right) dt^2 + \frac{dr^2}{\left(1 - \frac{r_S}{r}\right)} + r^2(d\theta^2 + \sin^2\theta d\phi^2),$$

with $r_S = 2GM/c^2$, was a subject of **controversy** → 50's.

- **stability** demonstrated by Wheeler (and others), i.e.,
**The spectrum of metric perturbations contains
no complex frequency asympt. bound modes**
- its (astro)-physical relevance recognized.

Black hole instabilities. 2. Super-radiance

A **rotating Black Hole** (Kerr) is subject to a **weak instability**:

- **Classical** waves display a **super-radiance**:

$$\phi_{\omega,l,m}^{\text{in}} \rightarrow R_{\omega,l,m} \phi_{\omega,l,m}^{\text{out}} + T_{\omega,l,m} \phi_{\omega,l,m}^{\text{absorbed}},$$

with

$$|R_{\omega,l,m}|^2 > 1.$$

Energy is **extracted** from the hole.

This is a **stimulated** process.

- At the **Quantum** level, **super-radiance** implies a **steady spontaneous** pair creation process, i.e. a "**vacuum instability**".

Black hole instabilities. 2. Super-radiance

A **rotating Black Hole** (Kerr) is subject to a **weak instability**:

- **Classical** waves display a **super-radiance**:

$$\phi_{\omega,l,m}^{\text{in}} \rightarrow R_{\omega,l,m} \phi_{\omega,l,m}^{\text{out}} + T_{\omega,l,m} \phi_{\omega,l,m}^{\text{absorbed}},$$

with

$$|R_{\omega,l,m}|^2 > 1.$$

Energy is **extracted** from the hole.

This is a **stimulated** process.

- At the **Quantum** level, **super-radiance** implies a **steady spontaneous** pair creation process, i.e. a **"vacuum instability"**.

Black hole instabilities. 3. Black hole Bomb

- When introducing a **reflecting boundary condition**, the **super-radiant instability** induces a **dynamical instability** a **Black Hole Bomb**, Press '70, Kang '97, Cardoso et al '04.
- A **non-zero mass** can induce a **reflection**, Damour et al '76; this is presently used to constrain the mass of 'axions'.
- As in a **resonant cavity**, the **spectrum** now contains a **discrete set** of modes with **complex** frequencies.

Black hole instabilities. 4. Hawking radiation

- In 1974, Hawking showed that a Schwarzschild Black Hole **spontaneously** emits thermal radiation.
- Even though it is **micro-canonically stable**, it is **canonically unstable**.
- Indeed, the **partition function** possesses an **unstable bound mode** (Gross-Perry-Yaffe '82).
- **N.B.** The **same bound mode** is responsible for the **dynamical instability** of 5 dimensional **Black String** (Gregory-Laflamme '93).

Black hole instabilities, 5. Black Hole Laser

- discovered by Corley & Jacobson in 1999,
- arises in the presence of **two** horizons (charged BH) **and** with **superluminal dispersion**,
- the 'trapped' region acts as a **cavity**,
- induces an **exponential growth** of **Hawking radiation**, and constitutes a **dynamical instability**.

- **Naturally** arises in **Acoustic Black Holes**:
supersonic flows in Bose Einstein condensates,
→ **no hypothesis**
→ **experiments ?** (Technion, June 2009)

Black hole instabilities, 5. Black Hole Laser

- discovered by Corley & Jacobson in 1999,
- arises in the presence of **two** horizons (charged BH) **and** with **superluminal dispersion**,
- the 'trapped' region acts as a **cavity**,
- induces an **exponential growth** of **Hawking radiation**, and constitutes a **dynamical instability**.

- **Naturally** arises in **Acoustic Black Holes: supersonic flows** in Bose Einstein condensates,
 - **no hypothesis**
 - **experiments ?** (Technion, June 2009)

II. Black hole lasers (in BEC)

- studied in terms of **time-dep. wave-packets**, both by Corley & Jacobson in '99, and Leonhardt & Philbin in '08.
- instead, in what follows, a **spectral analysis** of **stationary modes**.
- see also
Garay et al. PRL 85 and PRA 63 (2000/1), [BH/WH flows in BEC](#)
Barcelo et al. PRD 74 (2006), [Dynam. stab. analysis](#)
and Jain et al. PRA 76 (2007). [Quantum De Laval nozzle](#)

Bose Einstein Condensates

- Set of atoms is described by $\hat{\Psi}(t, \mathbf{x})$ obeying

$$[\hat{\Psi}(t, \mathbf{x}), \hat{\Psi}^\dagger(t, \mathbf{x}')] = \delta^3(\mathbf{x} - \mathbf{x}'),$$

and by a Hamiltonian

$$\hat{H} = \int d^3\mathbf{x} \left\{ \frac{\hbar^2}{2m} \nabla_{\mathbf{x}} \hat{\Psi}^\dagger \nabla_{\mathbf{x}} \hat{\Psi} + V(\mathbf{x}) \hat{\Psi}^\dagger \hat{\Psi} + \frac{g(\mathbf{x})}{2} \hat{\Psi}^\dagger \hat{\Psi}^\dagger \hat{\Psi} \hat{\Psi} \right\}.$$

- at low temperature, $\hat{\Psi}$ is expanded as

$$\begin{aligned} \hat{\Psi}(t, \mathbf{x}) &= \Psi_0(t, \mathbf{x}) + \hat{\psi}(t, \mathbf{x}) \\ &= \Psi_0(t, \mathbf{x}) (1 + \hat{\phi}(t, \mathbf{x})), \end{aligned} \quad (1)$$

$\Psi_0(t, \mathbf{x})$ describes the **condensed atoms**,
 $\hat{\phi}(t, \mathbf{x})$ describes **relative perturbations**.

1D static condensates

A 1D **stationary** condensate is described by

$$\Psi_0(t, \mathbf{x}) = e^{-i\mu t/\hbar} \times \sqrt{\rho_0(\mathbf{x})} e^{i\theta_0(\mathbf{x})},$$

ρ_0 is the **mean density** and $v = \frac{\hbar}{m} \partial_x \theta_0$ the **mean velocity**.

