

# A precision calculation of neutrino decoupling

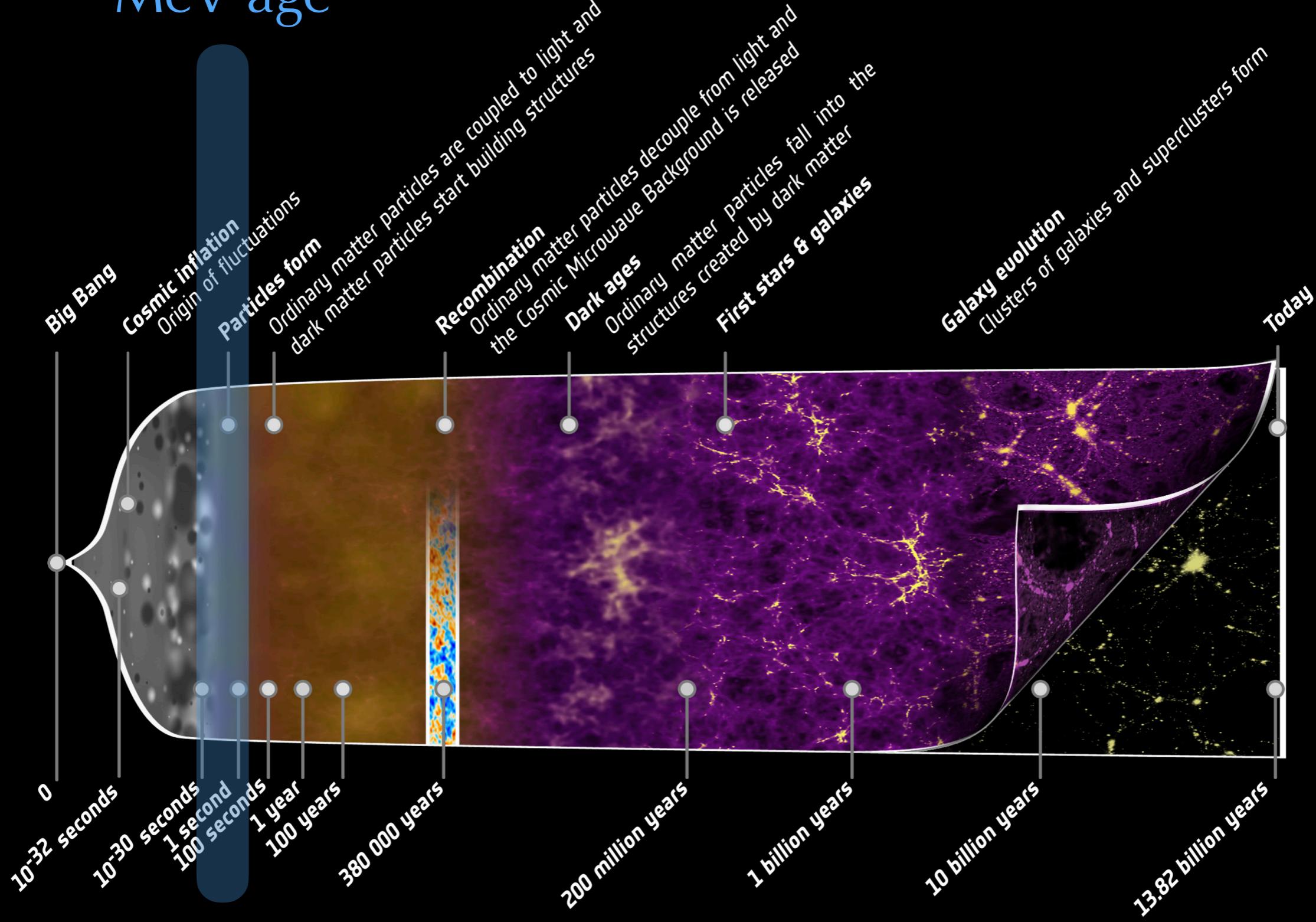
**Julien Froustey**

*with Cyril Pitrou (IAP), Maria Cristina Volpe (APC)*

*GReCO* seminar ◦ 19/10/2020

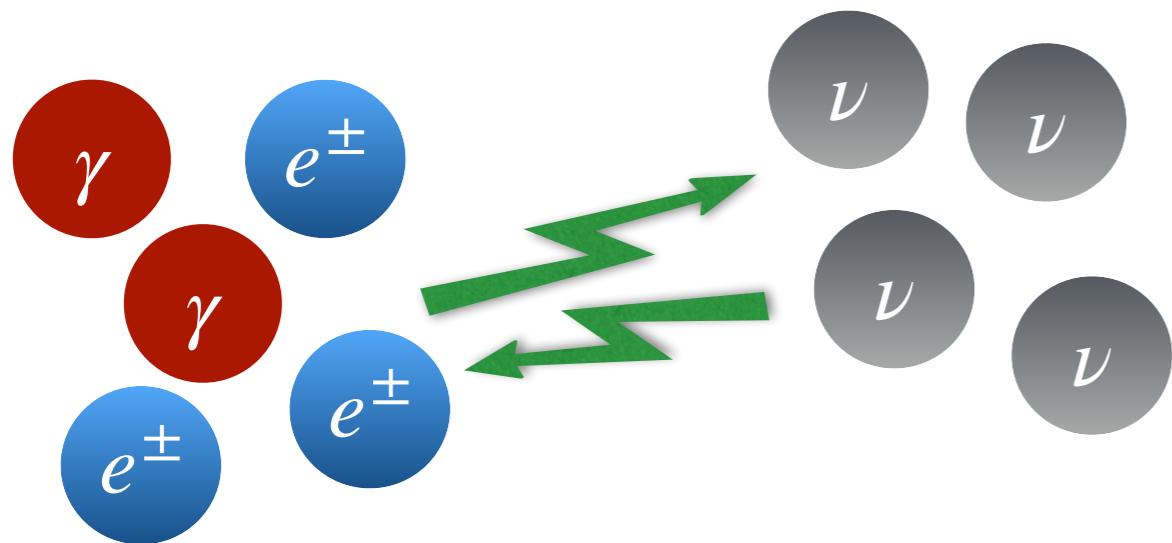
- [1912.09378] **JF**, C. Pitrou, *Phys. Rev. D* 101, 043524 (2020)  
[2008.01074] **JF**, C. Pitrou, M.C. Volpe, *to appear in JCAP*

