

Cosmology and GR limit of Horava-Lifshitz gravity

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ref. Horava-Lifshitz Cosmology: A Review, arXiv: 1007.5199
also arXiv: 1105.0246 with K.Izumi
arXiv: 1109.2609 with E.Gumrukcuoglu & A.Wang

Power counting

$$I \supset \int dt dx^3 \dot{\phi}^2 \quad \int dt dx^3 \phi^n$$

$$\propto E^{-(1+3+ns)}$$

- **Scaling dim of ϕ**
 $t \rightarrow b t$ ($E \rightarrow b^{-1} E$)
 $x \rightarrow b x$
 $\phi \rightarrow b^s \phi$
 $1+3-2+2s = 0$
 $s = -1$

- Renormalizability
 $n \leq 4$
- Gravity is highly non-linear and thus non-renormalizable

Abandon Lorentz symmetry?

$$I \supset \int dt dx^3 \dot{\phi}^2$$

$$\int dt dx^3 \phi^n$$

- Anisotropic scaling

$$t \rightarrow b^z t \quad (E \rightarrow b^{-z} E)$$

$$x \rightarrow b x$$

$$\phi \rightarrow b^s \phi$$

$$z+3-2z+2s = 0$$

$$s = -(3-z)/2$$

- $s = 0$ if $z = 3$

$$\propto E^{-(z+3+ns)/z}$$

- For $z = 3$, any nonlinear interactions are renormalizable!
- Gravity becomes renormalizable!?

Cosmological implications

Horava-Lifshitz Cosmology: A Review, arXiv: 1007.5199

- The $z=3$ scaling **solves the horizon problem** and leads to **scale-invariant cosmological perturbations** without inflation (Mukohyama 2009).
- New mechanism for generation of **primordial magnetic seed field** (S.Maeda, Mukohyama, Shiromizu 2009).
- Higher curvature terms lead to **regular bounce** (Calcagni 2009, Brandenberger 2009).
- Higher curvature terms ($1/a^6$, $1/a^4$) might make the **flatness problem milder** (Kiritsis&Kofinas 2009).
- Absence of local Hamiltonian constraint leads to **DM as integration “constant”** (Mukohyama 2009).

A Cosmic Microwave Background (CMB) fluctuation map, showing a curved, dome-like shape with a complex pattern of blue, green, yellow, and red spots, representing temperature variations in the early universe. The map is centered on a black background.

Where are we from?

Primordial Fluctuations

Horizon Problem & Scale-Invariance

Horizon @ decoupling

<< Correlation Length of CMB

3.8×10^5 light years

<< 1.4×10^{10} light years

(1 light year $\sim 10^{18}$ cm)

Scale-invariant spectrum

$\Delta \sim \text{constant}$

$$\langle \zeta_{\vec{k}} \zeta_{\vec{k}'} \rangle = (2\pi)^3 \delta^3(\vec{k} + \vec{k}') \frac{\Delta}{|\vec{k}|^3}$$

Usual story

- $\omega^2 \gg H^2$: oscillate $H = (da/dt) / a$
 $\omega^2 \ll H^2$: freeze a : scale factor
oscillation \rightarrow freeze-out iff $d(H^2/\omega^2)/dt > 0$
 $\omega^2 = k^2/a^2$ leads to $d^2a/dt^2 > 0$

Generation of super-horizon fluctuations requires accelerated expansion, i.e. inflation.

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Generation of super-horizon fluctuations requires accelerated expansion, i.e. inflation.

- Scaling law
 $t \rightarrow b t$ ($E \rightarrow b^{-1} E$)
 $x \rightarrow b x$ \Rightarrow $\delta\phi \propto E \sim H$
 $\phi \rightarrow b^{-1} \phi$

Scale-invariance requires almost const. H , i.e. inflation.

