

# The quantum-to-classical transition of primordial cosmological perturbations

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## **Problem of the quantum-to-classical transition**

According to inflation theory the large scale structure arises from quantum vacuum fluctuations.

→ How do the quantum fluctuations become classical fluctuations?

→ How does the vacuum state of the perturbations, which is homogeneous and isotropic, gives rise to perturbations which are inhomogeneous and anisotropic?

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→ Is especially severe in cosmological context! Which processes count as measurement in the early universe?

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Possible solutions:

collapse theories (Sudarsky!), many worlds, de Broglie-Bohm theory

## Outline

- Introduction to de Broglie-Bohm
- Illustration of problem: inverted harmonic oscillator
- Discussion of cosmological perturbations.

## Non-relativistic de Broglie-Bohm theory

(a.k.a. pilot-wave theory, Bohmian mechanics, ...)

- De Broglie (1927), Bohm (1952)
- Point particles guided by wave function.
- Dynamics:

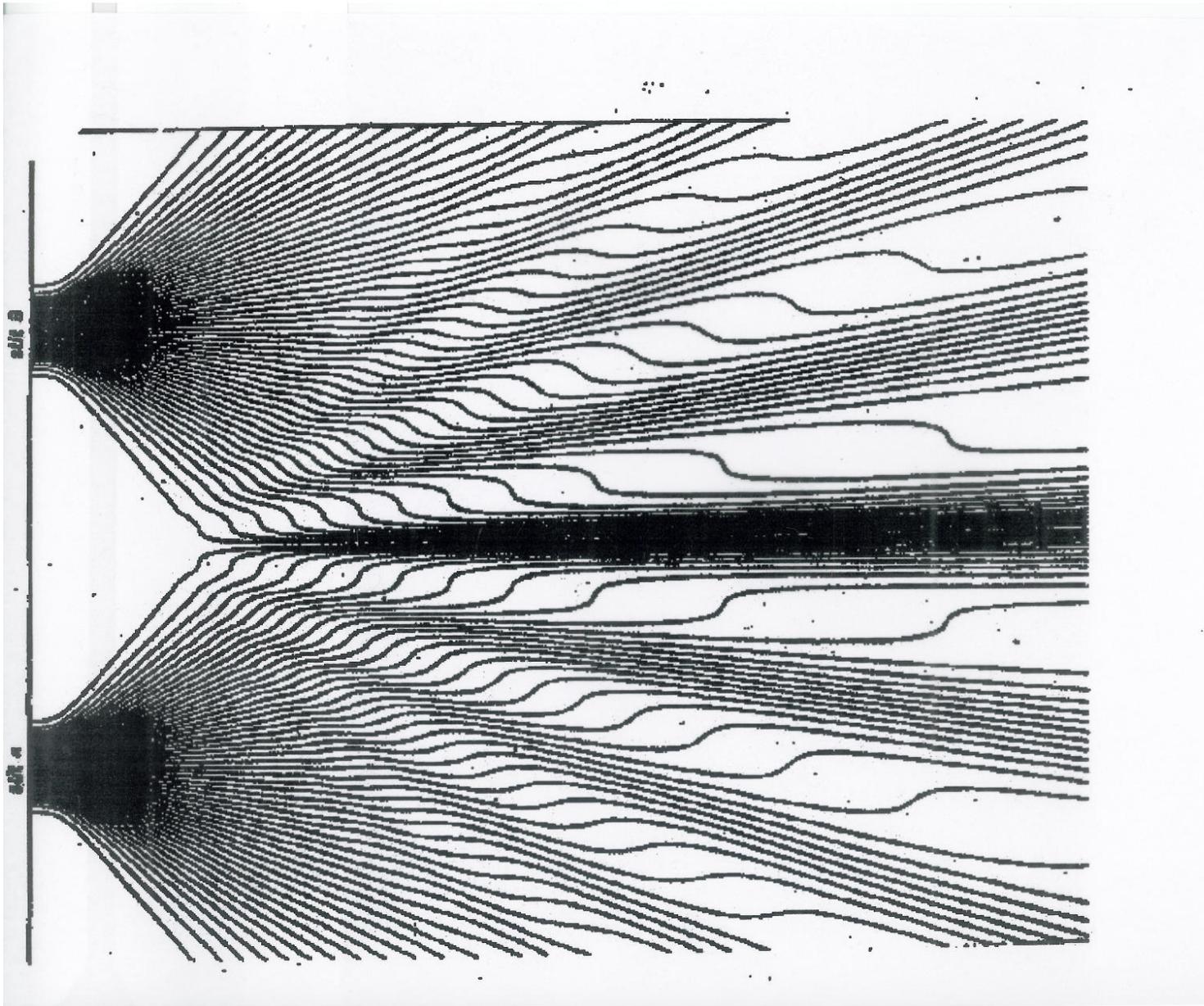
– Wave  $\psi(\mathbf{x}_1, \dots, \mathbf{x}_n, t)$ :

$$i\hbar\partial_t\psi = \left( -\sum_{k=1}^n \frac{\hbar^2}{2m_k} \nabla_k^2 + V \right) \psi$$