# “MeV age”

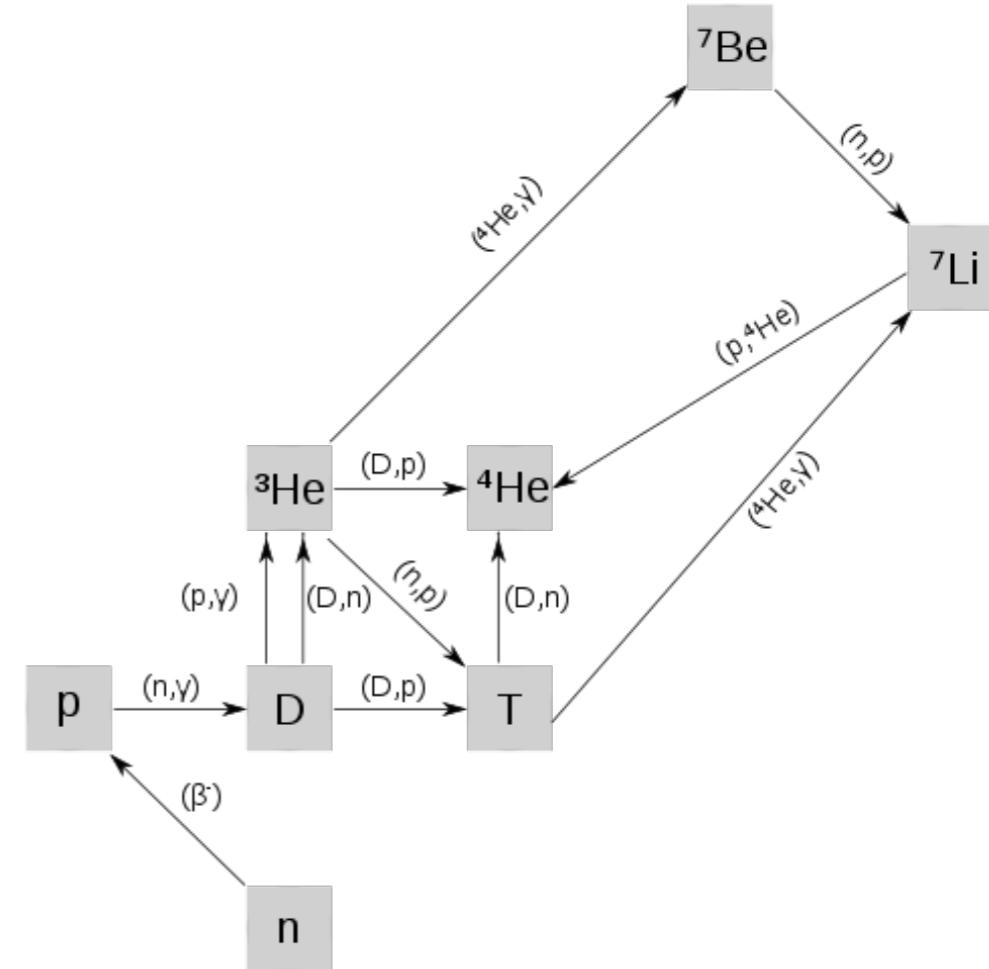


# The MeV age

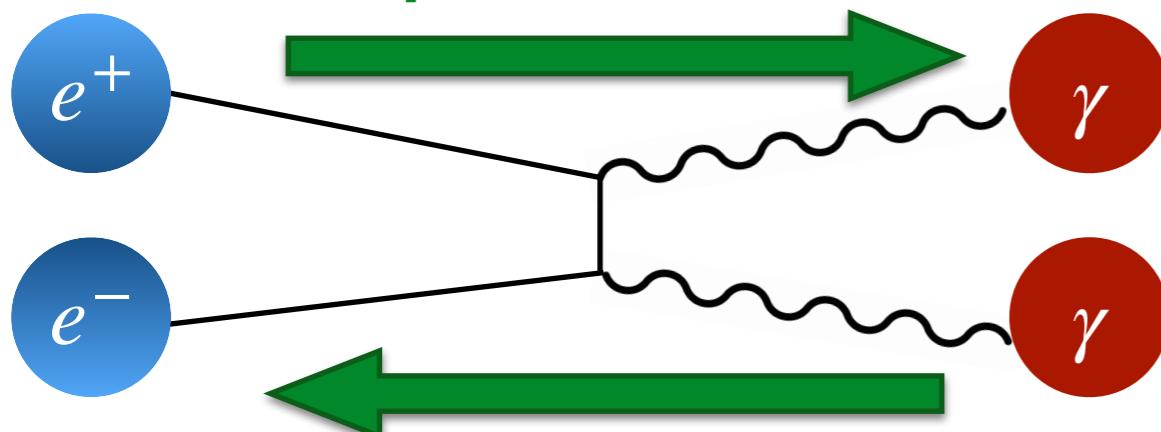
## Neutrino decoupling



## Big Bang Nucleosynthesis

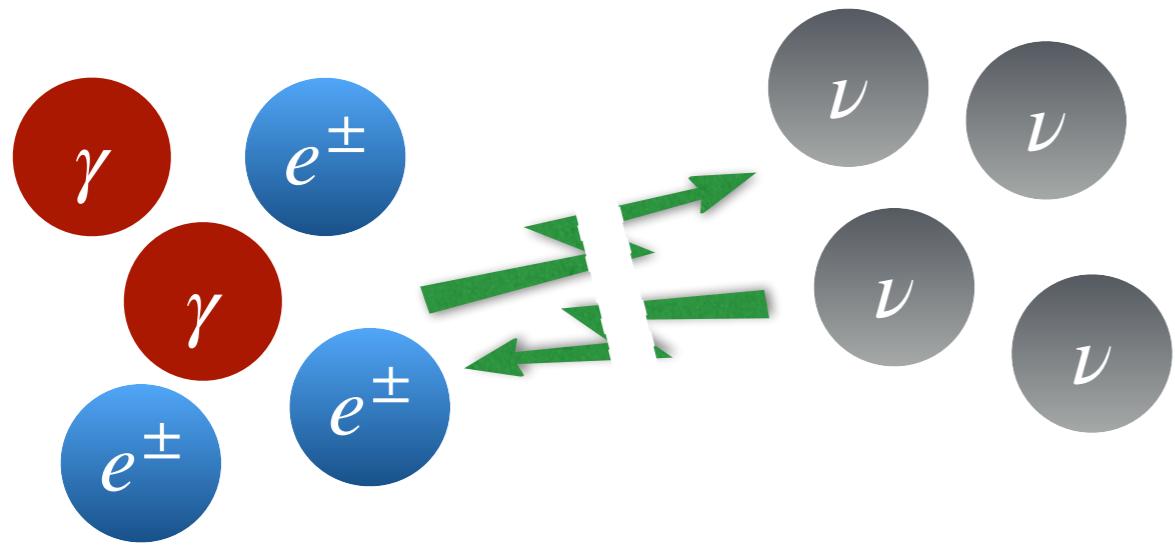


## Electron/positron annihilation

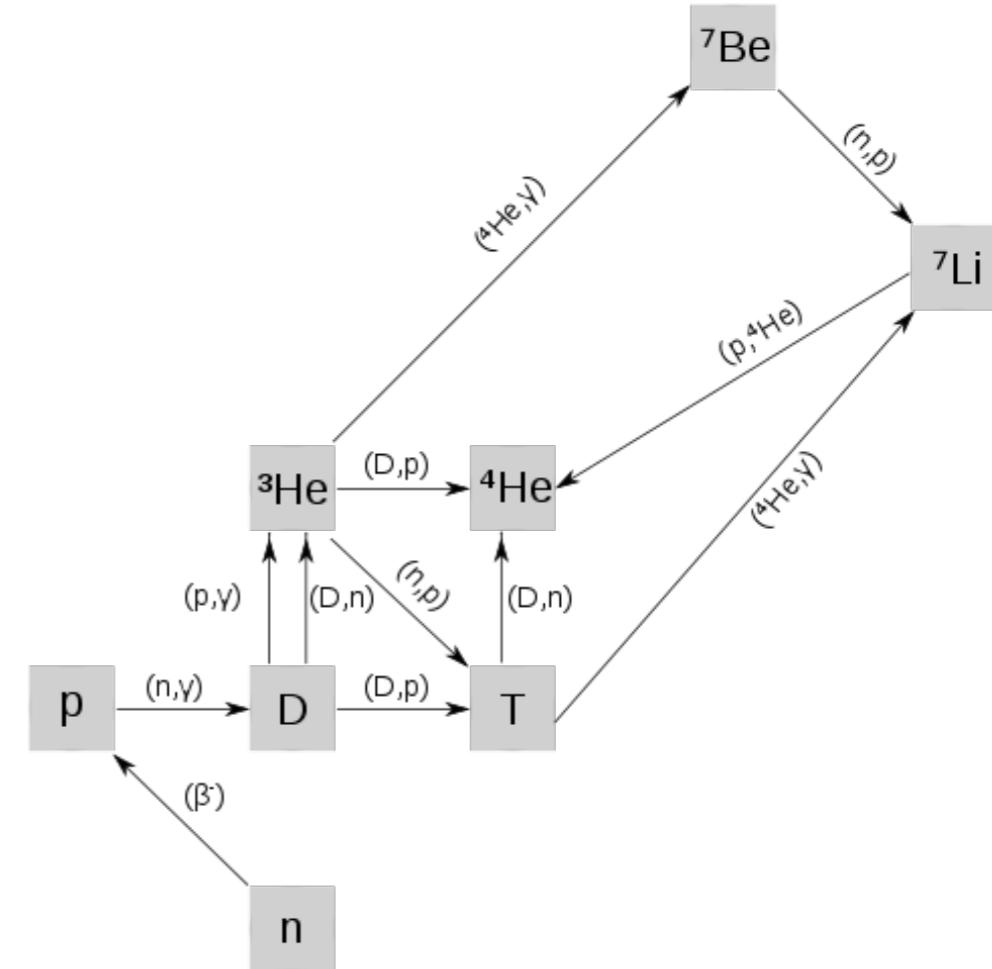


# The MeV age

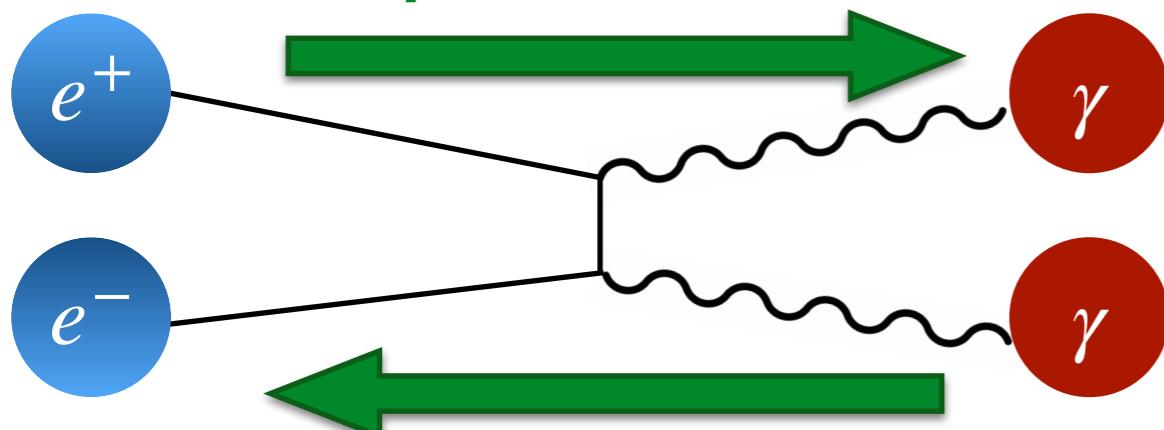
## Neutrino decoupling



## Big Bang Nucleosynthesis

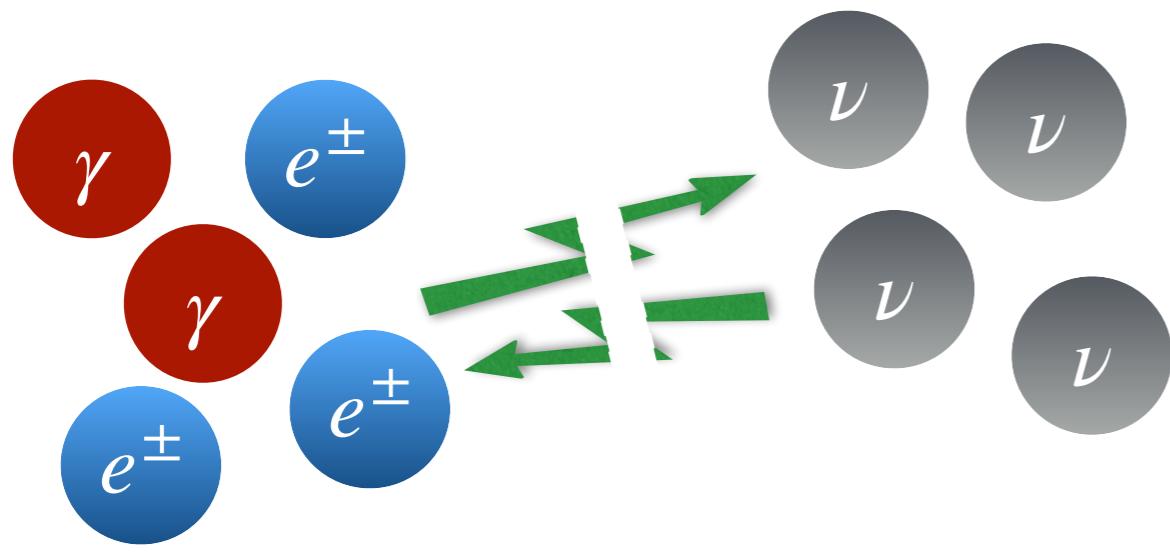


## Electron/positron annihilation



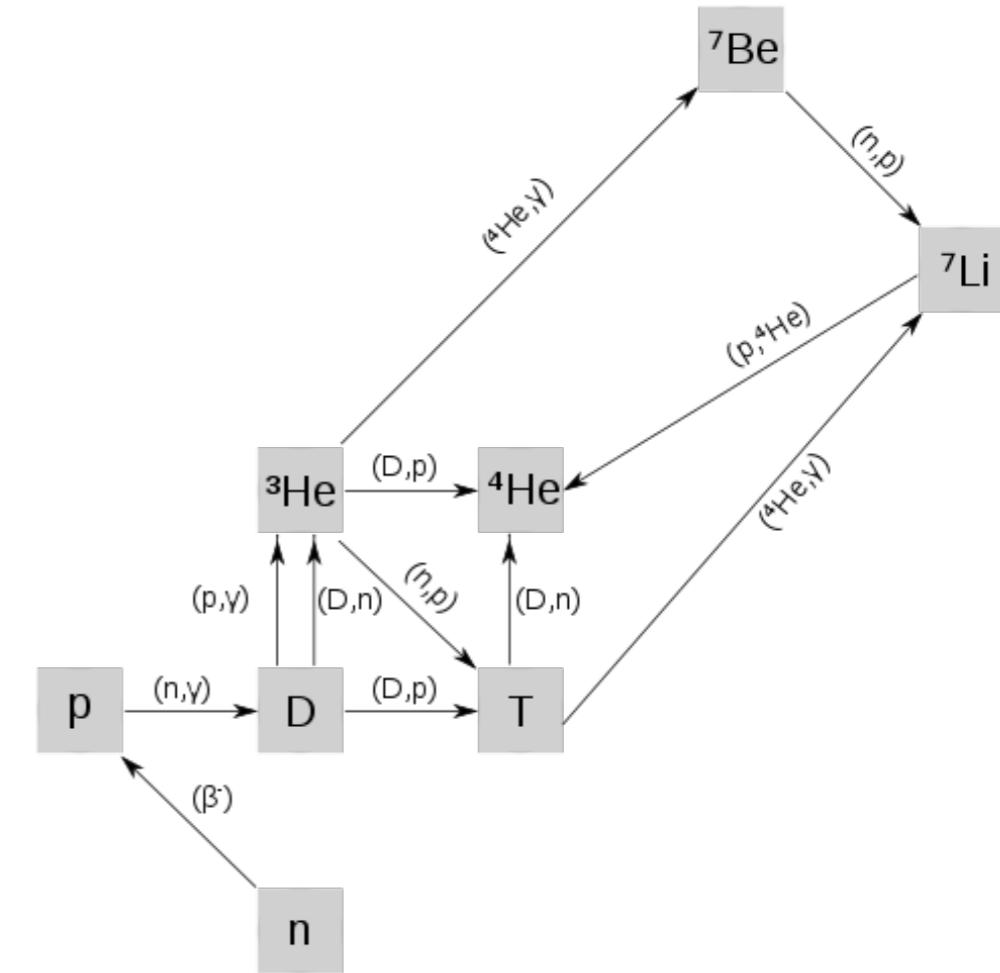
# The MeV age

## Neutrino decoupling

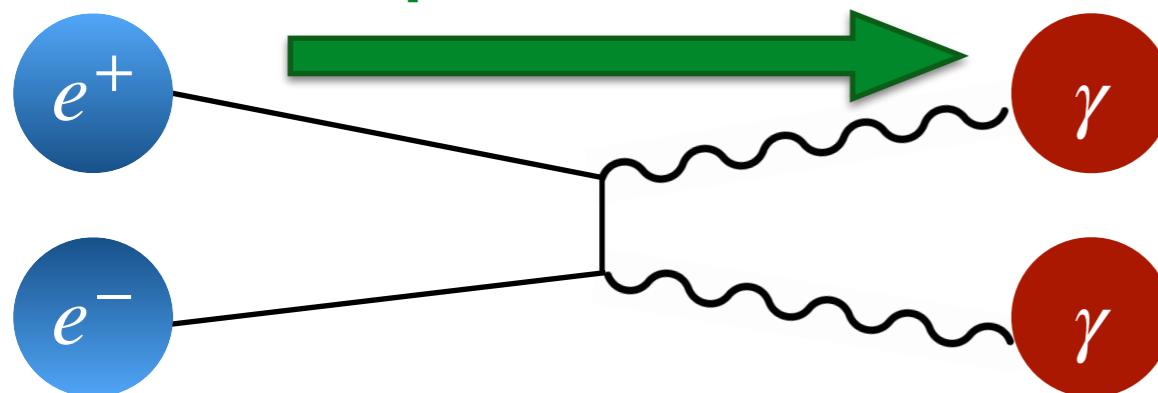


$T \sim 1 \text{ MeV}$

## Big Bang Nucleosynthesis



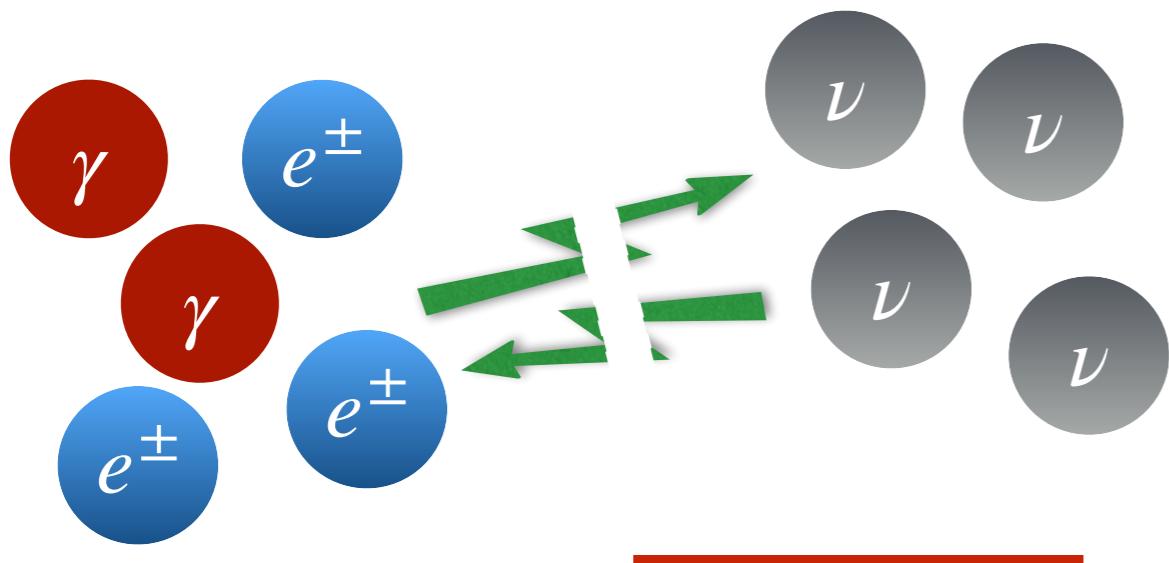
## Electron/positron annihilation



$T \sim 0.511 \text{ MeV}$

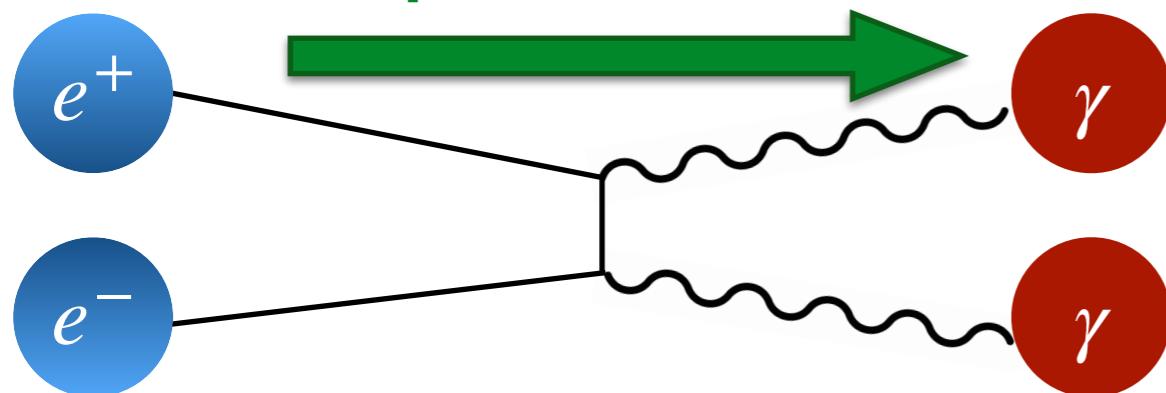
# The MeV age

## Neutrino decoupling



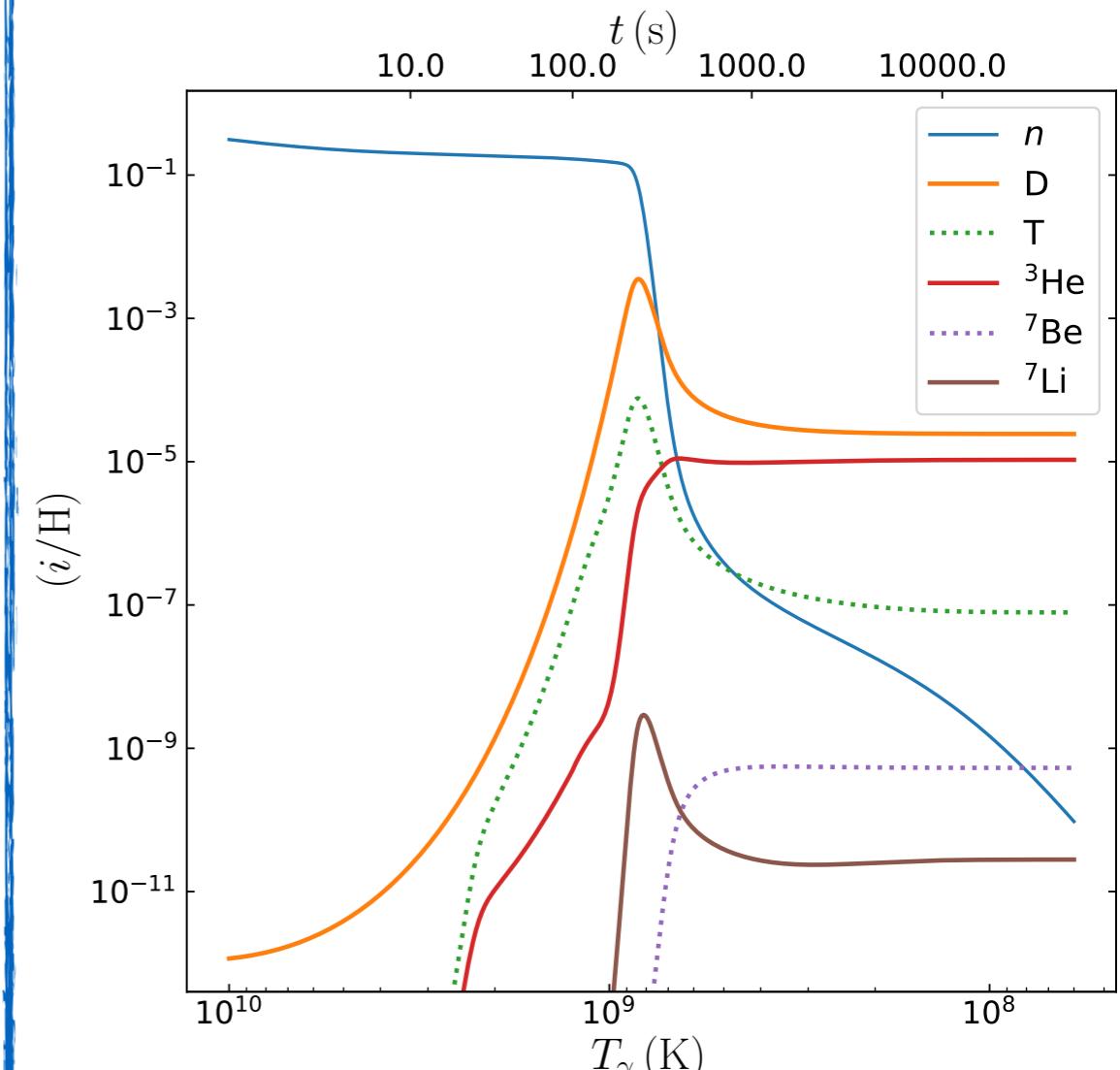
$T \sim 1 \text{ MeV}$

## Electron/positron annihilation



$T \sim 0.511 \text{ MeV}$

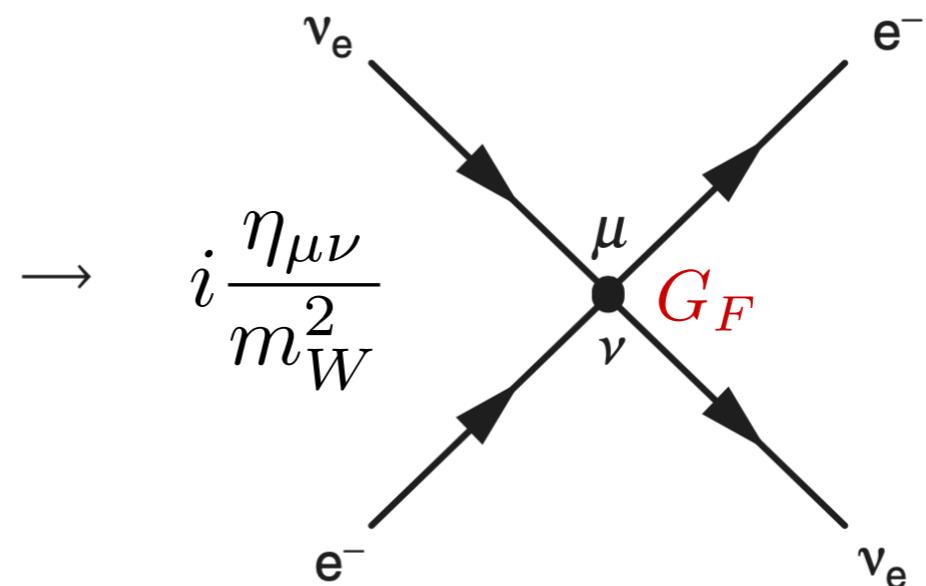
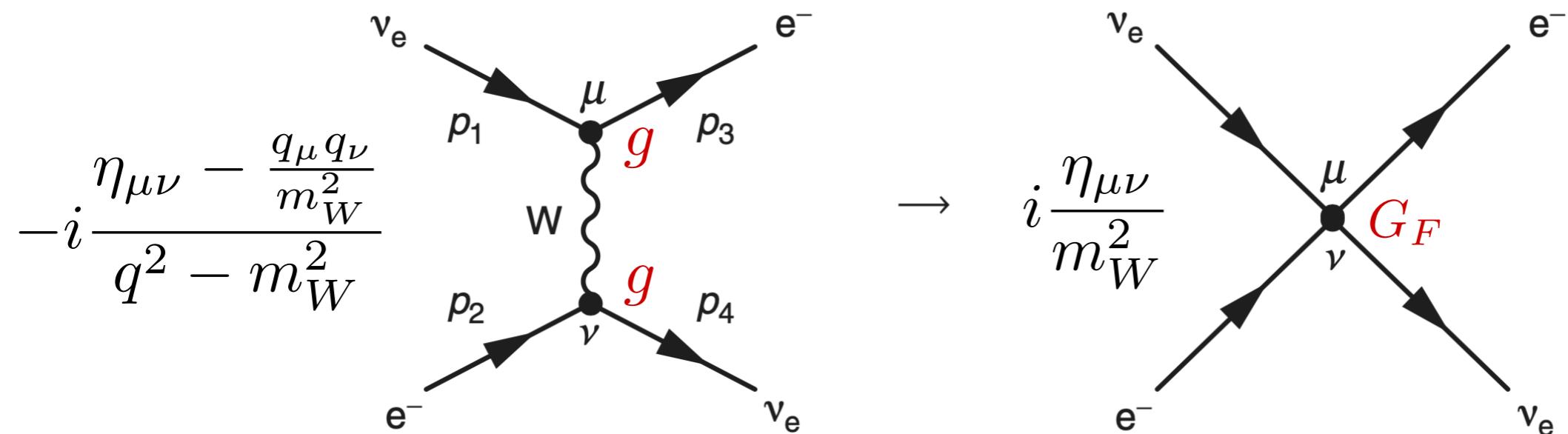
## Big Bang Nucleosynthesis



$T \sim 0.1 \text{ MeV}$

# Instantaneous neutrino decoupling

- Weak interactions : low energy 4-Fermi theory



$$G_F \simeq 1.17 \times 10^{-5} \text{ GeV}^{-2}$$

- Decoupling temperature

$$\frac{\Gamma}{H} = \frac{G_F^2 T^5}{T^2/m_{Pl}} \simeq \left( \frac{T}{1 \text{ MeV}} \right)^3$$

# Entropy conservation - Instantaneous decoupling

- Entropy density

$$s = \frac{\rho + P}{T}$$

$d(sa^3) = 0$  for all species in equilibrium

- Separate entropy conservation  $d(sa^3) = 0$ :  $\{\nu, \bar{\nu}\}$  and  $\{\gamma, e^\pm\}$

$$s_\nu = 2 \times 3 \times \frac{7}{8} \times \frac{2\pi^2}{45} T_\nu^3$$

$$\Rightarrow T_\nu \propto a^{-1}$$

# Entropy conservation - Instantaneous decoupling

- Entropy density

$$s = \frac{\rho + P}{T}$$

$$d(sa^3) = 0 \text{ for all species in equilibrium}$$

- Separate entropy conservation  $d(sa^3) = 0$ :  $\{\nu, \bar{\nu}\}$  and  $\{\gamma, e^\pm\}$

$$s_\nu = 2 \times 3 \times \left(\frac{7}{8}\right) \times \frac{2\pi^2}{45} T_\nu^3$$