New story with $z=3$

Mukohyama 2009

- oscillation \rightarrow freeze-out iff $d(H^2/\omega^2)/dt > 0$
 $\omega^2 = M^{-4}k^6/a^6$ leads to $d^2(a^3)/dt^2 > 0$
OK for $a \sim t^p$ with $p > 1/3$

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- Scaling law

$$t \rightarrow b^3 t \quad (E \rightarrow b^{-3}E)$$

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$$\phi \rightarrow b^0 \phi$$



$$\delta\phi \propto E^0 \sim H^0$$

Scale-invariant fluctuations!

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$$\delta\phi \propto E^0 \sim H^0$$

Scale-invariant fluctuations!

- Tensor perturbation $P_h \sim M^2/M_{\text{Pl}}^2$

$\ln L$

Horizon exit and re-entry

$$a \propto t^p$$

$$1/3 < p < 1$$

wavelength $\sim a/k$

super-horizon & scale-invariant

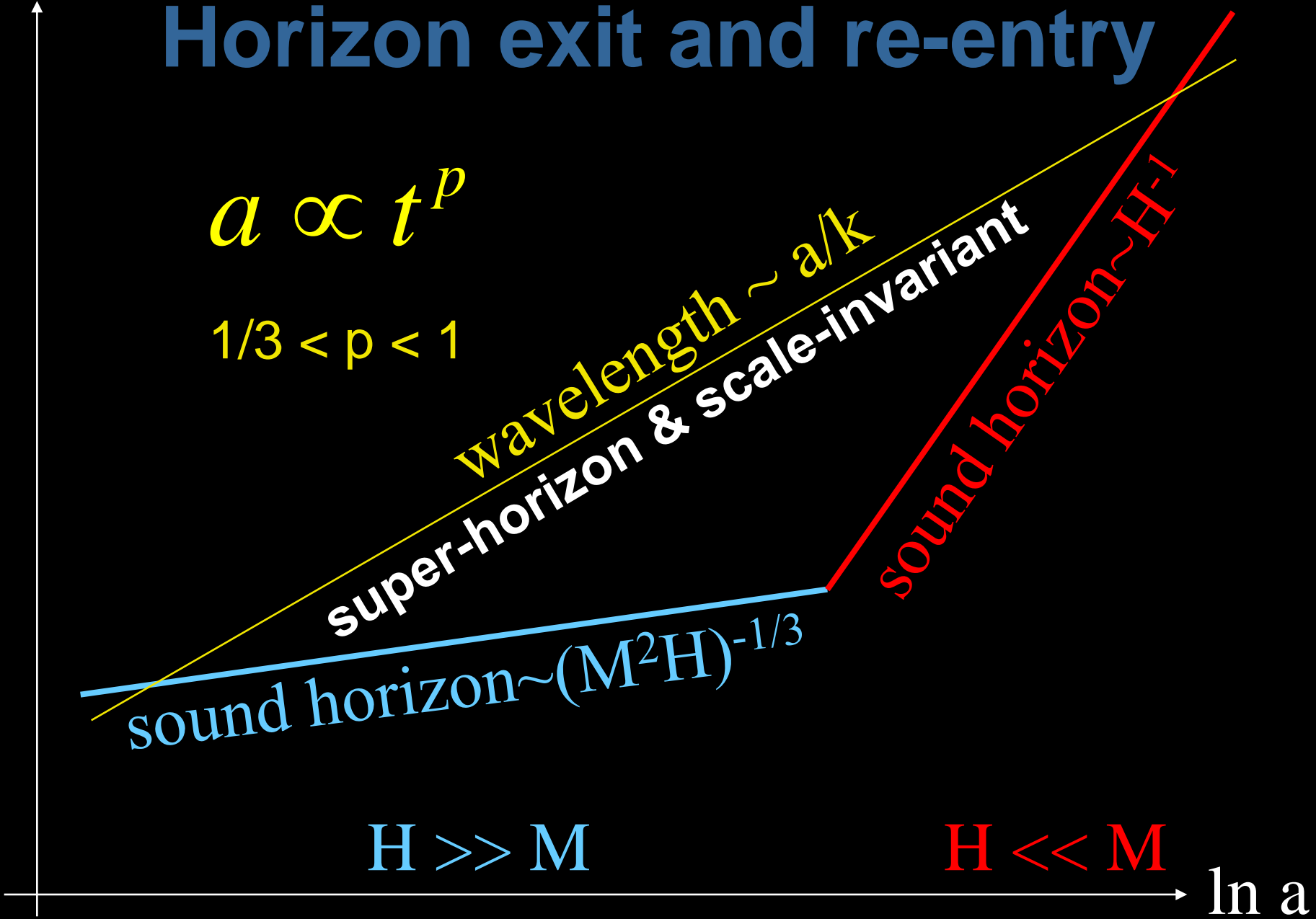
sound horizon $\sim (M^2 H)^{-1/3}$

sound horizon $\sim H^{-1}$

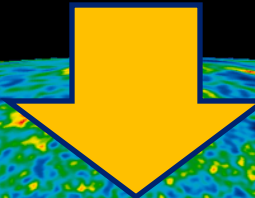
$H \gg M$

$H \ll M$

$\ln a$



New Quantum Gravity



New Mechanism of Primordial Fluctuations

- ✓ Horizon Problem Solved
- ✓ Scale-Invariance Guaranteed
- ✓ Slight scale-dependence calculable
- ✓ Predicts large non-Gaussianity

Horava-Lifshitz gravity

Horava (2009)

- Basic quantities:
lapse $N(t)$, shift $N^i(t, x)$, 3d spatial metric $g_{ij}(t, x)$
- ADM metric (emergent in the IR)
 $ds^2 = -N^2 dt^2 + g_{ij} (dx^i + N^i dt)(dx^j + N^j dt)$
- Foliation-preserving diffeomorphism
 $t \rightarrow t'(t), \quad x^i \rightarrow x'^i(t, x^j)$