– Particles positions  $\mathbf{x}_1(t), \dots, \mathbf{x}_n(t)$ :

$$\frac{d\mathbf{x}_k}{dt} = \mathbf{v}_k^\psi(\mathbf{x}_1, \dots, \mathbf{x}_n, t) = \frac{1}{m_k} \nabla_k S(\mathbf{x}_1, \dots, \mathbf{x}_n, t), \quad \psi = |\psi| e^{iS/\hbar}$$

- Double Slit experiment:



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- **Quantum equilibrium:**

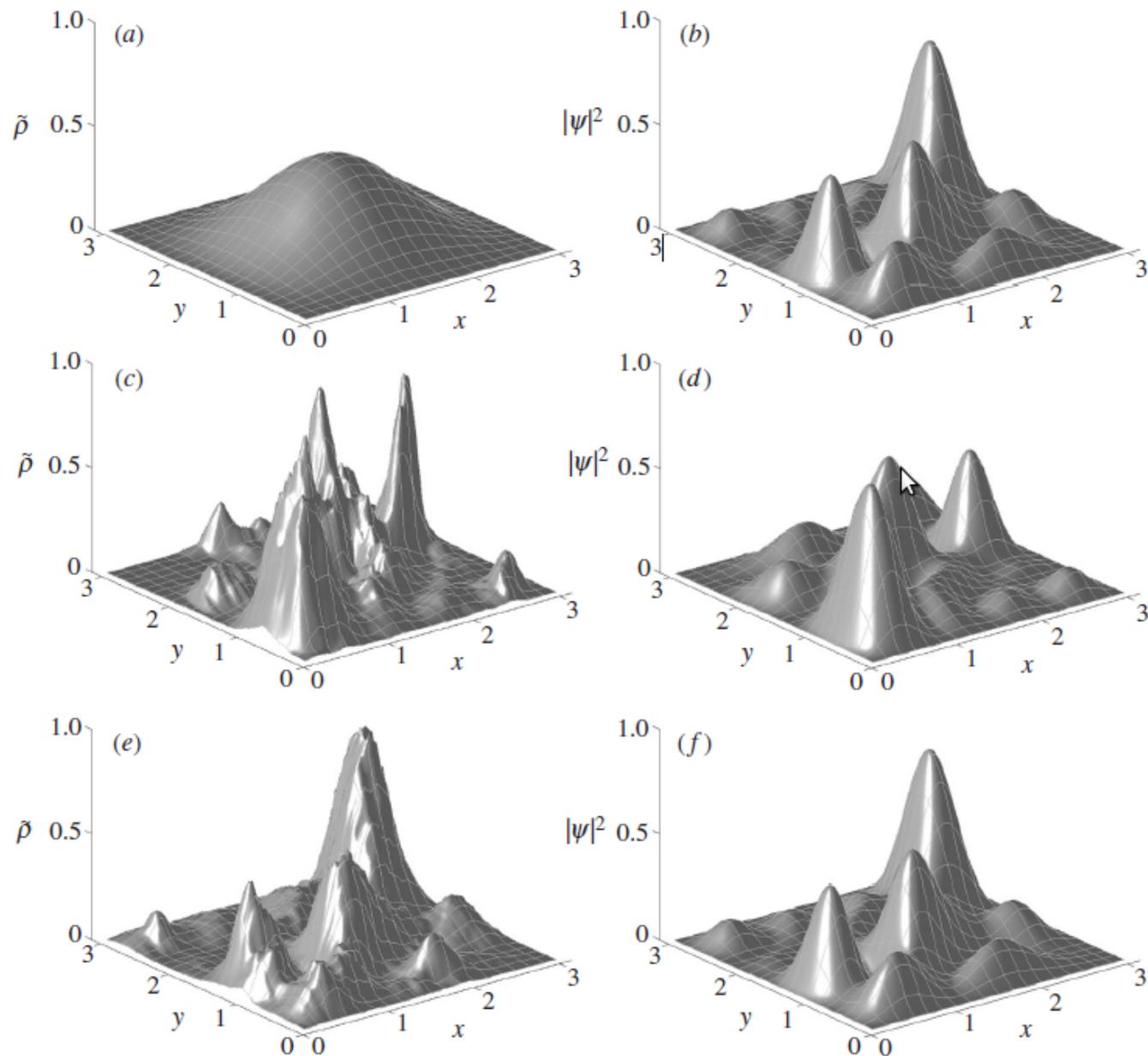
Consider an ensemble of systems with wave function  $\psi$ ,  
and particle distribution  $\rho(x)$ .