$$\Rightarrow T_\nu \propto a^{-1}$$

neutrinos +  $e, \mu, \tau$  fermions  
antineutrinos

- Electromagnetic plasma

$$s_{\text{pl}} = g_{*s}(T_\gamma) \frac{2\pi^2}{45} T_\gamma^3$$

$$g_{*s}(T_\gamma \gg m_e) = 2 + 2 \times 2 \times \frac{7}{8} = \frac{11}{2}$$

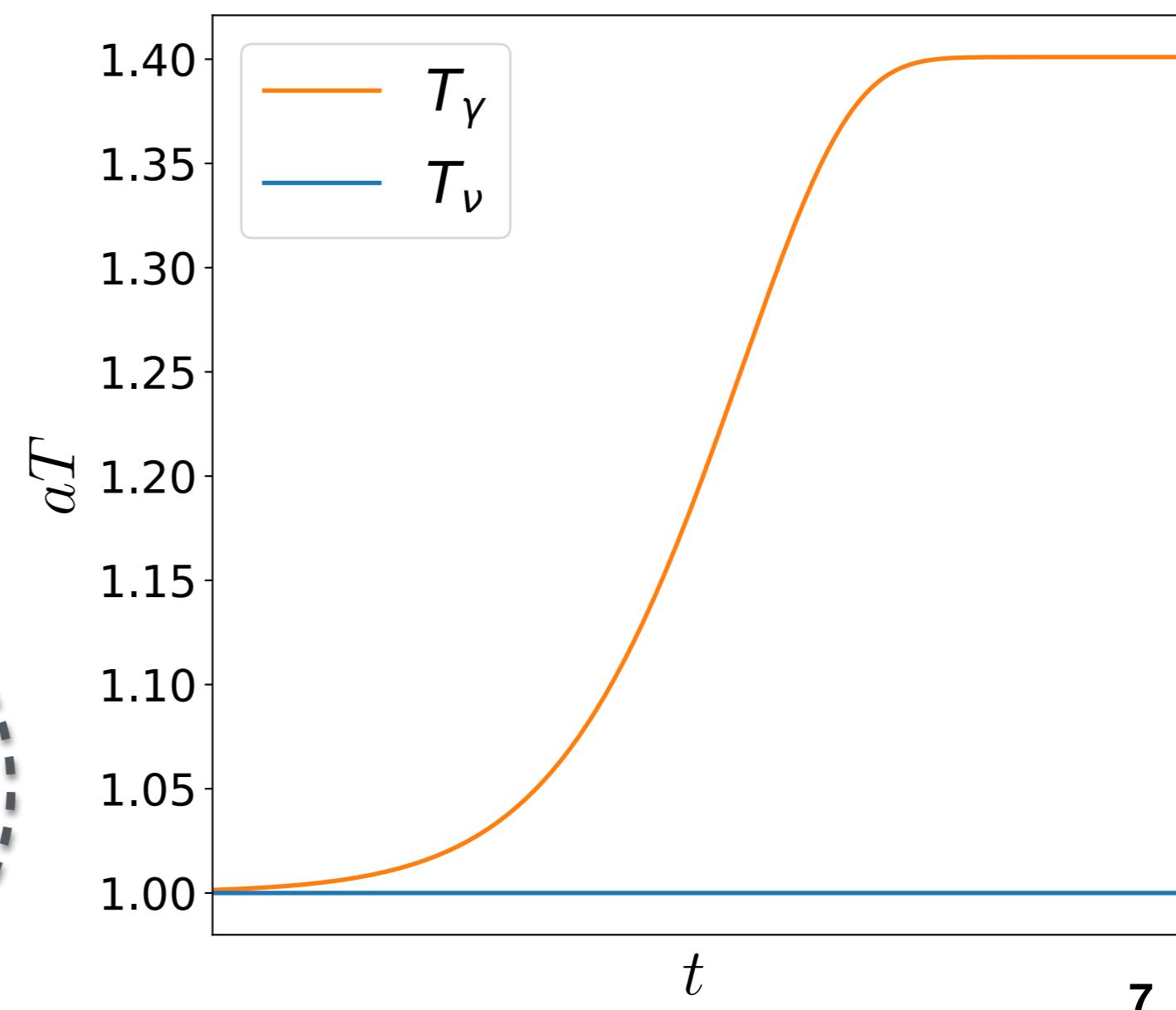
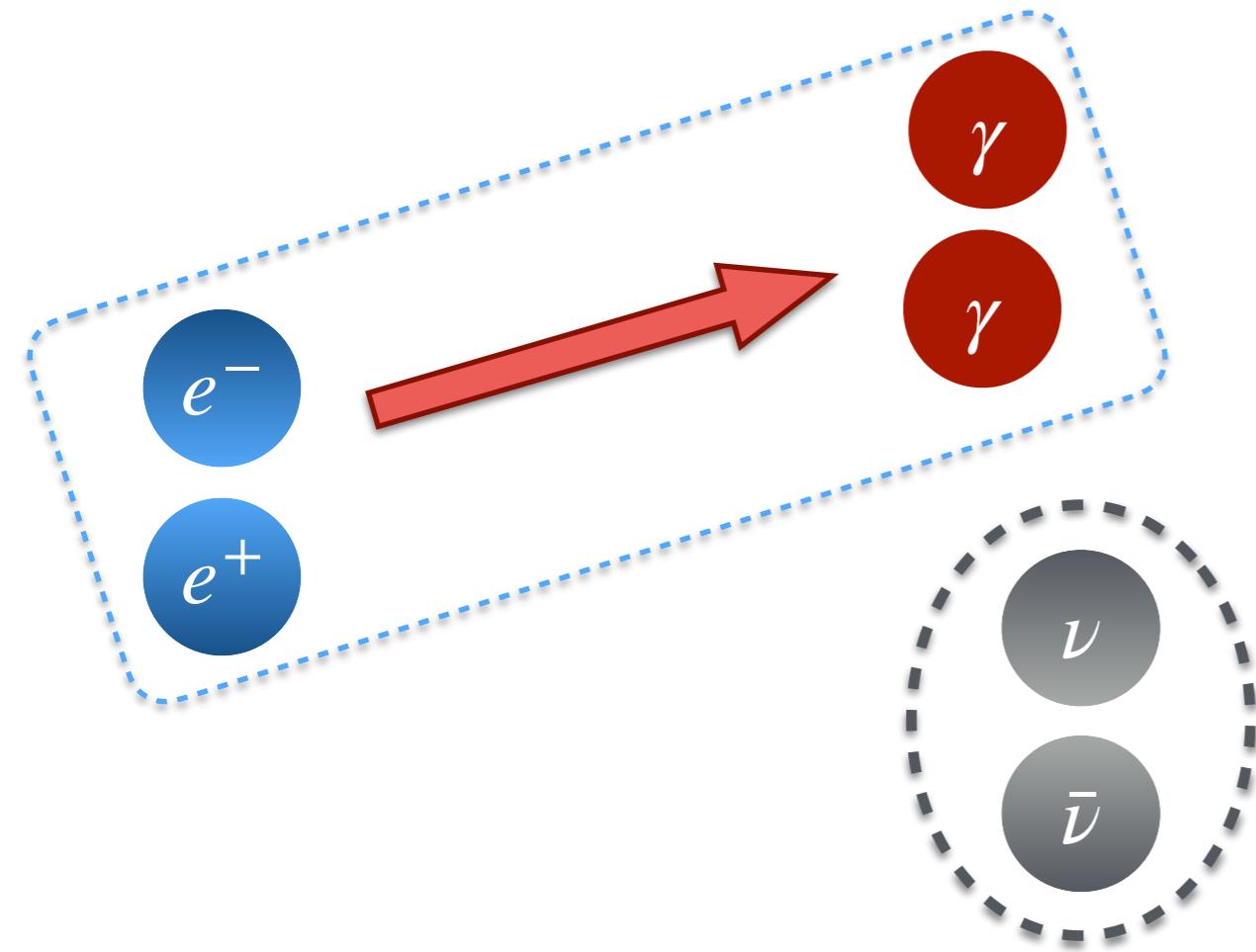
$$g_{*s}(T_\gamma \ll m_e) = 2$$

In the instantaneous decoupling approximation,

$$\left( \frac{T_\gamma}{T_\nu} \right)_{\text{today}} = \left( \frac{11}{4} \right)^{1/3} \simeq 1.40102$$

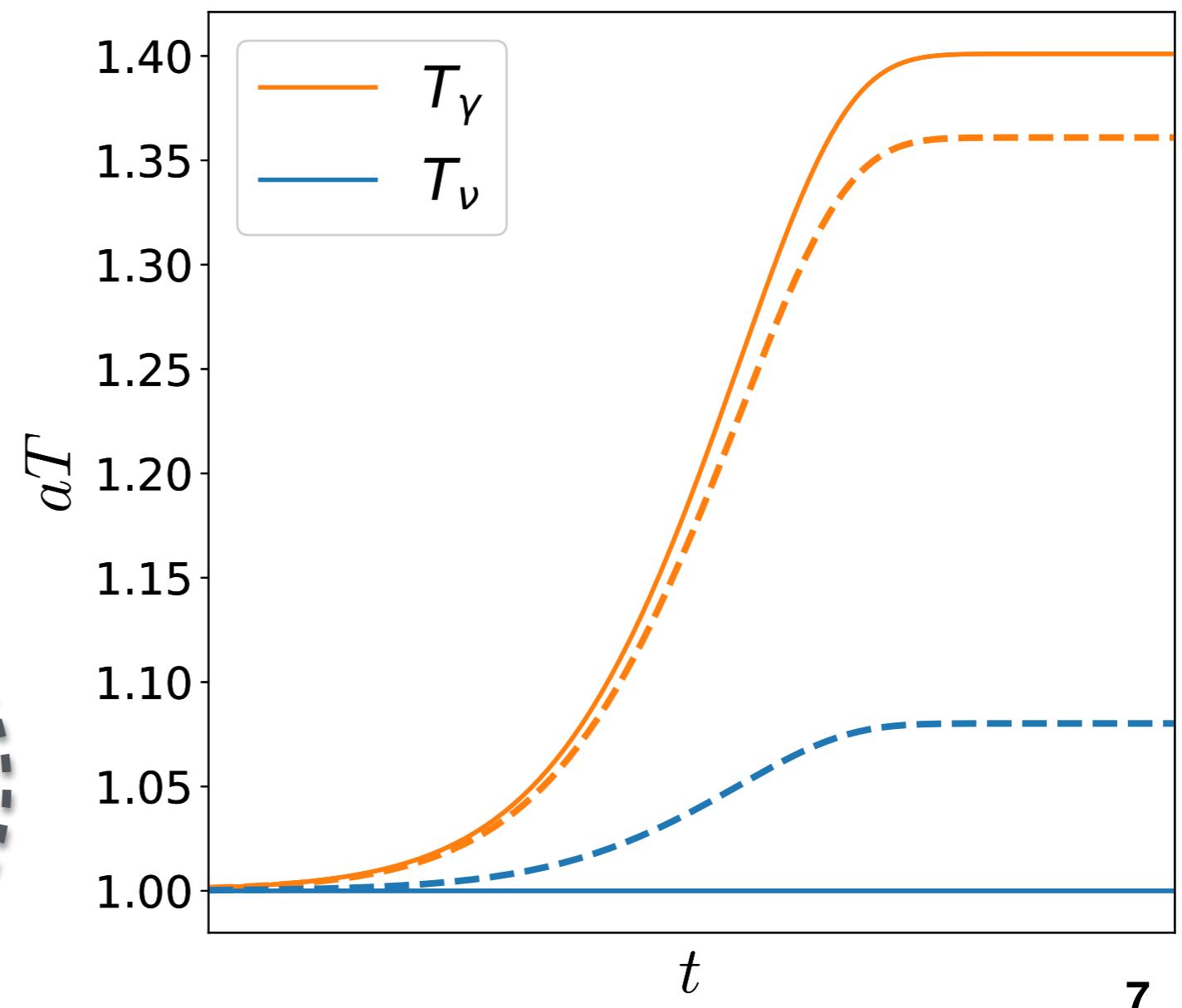
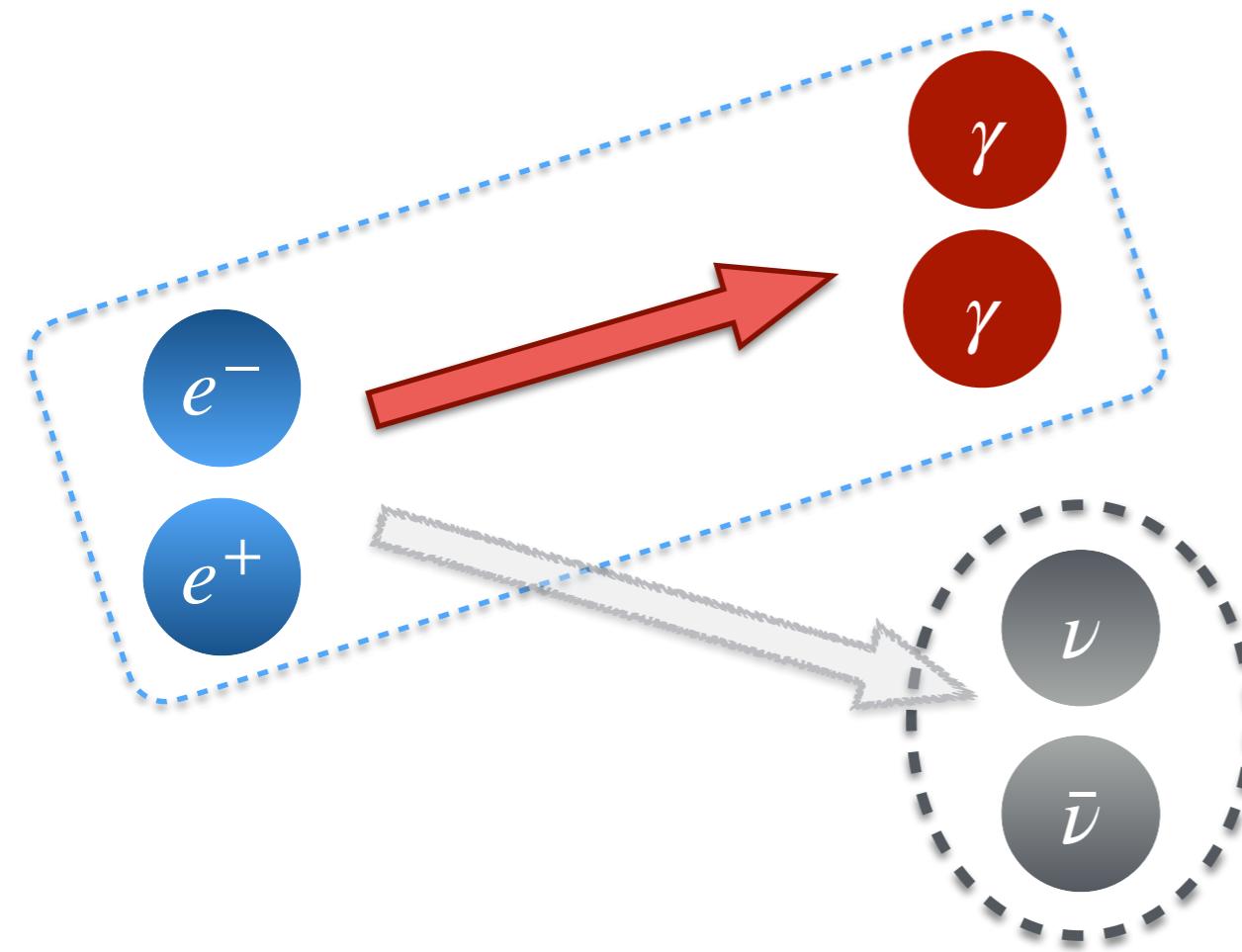
# Beyond the instantaneous decoupling approximation

- Overlap between decoupling and  $e^\pm$  annihilations



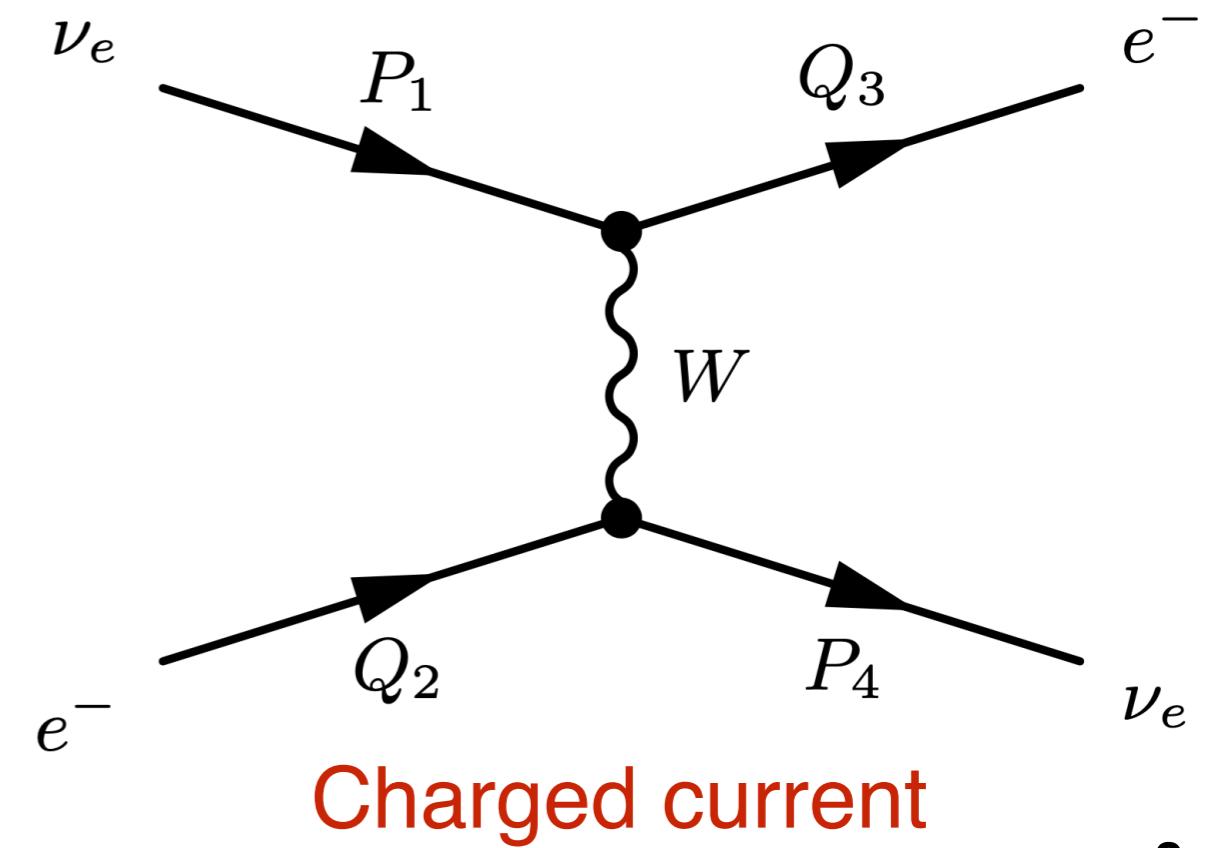
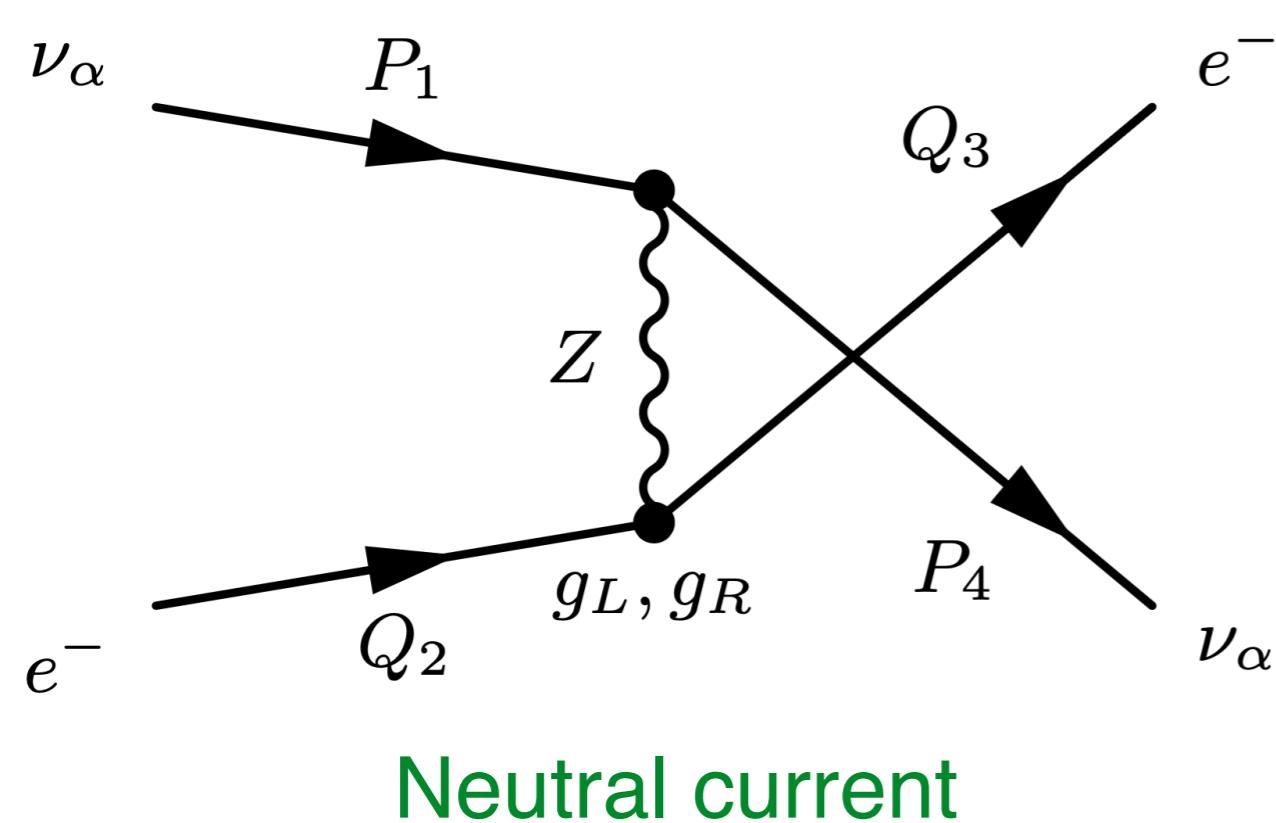
# Beyond the instantaneous decoupling approximation

- Overlap between decoupling and  $e^\pm$  annihilations  
 $\implies$  smaller  $T_\gamma$  and increased  $T_\nu$



# Beyond the instantaneous decoupling approximation

- Overlap between decoupling and  $e^\pm$  annihilations  
 $\implies$  smaller  $T_\gamma$  and increased  $T_\nu$
- Different interactions of  $\nu_e$  and  $\nu_{\mu,\tau}$



