

# Induced Gravitational Waves and Matter-Dominated Era

Takahiro Terada (KMI, Nagoya University), seminar at IAP, Nov. 4, 2024.

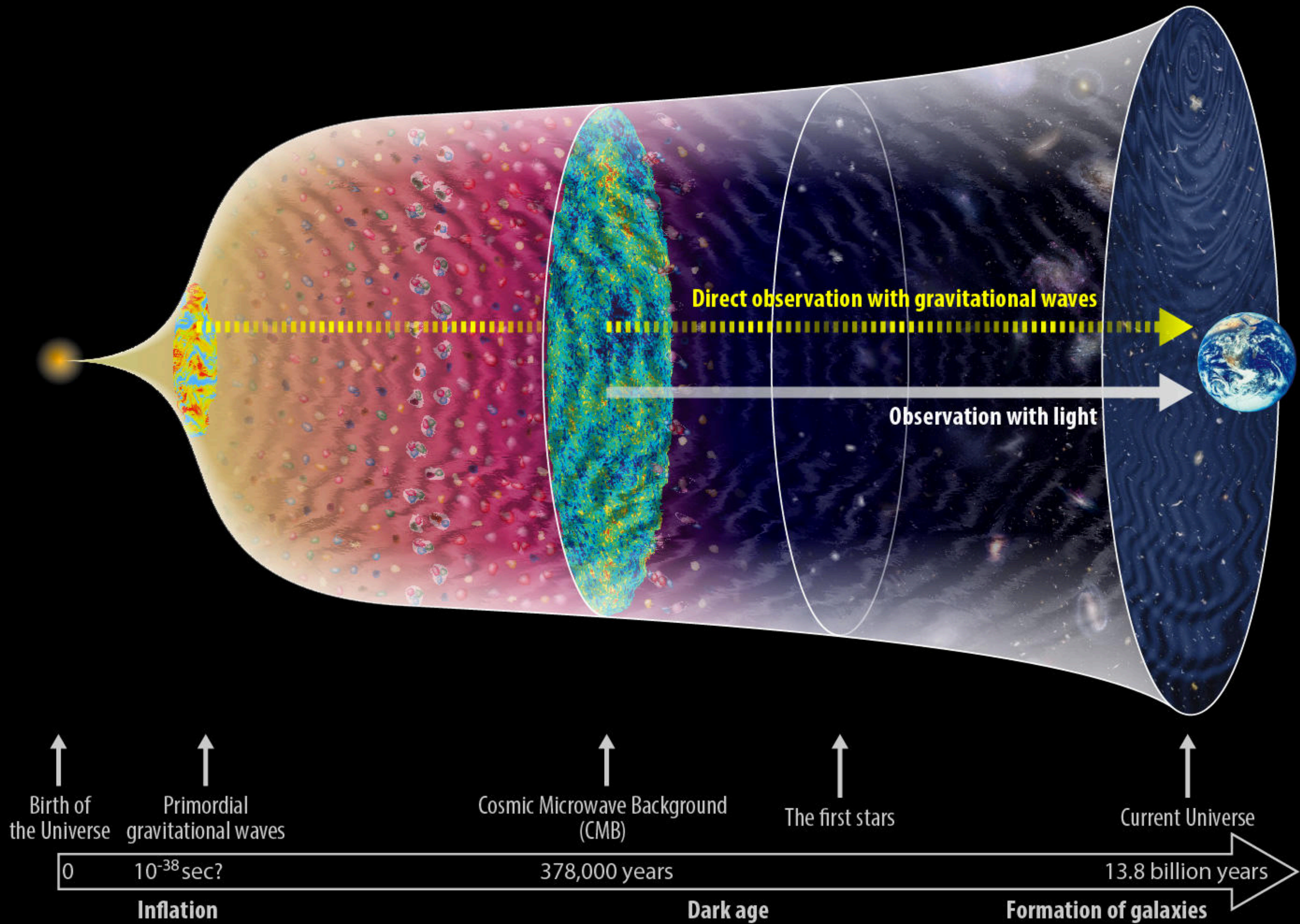
# Outline

- Introduction
- Aspects of Induced Gravitational Waves (Review)
- Induced Gravitational Waves and Matter-Dominated Era
- Conclusions