ρ_0, v are determined by V and g through the **Gross Pitaevskii** eq.

$$\mu = \frac{1}{2} m v^2 - \frac{\hbar^2}{2m} \frac{\partial_x^2 \sqrt{\rho_0}}{\rho_0} + V(x) + g(x) \rho_0,$$

which also gives

$$\partial_x (v \rho_0) = 0.$$

BdG equation for **relative** density fluctuations

- In a BEC, density fluctuations obey the **BdG equation**. For **relative** fluctuations, this eq. is

$$i\hbar(\partial_t + v\partial_x)\hat{\phi} = [T_v + mc^2]\hat{\phi} + mc^2\hat{\phi}^\dagger, \quad (2)$$

$$c^2(x) \equiv \frac{g(x)\rho_0(x)}{m},$$

is the x -dep. **speed of sound** and T_v a kinetic term

$$T_v \equiv -\frac{\hbar^2}{2m} v\partial_x \frac{1}{v}\partial_x.$$

- **Only** v and c enter in **BdG eq.:** **Exact result**, no hydro., no eikonal approximation.

BdG equation for **relative** density fluctuations

- In a BEC, density fluctuations obey the **BdG equation**. For **relative** fluctuations, this eq. is

$$i\hbar(\partial_t + v\partial_x)\hat{\phi} = [T_v + mc^2]\hat{\phi} + mc^2\hat{\phi}^\dagger, \quad (2)$$

$$c^2(x) \equiv \frac{g(x)\rho_0(x)}{m},$$

is the x -dep. **speed of sound** and T_v a kinetic term

$$T_v \equiv -\frac{\hbar^2}{2m} v\partial_x \frac{1}{v}\partial_x.$$

- **Only** v and c enter in **BdG eq.:** **Exact result**, no **hydro.**, no eikonal approximation.

Covariantizing the BdG equation ?

Phonons

- only see the macrosc. **mean** fields $c(\mathbf{x})$, $\mathbf{v}(\mathbf{x})$, $\rho_0(\mathbf{x})$,
- are **insensitive** to microsc. qfts $g(\mathbf{x})$, $V(\mathbf{x})$ and **Q.pot.**

Hence one can

- forget about the (fundamental) theory of the condensate, when **computing the phonon spectrum**.
- consider the phonon field from a **4D point of view** by **covariantizing** the BdG eq. introducing **4D tensors**
 - the (Unruh) acoustic metric $g_{\mu\nu}(t, \mathbf{x})$
 - the (Jacobson) unit time-like vector field $u^\mu(t, \mathbf{x})$
 - extra scalars ...

Not just an **analogy**, but an **equivalent** point of view.

Covariantizing the BdG equation ?

Phonons

- only see the macrosc. **mean** fields $c(\mathbf{x})$, $\mathbf{v}(\mathbf{x})$, $\rho_0(\mathbf{x})$,
- are **insensitive** to microsc. qnts $g(\mathbf{x})$, $V(\mathbf{x})$ and **Q.pot.**

Hence one can

- forget about the (fundamental) theory of the condensate, when **computing the phonon spectrum**.
- consider the phonon field from a **4D point of view** by **covariantizing** the BdG eq. introducing **4D tensors**
 - the (Unruh) acoustic metric $g_{\mu\nu}(t, \mathbf{x})$
 - the (Jacobson) unit time-like vector field $u^\mu(t, \mathbf{x})$
 - extra scalars ...

Not just an **analogy**, but an **equivalent point of view**.

Computing phonon spectra. 1.

- basically **equivalent** to that of a **hermitian** scalar field.
- to handle the complex character of $\hat{\phi}$, it is useful (Leonhardt et al. '03) to introduce the **doublet**

$$\hat{W} \equiv \begin{pmatrix} \hat{\phi} \\ \hat{\phi}^\dagger \end{pmatrix},$$

invariant under a **pseudo-Hermitian conjugation (pH.c.)**

$$\hat{W} = \tilde{\hat{W}} \equiv \sigma_1 \hat{W}^\dagger.$$

- The mode decomposition of \hat{W} is

$$\hat{W} = \sum_n (W_n \hat{a}_n + \bar{W}_n \hat{a}_n^\dagger) = \sum_n (W_n \hat{a}_n + \text{pH.c.}), \quad (3)$$

where the modes $W_n(t, x)$ are **doublets of \mathbb{C} -functions**.

Computing phonon spectra. 1.

- basically **equivalent** to that of a **hermitian scalar field**.
- to handle the complex character of $\hat{\phi}$, it is useful (Leonhardt et al. '03) to introduce the **doublet**

$$\hat{W} \equiv \begin{pmatrix} \hat{\phi} \\ \hat{\phi}^\dagger \end{pmatrix},$$

invariant under a **pseudo-Hermitian conjugation (pH.c.)**

$$\hat{W} = \tilde{\hat{W}} \equiv \sigma_1 \hat{W}^\dagger.$$

- The mode decomposition of \hat{W} is

$$\hat{W} = \sum_n (W_n \hat{a}_n + \bar{W}_n \hat{a}_n^\dagger) = \sum_n (W_n \hat{a}_n + \text{pH.c.}), \quad (3)$$

where the modes $W_n(t, x)$ are **doublets of \mathbb{C} -functions**.

Computing spectra. 2. The inner product

- The **conserved inner product**

$$\langle W_1 | W_2 \rangle \equiv \int dx \rho_0(x) W_1^*(t, x) \sigma_3 W_2(t, x), \quad (4)$$

is **not positive definite** (c.f. the Klein-Gordon product).

- **As usual**, mode orthogonality

$$\langle W_n | W_m \rangle = -\langle \bar{W}_n | \bar{W}_m \rangle = \delta_{nm},$$

implies canonical commutators

$$[\hat{a}_n, \hat{a}_m^\dagger] = \delta_{nm},$$

where

$$\hat{a}_n = \langle W_n | \hat{W} \rangle.$$

Computing spectra.

3. The notion of **Asympt. Bound Modes**

For **stationary** backgrounds with **infinite spatial extension** the **solutions** of

$$H W_\lambda(x) = \lambda W_\lambda(x), \quad (5)$$

which **belong to the spectrum** must be **Asymptotically Bound**: bound for $x \rightarrow \pm\infty$.

- N.B.1. Hence, in **certain non-homogeneous** backgrounds, the freq. λ can be **complex**.
- N.B.2. **Quasi Normal Modes** are **not ABM**, hence are **not** in the spectrum.

Computing spectra.

3. The notion of **Asympt. Bound Modes**

For **stationary** backgrounds with **infinite spatial extension** the **solutions** of

$$H W_\lambda(x) = \lambda W_\lambda(x), \quad (5)$$

which **belong to the spectrum** must be **Asymptotically Bound**: bound for $x \rightarrow \pm\infty$.

- N.B.1. Hence, in **certain non-homogeneous** backgrounds, **the freq. λ can be complex**.
- N.B.2. **Quasi Normal Modes** are **not ABM**, hence are **not** in the spectrum.