# Beyond the instantaneous decoupling approximation

---

- Overlap between decoupling and  $e^\pm$  annihilations  
     $\implies$  smaller  $T_\gamma$  and increased  $T_\nu$
- Different interactions of  $\nu_e$  and  $\nu_{\mu,\tau}$   
     $\implies$  later decoupling for  $\nu_e$  + higher energy transfer

# Beyond the instantaneous decoupling approximation

---

- Overlap between decoupling and  $e^\pm$  annihilations  
     $\implies$  smaller  $T_\gamma$  and increased  $T_\nu$
- Different interactions of  $\nu_e$  and  $\nu_{\mu,\tau}$   
     $\implies$  later decoupling for  $\nu_e$  + higher energy transfer
- More energetic neutrinos remain in thermal contact longer  
     $\implies$  spectral distortions

# Beyond the instantaneous decoupling approximation

- Overlap between decoupling and  $e^\pm$  annihilations  
     $\implies$  smaller  $T_\gamma$  and increased  $T_\nu$
- Different interactions of  $\nu_e$  and  $\nu_{\mu,\tau}$   
     $\implies$  later decoupling for  $\nu_e$  + higher energy transfer
- More energetic neutrinos remain in thermal contact longer  
     $\implies$  spectral distortions

We need to numerically evolve the distribution functions

$$f_{\nu_e}(p, t) \neq f_{\nu_{\mu,\tau}}(p, t) \neq f_{\text{Fermi-Dirac}}$$

# Neutrino decoupling - standard calculations

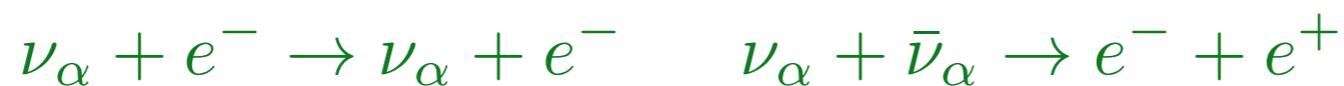
- Homogeneous and isotropic cosmology

$$ds^2 = -dt^2 + a^2(t) [dr^2 + r^2 d\Omega^2] \quad H \equiv \frac{\dot{a}}{a}$$

⇒ Distribution function  $f(\vec{r}, \vec{p}, t) = f(p, t)$

- Boltzmann equation + energy conservation equation

$$\left[ \frac{\partial}{\partial t} - H p \frac{\partial}{\partial p} \right] f = \mathcal{C}[f] \quad \dot{\rho} + 3H(\rho + P) = 0$$

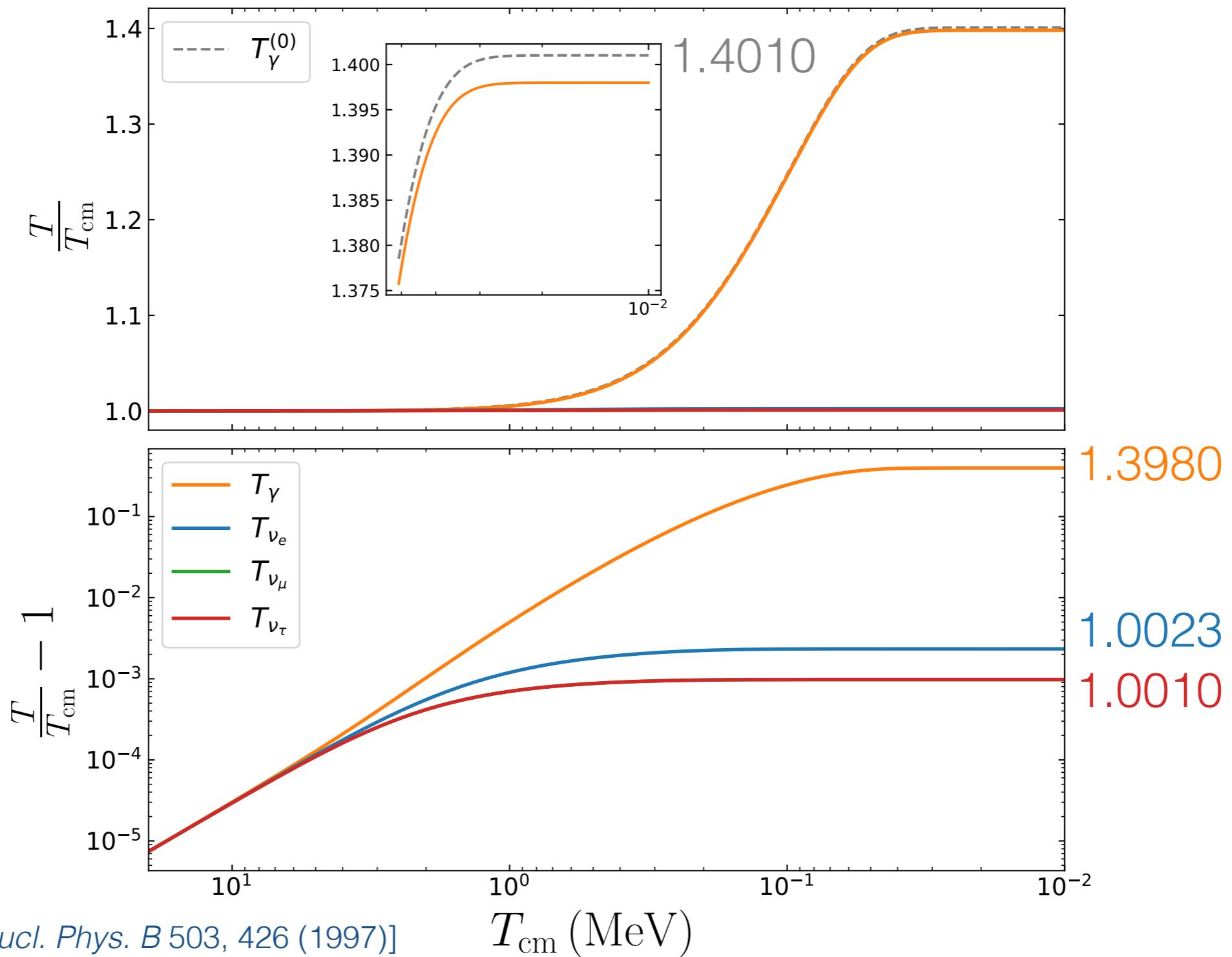


# Neutrino decoupling - standard calculations

---

- Use  $T_{\text{cm}} = T_\nu^{(0)} \propto a^{-1}$  as the integration variable.
- Parametrization  $f_{\nu_\alpha}(p, t) \equiv \frac{1}{e^{p/T_{\nu_\alpha}} + 1} [1 + \delta g_{\nu_\alpha}(p, t)]$   
$$\rho_{\nu_\alpha} \equiv \frac{7}{8} \frac{\pi^2}{30} T_{\nu_\alpha}^4$$
- Initially ( $T_{\text{cm}}^{(\text{in})} = 20$  MeV), all species are coupled  
$$f_{\nu_\alpha}^{(\text{in})}(p, t) = \frac{1}{e^{p/T_\gamma^{(\text{in})}} + 1}$$

# Neutrino decoupling - standard calculations



[A. Dolgov et al., *Nucl. Phys. B* 503, 426 (1997)]

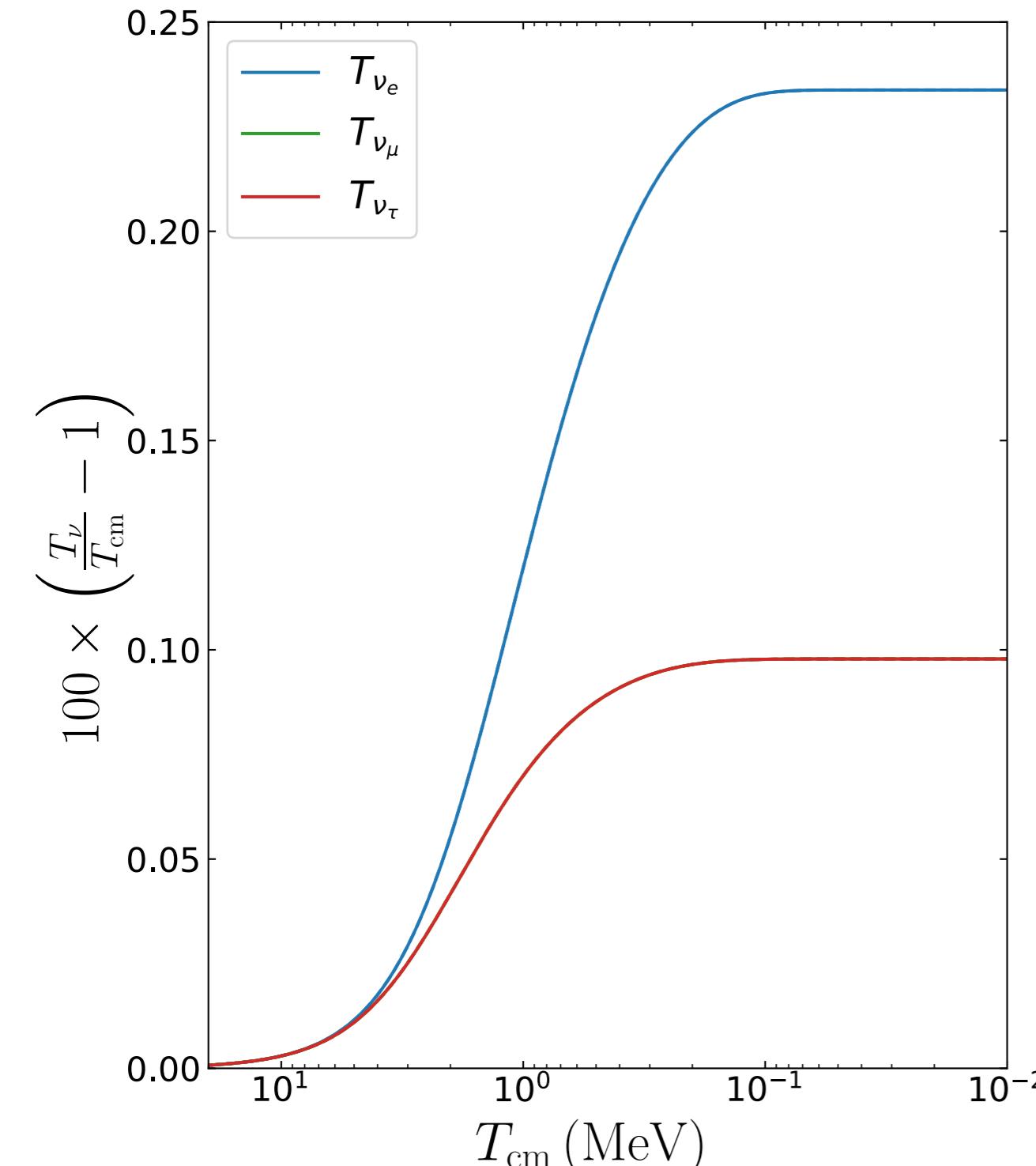
[S. Esposito et al., *Nucl. Phys. B* 590, 539 (2000)]

[G. Mangano et al., *Phys. Lett. B* 534, 8 (2002)]

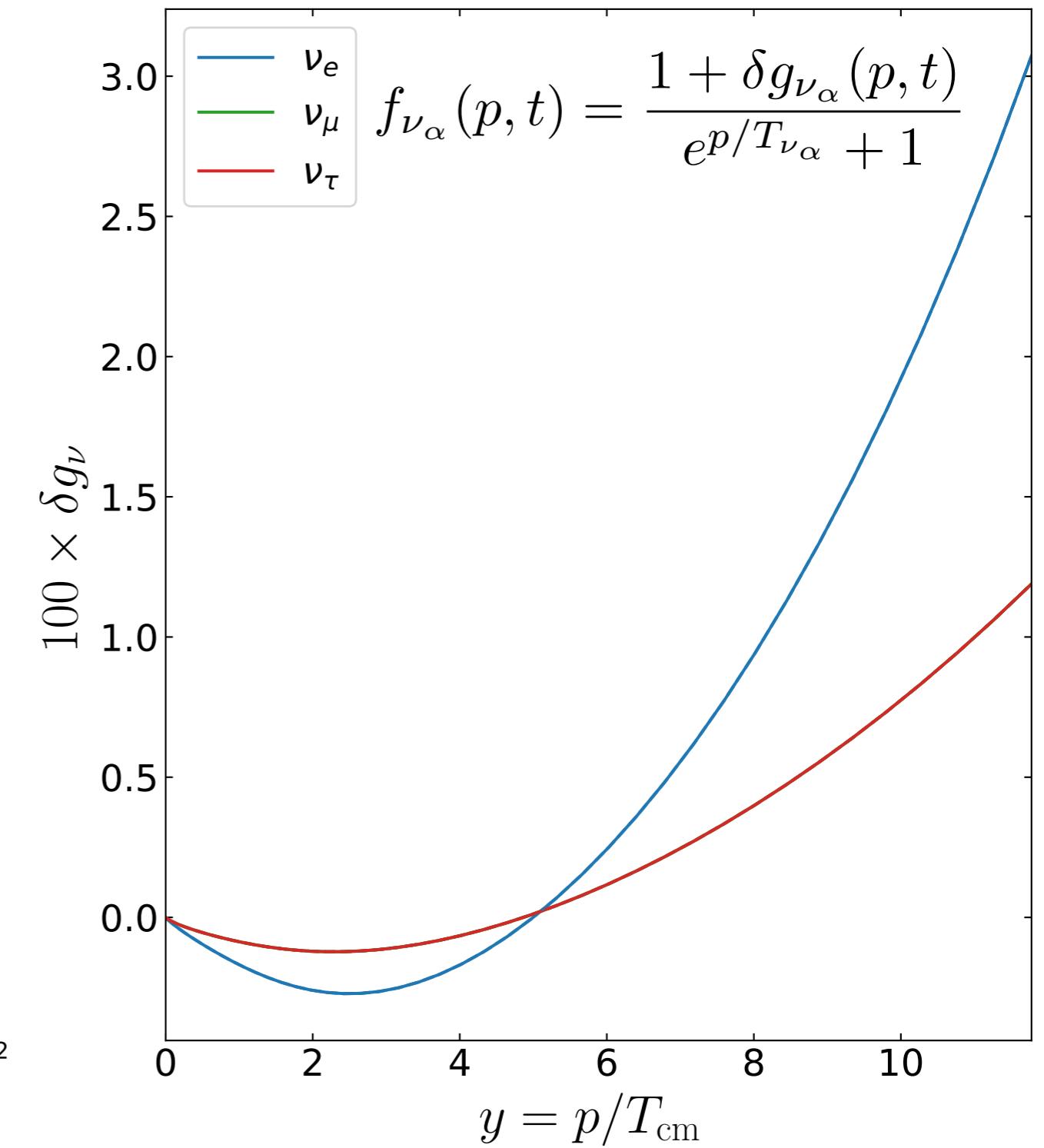
[E. Grohs et al., *Phys. Rev. D* 93, 083522 (2016)]

[**JF**, C. Pitrou, *Phys. Rev. D* 101, 043524 (2020)]

# Neutrino decoupling - standard calculations



Effective temperatures



Effective distortions

# Effective number of neutrinos $N_{\text{eff}}$

---

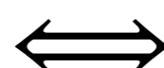
Increased energy  
density of neutrinos



$N_{\text{eff}} > 3$  species of neutrinos  
that instantaneously decouple

# Effective number of neutrinos $N_{\text{eff}}$

Increased energy density of neutrinos



$N_{\text{eff}} > 3$  species of neutrinos that instantaneously decouple

$$\rho_{\nu}^{(0)} = 2 \times \frac{7}{8} \times \frac{\pi^2}{30} \times 3 \times \left(\frac{4}{11}\right)^{4/3} T_{\gamma}^4$$

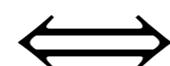
Instantaneous decoupling

$$\rho_{\gamma} = 2 \times \frac{\pi^2}{30} \times T_{\gamma}^4$$

$$\Rightarrow \rho_{\nu}^{(0)} = \frac{7}{8} \left(\frac{4}{11}\right)^{4/3} \times 3 \times \rho_{\gamma}$$

# Effective number of neutrinos $N_{\text{eff}}$

Increased energy density of neutrinos



$N_{\text{eff}} > 3$  species of neutrinos that instantaneously decouple

$$\rho_{\nu}^{(0)} = \textcircled{2} \times \textcircled{\frac{7}{8}} \times \frac{\pi^2}{30} \times \textcircled{3} \times \left( \frac{4}{11} \right)^{4/3} T_{\gamma}^4$$

neutrinos + antineutrinos      fermions       $e, \mu, \tau$

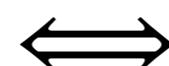
$$\text{d.o.f.s} \quad \rho_{\gamma} = \textcircled{2} \times \frac{\pi^2}{30} \times T_{\gamma}^4$$

Instantaneous decoupling

$$\Rightarrow \rho_{\nu}^{(0)} = \frac{7}{8} \left( \frac{4}{11} \right)^{4/3} \times 3 \times \rho_{\gamma}$$

# Effective number of neutrinos $N_{\text{eff}}$

Increased energy density of neutrinos



$N_{\text{eff}} > 3$  species of neutrinos that instantaneously decouple

$$\rho_\nu^{(0)} = \cancel{2} \times \cancel{\left(\frac{7}{8}\right)} \times \frac{\pi^2}{30} \times \cancel{3} \times \left(\frac{4}{11}\right)^{4/3} T_\gamma^4$$

neutrinos + antineutrinos      fermions       $e, \mu, \tau$

$T_{\text{cm}}^4$

$\Rightarrow \rho_\nu^{(0)} = \frac{7}{8} \left(\frac{4}{11}\right)^{4/3} \times 3 \times \rho_\gamma$

Instantaneous decoupling

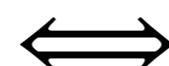
$$\rho_\nu = 2 \times \frac{7}{8} \times \frac{\pi^2}{30} \left( T_{\nu_e}^4 + T_{\nu_\mu}^4 + T_{\nu_\tau}^4 \right)$$

Incomplete decoupling

$$\Rightarrow \rho_\nu = \frac{7}{8} \left(\frac{4}{11}\right)^{4/3} \times N_{\text{eff}} \times \rho_\gamma$$

# Effective number of neutrinos $N_{\text{eff}}$

Increased energy density of neutrinos



$N_{\text{eff}} > 3$  species of neutrinos that instantaneously decouple

$$\rho_{\nu}^{(0)} = \cancel{2} \times \cancel{\left(\frac{7}{8}\right)} \times \frac{\pi^2}{30} \times \cancel{3} \times \left(\frac{4}{11}\right)^{4/3} T_{\gamma}^4$$

neutrinos + antineutrinos      fermions       $e, \mu, \tau$

$T_{\text{cm}}^4$

$\Rightarrow \rho_{\nu}^{(0)} = \frac{7}{8} \left(\frac{4}{11}\right)^{4/3} \times 3 \times \rho_{\gamma}$

Instantaneous decoupling

$$\rho_{\nu} = 2 \times \frac{7}{8} \times \frac{\pi^2}{30} \left( T_{\nu_e}^4 + T_{\nu_{\mu}}^4 + T_{\nu_{\tau}}^4 \right)$$

Incomplete decoupling

$N_{\text{eff}} \simeq 3.0434$

Planck  
 $N_{\text{eff}} = 2.99 \pm 0.17 \text{ (68\%)}$

$$= \frac{7}{8} \left(\frac{4}{11}\right)^{4/3} \times N_{\text{eff}} \times \rho_{\gamma}$$

# Towards a precision calculation

---

Physical phenomena to take into account:

- Boltzmann equation with collisions ✓
- Proper distributions (Fermi-Dirac) ✓
- Neutrino masses and mixings
- ...

# Towards a precision calculation

---

Physical phenomena to take into account:

- Boltzmann equation with collisions ✓ ✗
- Proper distributions (Fermi-Dirac) ✓
- Neutrino masses and mixings ✗
- ...