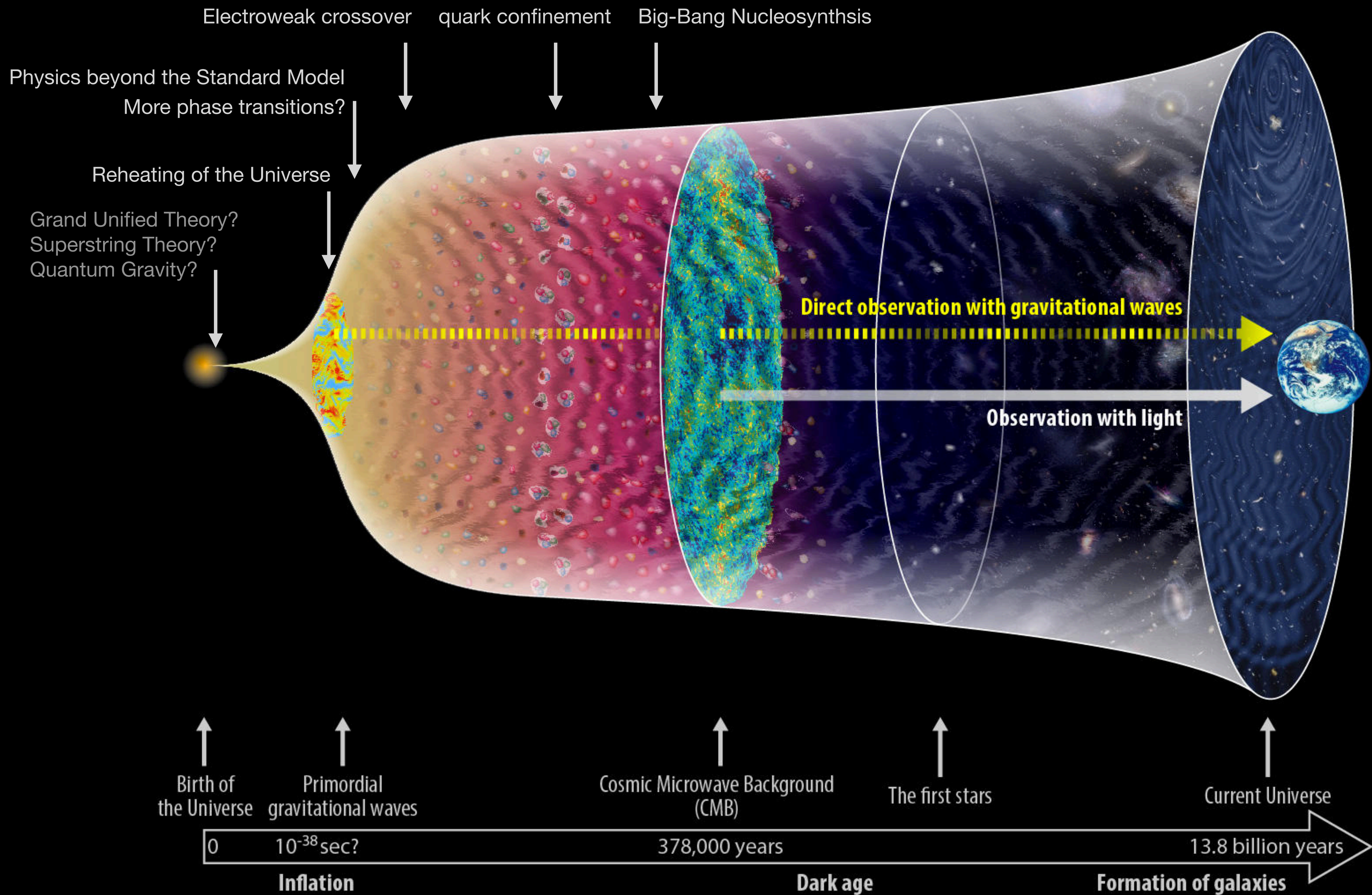
**Introduction:**

Gravitational Waves to Probe the Early Universe

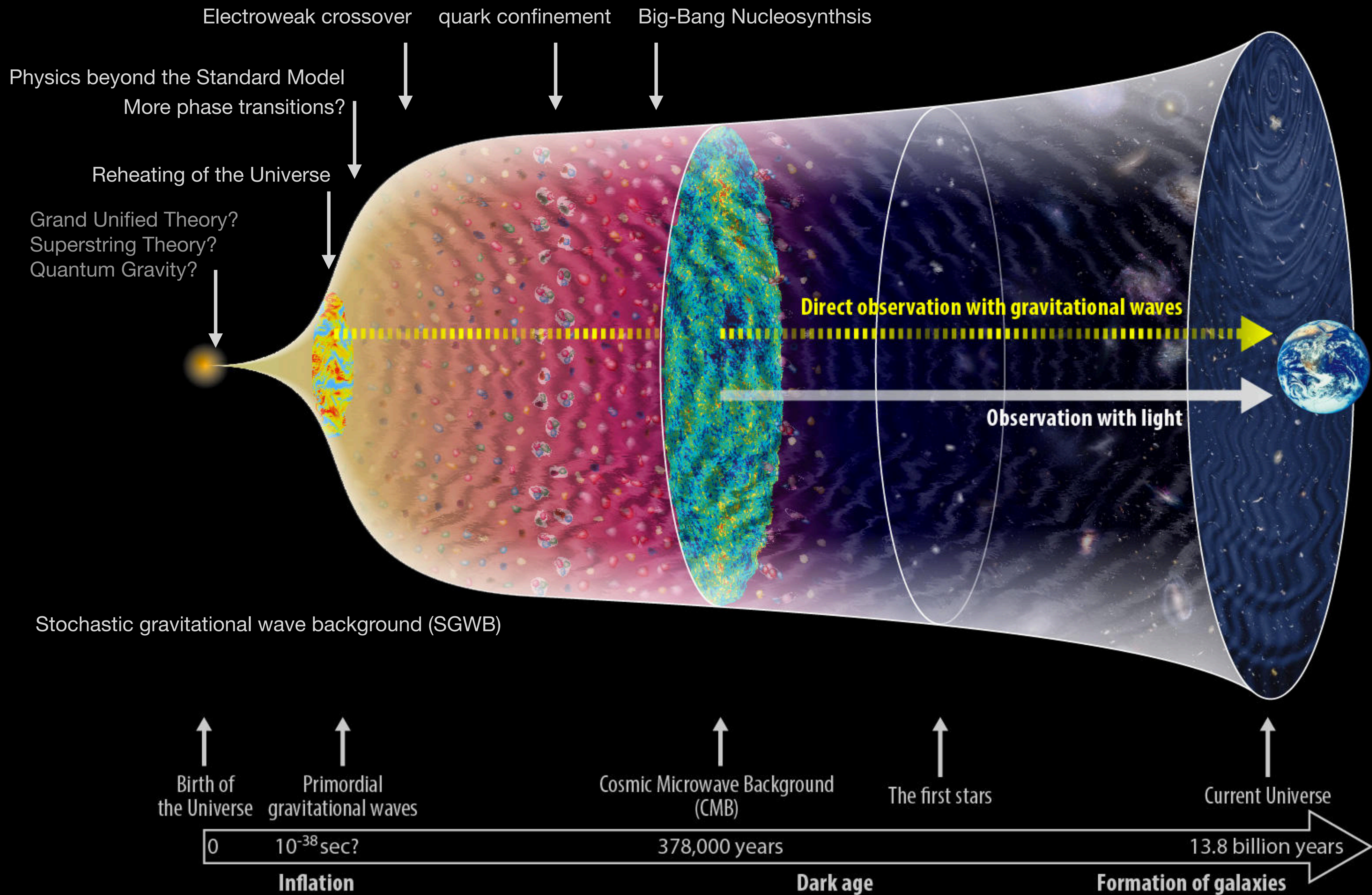




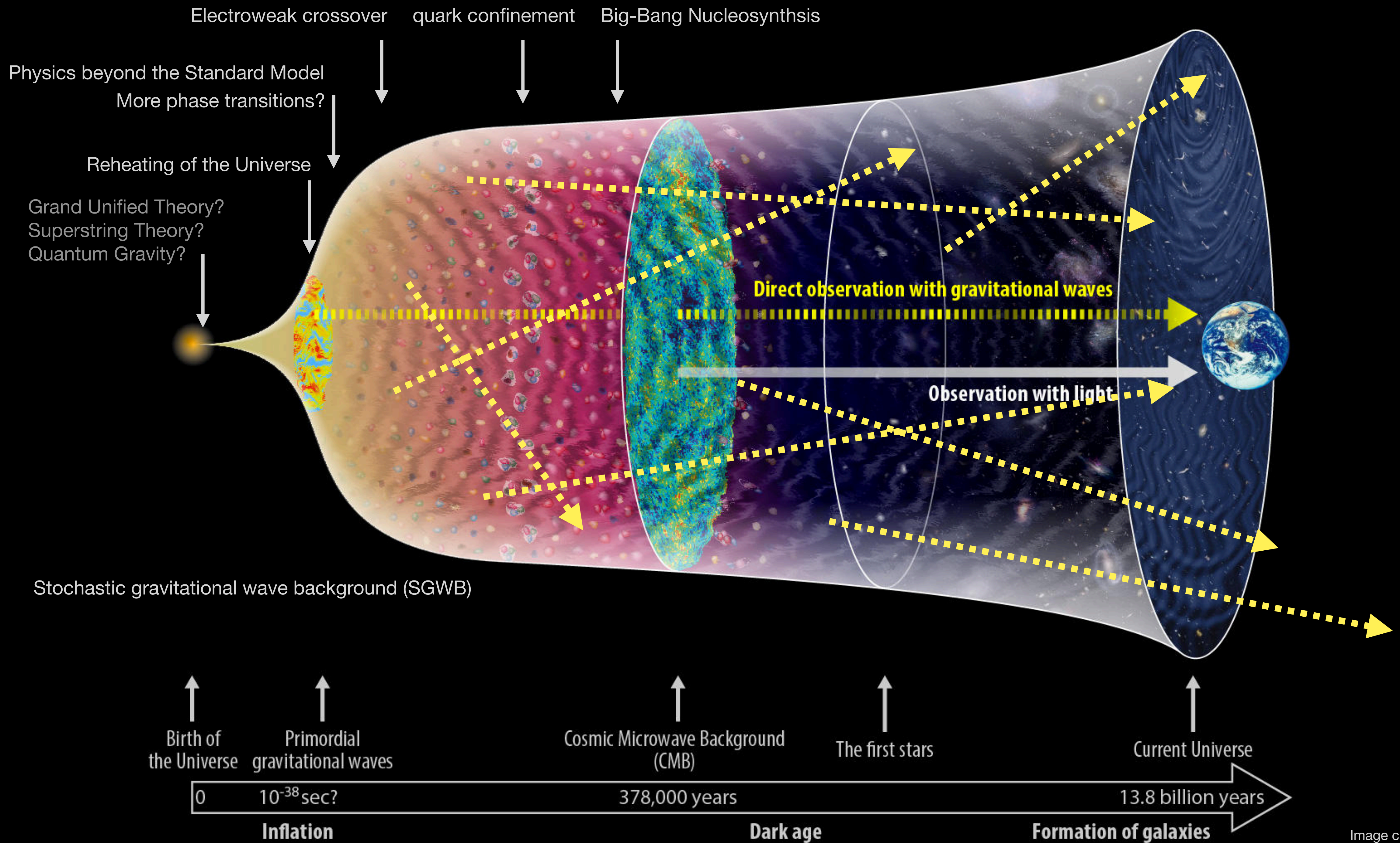




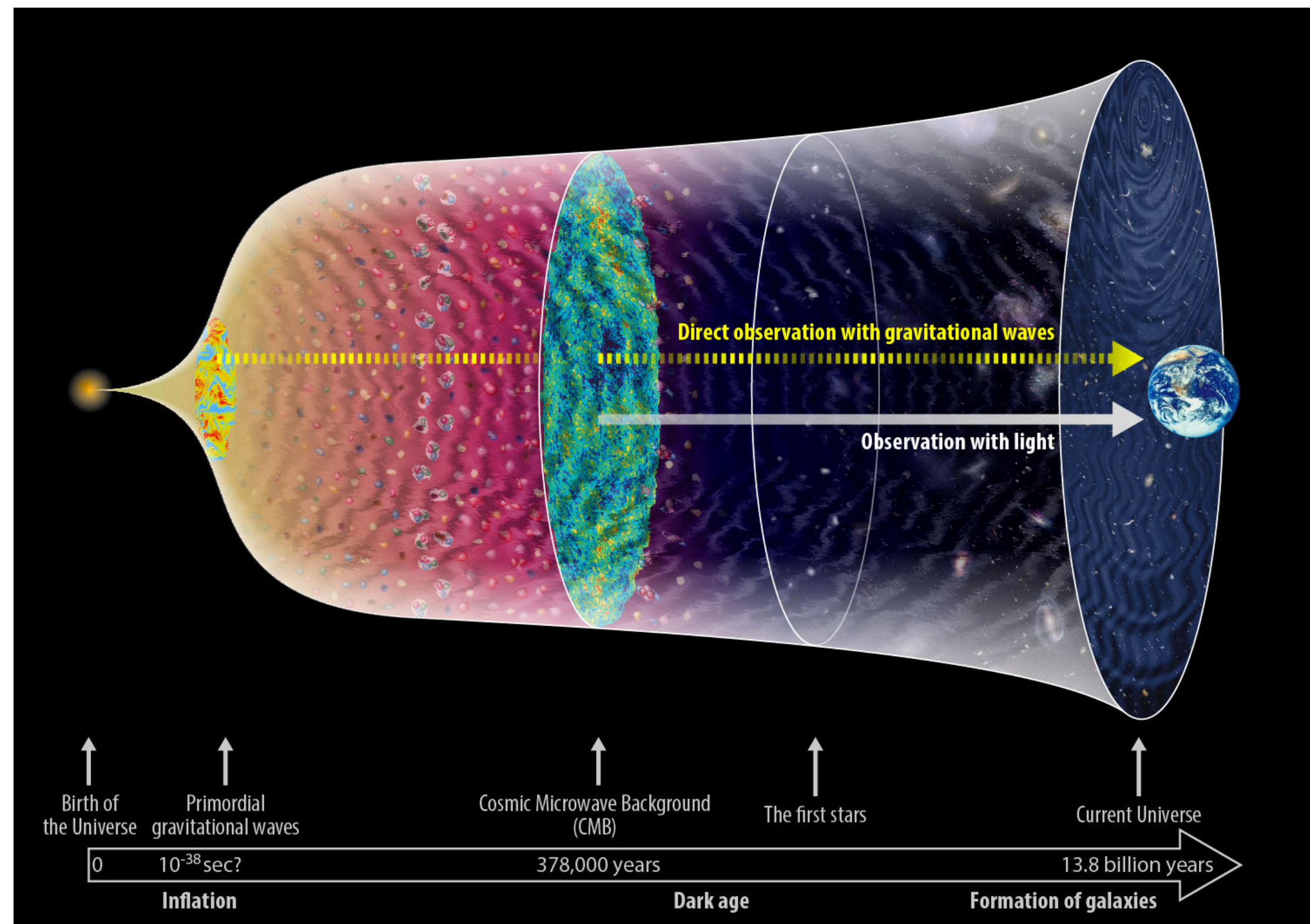












NAOJ