- Anisotropic scaling with $z=3$ in UV
 $t \rightarrow b^z t, \quad x^i \rightarrow b x^i$
- Ingredients in the action

$$N dt \int \sqrt{g} d^3 x \left(\frac{1}{2N} \left(\partial_t g_{ij} - D_i N_j - D_j N_i \right)^2 - 2\lambda - \frac{2}{3} R_{ij} D_i D_j \right) \quad (C_{ijkl} = 0 \text{ in 3d})$$

UV action with $z=3$

- Kinetic terms (**2nd time derivative**)

$$\int N dt \sqrt{g} d^3 x \left(K_{ij} K^{ij} - \lambda K^2 \right)$$

c.f. $\lambda = 1$ for GR

- **$z=3$** potential terms (**6th spatial derivative**)

$$\int N dt \sqrt{g} d^3 x \left[\begin{array}{ccc} D_i R_{jk} D^i R^{jk} & D_i R D^i R & \\ R_i^j R_j^k R_k^i & R R_i^j R_j^i & R^3 \end{array} \right]$$

c.f. $D_i R_{jk} D^j R^{ki}$ is written in terms of other terms

Relevant deformations (with parity)

- z=2 potential terms (4th spatial derivative)

$$\int N dt \sqrt{g} d^3 x \left[R_i^j R_j^i \quad R^2 \right]$$

- z=1 potential term (2nd spatial derivative)

$$\int N dt \sqrt{g} d^3 x \left[R \right]$$

- z=0 potential term (no derivative)

$$\int N dt \sqrt{g} d^3 x \left[1 \right]$$

IR action

- **UV: $z=3$** , power-counting renormalizability
 ↓ RG flow
- **IR: $z=1$** , seems to recover GR iff $\lambda \rightarrow 1$

$$\frac{1}{16\pi G_N} \int N dt \sqrt{g} d^3 x \left(\overbrace{K_{ij} K^{ij} - \lambda K^2}^{\text{kinetic term}} + \underbrace{c_g^2 R - 2\Lambda}_{\text{IR potential}} \right)$$

note:

Renormalizability has not been proved.
RG flow has not yet been investigated.