Computing spectra.

3. The notion of **Asympt. Bound Modes**

For **stationary** backgrounds with **infinite spatial extension** the **solutions** of

$$H W_\lambda(x) = \lambda W_\lambda(x), \quad (5)$$

which **belong to the spectrum** must be **Asymptotically Bound**: bound for $x \rightarrow \pm\infty$.

- N.B.1. Hence, in **certain non-homogeneous** backgrounds, the freq. λ can be **complex**.
- N.B.2. **Quasi Normal Modes** are **not ABM**, hence are **not** in the spectrum.

The background stationary profiles

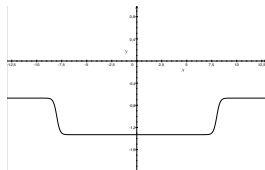
Flows with **one** or **two sonic horizons**, $c = |v|$:

That is, $v(x) < 0$ and, for **one** horizon:

$$c(x) + v(x) = c_H D \tanh\left(\frac{\kappa_B x}{c_H D}\right),$$

where $\kappa_B = \partial_x(c + v)|_{\text{hor.}}$, **Carter's decay rate** \sim surf. gravity,
and for **two** horizons:

$$c(x) + v(x) = c_H D \tanh\left(\frac{\kappa_W(x + L)}{c_H D}\right) \tanh\left(\frac{\kappa_B(x - L)}{c_H D}\right),$$



The background stationary profiles

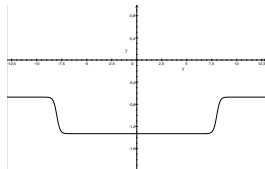
Flows with **one** or **two sonic horizons**, $c = |v|$:

That is, $v(x) < 0$ and, for **one** horizon:

$$c(x) + v(x) = c_H D \tanh\left(\frac{\kappa_B x}{c_H D}\right),$$

where $\kappa_B = \partial_x(c + v)|_{\text{hor.}}$, **Carter's decay rate** \sim surf. gravity,
and for **two** horizons:

$$c(x) + v(x) = c_H D \tanh\left(\frac{\kappa_W(x + L)}{c_H D}\right) \tanh\left(\frac{\kappa_B(x - L)}{c_H D}\right),$$



Spectrum of W_n for **one** B/W sonic horizon

The **complete set of modes** is (Macher-RP 2009)

- a **continuous** set of **real frequency** modes which contains
- for $\omega > \omega_{\max}$, **two positive** norm modes, as in flat space, W_ω^U, W_ω^V , which resp. describe right/left moving phonons,
- for $0 < \omega < \omega_{\max}$, **three** modes: 2 **positive** norm W_ω^U, W_ω^V + 1 **negative** norm mode $\bar{W}_{-\omega}^U$.
- The **threshold** freq. ω_{\max} scales $1/\text{healing length} = mc/\hbar$, but **also** depends on $D = (v_{\text{asympt.}} + c_{\text{asympt.}})/c_H$.

- **Lessons:**
 - There are **no complex** freq. ABM,
 - **Same** spectrum for **White Holes** and **Black Holes**, because **invariant** under $v \rightarrow -v$.
 - Hence **White Hole** flows are **dyn. stable**, as BH ones.

Spectrum of W_n for **one** B/W sonic horizon

The **complete set of modes** is (Macher-RP 2009)

- a **continuous** set of **real frequency** modes which contains
- for $\omega > \omega_{\max}$, **two positive** norm modes, as in flat space, W_ω^u, W_ω^v , which resp. describe right/left moving phonons,
- for $0 < \omega < \omega_{\max}$, **three** modes: 2 **positive** norm W_ω^u, W_ω^v + 1 **negative** norm mode $\bar{W}_{-\omega}^u$.
- The **threshold** freq. ω_{\max} scales $1/\text{healing length} = mc/\hbar$, but **also** depends on $D = (v_{\text{asympt.}} + c_{\text{asympt.}})/c_H$.

- **Lessons:**
 - There are **no complex** freq. **ABM**,
 - **Same spectrum** for **White Holes** and **Black Holes**, because **invariant** under $v \rightarrow -v$.
 - Hence **White Hole** flows are **dyn. stable**, as **BH** ones.

The scattering of *in*-modes

- For $\omega > \omega_{\max}$, there is an **elastic** scattering:

$$W_{\omega}^{u, in} = T_{\omega} W_{\omega}^{u, out} + R_{\omega} W_{\omega}^{v, out}, \quad \text{with,} \\ |T_{\omega}|^2 + |R_{\omega}|^2 = 1.$$

- For $0 < \omega < \omega_{\max}$, there is a 3×3 matrix, e.g.

$$W_{\omega}^{u, in} = \alpha_{\omega} W_{\omega}^{u, out} + R_{\omega} W_{\omega}^{v, out} + \beta_{\omega} \bar{W}_{-\omega}^{u, out}, \quad (6)$$

with

$$|\alpha_{\omega}|^2 + |R_{\omega}|^2 - |\beta_{\omega}|^2 = 1.$$

- The β coefficients describe a **super-radiance**, hence a **vacuum instability** in QM, i.e. the **spontaneous** sonic B/W hole radiation.

The scattering of *in*-modes

- For $\omega > \omega_{\max}$, there is an **elastic** scattering:

$$W_{\omega}^{u, in} = T_{\omega} W_{\omega}^{u, out} + R_{\omega} W_{\omega}^{v, out}, \quad \text{with,} \\ |T_{\omega}|^2 + |R_{\omega}|^2 = 1.$$

- For $0 < \omega < \omega_{\max}$, there is a 3×3 matrix, e.g.

$$W_{\omega}^{u, in} = \alpha_{\omega} W_{\omega}^{u, out} + R_{\omega} W_{\omega}^{v, out} + \beta_{\omega} \bar{W}_{-\omega}^{u, out}, \quad (6)$$

with

$$|\alpha_{\omega}|^2 + |R_{\omega}|^2 - |\beta_{\omega}|^2 = 1.$$

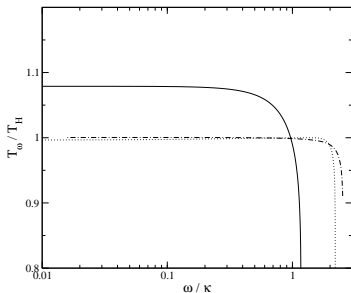
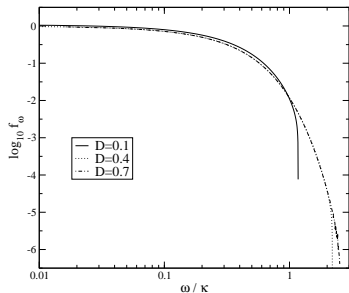
- The β coefficients describe a **super-radiance**, hence a **vacuum instability** in QM, i.e. the **spontaneous** sonic B/W hole radiation.