## GW sources in the late Universe

- Mergers of compact stars (e.g., black holes)
- Mergers of galaxies

## GW sources in the early Universe

- Inflation
- Large curvature perturbations
- Phase transitions
- Cosmic strings & domain walls
- Hawking radiation
- ...



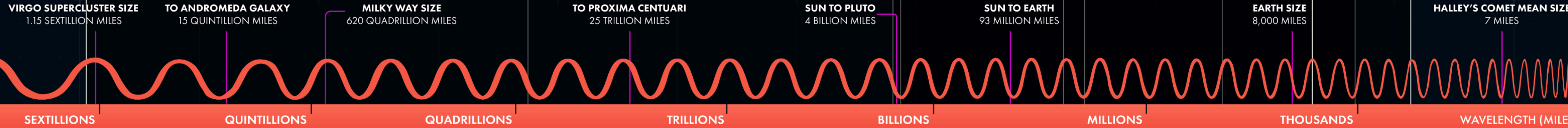
# THE GRAVITATIONAL WAVE SPECTRUM

Gravitational waves are ripples in space-time traveling at light speed. They're created when massive objects accelerate. Different phenomena produce ripples with wavelengths ranging from a few miles to larger than the observable universe. The general range of waves from some sources are shown here. Merging objects emit ever shorter wavelengths as they spiral inward. Pairs of stellar-mass objects include combinations of black holes, neutron stars, and white dwarfs.

Scientists need different detectors to explore these wavelengths, from human-made facilities on the ground and in space to galaxy-sized pulsar timing arrays – sets of rapidly rotating neutron stars monitored for changes. Details in the cosmic microwave background (CMB), the oldest light in the universe, can reveal gravitational waves generated less than a trillionth trillionth of a second after the big bang.