Projectability condition $N=N(t)$

- Infinitesimal tr. $\delta t = f(t)$, $\delta x^i = \zeta^i(t, x^j)$
$$\delta g_{ij} = \partial_i \zeta^k g_{jk} + \partial_j \zeta^k g_{ik} + \zeta^k \partial_k g_{ij} + f \dot{g}_{ij}$$

$$\delta N_i = \partial_i \zeta^j N_j + \zeta^j \partial_j N_i + \dot{\zeta}^j g_{ij} + \dot{f} N_i + f \dot{N}_i$$

$$\delta N = \zeta^i \partial_i N + \dot{f} N + f \dot{N}$$
- Space-independent N cannot be transformed to space-dependent N .
- N is gauge d.o.f. associated with the space-independent time reparametrization.
- It is natural to restrict N to be space-independent.
- Consequently, Hamiltonian constraint is an equation integrated over a whole space.

Different versions of HL gravity

- There are versions w/wo the projectability condition.
- Horava's original proposal was **with the projectability condition, $N=N(t)$.**
- **Naïve non-projectable extension is inconsistent** [c.f. Henneaux, et.al. 2009].
- Inclusion of $a_i = (\ln N)_{,i}$ (and thus more terms) in the action can cure the non-projectable extension [Blas, Pujolas and Sibiryakov 2009].
- U(1) extension [Horava-Melby-Thompson 2010]
- In the rest of this talk I will consider the projectable version, i.e. the theory with $N=N(t)$, without U(1).

“Black holes” with $N=N(t)$?

- Schwarzschild BH in PG coordinate

$$ds^2 = -dt_p^2 + \left(dr \pm \sqrt{\frac{2m}{r}} dt_p \right)^2 + r^2 d\Omega^2$$

exact sol
for $\lambda = 1$

- Gaussian normal coordinate

$$ds^2 = -dt_G^2 + \dots$$

approx sol
for $\lambda = 1$

Lemaitre reference frame

Doran coordinate

“Black holes” with $N=N(t)$?

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approx sol
for $\lambda = 1$

Lemaitre reference frame

Doran coordinate

Q.

Is the $\lambda \rightarrow 1$ limit continuous or discontinuous?

Physical d.o.f.

- $(6 + 3) - 3 - 3 = 3$
 g_{ij} : 6 components
 N^i : 3 components
 $x^i \rightarrow x'^i(t, x)$: 3 gauge d.o.f.
 $\delta I / \delta N^i = 0$: 3 constraints
- $3 = 2 + 1$
tensor graviton: 2 d.o.f.
scalar graviton: 1 d.o.f.

Linear instability of scalar graviton

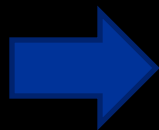
- Sign of (time) kinetic term $(\lambda-1)/(3\lambda-1) > 0$.
- The dispersion relation in flat background $\omega^2 = c_s^2 k^2 \times [1 + O(k^2/M^2)]$ with $c_s^2 = -(\lambda-1)/(3\lambda-1) < 0$
→ IR instability in linear level
(Wang&Maartens; Blas,et.al.; Koyama&Arroja 2009)
- Slower than Jeans instability if $t_J \sim (G_N \rho)^{-1/2} < t_L \sim L/|c_s|$.
- Tamed by Hubble friction or/and $O(k^2/M^2)$ terms if $H^{-1} < t_L$ or/and $L < 1/M$.
- Thus, the linear instability **does not show up if** $|c_s| = |(\lambda-1)/(3\lambda-1)|^{1/2} < \text{Max}[|\Phi|^{1/2}, HL]$. ($\Phi \sim -G_N \rho L^2$)
for $L > \text{Max}[0.01 \text{ mm}, 1/M]$
(Shorter scales → similar to spacetime foam)
- Phenomenological constraint on properties of RG flow.