The (numerical) properties of this radiation

For $\omega_{\max} \geq 3\kappa$, the energy spectrum $f_\omega = \omega |\beta_\omega|^2$ is (JM-RP '09)

- Planckian (up to ω_{\max}) and
- with a temperature $= \kappa/2\pi = T_{\text{Hawking}}$, ($f_\omega = \omega / (e^{\omega/T_\omega} - 1)$),

"**exactly**" as predicted by the **gravitational analogy**.



N.B. The above spectra are obtained from the BdG eq. **only**.

Spectrum of W_n for **two** sonic horizons

The (complete) set of modes contains (AC+RP 2010)

- a **continuous** spectrum of **real** freq. modes W_ω^u, W_ω^v with $0 < \omega < \infty$, with **positive norm only**, and of dim. **2**.
- a **discrete** set of **pairs** of **complex** freq. modes (V_a, Z_a) with cc freq. (λ_a, λ_a^*) , where $a = 1, 2, \dots, N < \infty$.

N.B. **Negative norm** modes $\bar{W}_{-\omega}$ are **no longer** in the spectrum; hence there is **no** Bogoliubov transformation in the present case.

The field operator thus reads

$$\hat{W} = \int_0^\infty d\omega \sum_{\alpha=U,V} \left[e^{-i\omega t} W_\omega^\alpha(x) \hat{a}_\omega^\alpha + p.H.c. \right] + \sum_a \left[e^{-i\lambda_a t} V_a(x) \hat{b}_a + e^{-i\lambda_a^* t} Z_a(x) \hat{c}_a + p.H.c. \right]. \quad (7)$$

Spectrum of W_n for **two** sonic horizons

The (complete) set of modes contains (AC+RP 2010)

- a **continuous** spectrum of **real** freq. modes W_ω^u, W_ω^v with $0 < \omega < \infty$, with **positive norm only**, and of dim. **2**.
- a **discrete** set of **pairs** of **complex** freq. modes (V_a, Z_a) with cc freq. (λ_a, λ_a^*) , where $a = 1, 2, \dots, N < \infty$.

N.B. **Negative norm** modes $\bar{W}_{-\omega}$ are **no longer** in the spectrum; hence there is **no** Bogoliubov transformation in the present case.

The field operator thus reads

$$\hat{W} = \int_0^\infty d\omega \sum_{\alpha=U,V} \left[e^{-i\omega t} W_\omega^\alpha(x) \hat{a}_\omega^\alpha + p.H.c. \right] + \sum_a \left[e^{-i\lambda_a t} V_a(x) \hat{b}_a + e^{-i\lambda_a^* t} Z_a(x) \hat{c}_a + p.H.c. \right]. \quad (7)$$

Norms and commutators

- The **real** freq., the modes W_ω^α and operators \hat{a}_ω^α obey

$$\langle W_\omega^\alpha | W_{\omega'}^{\alpha'} \rangle = \delta(\omega - \omega') \delta_{\alpha\alpha'} = -\langle \bar{W}_\omega^\alpha | \bar{W}_{\omega'}^{\alpha'} \rangle$$

and

$$[\hat{a}_\omega^\alpha, \hat{a}_{\omega'}^{\alpha'\dagger}] = \delta(\omega - \omega') \delta_{\alpha\alpha'}.$$

- Instead for **complex frequency** λ_a , one has

$$\langle V_a | V_{a'} \rangle = 0 = \langle Z_a | Z_{a'} \rangle, \quad \langle V_a | Z_{a'} \rangle = i\delta_{aa'}, \quad (8)$$

and

$$[\hat{b}_a, \hat{b}_{a'}^\dagger] = 0, \quad [\hat{b}_a, \hat{c}_{a'}^\dagger] = i\delta_{aa'}. \quad (9)$$

The two-mode sectors with complex freq. λ_a

Each pair (\hat{b}_a, \hat{c}_a) **always** describes **one** complex, rotating, unstable oscillator:

- Its (Hermitian) Hamiltonian is

$$\hat{H}_a = -i\lambda_a \hat{c}_a^\dagger \hat{b}_a + H.c. \quad (10)$$

- Writing

$$\lambda_a = \omega_a + i\Gamma_a,$$

with ω_a, Γ_a real > 0 ,

$\Re\lambda_a = \omega_a$ fixes the angular velocity,

$\Im\lambda_a = \Gamma_a$ fixes the **growth rate**.

Computing the spectrum of ABM

The method:

- **A.** use WKB waves to
 - 1. **decompose** the exact modes,
 - 2. obtain **algebraic relations** (valid **beyond WKB**) between the \mathbb{R} freq. W_ω and the \mathbb{C} freq. V_a, Z_a
- **B.** a numerical analysis to validate the predictions.

N.B. The W_ω are **deeply connected** to the V_a, Z_a because

$$H W_\lambda = \lambda W_\lambda$$

is **holomorphic** in λ .

Computing the spectrum of ABM

The method:

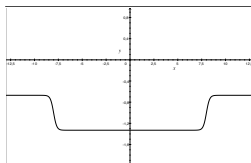
- **A.** use WKB waves to
 - 1. **decompose** the exact modes,
 - 2. obtain **algebraic relations** (valid **beyond WKB**) between the \mathbb{R} freq. W_ω and the \mathbb{C} freq. V_a, Z_a
- **B.** a numerical analysis to validate the predictions.

N.B. The W_ω are **deeply connected** to the V_a, Z_a because

$$H W_\lambda = \lambda W_\lambda$$

is **holomorphic** in λ .

The scattering of real freq. u -mode



- On the **left** of the White hor. $W_{\omega}^{u, in} \rightarrow W_{\omega}^u$, the WKB sol.
- **Between** the two horizons, **for** $\omega < \omega_{\max}$,

$$W_{\omega}^{u, in} = \mathcal{A}_{\omega} W_{\omega}^u + \mathcal{B}_{\omega}^{(1)} \bar{W}_{-\omega}^{(1)} + \mathcal{B}_{\omega}^{(2)} \bar{W}_{-\omega}^{(2)}, \quad (11)$$

- On the **right** of the Black horizon, $W_{\omega}^{u, in} \rightarrow e^{i\theta_{\omega}} W_{\omega}^u$.
- N.B.1. **Negative norm/freq** WKB modes $\bar{W}_{-\omega}^{(i)}$ in (11). Hence "anomalous scattering" (\sim Bogoliubov transf.).
- N.B.2. Modes **fully described** by $\mathcal{A}_{\omega}, \mathcal{B}_{\omega}^{(1)}, \mathcal{B}_{\omega}^{(2)}$ and θ_{ω} .