Quantum equilibrium if  $\rho(x) = |\psi(x)|^2$ .

→ Standard quantum theory emerges in quantum equilibrium.

→ Deviations from standard quantum theory in non-equilibrium ( $\rho(x) \neq |\psi(x)|^2$ ).

Relaxation,  $\rho(x) \rightarrow |\psi(x)|^2$  (Valentini & Westman, 2004):



- Quantum equilibrium is preserved by the particle motion because it satisfies the continuity equation:

$$\partial_t |\psi|^2 + \sum_{k=1}^n \nabla_k \cdot (\mathbf{v}_k^\psi |\psi|^2) = 0$$

→ For other Schrödinger equations, the continuity equation of  $|\psi|^2$  may be used to find a suitable guidance law.

That is

$$\partial_t |\psi|^2 + \operatorname{div} j^\psi = 0$$

suggests the guidance law

$$\dot{X} = \frac{j^\psi}{|\psi|^2}$$

(treatment of arbitrary Hamiltonians: Struyve & Valentini (2009))

- Classical limit:

$$\dot{\mathbf{x}} = \frac{1}{m} \nabla S \quad \Rightarrow \quad m\ddot{\mathbf{x}} = -\nabla(V + Q)$$

$$\psi = |\psi|e^{iS/\hbar}, \quad Q = -\frac{\hbar^2}{2m} \frac{\nabla^2 |\psi|}{|\psi|} = \text{quantum potential}$$

Classical trajectories when  $|\nabla Q| \ll |\nabla V|$ .

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- **Emergence of time:**

Suppose

$$\hat{H}\Psi(x_1, x_2) = 0$$

and de Broglie-Bohm trajectories  $x_1(t)$ ,  $x_2(t)$ .

Wave function for system 1:

$$\psi(x_1, t) = \Psi(x_1, x_2(t))$$

→ may have non-trivial time dependence

→ may satisfy time dependent Schrödinger equation.

(see e.g. work by P. Peter, N. Pinto-Neto, ...)

## Quantum field theory

- De Broglie-Bohm theory can be extended to quantum fields  
(see Struyve (2010), (2011) for reviews)
- E.g. scalar field:

Hamiltonian:

$$\hat{H} = \frac{1}{2} \int d^3x \left( \hat{\pi}^2 + \nabla \hat{\phi}^2 + m^2 \hat{\phi}^2 \right)$$

Functional Schrödinger picture:

$$\hat{\phi} \rightarrow \phi, \quad \hat{\pi} \rightarrow -i \frac{\delta}{\delta \phi}, \quad \Psi(\phi, t) = \langle \phi | \Psi(t) \rangle$$

$$i \frac{\partial \Psi}{\partial t} = \frac{1}{2} \int d^3x \left( -\frac{\delta^2}{\delta \phi^2} + \nabla \phi^2 + m^2 \phi^2 \right) \Psi$$

Continuity equation:

$$\frac{\partial |\Psi|^2}{\partial t} + \int d^3x \frac{\delta}{\delta \phi(\mathbf{x})} \left( \frac{\delta S}{\delta \phi(\mathbf{x})} |\Psi|^2 \right) = 0, \quad \Psi = |\Psi| e^{iS}.$$