## BIG BANG



## C M B



## P U L S A R TIMING ARRAYS



## SPACE-BASED DETECTORS



## TERRESTRIAL DETECTORS



## SUPERMASSIVE BLACK HOLE PAIRS



## CLOSE PAIRS OF STELLAR-MASS OBJECTS



## MIXED PAIRS OF SUPERMASSIVE & STELLAR-MASS BLACK HOLES



## PULSARS & SUPERNOVAE





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BIG BANG



C M B



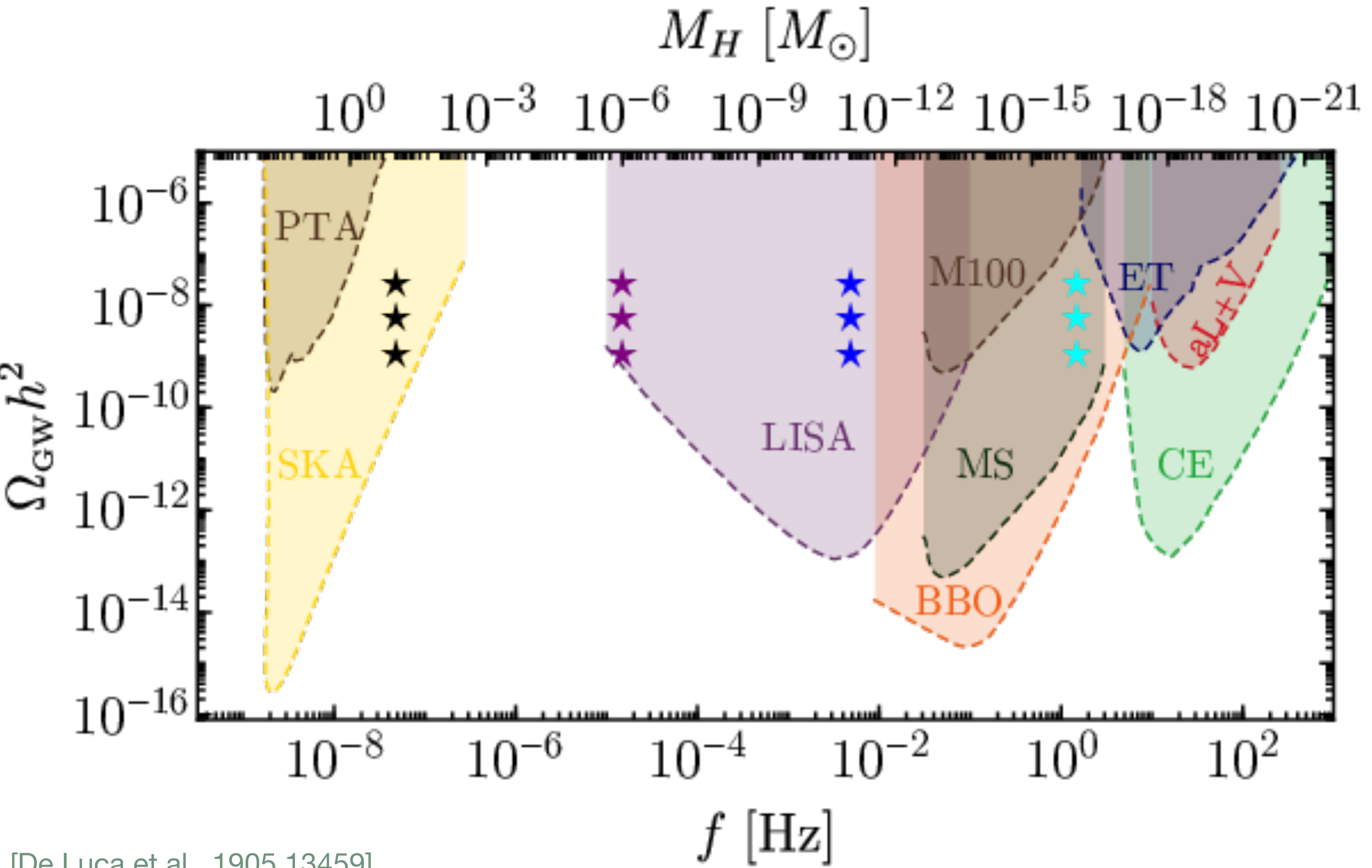
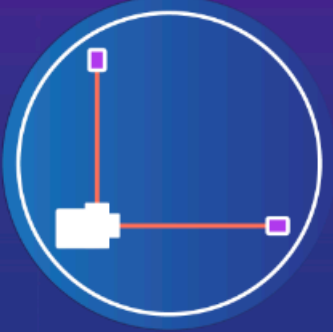
P U L S A R  
T I M I N G  
A R R A Y S



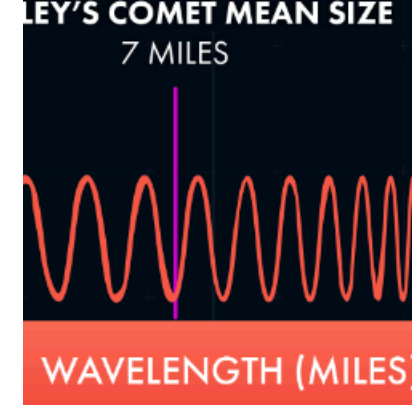
S P A C E - B A S E D  
D E T E C T O R S



T E R R E S T R I A L  
D E T E C T O R S



[De Luca et al., 1905.13459]