[G. Mangano et al., *Nucl. Phys. B* 729, 221 (2005)]  
[P.F. de Salas, S. Pastor, *JCAP* 07, 051 (2016)]  
[K. Akita, M. Yamaguchi, *JCAP* 08, 012 (2020)]

# Outline

---

1. Neutrino evolution with mixing:  
Quantum Kinetic Equations
2. Results for neutrino decoupling

# Outline

---

1. Neutrino evolution with mixing:  
Quantum Kinetic Equations
2. Results for neutrino decoupling

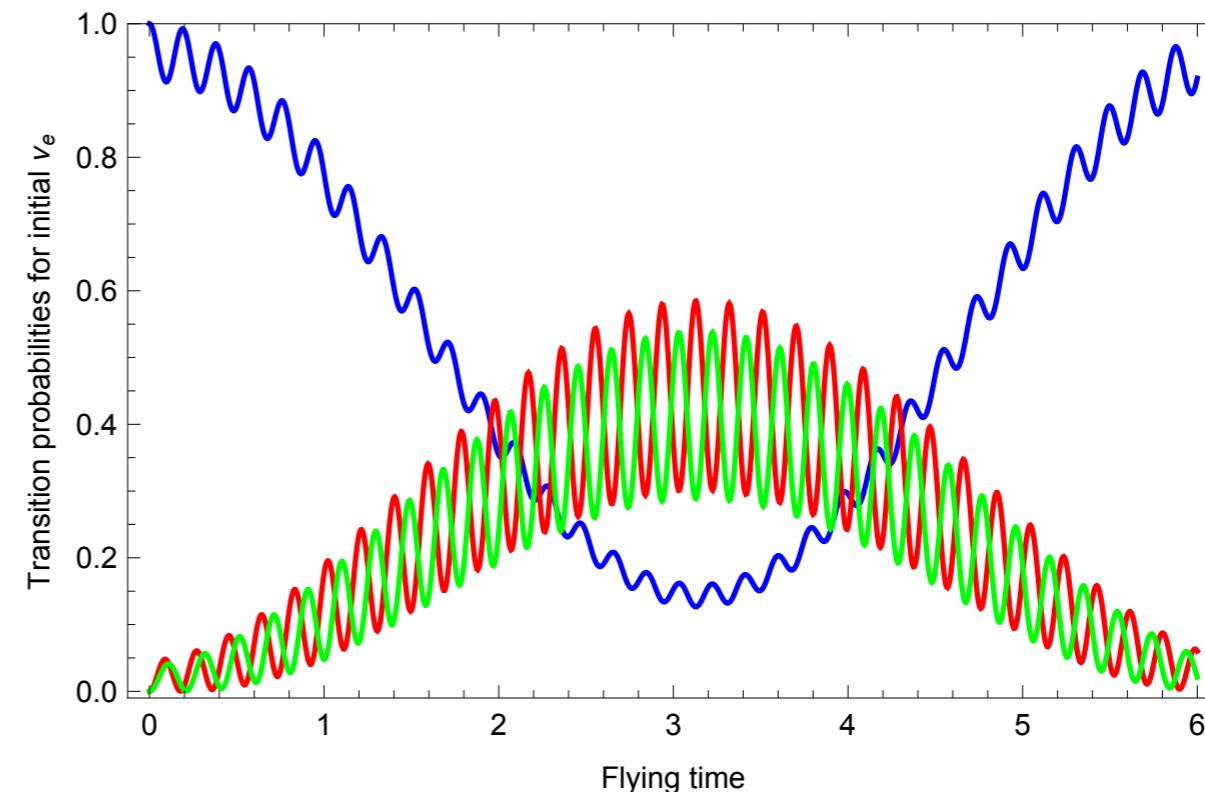
# Massive neutrinos (1)

- Standard model: 3 species of massless neutrinos  $\nu_L$
- Homestake experiment, Solar Neutrino Problem...  
→ massive neutrinos

Flavor states  $\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$  Mass states

PMNS mixing matrix

⇒ neutrino oscillations



# Massive neutrinos (2)

- Parametrization of the PMNS matrix (no CP violating phase)

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix} \cdot \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} \\ 0 & 1 & 0 \\ -\sin \theta_{13} & 0 & \cos \theta_{13} \end{pmatrix} \cdot \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$
$$= \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13} & c_{12}c_{23} - s_{12}s_{23}s_{13} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13} & -c_{12}s_{23} - s_{12}c_{23}s_{13} & c_{23}c_{13} \end{pmatrix}$$

- Mixing angles

$$\sin^2 \theta_{12} \simeq 0.307 \quad , \quad \sin^2 \theta_{23} \simeq 0.545 \quad , \quad \sin^2 \theta_{13} \simeq 0.0218$$

[Particle Data Group (2020)]

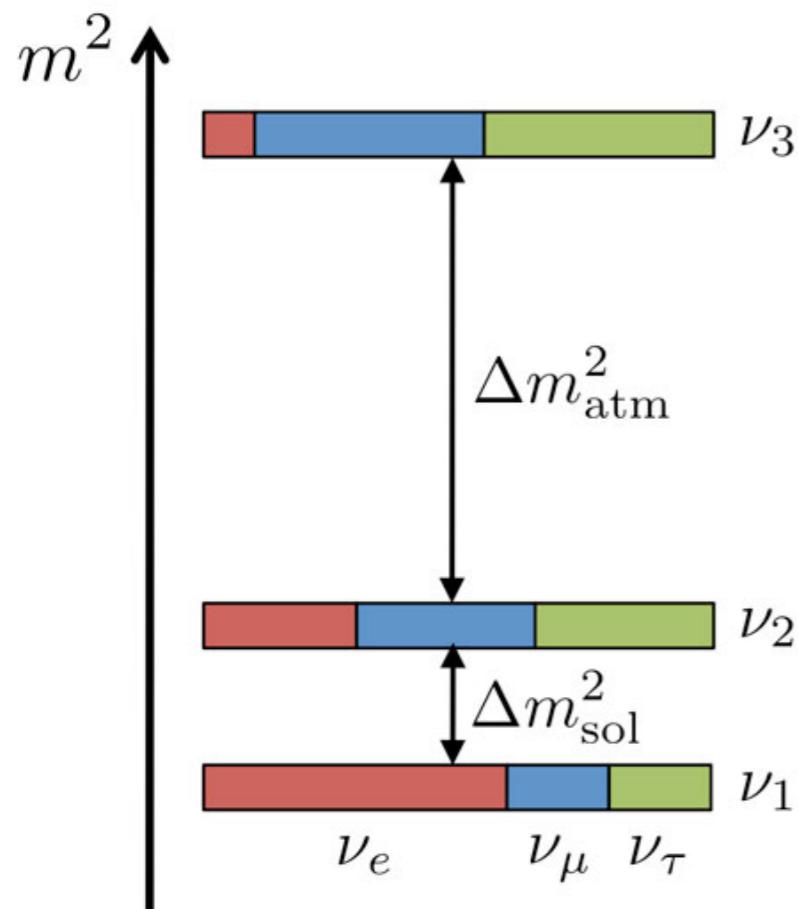
# Massive neutrinos (3)

- Neutrino mass hierarchy

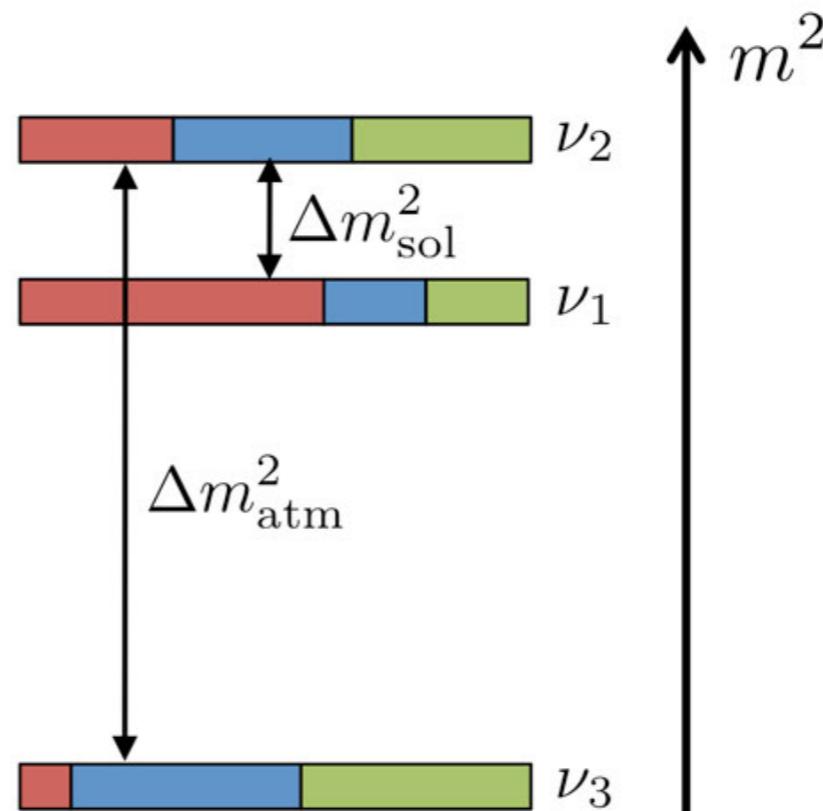
PLANCK

$$\sum m_\nu < 0.12 \text{ eV}$$

**normal hierarchy (NH)**



**inverted hierarchy (IH)**



$\nu_e$

$\nu_\mu$

$\nu_\tau$

Credits: JUNO collaboration

$$\Delta m_{21}^2 = m_{\nu_2}^2 - m_{\nu_1}^2 = 7.53 \times 10^{-5} \text{ eV}^2$$

$$\Delta m_{32}^2 = m_{\nu_3}^2 - m_{\nu_2}^2 = \pm 2.45 \times 10^{-3} \text{ eV}^2$$

# Massive neutrinos (4)

- Flavor mixing → the distribution functions  $f_{\nu_\alpha}$  are not sufficient to describe the neutrino ensemble

$$\begin{pmatrix} f_{\nu_e} & & \\ & f_{\nu_\mu} & \\ & & f_{\nu_\tau} \end{pmatrix} \rightarrow \begin{pmatrix} \langle \hat{a}_{\nu_e}^\dagger \hat{a}_{\nu_e} \rangle & \langle \hat{a}_{\nu_\mu}^\dagger \hat{a}_{\nu_e} \rangle & \langle \hat{a}_{\nu_\tau}^\dagger \hat{a}_{\nu_e} \rangle \\ \langle \hat{a}_{\nu_e}^\dagger \hat{a}_{\nu_\mu} \rangle & \langle \hat{a}_{\nu_\mu}^\dagger \hat{a}_{\nu_\mu} \rangle & \langle \hat{a}_{\nu_\tau}^\dagger \hat{a}_{\nu_\mu} \rangle \\ \langle \hat{a}_{\nu_\tau}^\dagger \hat{a}_{\nu_e} \rangle & \langle \hat{a}_{\nu_\mu}^\dagger \hat{a}_{\nu_\tau} \rangle & \langle \hat{a}_{\nu_\tau}^\dagger \hat{a}_{\nu_\tau} \rangle \end{pmatrix}$$

⇒ Density matrix description

**Which evolution equation?** → generalization of Boltzmann equation

# Extended BBGKY formalism

- Central object:  $s$ -body reduced density matrix

$$\varrho_{j_1 \dots j_s}^{i_1 \dots i_s} \equiv \langle \hat{a}_{j_s}^\dagger \cdots \hat{a}_{j_1}^\dagger \hat{a}_{i_1} \cdots \hat{a}_{i_s} \rangle$$

In particular, one-body density matrix  $\varrho_j^i \equiv \langle \hat{a}_j^\dagger \hat{a}_i \rangle$

$$\left( \varrho_{\phi_j(\vec{p}_j, h_j)}^{\phi_i(\vec{p}_i, h_i)} = \langle \hat{a}_{\phi_j}^\dagger(\vec{p}_j, h_j) \hat{a}_{\phi_i}(\vec{p}_i, h_i) \rangle \right) \quad \text{species, momentum, helicity}$$

- Hamiltonian (second quantization)

$$\hat{H} = \hat{H}_0 + \hat{H}_{\text{int}} = \sum_{i,j} t_j^i \hat{a}_i^\dagger \hat{a}_j + \frac{1}{4} \sum_{i,j,k,l} \tilde{v}_{jl}^{ik} \hat{a}_i^\dagger \hat{a}_k^\dagger \hat{a}_l \hat{a}_j$$

Kinetic term

Two-body interactions

# Extended BBGKY formalism

- BBGKY hierarchy

Ehrenfest theorem

$$i \frac{d\langle \hat{a}_j^\dagger \hat{a}_i \rangle}{dt} = \langle [\hat{a}_j^\dagger \hat{a}_i, \hat{H}] \rangle$$

$$\begin{cases} i \frac{d\varrho_j^i}{dt} = (t_k^i \varrho_j^k - \varrho_k^i t_j^k) + \frac{1}{2} (\tilde{v}_{ml}^{ik} \varrho_{jk}^{ml} - \varrho_{ml}^{ik} \tilde{v}_{jk}^{ml}) \\ i \frac{d\varrho_{jl}^{ik}}{dt} = \left( t_r^i \varrho_{jl}^{rk} + t_p^k \varrho_{jl}^{ip} + \frac{1}{2} \tilde{v}_{rp}^{ik} \varrho_{jl}^{rp} - \varrho_{rl}^{ik} t_j^r - \varrho_{jp}^{ik} t_l^p - \frac{1}{2} \varrho_{rp}^{ik} \tilde{v}_{jl}^{rp} \right) \\ \quad + \frac{1}{2} \left( \tilde{v}_{rn}^{im} \varrho_{jlm}^{rkn} + \tilde{v}_{pn}^{km} \varrho_{jlm}^{ipn} - \varrho_{rln}^{ikm} \tilde{v}_{jm}^{rn} - \varrho_{jpn}^{ikm} \tilde{v}_{lm}^{pn} \right) \end{cases}$$

1-body density matrix

2-body density matrix

3-body density matrix

Need to truncate this hierarchy  $\implies$  Hartree-Fock (mean-field), ...

# Extended BBGKY formalism

- BBGKY hierarchy

**Ehrenfest theorem**

$$i \frac{d\langle \hat{a}_j^\dagger \hat{a}_i \rangle}{dt} = \langle [\hat{a}_j^\dagger \hat{a}_i, \hat{H}] \rangle$$

$$\begin{cases} i \frac{d\varrho_j^i}{dt} = (t_k^i \varrho_j^k - \varrho_k^i t_j^k) + \frac{1}{2} (\tilde{v}_{ml}^{ik} \varrho_{jk}^{ml} - \varrho_{ml}^{ik} \tilde{v}_{jk}^{ml}) \\ i \frac{d\varrho_{jl}^{ik}}{dt} = \left( t_r^i \varrho_{jl}^{rk} + t_p^k \varrho_{jl}^{ip} + \frac{1}{2} \tilde{v}_{rp}^{ik} \varrho_{jl}^{rp} - \varrho_{rl}^{ik} t_j^r - \varrho_{jp}^{ik} t_l^p - \frac{1}{2} \varrho_{rp}^{ik} \tilde{v}_{jl}^{rp} \right) \\ \quad + \frac{1}{2} \left( \tilde{v}_{rn}^{im} \varrho_{jlm}^{rkn} + \tilde{v}_{pn}^{km} \varrho_{jlm}^{ipn} - \varrho_{rln}^{ikm} \tilde{v}_{jm}^{rn} - \varrho_{jpn}^{ikm} \tilde{v}_{lm}^{pn} \right) \end{cases}$$

1-body density matrix

2-body density matrix

3-body density matrix

Need to truncate this hierarchy  $\implies$  Hartree-Fock (mean-field), ...

# Extended BBGKY formalism

---

- Correlated and uncorrelated contributions

$$\varrho_{jl}^{ik} \equiv 2\varrho_{[j}^i \varrho_{l]}^k + C_{jl}^{ik} \equiv \varrho_j^i \varrho_l^k - \varrho_l^i \varrho_j^k + C_{jl}^{ik}$$

# Extended BBGKY formalism

- Correlated and uncorrelated contributions

$$\varrho_{jl}^{ik} \equiv 2\varrho_{[j}^i \varrho_{l]}^k + C_{jl}^{ik} \equiv \varrho_j^i \varrho_l^k - \varrho_l^i \varrho_j^k + C_{jl}^{ik}$$

$$i \frac{d\varrho_j^i}{dt} = (t_k^i \varrho_j^k - \varrho_k^i t_j^k) + \frac{1}{2} (\tilde{v}_{ml}^{ik} \varrho_{jk}^{ml} - \varrho_{ml}^{ik} \tilde{v}_{jk}^{ml})$$

$$\implies i \frac{d\varrho_j^i}{dt} = ([t_k^i + \Gamma_{\mathbf{k}}^i] \varrho_j^k - \varrho_k^i [t_j^k + \Gamma_{\mathbf{j}}^k]) + \frac{1}{2} (\tilde{v}_{ml}^{ik} C_{jk}^{ml} - C_{ml}^{ik} \tilde{v}_{jk}^{ml})$$

Mean-field potential

$$\boxed{\Gamma_j^i = \sum_{k,l} \tilde{v}_{jl}^{ik} \varrho_k^l}$$

# Extended BBGKY formalism

- Correlated and uncorrelated contributions

$$\varrho_{jl}^{ik} \equiv 2\varrho_{[j}^i \varrho_{l]}^k + C_{jl}^{ik} \equiv \varrho_j^i \varrho_l^k - \varrho_l^i \varrho_j^k + \cancel{\varrho_{jl}^{ik}}$$

$$i \frac{d\varrho_j^i}{dt} = (t_k^i \varrho_j^k - \varrho_k^i t_j^k) + \frac{1}{2} (\tilde{v}_{ml}^{ik} \varrho_{jk}^{ml} - \varrho_{ml}^{ik} \tilde{v}_{jk}^{ml})$$

$$\implies i \frac{d\varrho_j^i}{dt} = ([t_k^i + \Gamma_{\mathbf{k}}^i] \varrho_j^k - \varrho_k^i [t_j^k + \Gamma_{\mathbf{j}}^k]) + \frac{1}{2} (\tilde{v}_{ml}^{ik} \cancel{\varrho_{jk}^{ml}} - \cancel{\varrho_{ml}^{ik}} \tilde{v}_{jk}^{ml})$$

Mean-field potential

$$\boxed{\Gamma_j^i = \sum_{k,l} \tilde{v}_{jl}^{ik} \varrho_k^l}$$

- Simplest closure: Hartree-Fock (or *mean-field*) approximation  
but need to account for correlations due to two-body collisions...