Computing $\mathcal{A}_\omega, \mathcal{B}_\omega^{(1)}, \mathcal{B}_\omega^{(2)}$ and θ_ω

- algebraically achieved by introd. a 2-vector $(W_\omega^u, \bar{W}_{-\omega})$, on which acts a 2×2 **S-matrix** (Leonhardt 2008)
- this S-matrix can be decomposed as

$$S = U_4 U_3 U_2 U_1.$$

where

- U_1 describes the **scattering** on the **WH** horizon.
- U_2 the **propagation from** the WH to the BH
- U_3 the **scattering** on the **BH** horizon.
- U_4 the **escape** to the right of W_ω^u and the **return** of $\bar{W}_{-\omega}^{(2)}$ to the WH horizon.

Computing $\mathcal{A}_\omega, \mathcal{B}_\omega^{(1)}, \mathcal{B}_\omega^{(2)}$ and θ_ω

- algebraically achieved by introd. a 2-vector ($W_\omega^u, \bar{W}_{-\omega}$), on which acts a 2×2 **S-matrix** (Leonhardt 2008)
- this S-matrix can be decomposed as

$$S = U_4 U_3 U_2 U_1.$$

where

- U_1 describes the **scattering** on the **WH** horizon.
- U_2 the **propagation from** the WH to the BH
- U_3 the **scattering** on the **BH** horizon.
- U_4 the **escape** to the right of W_ω^u and the **return** of $\bar{W}_{-\omega}^{(2)}$ to the WH horizon.

The four U matrices, (Leonhardt et al.)

Explicitly,

$$U_1 = S_{WH} = \begin{pmatrix} \alpha_\omega & \alpha_\omega \mathbf{z}_\omega \\ \tilde{\alpha}_\omega \mathbf{z}_\omega^* & \tilde{\alpha}_\omega \end{pmatrix}, \quad U_2 = \begin{pmatrix} e^{iS_\omega^u} & 0 \\ 0 & e^{-iS_{-\omega}^{(1)}} \end{pmatrix},$$
$$U_3 = S_{BH} = \begin{pmatrix} \gamma_\omega & \gamma_\omega \mathbf{w}_\omega \\ \tilde{\gamma}_\omega \mathbf{w}_\omega^* & \tilde{\gamma}_\omega \end{pmatrix}, \quad U_4 = \begin{pmatrix} 1 & 0 \\ 0 & e^{iS_{-\omega}^{(2)}} \end{pmatrix},$$

where

$$S_\omega^u \equiv \int_{-L}^L dx k_\omega^u(x), \quad S_{-\omega}^{(i)} \equiv \int_{-L_\omega}^{R_\omega} dx [-k_\omega^{(i)}(x)], \quad i = 1, 2,$$

are H-Jacobi actions, and L_ω and R_ω are the two turning points. By unitarity, one has $|\alpha_\omega|^2 = |\tilde{\alpha}_\omega|^2$, $|\alpha_\omega|^2 = 1/(1 - |\mathbf{z}_\omega|^2)$.

The **single-valued** real freq. mode

The mode $W_\omega^{u, in}(x)$ **must be single-valued.**

Hence the **trapped** piece $B_\omega^{(2)}$ of $W_\omega^{u, in} = \mathcal{A}_\omega W_\omega^u + B_\omega^{(1)} \bar{W}_{-\omega}^{(1)} + B_\omega^{(2)} \bar{W}_{-\omega}^{(2)}$ must obey

$$\begin{pmatrix} e^{i\theta_\omega} \\ B_\omega^{(2)} \end{pmatrix} = S \begin{pmatrix} 1 \\ B_\omega^{(2)} \end{pmatrix}, \quad (12)$$

which implies

$$B_\omega^{(2)} = \frac{S_{21}(\omega)}{1 - S_{22}(\omega)}. \quad (13)$$

The first key equation. (Valid beyond WKB.)

The complex frequency ABModes

When $\text{Im } \lambda = \Gamma > 0$, $\rightarrow \text{Im } k_\lambda^u > 0$, hence **growth** for $x \rightarrow -\infty$.
So any **single-valued ABMode** must satisfy

$$\begin{pmatrix} \beta_a(\lambda) \\ 1 \end{pmatrix} = \mathcal{S}(\lambda) \begin{pmatrix} 0 \\ 1 \end{pmatrix}. \quad (14)$$

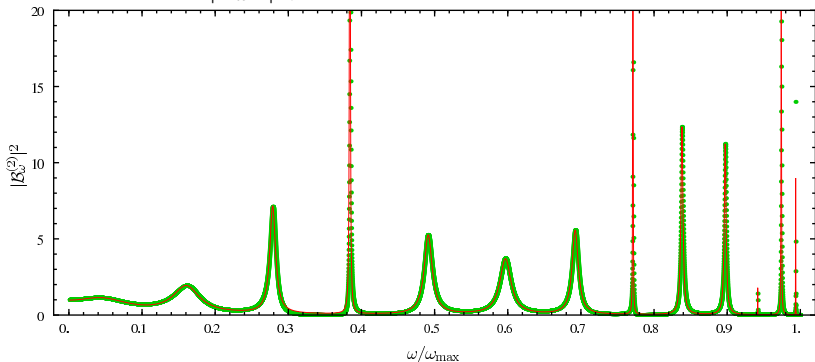
This implies

$$\mathbf{S}_{22}(\lambda) = 1, \quad \beta_a = \mathbf{S}_{12}(\lambda). \quad (15)$$

Second key result:

The **poles** of $\mathcal{B}_\omega^{(2)} = \mathbf{S}_{21}/(1 - \mathbf{S}_{22})$ correspond to the **complex freq.** λ_a .

$|\mathcal{B}_\omega^{(2)}|^2$, as a function of ω real.



- Green dots are **numerical values**, the continuous red line is a sum of Lorentzians.
- Near a complex frequency λ_a , solution of $S_{22} = 1$, $|\mathcal{B}_\omega^{(2)}|^2 \sim C_a / |\omega - \omega_a - i\Gamma_a|^2$, i.e. a Lorentzian.
- Above ω_{\max} no peaks, because no neg. norm WKB mode.