Guidance equation:

$$\dot{\phi}(\mathbf{x}) = \frac{\delta S}{\delta \phi(\mathbf{x})}$$

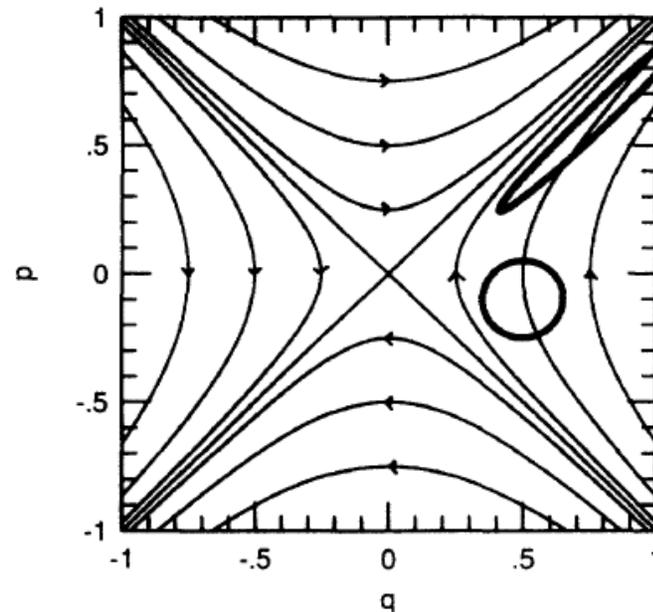
## Inverted harmonic oscillator (e.g. Albrecht et al. 1994)

$$H = \frac{p^2}{2} - \frac{q^2}{2} \quad (1)$$

Classical trajectories:

$$q = Ae^t + Be^{-t}, \quad p = Ae^t - Be^{-t}$$

$q \approx p \approx Ae^t$  for  $t \gg 1 \Rightarrow$  squeezing



## Quantum mechanics

Squeezed state:

$$\psi(q, t) = N \exp \left( - \frac{(B - iC)}{2} q^2 - i \frac{B}{2} t \right)$$

$$N = \sqrt{\frac{B}{\pi}}, \quad B = \frac{1}{\cosh 2t}, \quad C = \tanh 2t$$

Note

$$\Delta q^2 = \frac{1}{2B}, \quad \Delta p^2 = \frac{B}{2} + \frac{C^2}{2B}$$

$$\text{For } t = 0 : \quad \Delta q^2 = \frac{1}{2}, \quad \Delta p^2 = \frac{1}{2}$$

$$\text{For } t \gg 1 : \quad \Delta q^2 \gg 1, \quad \Delta p^2 \gg 1$$

→ Initially minimum uncertainty in  $q$  and  $p$ . However, both spread in time!

→ The wave function is not peaked around a classical trajectory!

How can it correspond to a classical system?

## Common classicality arguments

### 1. Commuting observables

Heisenberg operators:

$$\hat{q}(t) = \hat{q}(0) \cosh t + \hat{p}(0) \sinh t, \quad \hat{p}(t) = \hat{q}(0) \sinh t + \hat{p}(0) \cosh t$$

For  $t \gg 1$ :

$$\hat{q}(t) \approx \hat{p}(t) \approx \frac{1}{2}(\hat{q}(0) + \hat{p}(0))e^t$$

Hence

$$[\hat{q}(t), \hat{p}(t)] \approx 0 \quad \Rightarrow \quad \text{Classicality}$$

However

$$[\hat{q}(t), \hat{p}(t)] = i \neq 0$$

Similarly: free particle

Heisenberg operators:

$$\hat{x}(t) = \hat{x}(0) + \frac{\hat{p}(0)}{m}t, \quad \hat{p}(t) = \hat{p}(0)$$

For  $t \gg 1$ :

$$\hat{x}(t) \approx \frac{\hat{p}(0)}{m}t$$

Hence

$$[\hat{x}(t), \hat{p}(t)] \approx 0 \quad \Rightarrow \quad \text{Classicality}$$

However

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Better:

$$\begin{aligned} \Delta x(t)^2 &= \Delta x(0)^2 + \frac{t}{m} \left( \langle \{\hat{x}(0), \hat{p}(0)\} \rangle - \langle \hat{x}(0) \rangle \langle \hat{p}(0) \rangle \right) + \frac{t^2}{m^2} \Delta p(0)^2 \\ &\approx \Delta x(0)^2 \quad \text{for} \quad \frac{t}{m} \ll 1 \end{aligned}$$

$\Rightarrow$  No spreading for a very massive particle for short enough times.

## 2. Wigner distribution:

$$\begin{aligned}\rho(q, p, t) &= \frac{1}{\sqrt{\pi B}} |\psi(q, t)|^2 \exp\left(-\frac{(p - Cq)^2}{B}\right) \\ &\rightarrow |\psi(q, t)|^2 \delta(p - q) \quad \text{for } t \gg 1\end{aligned}\quad (2)$$

→ Is not peaked around a classical trajectory

→ But:

- satisfies Liouville equation  $d\rho/dt = 0$

- and quantum mechanical expectation values equal classical averages over  $\rho$

However, this does not mean classical limit is achieved!