# Extended BBGKY formalism

- Molecular chaos assumption* = correlations are built from collisions between uncorrelated particles

$$i \frac{dC_{jl}^{ik}}{dt} = \left[ t_r^i C_{jl}^{rk} + t_p^k C_{jl}^{ip} - C_{rl}^{ik} t_j^r - C_{jp}^{ik} t_l^p \right] \\ + (\hat{1} - \varrho)_r^i (\hat{1} - \varrho)_p^k \tilde{v}_{sq}^{rp} \varrho_j^s \varrho_l^q - \varrho_r^i \varrho_p^k \tilde{v}_{sq}^{rp} (\hat{1} - \varrho)_j^s (\hat{1} - \varrho)_l^q$$

↑  
Pauli-blocking factors

$$C_{jl}^{ik}(t) = \int_0^t (\dots) \rightarrow \frac{1}{2} \int_{-\infty}^{+\infty} (\dots)$$

Duration of one collision    ≪ Time scale of evolution of  $\varrho$

- Evolution equation

$$i \frac{d\varrho_j^i}{dt} = ([t_k^i + \Gamma_k^i] \varrho_j^k - \varrho_k^i [t_j^k + \Gamma_j^k]) + \frac{1}{2} (\tilde{v}_{ml}^{ik} C_{jk}^{ml} - C_{ml}^{ik} \tilde{v}_{jk}^{ml}) \\ = [\hat{t} + \hat{\Gamma}, \hat{\varrho}]_j^i + i \hat{\mathcal{C}}_j^i$$

# Quantum Kinetic Equation for neutrinos

$$i \frac{d\varrho_j^i}{dt} = \left[ \hat{t} + \hat{\Gamma}, \hat{\varrho} \right]_j^i + i \hat{\mathcal{C}}_j^i$$

$$\begin{aligned} \mathcal{C}_{i'_1}^{i_1} = & \frac{1}{4} \left( \tilde{v}_{i_3 i_4}^{i_1 i_2} \varrho_{j_3}^{i_3} \varrho_{j_4}^{i_4} \tilde{v}_{j_1 j_2}^{j_3 j_4} (\hat{1} - \varrho)_{i'_1}^{j_1} (\hat{1} - \varrho)_{i_2}^{j_2} - \tilde{v}_{i_3 i_4}^{i_1 i_2} (\hat{1} - \varrho)_{j_3}^{i_3} (\hat{1} - \varrho)_{j_4}^{i_4} \tilde{v}_{j_1 j_2}^{j_3 j_4} \varrho_{i'_1}^{j_1} \varrho_{i_2}^{j_2} \right. \\ & \left. + (\hat{1} - \varrho)_{j_1}^{i_1} (\hat{1} - \varrho)_{j_2}^{i_2} \tilde{v}_{j_3 j_4}^{j_1 j_2} \varrho_{i_3}^{j_3} \varrho_{i_4}^{j_4} \tilde{v}_{i'_1 i_2}^{i_3 i_4} - \varrho_{j_1}^{i_1} \varrho_{j_2}^{i_2} \tilde{v}_{j_3 j_4}^{j_1 j_2} (\hat{1} - \varrho)_{i_3}^{j_3} (\hat{1} - \varrho)_{i_4}^{j_4} \tilde{v}_{i'_1 i_2}^{i_3 i_4} \right) \end{aligned}$$

Gain

Loss

# Quantum Kinetic Equation for neutrinos

$$i \frac{d\varrho_j^i}{dt} = \left[ \hat{t} + \hat{\Gamma}, \hat{\varrho} \right]_j^i + i \hat{\mathcal{C}}_j^i$$

$$\begin{aligned} \mathcal{C}_{i'_1}^{i_1} = & \frac{1}{4} \left( \tilde{v}_{i_3 i_4}^{i_1 i_2} \varrho_{j_3}^{i_3} \varrho_{j_4}^{i_4} \tilde{v}_{j_1 j_2}^{j_3 j_4} (\hat{1} - \varrho)_{i'_1}^{j_1} (\hat{1} - \varrho)_{i_2}^{j_2} - \tilde{v}_{i_3 i_4}^{i_1 i_2} (\hat{1} - \varrho)_{j_3}^{i_3} (\hat{1} - \varrho)_{j_4}^{i_4} \tilde{v}_{j_1 j_2}^{j_3 j_4} \varrho_{i'_1}^{j_1} \varrho_{i_2}^{j_2} \right. \\ & \left. + (\hat{1} - \varrho)_{j_1}^{i_1} (\hat{1} - \varrho)_{j_2}^{i_2} \tilde{v}_{j_3 j_4}^{j_1 j_2} \varrho_{i_3}^{j_3} \varrho_{i_4}^{j_4} \tilde{v}_{i'_1 i_2}^{i_3 i_4} - \varrho_{j_1}^{i_1} \varrho_{j_2}^{i_2} \tilde{v}_{j_3 j_4}^{j_1 j_2} (\hat{1} - \varrho)_{i_3}^{j_3} (\hat{1} - \varrho)_{i_4}^{j_4} \tilde{v}_{i'_1 i_2}^{i_3 i_4} \right) \end{aligned}$$

Gain

Loss

- Neutrinos in the early universe (homogeneous, isotropic)

$$\langle \hat{a}_{\nu_\beta}^\dagger(\vec{p}', h') \hat{a}_{\nu_\alpha}(\vec{p}, h) \rangle = (2\pi)^3 2E_p \delta^{(3)}(\vec{p} - \vec{p}') \delta_{hh'} \varrho_\beta^\alpha(p, t) \delta_{h-}$$

$$\langle \hat{b}_{\nu_\alpha}^\dagger(\vec{p}, h) \hat{b}_{\nu_\beta}(\vec{p}', h') \rangle = (2\pi)^3 2E_p \delta^{(3)}(\vec{p} - \vec{p}') \delta_{hh'} \bar{\varrho}_\beta^\alpha(p, t) \delta_{h+}$$

# Quantum Kinetic Equation for neutrinos

$$i \frac{d\varrho_j^i}{dt} = [\hat{t} + \hat{\Gamma}, \hat{\varrho}]_j^i + i \hat{\mathcal{C}}_j^i$$

$$\begin{aligned} \mathcal{C}_{i'_1}^{i_1} = & \frac{1}{4} \left( \tilde{v}_{i_3 i_4}^{i_1 i_2} \varrho_{j_3}^{i_3} \varrho_{j_4}^{i_4} \tilde{v}_{j_1 j_2}^{j_3 j_4} (\hat{1} - \varrho)_{i'_1}^{j_1} (\hat{1} - \varrho)_{i_2}^{j_2} - \tilde{v}_{i_3 i_4}^{i_1 i_2} (\hat{1} - \varrho)_{j_3}^{i_3} (\hat{1} - \varrho)_{j_4}^{i_4} \tilde{v}_{j_1 j_2}^{j_3 j_4} \varrho_{i'_1}^{j_1} \varrho_{i_2}^{j_2} \right. \\ & \left. + (\hat{1} - \varrho)_{j_1}^{i_1} (\hat{1} - \varrho)_{j_2}^{i_2} \tilde{v}_{j_3 j_4}^{j_1 j_2} \varrho_{i_3}^{j_3} \varrho_{i_4}^{j_4} \tilde{v}_{i'_1 i_2}^{i_3 i_4} - \varrho_{j_1}^{i_1} \varrho_{j_2}^{i_2} \tilde{v}_{j_3 j_4}^{j_1 j_2} (\hat{1} - \varrho)_{i_3}^{j_3} (\hat{1} - \varrho)_{i_4}^{j_4} \tilde{v}_{i'_1 i_2}^{i_3 i_4} \right) \end{aligned}$$

**Gain**

**Loss**

- Neutrinos in the early universe (homogeneous, isotropic)

$$\langle \hat{a}_{\nu_\beta}^\dagger(\vec{p}', h') \hat{a}_{\nu_\alpha}(\vec{p}, h) \rangle = (2\pi)^3 2E_p \delta^{(3)}(\vec{p} - \vec{p}') \delta_{hh'} \varrho_\beta^\alpha(p, t) \delta_{h-}$$

$$\langle \hat{b}_{\nu_\alpha}^\dagger(\vec{p}, h) \hat{b}_{\nu_\beta}(\vec{p}', h') \rangle = (2\pi)^3 2E_p \delta^{(3)}(\vec{p} - \vec{p}') \delta_{hh'} \bar{\varrho}_\beta^\alpha(p, t) \delta_{h+}$$

$$\begin{pmatrix} \varrho_e^e & \varrho_\mu^e & \varrho_\tau^e \\ \varrho_e^\mu & \varrho_\mu^\mu & \varrho_\tau^\mu \\ \varrho_e^\tau & \varrho_\mu^\tau & \varrho_\tau^\tau \end{pmatrix} = \begin{pmatrix} f_{\nu_e} & \varrho_\mu^e & \varrho_\tau^e \\ \varrho_e^\mu & f_{\nu_\mu} & \varrho_\tau^\mu \\ \varrho_e^\tau & \varrho_\mu^\tau & f_{\nu_\tau} \end{pmatrix}$$



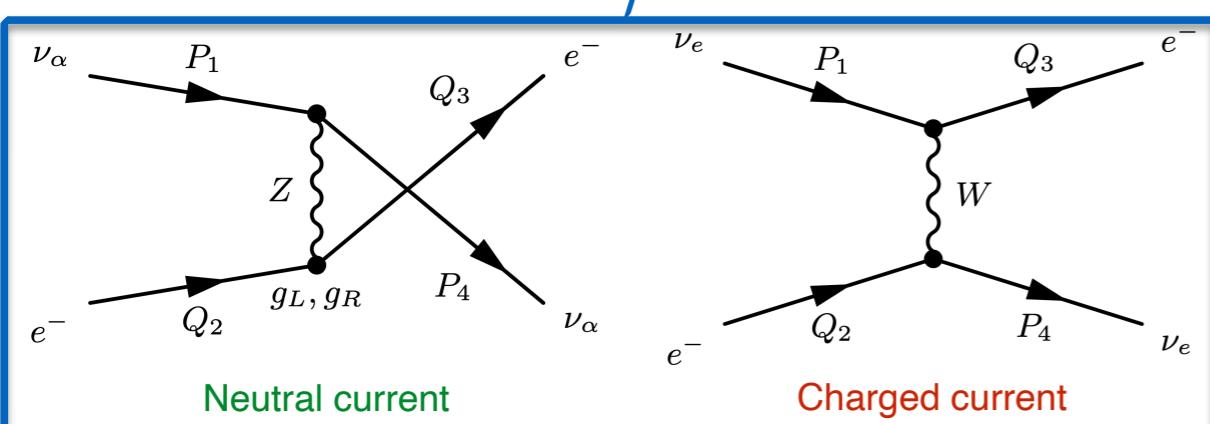
# Quantum Kinetic Equation for neutrinos

- Example of interaction matrix element ( $\nu - e^-$  scattering)

$$\begin{aligned}\tilde{v}_{\nu_\beta(3)e(4)}^{\nu_\alpha(1)e(2)} &= 2\sqrt{2}G_F (2\pi)^3 \delta^{(3)}(\vec{p}_1 + \vec{p}_2 - \vec{p}_3 - \vec{p}_4) \\ &\times [\bar{u}_{\nu_\alpha}^{h_1}(\vec{p}_1)\gamma^\mu P_L u_{\nu_\beta}^{h_3}(\vec{p}_3)] [\bar{u}_e^{h_2}(\vec{p}_2)\gamma_\mu(G_L^{\alpha\beta}P_L + G_R^{\alpha\beta}P_R)u_e^{h_4}(\vec{p}_4)]\end{aligned}$$

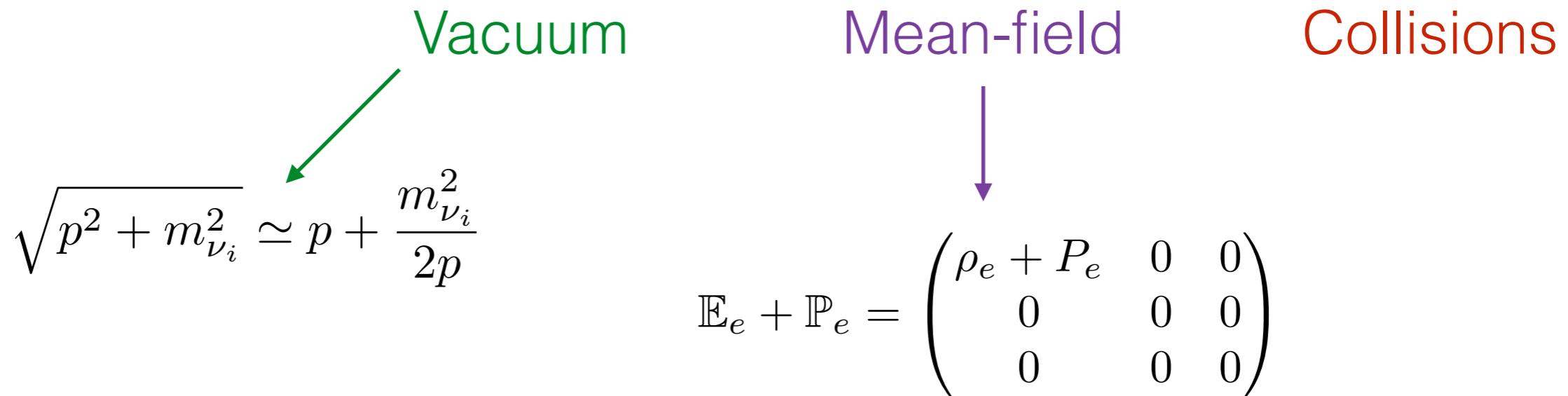
$$G^L = \begin{pmatrix} g_L + 1 & 0 & 0 \\ 0 & g_L & 0 \\ 0 & 0 & g_L \end{pmatrix} \quad G^R = \begin{pmatrix} g_R & 0 & 0 \\ 0 & g_R & 0 \\ 0 & 0 & g_R \end{pmatrix}$$

$$\begin{aligned}g_L &= -\frac{1}{2} + \sin^2 \theta_W \\ g_R &= \sin^2 \theta_W\end{aligned}$$



# Quantum Kinetic Equations

$$i \left[ \frac{\partial}{\partial t} - H p \frac{\partial}{\partial p} \right] \varrho(p, t) = \left[ U \frac{\mathbb{M}^2}{2p} U^\dagger, \varrho \right] - 2\sqrt{2} G_F p \left[ \frac{\mathbb{E}_e + \mathbb{P}_e}{m_W^2}, \varrho \right] + i \mathcal{C}[\varrho, \bar{\varrho}]$$



Reminder:

$$\varrho = \begin{pmatrix} \varrho_e^e & \varrho_\mu^e & \varrho_\tau^e \\ \varrho_e^\mu & \varrho_\mu^\mu & \varrho_\tau^\mu \\ \varrho_e^\tau & \varrho_\mu^\tau & \varrho_\tau^\tau \end{pmatrix} = \begin{pmatrix} f_{\nu_e} & \varrho_\mu^e & \varrho_\tau^e \\ \varrho_e^\mu & f_{\nu_\mu} & \varrho_\tau^\mu \\ \varrho_e^\tau & \varrho_\mu^\tau & f_{\nu_\tau} \end{pmatrix}$$

[G. Sigl, G. Raffelt, *Nucl. Phys. B* 406, 423 (1993)]

[C. Volpe et al., *Phys. Rev. D* 87, 113010 (2013)]

[D. Blaschke, V. Cirigliano, *Phys. Rev. D* 94, 033009 (2016)]

[**JF**, C. Pitrou, M.C. Volpe, 2008.01074]

# Quantum Kinetic Equations

$$i \left[ \frac{\partial}{\partial t} - H p \frac{\partial}{\partial p} \right] \varrho(p, t) = \left[ U \frac{\mathbb{M}^2}{2p} U^\dagger, \varrho \right] - 2\sqrt{2} G_F p \left[ \frac{\mathbb{E}_e + \mathbb{P}_e}{m_W^2}, \varrho \right] + i \mathcal{C}[\varrho, \bar{\varrho}]$$

Vacuum

Mean-field

Collisions

$$\mathcal{C} = \mathcal{C}^{[\nu e^- \rightarrow \nu e^-]} + \mathcal{C}^{[\nu e^+ \rightarrow \nu e^+]} + \mathcal{C}^{[\nu \bar{\nu} \rightarrow e^- e^+]} + \mathcal{C}^{[\nu \nu]}$$

$$\begin{aligned} \mathcal{C}^{[\nu e^- \rightarrow \nu e^-]} &= \frac{1}{2} \frac{2^5 G_F^2}{2E_1} \int \frac{d^3 \vec{p}_2}{(2\pi)^3 2E_2} \frac{d^3 \vec{p}_3}{(2\pi)^3 2E_3} \frac{d^3 \vec{p}_4}{(2\pi)^3 2E_4} \\ &\times (2\pi)^4 \delta^{(4)}(p_1 + p_2 - p_3 - p_4) \\ &\times \left[ 4(p_1 \cdot p_2)(p_3 \cdot p_4) F_{sc}^{LL}(\nu^{(1)} + e^{(2)} \rightarrow \nu^{(3)} + e^{(4)}) \right. \\ &+ 4(p_1 \cdot p_4)(p_2 \cdot p_3) F_{sc}^{RR}(\nu^{(1)} + e^{(2)} \rightarrow \nu^{(3)} + e^{(4)}) \\ &\left. - 2(p_1 \cdot p_3)m_e^2 \left( F_{sc}^{LR}(\nu^{(1)} + e^{(2)} \rightarrow \nu^{(3)} + e^{(4)}) + F_{sc}^{RL}(\nu^{(1)} + e^{(2)} \rightarrow \nu^{(3)} + e^{(4)}) \right) \right] \end{aligned}$$

# Quantum Kinetic Equations

$$i \left[ \frac{\partial}{\partial t} - H p \frac{\partial}{\partial p} \right] \varrho(p, t) = \left[ U \frac{\mathbb{M}^2}{2p} U^\dagger, \varrho \right] - 2\sqrt{2} G_F p \left[ \frac{\mathbb{E}_e + \mathbb{P}_e}{m_W^2}, \varrho \right] + i \mathcal{C}[\varrho, \bar{\varrho}]$$

Vacuum

Mean-field

Collisions

$$\mathcal{C} = \mathcal{C}^{[\nu e^- \rightarrow \nu e^-]} + \mathcal{C}^{[\nu e^+ \rightarrow \nu e^+]} + \mathcal{C}^{[\nu \bar{\nu} \rightarrow e^- e^+]} + \mathcal{C}^{[\nu \nu]}$$

$$\begin{aligned} \mathcal{C}^{[\nu e^- \rightarrow \nu e^-]} &= \frac{1}{2} \frac{2^5 G_F^2}{2E_1} \int \frac{d^3 \vec{p}_2}{(2\pi)^3 2E_2} \frac{d^3 \vec{p}_3}{(2\pi)^3 2E_3} \frac{d^3 \vec{p}_4}{(2\pi)^3 2E_4} \\ &\times (2\pi)^4 \delta^{(4)}(p_1 + p_2 - p_3 - p_4) \\ &\times \left[ 4(p_1 \cdot p_2)(p_3 \cdot p_4) F_{sc}^{LL}(\nu^{(1)} + e^{(2)} \rightarrow \nu^{(3)} + e^{(4)}) \right. \\ &+ 4(p_1 \cdot p_4)(p_2 \cdot p_3) F_{sc}^{RR}(\nu^{(1)} + e^{(2)} \rightarrow \nu^{(3)} + e^{(4)}) \\ &\left. - 2(p_1 \cdot p_3)m_e^2 \left( F_{sc}^{LR}(\nu^{(1)} + e^{(2)} \rightarrow \nu^{(3)} + e^{(4)}) + F_{sc}^{RL}(\nu^{(1)} + e^{(2)} \rightarrow \nu^{(3)} + e^{(4)}) \right) \right] \end{aligned}$$

Statistical factor

$$F_{sc}^{AB}(\nu^{(1)} + e^{(2)} \rightarrow \nu^{(3)} + e^{(4)}) = f_4(1 - f_2) [G^A \varrho_3 G^B (1 - \varrho_1)] - (1 - f_4)f_2 [G^A (1 - \varrho_3) G^B \varrho_1] + \text{h.c.}$$

“gain”    “loss”

Pauli-blocking

# Quantum Kinetic Equations

(Anti)neutrino self-interactions

$$\begin{aligned} \mathcal{C}^{[\nu\nu]} = & \frac{1}{2} \frac{2^5 G_F^2}{2E_1} \int \frac{d^3 \vec{p}_2}{(2\pi)^3 2E_2} \frac{d^3 \vec{p}_3}{(2\pi)^3 2E_3} \frac{d^3 \vec{p}_4}{(2\pi)^3 2E_4} \\ & \times (2\pi)^4 \delta^{(4)}(p_1 + p_2 - p_3 - p_4) \times \left[ (p_1 \cdot p_2)(p_3 \cdot p_4) F_{\text{sc}}(\nu^{(1)} + \nu^{(2)} \rightarrow \nu^{(3)} + \nu^{(4)}) \right. \\ & \left. + (p_1 \cdot p_4)(p_2 \cdot p_3) \left( F_{\text{sc}}(\nu^{(1)} + \bar{\nu}^{(2)} \rightarrow \nu^{(3)} + \bar{\nu}^{(4)}) + F_{\text{ann}}(\nu^{(1)} + \bar{\nu}^{(2)} \rightarrow \nu^{(3)} + \bar{\nu}^{(4)}) \right) \right] \end{aligned}$$

$$F_{\text{sc}}(\nu^{(1)} + \nu^{(2)} \rightarrow \nu^{(3)} + \nu^{(4)}) = [\varrho_4(1 - \varrho_2) + \text{Tr}(\dots)] \varrho_3(1 - \varrho_1) - [(1 - \varrho_4)\varrho_2 + \text{Tr}(\dots)] (1 - \varrho_3)\varrho_1 + \text{h.c.}$$

$$F_{\text{sc}}(\nu^{(1)} + \bar{\nu}^{(2)} \rightarrow \nu^{(3)} + \bar{\nu}^{(4)}) = [(1 - \bar{\varrho}_2)\bar{\varrho}_4 + \text{Tr}(\dots)] \varrho_3(1 - \varrho_1) - [\bar{\varrho}_2(1 - \bar{\varrho}_4) + \text{Tr}(\dots)] (1 - \varrho_3)\varrho_1 + \text{h.c.}$$

$$F_{\text{ann}}(\nu^{(1)} + \bar{\nu}^{(2)} \rightarrow \nu^{(3)} + \bar{\nu}^{(4)}) = [\varrho_3\bar{\varrho}_4 + \text{Tr}(\dots)] (1 - \bar{\varrho}_2)(1 - \varrho_1) - [(1 - \varrho_3)(1 - \bar{\varrho}_4) + \text{Tr}(\dots)] \bar{\varrho}_2\varrho_1 + \text{h.c.}$$