Computing the complex freq. $\lambda_a = \omega_a + i\Gamma_a$.

- The λ_a 's, are fixed by the cond. **ABM + single-valued**.
Both conditions encoded in $S_{22} = 1$.
- When the **leaking-out amplitudes** are small,
 $|z_\omega|, |w_\omega| = |\beta_\omega/\alpha_\omega| \ll 1$,
the supersonic region acts as a **cavity**:
- To **zeroth order** in z_ω, w_ω , **$S_{22} = 1$** fixes
 $\Re\lambda_a = \omega_a$ by a **Bohr-Sommerfeld** condition

$$S_{-\omega}^{(1)} - S_{-\omega}^{(2)} + \pi = \int_{-L}^L dx [-k_\omega^{(1)}(x) + k_\omega^{(2)}(x)] + \pi = 2\pi n,$$

where $n = 1, 2, \dots, N$.

This explains the **discreteness** of the set.

To **second order** in z_ω, w_ω , $S_{22} = 1$ fixes $Im \lambda_a = \Gamma_a$ to be

$$2\Gamma_a T_{\omega_a}^b = |S_{12}(\omega_a)|^2 = |z_{\omega_a} + w_{\omega_a} e^{i\psi_a}|^2 \quad (16)$$

- $T_{\omega_a}^b > 0$ is the **bounce time**, given by

$$T_{\omega}^b = \frac{\partial}{\partial \omega} \left(S_{-\omega}^{(2)} - S_{-\omega}^{(1)} + \text{"non HJ terms"} \right) \quad (17)$$

- The phase in the cosine is

$$\psi_a = S_{\omega_a}^u + S_{-\omega_a}^{(1)} + \text{other "non HJ terms"}$$

To **second order** in z_ω, w_ω , $S_{22} = 1$ fixes $Im \lambda_a = \Gamma_a$ to be

$$2\Gamma_a T_{\omega_a}^b = |S_{12}(\omega_a)|^2 = |z_{\omega_a} + w_{\omega_a} e^{i\psi_a}|^2 \quad (16)$$

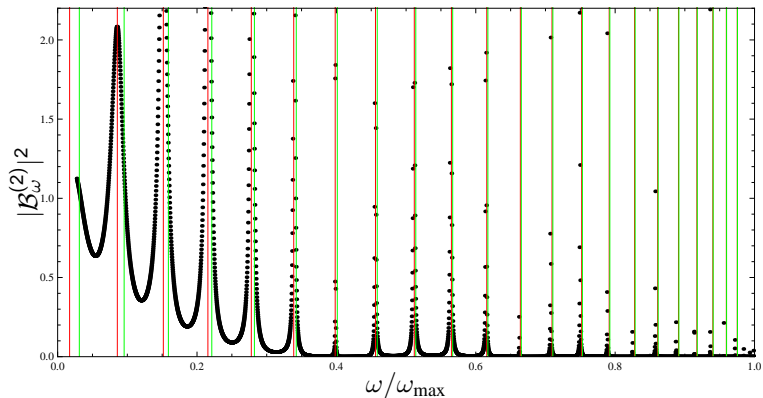
- $T_{\omega_a}^b > 0$ is the **bounce time**, given by

$$T_{\omega}^b = \frac{\partial}{\partial \omega} \left(S_{-\omega}^{(2)} - S_{-\omega}^{(1)} + \text{"non HJ terms"} \right) \quad (17)$$

- The phase in the cosine is

$$\psi_a = S_{\omega_a}^u + S_{-\omega_a}^{(1)} + \text{other "non HJ terms"}$$

The validity of the 'semi-classical' treatment.

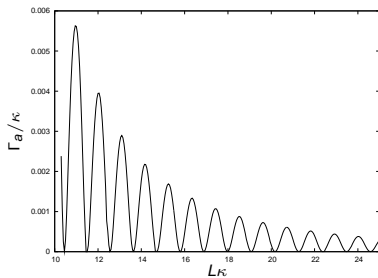
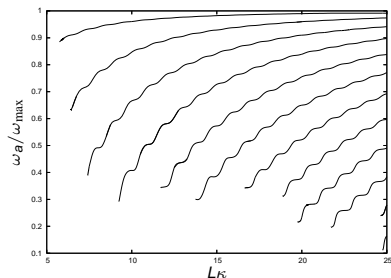


Dots are **numerical values**.

The **22 red** lines are the **predictions**.

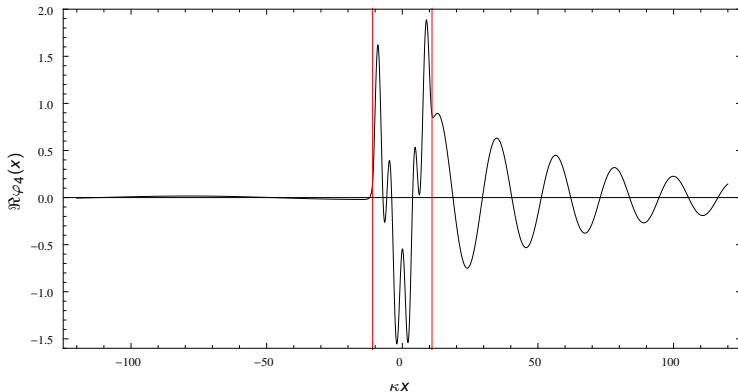
Excellent agreement

The evolution of ω_a and Γ_a in terms of L .



- **New** bound modes appear as L grows, **with $\omega = \Gamma = 0$?**
- The Γ_a reach their maximal value for $\omega_a/\omega_{\max} \ll 1$.
- Γ_a reach 0 because of (Young) interferences.
The destruction is imperfect when $z_\omega \neq w_\omega$.
- No bound mode is destroyed as L grows.

A typical growing mode with a high Γ_a ($\Gamma/\omega \sim 1/20$)



- Highest amplitudes in the trapped region.
- Exponential decrease on the Right of the BH horizon.
The **spatial** damping is proportional to the **rate** $\Gamma_a = \text{Im}\lambda_a$.

Physical predictions

- At **late times** w.r.t. the **formation** of the BH-WH, i.e. $\text{times} \gg 1/\text{Max}\Gamma_a$, the mode with the highest Γ_a dominates **all observables**.
The **classical** and **quantum** descriptions **coincide**.
- At **earlier times**, if the *in-state* is (near) vacuum, the **quantum settings must be used**, and **all** complex freq. modes contribute to the **observables**
- At "**early**" times, i.e. $\Delta t < T^{\text{Bounce}} = 2\pi/(\omega_a - \omega_{a+1})$ **Hawking radiation** as if the **WH were not present**.
the **discreteness** of the λ_a -set is not yet visible,
the **resolution in ω** being too small.