Perturbative vs non-perturbative regimes

$$N = 1, \quad N_i = \partial_i B + n_i, \quad g_{ij} = a^2 e^{2\zeta_T} (e^h)_{ij}$$

$$\zeta_T = O(q), \quad h_{ij} = O(q), \quad B = O(q^0), \quad n_i = O(q^0)$$

Momentum constraint


$$B = \frac{O(1)}{O(\lambda - 1) + O(q)} \partial_t \zeta_T$$

- Perturbative regime: $q \ll (\lambda - 1)$
breakdown in the $\lambda \rightarrow 1$ limit
- Non-perturbative regime: $(\lambda - 1) \ll q \ll 1$
responsible for recovery of GR

Vainshtein effect in massive gravity

- Linearized analysis results in vDVZ discontinuity of the massless limit.
- However, perturbative expansion breaks down in this limit and cannot be trusted.
- Non-perturbative analysis shows continuity and GR is recovered in the massless limit.
- Continuity is not uniform w.r.t. distance. (e.g. $1/r$ expansion does not work.) However, Vainshtein radius can be pushed to infinity in the massless limit.

Analogue of Vainshtein effect (mukohyama 2010)

- Spherically symmetric, static ansatz

$$N = 1, \quad N_i dx^i = \beta(x) dx, \quad g_{ij} dx^i dx^j = dx^2 + r(x)^2 d\Omega_2^2$$



$$R \equiv \beta^{(\lambda-1)/(2\lambda)} r \quad \text{without } z > 1 \text{ terms}$$

$$R'' + \frac{\lambda - 1}{\lambda} \left[\frac{(3\lambda - 1)(\beta')^2 R}{4\lambda^2 \beta^2} + \frac{(\lambda - 1)\beta' R'}{\lambda\beta} - \frac{(R')^2}{R} \right] = 0$$

$$\frac{\beta'}{\beta} - \frac{(\lambda - 1)R}{4\lambda R'} \left(\frac{\beta'}{\beta} \right)^2 + \frac{\lambda}{RR'} \frac{\beta^{(\lambda-1)/\lambda} + [(2\lambda - 1)\beta^2 - 1](R')^2}{(3\lambda - 1)\beta^2 + (\lambda - 1)} = 0$$

- Two branches

$$\frac{\beta'}{\beta} = \frac{1 \pm \sqrt{1 + 4AB}}{2A},$$

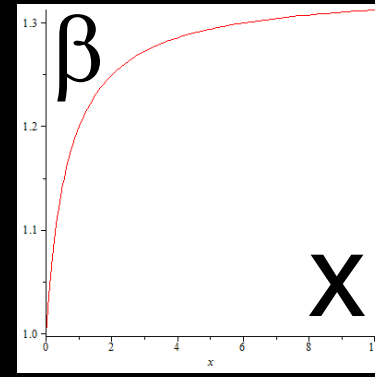
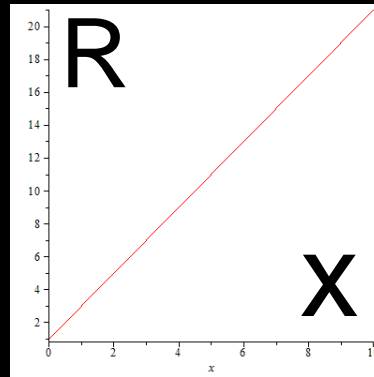
$$A \equiv \frac{(\lambda - 1)R}{4\lambda R'}, \quad B \equiv \frac{\lambda}{RR'} \frac{\beta^{(\lambda-1)/\lambda} + [(2\lambda - 1)\beta^2 - 1](R')^2}{(3\lambda - 1)\beta^2 + (\lambda - 1)}$$