# Quantum Kinetic Equations

(Anti)neutrino self-interactions

$$\begin{aligned} \mathcal{C}^{[\nu\nu]} = & \frac{1}{2} \frac{2^5 G_F^2}{2E_1} \int \frac{d^3 \vec{p}_2}{(2\pi)^3 2E_2} \frac{d^3 \vec{p}_3}{(2\pi)^3 2E_3} \frac{d^3 \vec{p}_4}{(2\pi)^3 2E_4} \\ & \times (2\pi)^4 \delta^{(4)}(p_1 + p_2 - p_3 - p_4) \times [(p_1 \cdot p_2)(p_3 \cdot p_4) F_{\text{sc}}(\nu^{(1)} + \nu^{(2)} \rightarrow \nu^{(3)} + \nu^{(4)}) \\ & + (p_1 \cdot p_4)(p_2 \cdot p_3) \left( F_{\text{sc}}(\nu^{(1)} + \bar{\nu}^{(2)} \rightarrow \nu^{(3)} + \bar{\nu}^{(4)}) + F_{\text{ann}}(\nu^{(1)} + \bar{\nu}^{(2)} \rightarrow \nu^{(3)} + \bar{\nu}^{(4)}) \right)] \end{aligned}$$

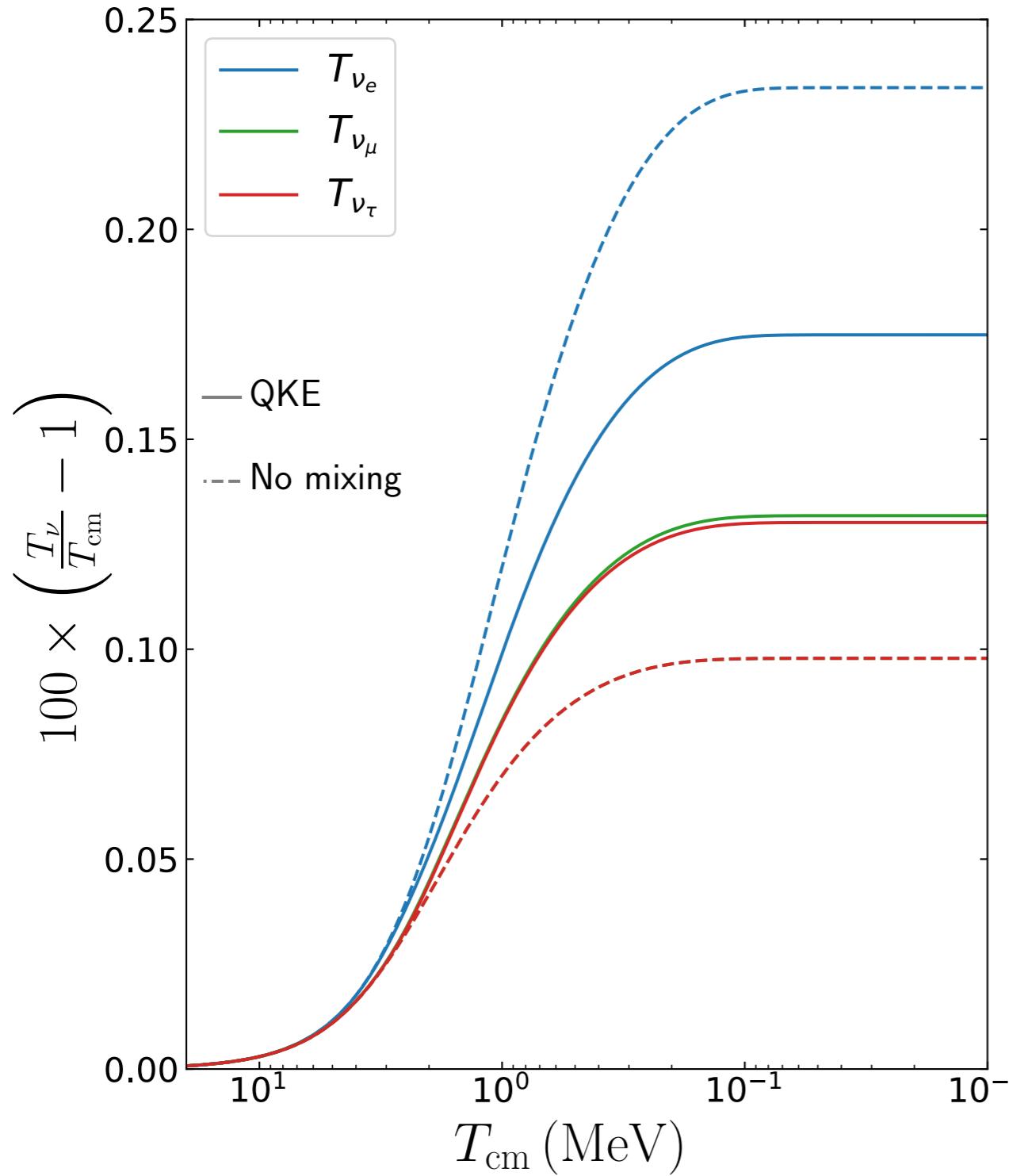
$$F_{\text{sc}}(\nu^{(1)} + \nu^{(2)} \rightarrow \nu^{(3)} + \nu^{(4)}) = [\varrho_4(1 - \varrho_2) + \text{Tr}(\dots)] \varrho_3(1 - \varrho_1) - [(1 - \varrho_4)\varrho_2 + \text{Tr}(\dots)] (1 - \varrho_3)\varrho_1 + \text{h.c.}$$

$$F_{\text{sc}}(\nu^{(1)} + \bar{\nu}^{(2)} \rightarrow \nu^{(3)} + \bar{\nu}^{(4)}) = [(1 - \bar{\varrho}_2)\bar{\varrho}_4 + \text{Tr}(\dots)] \varrho_3(1 - \varrho_1) - [\bar{\varrho}_2(1 - \bar{\varrho}_4) + \text{Tr}(\dots)] (1 - \varrho_3)\varrho_1 + \text{h.c.}$$

$$F_{\text{ann}}(\nu^{(1)} + \bar{\nu}^{(2)} \rightarrow \nu^{(3)} + \bar{\nu}^{(4)}) = [\varrho_3\bar{\varrho}_4 + \text{Tr}(\dots)] (1 - \bar{\varrho}_2)(1 - \varrho_1) - [(1 - \varrho_3)(1 - \bar{\varrho}_4) + \text{Tr}(\dots)] \bar{\varrho}_2\varrho_1 + \text{h.c.}$$

9 dimensions  $\longrightarrow$  5 dimensions  $\longrightarrow$  2 dimensions

# Approximation scheme for neutrino oscillations



“No visible oscillations”  
→ averaged oscillations?  
→ approximate scheme?

# Approximation scheme for neutrino oscillations

---

- For simplicity, discard (for now) the mean-field term + two-neutrino mixing

$$\frac{d\varrho}{dt} = -i \left[ U \frac{\mathbb{M}^2}{2p} U^\dagger, \varrho \right] + \mathcal{C} \quad \Longleftrightarrow \quad \begin{aligned} \frac{d\varrho_m}{dt} &= -i \left[ \frac{\mathbb{M}^2}{2p}, \varrho_m \right] + U^\dagger \mathcal{C} U \\ \varrho_m &\equiv U^\dagger \varrho U \end{aligned}$$

# Approximation scheme for neutrino oscillations

- For simplicity, discard (for now) the mean-field term + two-neutrino mixing

$$\frac{d\varrho}{dt} = -i \left[ U \frac{\mathbb{M}^2}{2p} U^\dagger, \varrho \right] + \mathcal{C} \quad \Longleftrightarrow \quad \begin{aligned} \frac{d\varrho_m}{dt} &= -i \left[ \frac{\mathbb{M}^2}{2p}, \varrho_m \right] + U^\dagger \mathcal{C} U \\ \varrho_m &\equiv U^\dagger \varrho U \end{aligned}$$

Schematically,

$$\varrho_m = \begin{pmatrix} & & \\ & \text{---} & \\ & & \\ & \left( \begin{array}{c} \text{---} \\ \text{---} \end{array} \right) \\ & & \\ & \left( \begin{array}{c} \text{---} \\ \text{---} \end{array} \right) & \end{pmatrix}$$

# Localized neutrino injection $(U^\dagger \mathcal{C} U \sim K \times \delta(0))$

# Approximation scheme for neutrino oscillations

- For simplicity, discard (for now) the mean-field term + two-neutrino mixing

$$\frac{d\varrho}{dt} = -i \left[ U \frac{\mathbb{M}^2}{2p} U^\dagger, \varrho \right] + \mathcal{C} \iff \begin{aligned} \frac{d\varrho_m}{dt} &= -i \left[ \frac{\mathbb{M}^2}{2p}, \varrho_m \right] + U^\dagger \mathcal{C} U \\ \varrho_m &\equiv U^\dagger \varrho U \end{aligned}$$
$$\varrho_m = \begin{pmatrix} f_1 & a e^{i \frac{\Delta m^2}{2p} t} \\ a e^{-i \frac{\Delta m^2}{2p} t} & f_2 \end{pmatrix}$$

Schematically,

$$\varrho_m = \begin{pmatrix} \text{---} & + & + & \\ & + & + & \\ \text{---} & + & + & \\ \text{---} & + & + & \\ \text{---} & + & + & \end{pmatrix} \quad \begin{pmatrix} \text{---} & + & + & \\ \text{---} & + & + & \\ \text{---} & + & + & \end{pmatrix}$$

Random neutrino injection

# Approximation scheme for neutrino oscillations

- For simplicity, discard (for now) the mean-field term + two-neutrino mixing

$$\frac{d\varrho}{dt} = -i \left[ U \frac{\mathbb{M}^2}{2p} U^\dagger, \varrho \right] + \mathcal{C} \iff \begin{aligned} \frac{d\varrho_m}{dt} &= -i \left[ \frac{\mathbb{M}^2}{2p}, \varrho_m \right] + U^\dagger \mathcal{C} U \\ \varrho_m &\equiv U^\dagger \varrho U \end{aligned}$$
$$\varrho_m = \begin{pmatrix} f_1 & a e^{i \frac{\Delta m^2}{2p} t} \\ a e^{-i \frac{\Delta m^2}{2p} t} & f_2 \end{pmatrix}$$

Schematically,

$$\varrho_m = \begin{pmatrix} \text{---} & + & \text{---} & + & \text{---} \\ & + & & + & \\ \text{---} & & & & \text{---} \\ \text{---} & + \approx 0+ & \text{---} & + \approx 0+ & \text{---} \\ & & & & \\ \text{---} & & & & \text{---} \end{pmatrix}$$

Random neutrino injection

# Approximation scheme for neutrino oscillations

---

- Generalization of the previous argument
  - ◆ Expansion
  - ◆ 3-neutrino mixing
  - ◆ Mean-field term

$$i \left[ \frac{\partial}{\partial t} - H p \frac{\partial}{\partial p} \right] \varrho(p, t) = \left[ U \frac{\mathbb{M}^2}{2p} U^\dagger, \varrho \right] - 2\sqrt{2} G_F p \left[ \frac{\mathbb{E}_e + \mathbb{P}_e}{m_W^2}, \varrho \right] + i \mathcal{C}[\varrho, \bar{\varrho}]$$

# Approximation scheme for neutrino oscillations

- Generalization of the previous argument

- Expansion                      New variables  $x = (m_e/T_{\text{cm}}) \propto a$ ,  $y = p/T_{\text{cm}}$
- 3-neutrino mixing              3 oscillation frequencies
- Mean-field term                Mass basis  $\rightarrow$  matter basis

$$i x H \frac{\partial \varrho(x, y)}{\partial x} = \frac{x}{m_e} \left[ U \frac{\mathbb{M}^2}{2y} U^\dagger, \varrho \right] - 2\sqrt{2} G_F y \left( \frac{m_e}{x} \right)^5 \left[ \frac{\bar{\mathbb{E}}_e + \bar{\mathbb{P}}_e}{m_W^2}, \varrho \right] + i \mathcal{C}[\varrho, \bar{\varrho}]$$

$$\boxed{\frac{\partial \varrho}{\partial x} = -i[\mathcal{H}, \varrho] + \mathcal{K}}$$

$$\boxed{\frac{\partial \varrho_m}{\partial x} = -i[\mathcal{H}_m, \varrho_m] - [U_m^\dagger \frac{\partial U_m}{\partial x}, \varrho_m] + U_m^\dagger \mathcal{K} U_m}$$

$$\varrho_m \equiv U_m^\dagger \varrho U_m$$

# Approximation scheme for neutrino oscillations

- Generalization of the previous argument

- Expansion                      New variables  $x = (m_e/T_{\text{cm}}) \propto a, y = p/T_{\text{cm}}$
- 3-neutrino mixing              3 oscillation frequencies
- Mean-field term                Mass basis  $\rightarrow$  matter basis

$$i x H \frac{\partial \varrho(x, y)}{\partial x} = \frac{x}{m_e} \left[ U \frac{\mathbb{M}^2}{2y} U^\dagger, \varrho \right] - 2\sqrt{2} G_F y \left( \frac{m_e}{x} \right)^5 \left[ \frac{\bar{\mathbb{E}}_e + \bar{\mathbb{P}}_e}{m_W^2}, \varrho \right] + i \mathcal{C}[\varrho, \bar{\varrho}]$$

$$\frac{\partial \varrho}{\partial x} = -i[\mathcal{H}, \varrho] + \mathcal{K}$$

$$\frac{\partial \varrho_m}{\partial x} = -i[\mathcal{H}_m, \varrho_m] - [U_m^\dagger \frac{\partial U_m}{\partial x}, \varrho_m] + U_m^\dagger \mathcal{K} U_m$$

$$\varrho_m \equiv U_m^\dagger \varrho U_m$$

diagonal

# Approximation scheme for neutrino oscillations

- Generalization of the previous argument

- Expansion                      New variables  $x = (m_e/T_{\text{cm}}) \propto a, y = p/T_{\text{cm}}$
- 3-neutrino mixing              3 oscillation frequencies
- Mean-field term                Mass basis  $\rightarrow$  matter basis

$$i x H \frac{\partial \varrho(x, y)}{\partial x} = \frac{x}{m_e} \left[ U \frac{\mathbb{M}^2}{2y} U^\dagger, \varrho \right] - 2\sqrt{2} G_F y \left( \frac{m_e}{x} \right)^5 \left[ \frac{\bar{\mathbb{E}}_e + \bar{\mathbb{P}}_e}{m_W^2}, \varrho \right] + i \mathcal{C}[\varrho, \bar{\varrho}]$$

$$\frac{\partial \varrho}{\partial x} = -i[\mathcal{H}, \varrho] + \mathcal{K}$$

$$\frac{\partial \varrho_m}{\partial x} = -i[\mathcal{H}_m, \varrho_m] - [U_m^\dagger \cancel{\frac{\partial \varrho_m}{\partial x}}, \varrho_m] + U_m^\dagger \mathcal{K} U_m$$

$$\varrho_m \equiv U_m^\dagger \varrho U_m$$

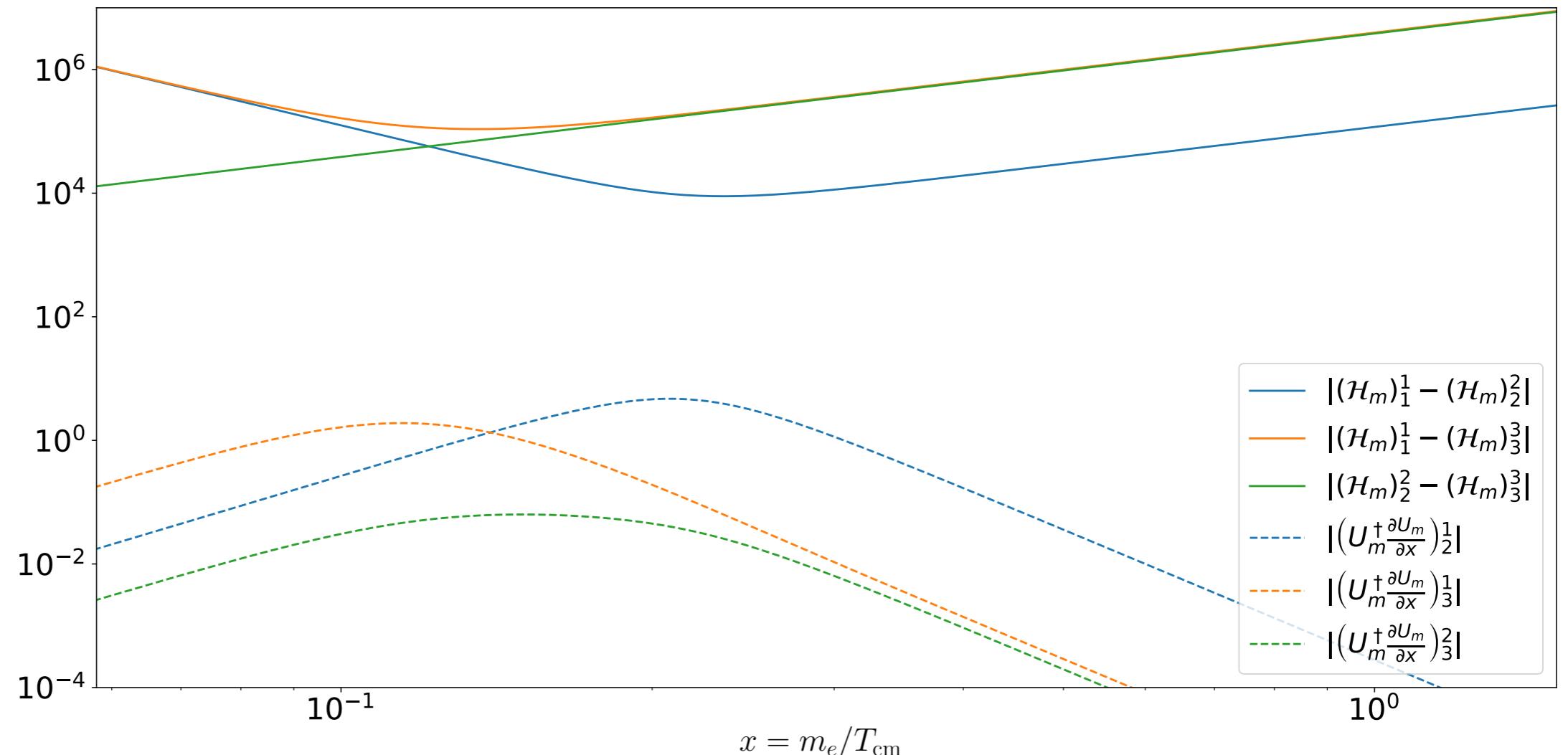
diagonal

adiabatic  
approximation

# Checking the adiabatic approximation

$$\frac{\partial \varrho_m}{\partial x} = -i[\mathcal{H}_m, \varrho_m] - [U_m^\dagger \frac{\partial U_m}{\partial x}, \varrho_m] + \mathcal{K}_m$$