Physical predictions

- At **late times** w.r.t. the **formation** of the BH-WH, i.e. $\text{times} \gg 1/\text{Max}\Gamma_a$, the mode with the highest Γ_a dominates **all observables**.
The **classical** and **quantum** descriptions **coincide**.
- At **earlier times**, **if** the **in-state** is (near) vacuum, the **quantum settings must be used**, and **all** complex freq. modes contribute to the **observables**
- At "**early**" times, i.e. $\Delta t < T^{\text{Bounce}} = 2\pi/(\omega_a - \omega_{a+1})$ **Hawking radiation** as if the **WH were not present**.
the **discreteness** of the λ_a -set is not yet visible,
the **resolution in ω** being too small.

Physical predictions

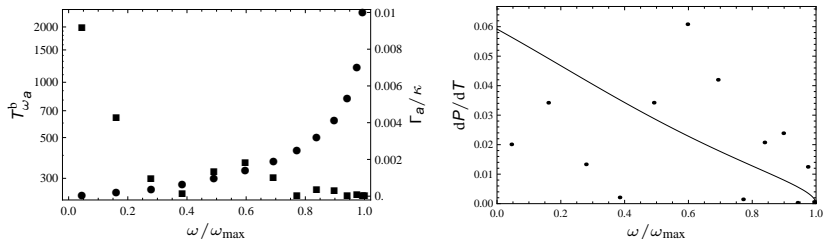
- At **late times** w.r.t. the **formation** of the BH-WH, i.e. $\text{times} \gg 1/\text{Max}\Gamma_a$, the mode with the highest Γ_a dominates **all observables**.
The **classical** and **quantum** descriptions **coincide**.
- At **earlier times**, **if** the **in-state** is (near) vacuum, the **quantum settings must be used**, and **all** complex freq. modes contribute to the **observables**
- At **"early" times**, i.e. $\Delta t < T^{\text{Bounce}} = 2\pi/(\omega_a - \omega_{a+1})$ **Hawking radiation** as if the **WH were not present**.
the **discreteness** of the λ_a -set is not yet visible,
the **resolution in ω** being too small.

Physical predictions

- At **late times** w.r.t. the **formation** of the BH-WH, i.e. $\text{times} \gg 1/\text{Max}\Gamma_a$, the mode with the highest Γ_a dominates **all observables**.
The **classical** and **quantum** descriptions **coincide**.
- At **earlier times**, **if** the **in-state** is (near) vacuum, the **quantum settings must be used**, and **all** complex freq. modes contribute to the **observables**
- At **"early" times**, i.e. $\Delta t < T^{\text{Bounce}} = 2\pi/(\omega_a - \omega_{a+1})$ **Hawking radiation** as if the **WH were not present**.
the **discreteness** of the λ_a -set is not yet visible,
the **resolution in ω** being too small.

The quantum flux emitted by a BH-WH system, 1

1. A BH-WH system with **13** complex freq. modes.



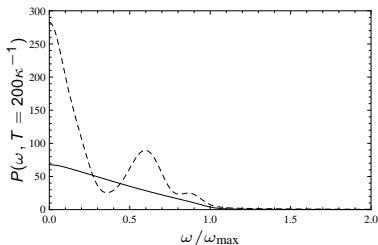
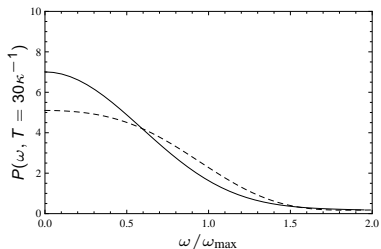
Left: The **13** values of T_a^{Bounce} (dots) and Γ_a (squares)

Right: The **continuous** spectrum obtained **without the WH** vs. the corresponding **discrete** quantity for the **BH-WH** pair.

Very different spectra in ω -space.

The flux emitted by a BH-WH system, 2

Fluxes emitted **after a finite lapse of time**
by a **single BH (solid line)** and the **BH-WH pair (dashed)**.

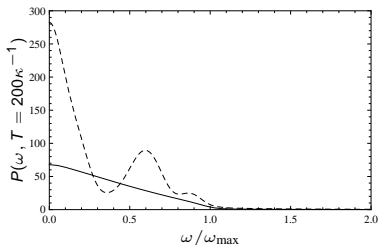
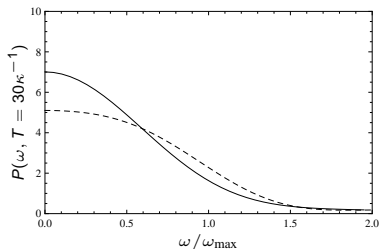


Left: after $\Delta t = 30/\kappa$, **no** sign yet of discreteness **nor** instab.
the BH-WH pair emits Hawking-like radiation.

Right: after $\Delta t = 200/\kappa$, **discreteness** and **instab.** visible.

The flux emitted by a BH-WH system, 2

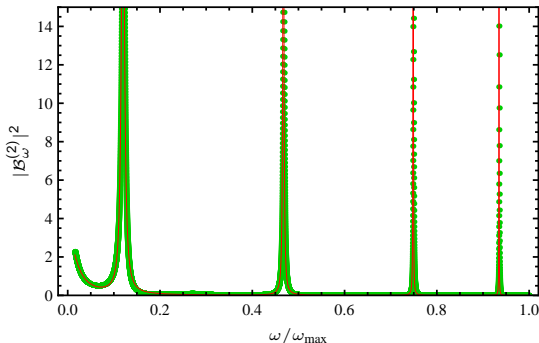
Fluxes emitted **after a finite lapse of time**
by a **single BH (solid line)** and the **BH-WH pair (dashed)**.



Left: after $\Delta t = 30/\kappa$, **no** sign yet of discreteness **nor** instab.
the BH-WH pair emits Hawking-like radiation.

Right: after $\Delta t = 200/\kappa$, **discreteness** and **instab.** visible.

The Technion BH-WH, June 2009, preliminary results



About 4 unstable modes.

Experiment too **short** by a factor of **10** to see the laser effect.

Probably **more** than 4 complex freq. modes.