- “-” branch recovers GR in the $\lambda \rightarrow 1$ limit

Analogue of Vainshtein effect

- Numerical integration **in the “-” branch** with $\beta(x=0)=1$, $r(x=0)=1$, $r'(x=0)$ given

for
 $\lambda-1=10^{-6}$
 $r'(x=0)=2$



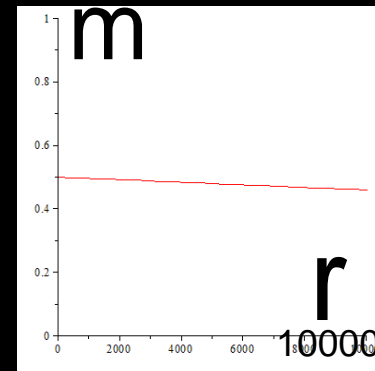
- Misner-Sharp energy

$$m \equiv \frac{r}{2} \left[1 - (1 - \beta^2)(r')^2 \right]$$

almost constant



GR is recovered!



Analogue of Vainshtein effect (mukohyama 2010)

$$\frac{\beta'}{\beta} = \frac{1 \pm \sqrt{1 + 4AB}}{2A}, \quad \rightarrow \text{choose the “-” branch}$$

$$A \equiv \frac{(\lambda - 1)R}{4\lambda R'}, \quad B \equiv \frac{\lambda}{RR'} \frac{\beta^{(\lambda-1)/\lambda} + [(2\lambda - 1)\beta^2 - 1](R')^2}{(3\lambda - 1)\beta^2 + (\lambda - 1)}$$

- $(3\lambda - 1)\beta^2 \ll (\lambda - 1)$
perturbative regime, $1/r$ expansion
- $(3\lambda - 1)\beta^2 \gg (\lambda - 1)$
non-perturbative regime, recovery of GR
- $(3\lambda - 1)\beta^2 \sim (\lambda - 1)$ with $\beta^2 \sim r_g/r \rightarrow r \sim r_g/(\lambda - 1)$
analogue of Vainshtein radius

dynamical



GR

$r \sim r_g/(\lambda - 1)$

non-GR

Izumi & Mukohyama 2009
“Stellar center is dynamical”

Fate of scalar graviton

$$L = \left[f\left(\frac{\zeta_T}{\lambda-1}\right) + g(\zeta_T, \lambda) \right] \frac{M_{Pl}^2 \dot{\zeta}_T^2}{\lambda-1} - V(\zeta_T, D_i)$$

↑
↑
↑
↑
↑


subleading

Independent of λ

Local in time, no time derivative

No time derivative

Non-local in space, each term has the same # of spatial derivatives in denominator and numerator


 $\lambda \rightarrow 1$

$L \sim \dot{\zeta}_c^2$

- Looks like a minimally coupled FREE field with sound speed = 0
- **Scalar Graviton \rightarrow “Dark Matter”**

Nonlinear cosmological perturbation and $\lambda \rightarrow 1$

arXiv: 1105.0246 [hep-th] with K.Izumi

arXiv: 1109.2609 [hep-th] with E.Gumruhcuğlu & A.Wang

- HL gravity + a scalar matter field
- Flat FRW background
- **Nonlinear cosmological perturbation**
- Gradient expansion up to any order
- **Regular and continuous in the $\lambda \rightarrow 1$ limit**
- **Recovers GR+DM+scalar field in the $\lambda \rightarrow 1$ limit**

Summary

- Horava-Lifshitz gravity is power-counting renormalizable and can be a candidate theory of quantum gravity.
- The $z=3$ scaling solves horizon problem and leads to scale-invariant cosmological perturbations for $a \sim t^p$ with $p > 1/3$.
- HL gravity in the $\lambda \rightarrow 1$ limit exhibits analogue of Vainshtein effect: GR (+DM) is recovered non-perturbatively at least in some simple cases.
 1. spherically-symmetric, static, vacuum configurations
 2. superhorizon cosmological perturbations
- In the $\lambda \rightarrow 1$ limit, Schwarzschild BH is an exact solution and large Kerr BH is an approximate solution.
- **Scalar graviton \rightarrow Dark matter**
- **HL gravity at low-E can mimic GR+DM**