### 3. WKB limit

With  $\psi = |\psi|e^{iS}$ :

$$\frac{\partial S}{\partial t} + \frac{(\nabla S)^2}{2} + V + Q = 0,$$
$$V = -\frac{q^2}{2}, \quad Q = \frac{B}{2}(1 - Bq^2)$$

For  $t \gg 1$ :

$$\frac{\partial S}{\partial t} + \frac{(\nabla S)^2}{2} + V \approx 0,$$

→ Formally same as classical Hamilton-Jacobi equation

But:

Does not imply we can assume a classical trajectory

## 4. Decoherence

Decoherence due to coupling with other degrees of freedom may yield decomposition of  $\psi$  into “classical wave packets”. Collapse may select one of these.

## De Broglie-Bohm description description of the inverted oscillator

$$\dot{q} = \nabla S \quad \Rightarrow \quad \ddot{q} = F_C + F_Q$$

Classical force:  $F_C = q$

Quantum force:  $F_Q = qB^2$

Ratio:

$$\frac{F_Q}{F_C} = B^2 \rightarrow 0 \quad \text{for } t \gg 1 \quad \rightarrow \text{classical behaviour}$$

More precisely:

$$\begin{aligned} q(t) &\sim \sqrt{\cosh 2t} \\ &\sim e^t \quad \text{for } t \gg 1 \end{aligned}$$

## Cosmological perturbations

Inflaton field:  $\varphi(\mathbf{x}, \eta) = \varphi_0(\eta) + \delta\varphi(\mathbf{x}, \eta)$

Metric with scalar perturbations, in the longitudinal gauge:

$$ds^2 = a^2(\eta) \left\{ [1 + 2\phi(\eta, \mathbf{x})] d\eta^2 - [1 - 2\phi(\eta, \mathbf{x})] \delta_{ij} dx^i dx^j \right\},$$

Gauge invariant Mukhanov-Sasaki variable:

$$y \equiv a \left[ \delta\varphi + \frac{\varphi'}{\mathcal{H}} \phi \right],$$

where  $\mathcal{H} = \frac{a'}{a}$  is comoving Hubble parameter.

Fourier modes:

$$y(\eta, \mathbf{x}) = \int \frac{d^3k}{(2\pi)^{3/2}} y_{\mathbf{k}}(\eta) e^{i\mathbf{k}\cdot\mathbf{x}},$$

$$H = \int_{\mathbb{R}^{3+}} d^3k \left[ p_{\mathbf{k}} p_{\mathbf{k}}^* + k^2 y_{\mathbf{k}} y_{\mathbf{k}}^* + \frac{z'}{z} (p_{\mathbf{k}} y_{\mathbf{k}}^* + y_{\mathbf{k}} p_{\mathbf{k}}^*) \right], \quad z = a\varphi'/\mathcal{H}$$

## Classical mode equation:

$$y_{\mathbf{k}}'' + \left( k^2 - \frac{z''}{z} \right) y_{\mathbf{k}} = 0.$$

Physical modes, initially well inside the Hubble radius, i.e.  $k|\eta| \gg 1$  or  $k^2 \gg z''/z$   
or :

$$y_{\mathbf{k}}(\eta) \sim e^{-ik\eta} \left( 1 + \frac{A_k}{\eta} + \dots \right).$$

At late times, modes outside the Hubble radius, i.e.  $k|\eta| \ll 1$  or  $k^2 \ll z''/z$ :

$$y_{\mathbf{k}}(\eta) \sim \underbrace{A_k^d \eta^{\alpha_d}}_{\alpha_d > 0} + \underbrace{A_k^g \eta^{\alpha_g}}_{\alpha_g < 0} \approx A_k^g \eta^{\alpha_g}$$

decaying mode                      growing mode

## Quantum

For product wave functional  $\Psi(y, \eta) = \prod_{\mathbf{k} \in \mathbb{R}^{3+}} \Psi_{\mathbf{k}}(y_{\mathbf{k}}, y_{\mathbf{k}}^*, \eta)$ :

$$i \frac{\partial \Psi_{\mathbf{k}}}{\partial \eta} = \left[ -\frac{\partial^2}{\partial y_{\mathbf{k}}^* \partial y_{\mathbf{k}}} + k^2 y_{\mathbf{k}}^* y_{\mathbf{k}} - i \frac{z'}{z} \left( \frac{\partial}{\partial y_{\mathbf{k}}^*} y_{\mathbf{k}}^* + y_{\mathbf{k}} \frac{\partial}{\partial y_{\mathbf{k}}} \right) \right] \Psi_{\mathbf{k}}.$$