Classical terms: **Induced** instability

- When sending a **classical wave** $W_{in}(t, x)$, this **induces** the instability.
- N.B. It does it through the overlaps with the **decaying** modes Z_a

$$b_a \equiv \langle Z_a | W_{in} \rangle \quad (18)$$

which fix the amplitude of the **growing** mode V_a :

$$W_{in}(t, x) \rightarrow \sum_a \left[e^{-i\lambda_a t} b_a V_a(x) + p.H.c. \right]. \quad (19)$$

Conclusions

- In flows with **one** sonic B/W horizon, the spectrum
 - is **continuous**, and
 - contains **real** freq., of **both signs** for $\omega < \omega_{\max}$.
 - emitted flux is \sim Hawking radiation when $\omega_{\max} > 3\kappa$.
- In flows with **a pair** of BH-WH horizons, one has
 - a **continuous** spectrum of **real** and **positive** freq., and
 - a **discrete** set of pair of **complex** freq., with $Re \lambda_a < \omega_{\max}$.
 - At **late time**, the mode with highest Γ_a dominates all obs.
 - At **early time**, BH-WH flux **as that** from the sole BH.
- When $L\kappa$ suff. **small**,
no complex freq. modes, hence **no** dyn. instability,
No radiation emitted, even though $\kappa \neq 0$,
No entanglement entropy.

Conclusions

- In flows with **one** sonic B/W horizon, the spectrum
 - is **continuous**, and
 - contains **real** freq., of **both signs** for $\omega < \omega_{\max}$.
 - emitted flux is \sim Hawking radiation when $\omega_{\max} > 3\kappa$.
- In flows with **a pair** of BH-WH horizons, one has
 - a **continuous** spectrum of **real** and **positive** freq., and
 - a **discrete** set of pair of **complex** freq., with $Re \lambda_a < \omega_{\max}$.
 - At **late time**, the mode with highest Γ_a dominates all obs.
 - At **early time**, BH-WH flux **as that** from the sole BH.
- When $L\kappa$ suff. **small**,
no complex freq. modes, hence **no** dyn. instability,
No radiation emitted, even though $\kappa \neq 0$,
No entanglement entropy.

Conclusions

- In flows with **one** sonic B/W horizon, the spectrum
 - is **continuous**, and
 - contains **real** freq., of **both signs** for $\omega < \omega_{\max}$.
 - emitted flux is \sim Hawking radiation when $\omega_{\max} > 3\kappa$.
- In flows with **a pair** of BH-WH horizons, one has
 - a **continuous** spectrum of **real** and **positive** freq., and
 - a **discrete** set of pair of **complex** freq., with $Re \lambda_a < \omega_{\max}$.
 - At **late time**, the mode with highest Γ_a dominates all obs.
 - At **early time**, BH-WH flux **as that** from the sole BH.
- When $L\kappa$ suff. **small**,
no complex freq. modes, hence **no** dyn. instability,
No radiation emitted, even though $\kappa \neq 0$,
No entanglement entropy.

Conclusions

- In flows with **one** sonic B/W horizon, the spectrum
 - is **continuous**, and
 - contains **real** freq., of **both signs** for $\omega < \omega_{\max}$.
 - emitted flux is \sim Hawking radiation when $\omega_{\max} > 3\kappa$.
- In flows with **a pair** of BH-WH horizons, one has
 - a **continuous** spectrum of **real** and **positive** freq., and
 - a **discrete** set of pair of **complex** freq., with $Re \lambda_a < \omega_{\max}$.
 - At **late time**, the mode with highest Γ_a dominates all obs.
 - At **early time**, BH-WH flux **as that** from the sole BH.
- When $L\kappa$ suff. **small**,
no complex freq. modes, hence **no** dyn. instability,
No radiation emitted, even though $\kappa \neq 0$,
No entanglement entropy.

Additional remarks, 1.

- In **weak** external fields, the discrete set is **empty**.
- This can be seen from the Hamiltonian

$$\mathcal{H} = \frac{1}{2} \int dx \left[(\partial_t \phi)^2 + (c^2 - v^2)(\partial_x \phi)^2 + \frac{1}{\Lambda^2} (\partial_x^2 \phi)^2 \right]. \quad (20)$$

- For $v^2 < c^2$, i.e. no horizon, H is **positive**, and this **suffices** for having no complex freq.
- Another **sufficient** condition for having **no** complex freq., is that the scalar product $(\phi|\psi)$ be **positive definite**, which is the case for **fermions**, but which is **not** the case for **bosons**.

Additional remarks, 2.

- $v^2 > c^2$, is a **necessary** condition for having complex freq.
- However, it is not **sufficient**, as is verified when having only a **single** Black (or White) Hole horizon
- In these cases, there are **negative** real frequencies, but no complex ones.
- These **negative** frequencies are **necessary** to get **Hawking radiation**.

Additional remarks, 3.

There is a "hierarchy" in the **external** field strength.

- For **weak** fields, **neither** negative **nor** complex freq.
There is a unique ground state.
The system is stable (**classically** and **QMally**).
- For strong fields, one **frequent possibility** is :
some negative freq. but **no** complex.
There is no "minimal energy state".
Weak QM instability, e.g. a **steady** Hawking radiation.
- For strong fields, **under specific conditions**,
complex eigen-frequencies can be found.
Both **QM** and **class.** unstable: **dynamical instability**.
- In **many** cases, as in the Black Hole laser,
the latter is **deeply** related to the former.

General remarks, 4.

Conditions to get a Laser effect

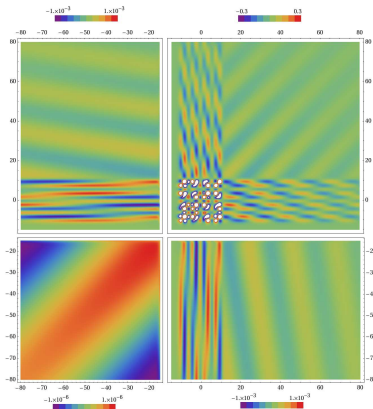
In statio. bgds, the following conditions are **sufficient**

- 1. For some range of ω real, in some region, WKB solutions with **both** signs of norm should exist. This is a **strong** condition.
- 2. These solutions must **mix** in exact solutions. This is a weak condition.
- 3. One of the WKB solution must be **trapped**. This is a strong condition.
- 4. The potential should be **deep enough** so that at least one bound mode exist.

NB. When **only** 1 and 2 are met, one gets a **super-radiance**, i.e. a **vacuum instability**.

The pattern of density-density fluctuations $\langle \delta\rho\delta\rho \rangle$

In a BEC, the equal time $\langle \delta\rho(x) \delta\rho(x') \rangle$ is observable, as the temperature fluct. $\langle \delta T(x) \delta T(x') \rangle$ on the LSS, in cosmology.



Different scales are used, the central square is the trapped region.