Future works

- Renormalizability beyond power-counting
- RG flow: is $\lambda = 1$ an IR fixed point ? Does it satisfy the stability condition for the scalar graviton?
($|c_s| < \text{Max} [|\Phi|^{1/2}, HL]$ for $L > \text{Max}[M^{-1}, 0.01 \text{mm}]$)
- Can we get a common “limit of speed” ?
(i) $M_{z=3} \ll M_{\text{pl}}$, (ii) supersymmetry, (iii) other ideas?
- How generic is ‘Vainshtein effect’?
- How generic is caustic avoidance, (perhaps with $\lambda \rightarrow \infty$ & $M_{\text{pl}}/M_{z=3} \rightarrow \infty$) ?
- Micro & macro behavior of “DM”
- Adiabatic initial condition for “DM” from the $z=3$ scaling
- Spectral tilt from anomalous dimension

Structure of HL gravity

- Foliation-preserving diffeomorphism
= 3D spatial diffeomorphism
+ space-independent time reparametrization
- 3 local constraints + 1 global constraint
= 3 momentum @ each time @ each point
+ 1 Hamiltonian @ each time integrated
- Constraints are preserved by dynamical equations.
- We can solve dynamical equations, provided that constraints are satisfied at initial time.

FRW spacetime in HL gravity

- Approximates overall behavior of our patch of the universe inside the Hubble horizon.

- **No “local” Hamiltonian constraint**

E.o.m. of matter

→ conservation eq.

$$\dot{\rho}_i + 3\frac{\dot{a}}{a}(\rho_i + P_i) = 0$$

- Dynamical eq
can be integrated to give

$$-2\frac{\ddot{a}}{a} - \frac{\dot{a}^2}{a^2} = 8\pi G_N \sum_{i=1}^n P_i$$

**Friedmann eq with
“dark matter as
integration constant”**

$$3\frac{\dot{a}^2}{a^2} = 8\pi G_N \left(\sum_{i=1}^n \rho_i + \frac{C}{a^3} \right)$$

IR limit of HL gravity

$$\frac{1}{16\pi G_N} \int N dt \sqrt{g} d^3x \left(K_{ij} K^{ij} - \lambda K^2 + R - 2\Lambda \right)$$

- Looks like GR iff $\lambda = 1$. So, we assume that $\lambda = 1$ is an IR fixed point of RG flow.

- **Global Hamiltonian constraint**

$$\int d^3x \sqrt{g} (G_{\mu\nu}^{(4)} + \Lambda g_{\mu\nu}^{(4)} - 8\pi G_N T_{\mu\nu}) n^\mu n^\nu = 0$$

$$n_\mu dx^\mu = -N dt, \quad n^\mu \partial_\mu = \frac{1}{N} (\partial_t - N^i \partial_i)$$

- **Momentum constraint & dynamical eq**

$$(G_{i\mu}^{(4)} + \Lambda g_{i\mu}^{(4)} - 8\pi G_N T_{i\mu}) n^\mu = 0$$

$$G_{ij}^{(4)} + \Lambda g_{ij}^{(4)} - 8\pi G_N T_{ij} = 0$$

Dark matter as integration constant

- Def. $T_{\mu\nu}^{HL}$ $G_{\mu\nu}^{(4)} + \Lambda g_{\mu\nu}^{(4)} = 8\pi G_N (T_{\mu\nu} + T_{\mu\nu}^{HL})$
- General solution to the momentum constraint and dynamical eq.

$$T_{\mu\nu}^{HL} = \rho^{HL} n_\mu n_\nu, \quad n^\mu \nabla_\mu n_\nu = 0$$

- Global Hamiltonian constraint

$$\int d^3x \sqrt{g} \rho^{HL} = 0$$

ρ^{HL} can be positive everywhere in our patch of the universe inside the horizon.

- Bianchi identity \rightarrow (non-)conservation eq

$$\partial_\perp \rho^{HL} + K \rho^{HL} = n^\nu \nabla^\mu T_{\mu\nu}$$