**Effective  
oscillation  
frequencies**



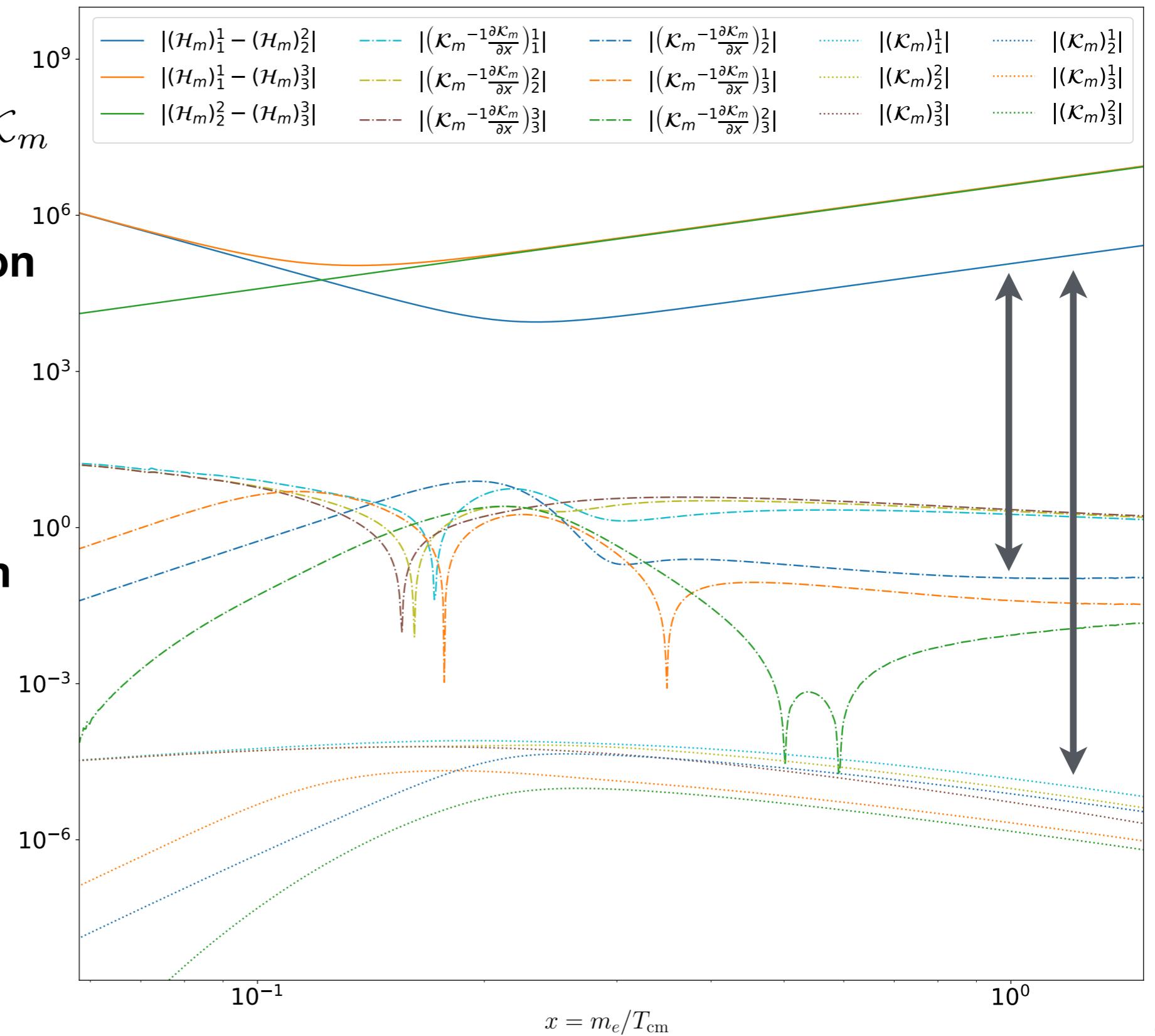
# Checking that oscillations are averaged

$$\frac{\partial \varrho_m}{\partial x} = -i[\mathcal{H}_m, \varrho_m] + \mathcal{K}_m$$

**Effective oscillation frequencies**

**Relative variation of collision term**

**Collision rate**



# Adiabatic Transfer of Averaged Oscillations

- Non-diagonal components of the density matrix in matter basis are *averaged out*

$$\varrho_m = \begin{pmatrix} * & \sim & \sim \\ \sim & * & \sim \\ \sim & \sim & * \end{pmatrix} \longrightarrow \tilde{\varrho}_m = \begin{pmatrix} * & 0 & 0 \\ 0 & * & 0 \\ 0 & 0 & * \end{pmatrix}$$

- Effective “ATAO” equation

$$\boxed{\frac{\partial \tilde{\varrho}_m}{\partial x} = U_m^\dagger \tilde{\mathcal{K}} U_m}$$

keep only the diagonal

# Adiabatic Transfer of Averaged Oscillations

---

Instead of solving the full QKE, we can

1. Go to matter basis, where the effective Hamiltonian (vacuum + mean-field) is diagonal.

This matter basis evolves *adiabatically*.

2. Evolve the diagonal components of  $\mathcal{Q}_m$  (off-diagonal components are *averaged* out).
3. Read the results in flavor basis.

# Outline

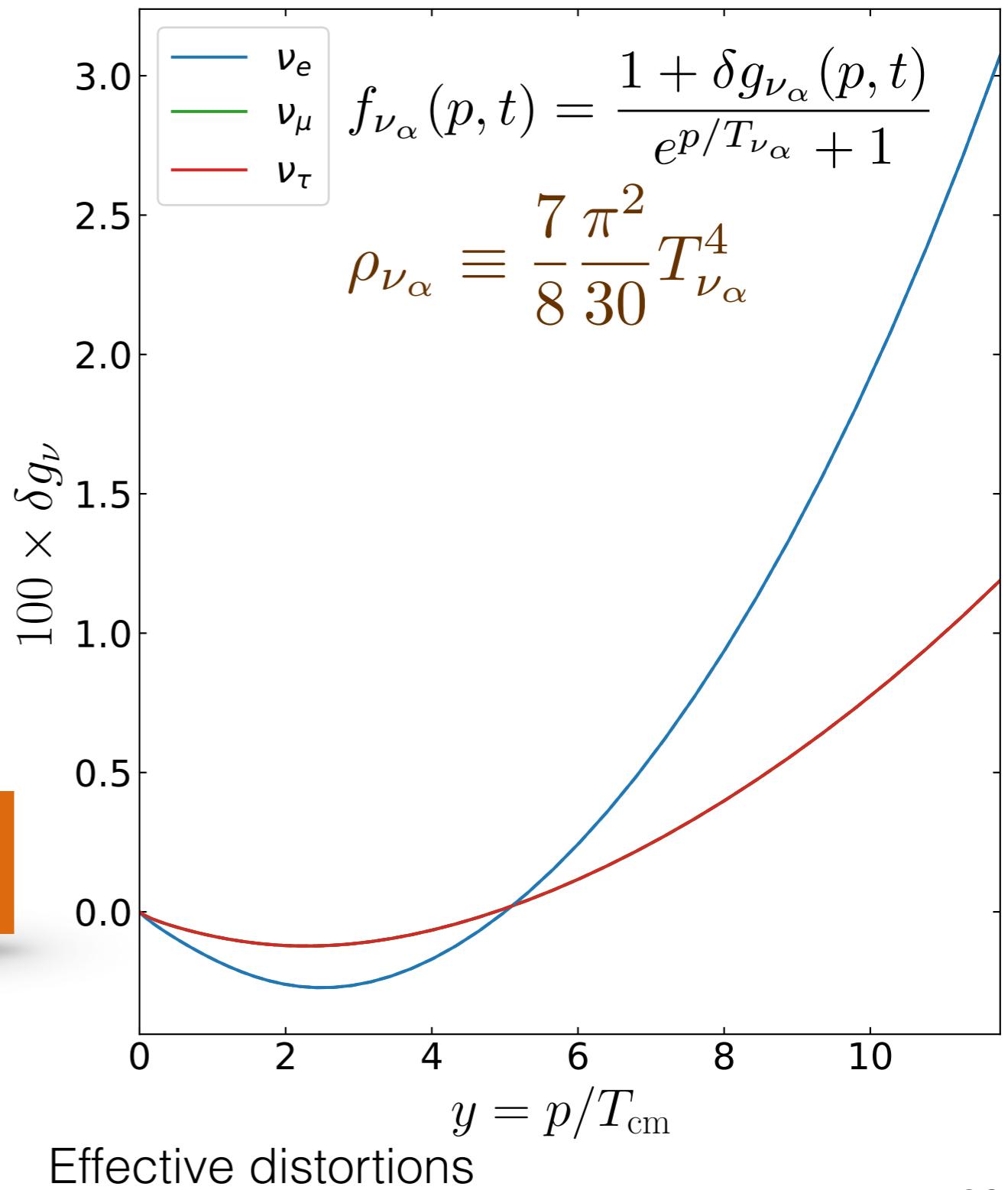
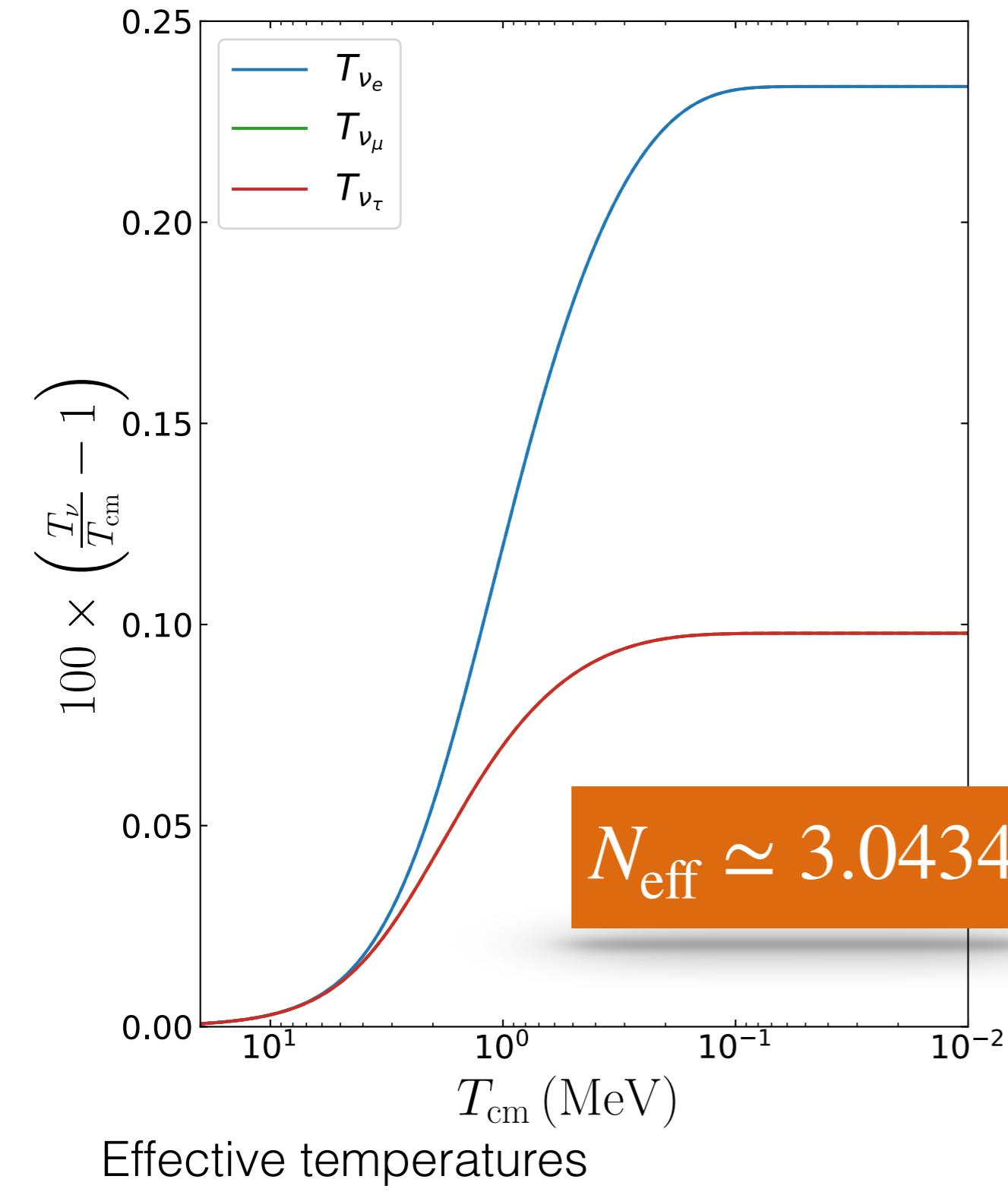
---

1. Neutrino evolution with mixing:

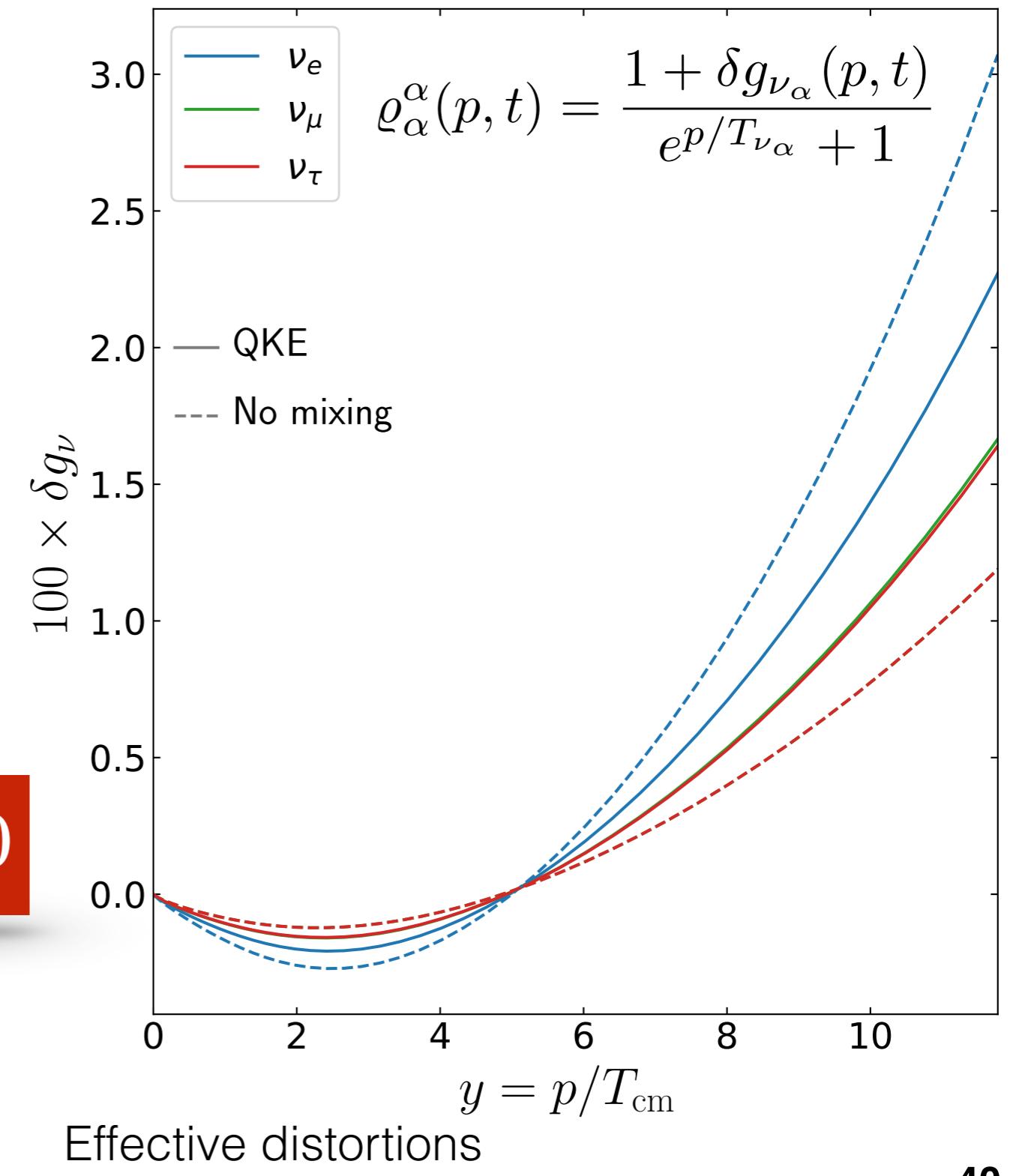
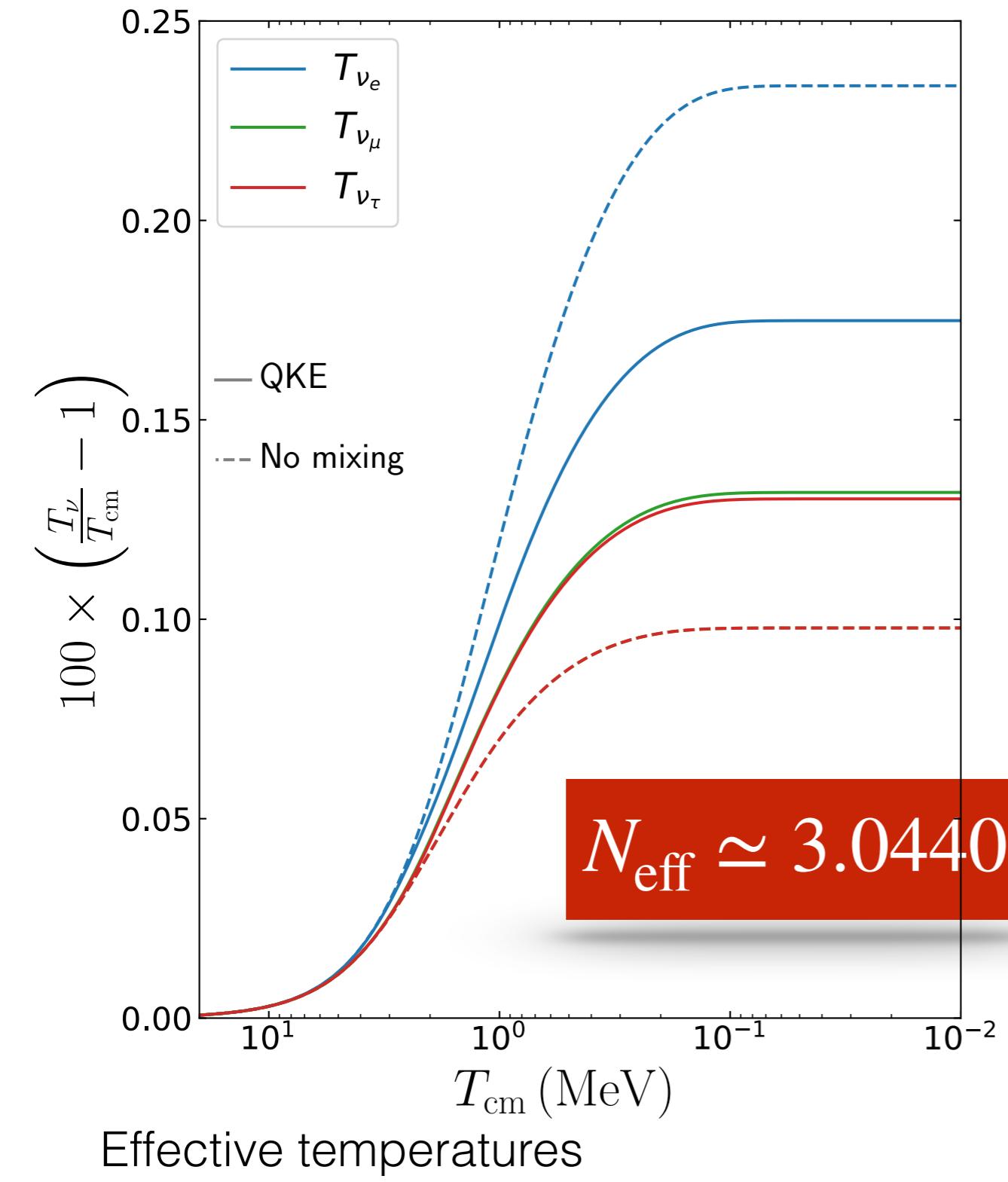
Quantum Kinetic Equations

2. Results for neutrino decoupling

# Neutrino decoupling without flavor oscillations



# Neutrino decoupling with flavor oscillations



# Decoupling with flavor oscillations - Comments

---

- Excellent accuracy of ATAO approximation ( $< 10^{-6}$ ).
- Slight increase of  $N_{\text{eff}}$  ( $3.0434 \rightarrow 3.0440$ )

flavor conversion of  $\nu_e \implies$  more phase space for  $e^\pm$  annihilations

- Higher precision?
  - Full QED corrections  $\Delta N_{\text{eff}} > 10^{-5}$
  - Inhomogeneous cosmology

# Conclusion

---

## Neutrino decoupling

- Neutrinos capture part of the entropy released by  $e^\pm$  annihilations
- Increased effective temperatures + spectral distortions
- Exact or approximate treatment of neutrino mixing
- $N_{\text{eff}} \simeq 3.044$

Consequences on BBN, CMB...