Ground state:

$$\Psi_{\mathbf{k}} = \frac{1}{\sqrt{2\pi} |f_k(\eta)|} \exp \left\{ -\frac{1}{2|f_k(\eta)|^2} |y_{\mathbf{k}}|^2 + i \left[ \left( \frac{|f_k(\eta)|'}{|f_k(\eta)|} - \frac{z'}{z} \right) |y_{\mathbf{k}}|^2 - \int^{\eta} \frac{d\tilde{\eta}}{2|f_k(\tilde{\eta})|^2} \right] \right\},$$

$f_k$  a solution to the classical mode equation.

→ Is two-mode squeezed state.

→ Is translationally and rotationally invariant.

## De Broglie-Bohm

Guidance equation:

$$y_{\mathbf{k}}' = \frac{\partial S_{\mathbf{k}}}{\partial y_{\mathbf{k}}^*} + \frac{z'}{z} y_{\mathbf{k}}, \quad \Psi_{\mathbf{k}} = |\Psi_{\mathbf{k}}| e^{iS_{\mathbf{k}}}$$

For ground state:

$$y_{\mathbf{k}}(\eta) \sim |f_k(\eta)|$$

→ Is in general not translationally or rotationally invariant!

For physical modes, at early times ( $k^2 \gg z''/z$ ):

$$y_{\mathbf{k}}(\eta) \sim \left( 1 + \frac{\text{Re}A_k}{\eta} + \dots \right).$$

→ Nearly stationary

At late times,  $k^2 \ll z''/z$ :

$$y_{\mathbf{k}}(\eta) \sim \eta^{\alpha_g}$$

→ Behaves classically at late time  $k^2 \ll z''/z$

Can also be seen from

$$y'_k = \frac{\partial S_k}{\partial y_k^*} + \frac{z'}{z} y_k, \quad \Rightarrow \quad y''_k + \left( k^2 - \frac{z''}{z} \right) y_k = -\frac{\partial Q_k}{\partial y_k^*},$$

$$Q_k = -\frac{1}{|\Psi_k|} \frac{\partial^2 |\Psi_k|}{\partial y_k^* \partial y_k}$$

Ratio quantum force  $F_{Q,k}$  and classical force  $F_{C,k}$ :

$$\frac{F_{Q,k}}{F_{C,k}} = -\frac{1}{4|f_k|^4 \left( k^2 - \frac{z''}{z} \right)} \rightarrow 0 \quad \text{for} \quad k^2 \ll z''/z \quad \rightarrow \text{classical behaviour}$$

Can also be seen from

$$y_{\mathbf{k}}' = \frac{\partial S_{\mathbf{k}}}{\partial y_{\mathbf{k}}^*} + \frac{z'}{z} y_{\mathbf{k}}, \quad \Rightarrow \quad y_{\mathbf{k}}'' + \left( k^2 - \frac{z''}{z} \right) y_{\mathbf{k}} = -\frac{\partial Q_{\mathbf{k}}}{\partial y_{\mathbf{k}}^*},$$

$$Q_{\mathbf{k}} = -\frac{1}{|\Psi_{\mathbf{k}}|} \frac{\partial^2 |\Psi_{\mathbf{k}}|}{\partial y_{\mathbf{k}}^* \partial y_{\mathbf{k}}}$$

Ratio quantum force  $F_{Q,\mathbf{k}}$  and classical force  $F_{C,\mathbf{k}}$ :

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→ No appeal to decoherence.

→ Decoherence in the field basis will not alter the classicality.

## Two-point correlation function

In quantum equilibrium ( $\rho(y) = |\Psi(y)|^2$ ):

$$\langle y(\eta, \mathbf{x})y(\eta, \mathbf{x} + \mathbf{r}) \rangle_{\text{dBB}} = \int \mathcal{D}y |\Psi(y, \eta)|^2 y(\mathbf{x})y(\mathbf{x} + \mathbf{r}) = \frac{1}{2\pi^2} \int dk \frac{\sin kr}{r} k |f_k(\eta)|^2$$

Is usual expression. (It will correspond to a spatial average under the ergodic assumption.)