# Gravitational Waves induced by Curvature Perturbations

## — Highlights of their use in Cosmology —



# Significance of Induced Gravitational Waves

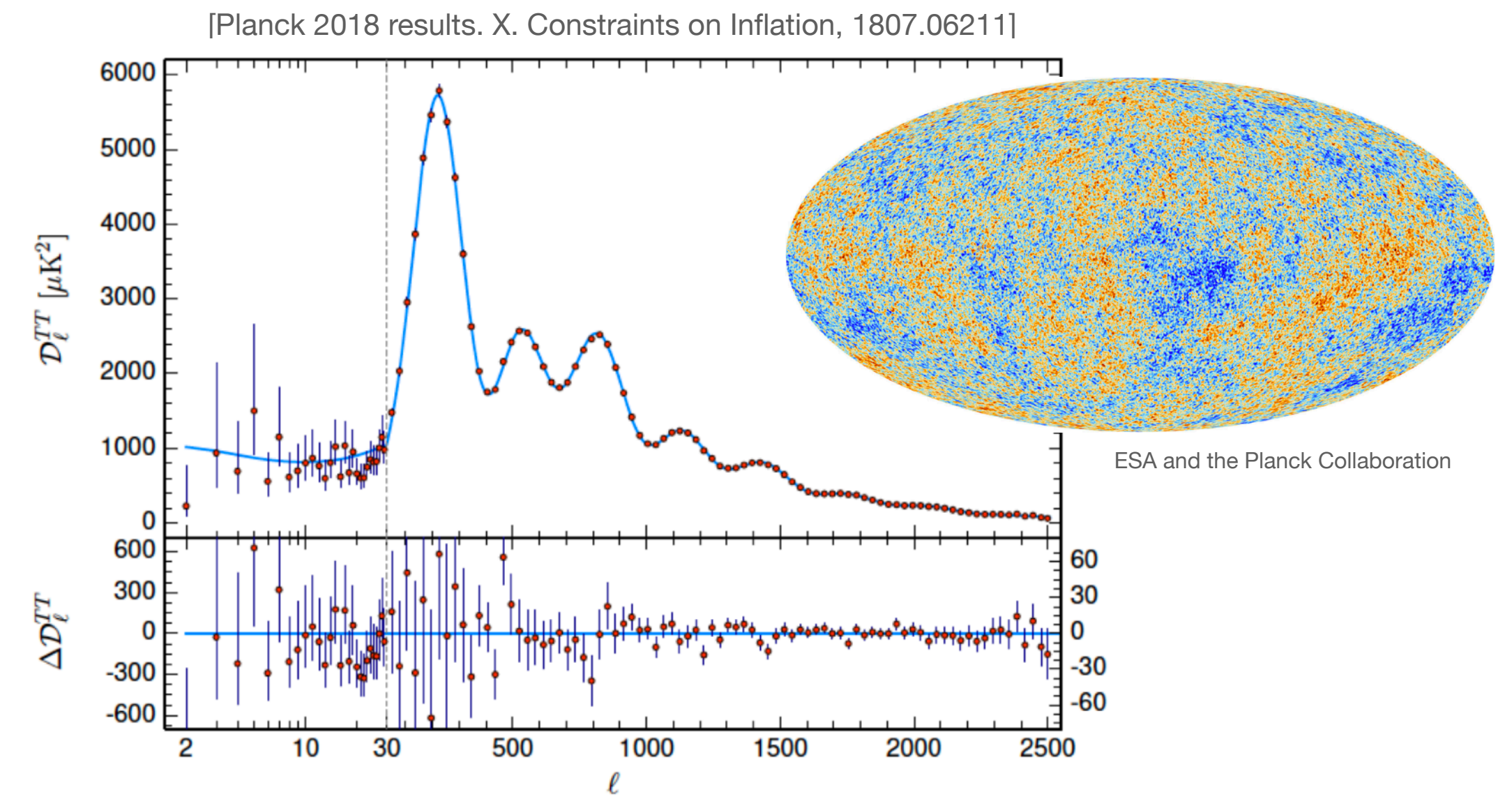
(Scalar-)induced GWs = Gravitational waves induced by curvature perturbations  
(More details later)

Why are they important?

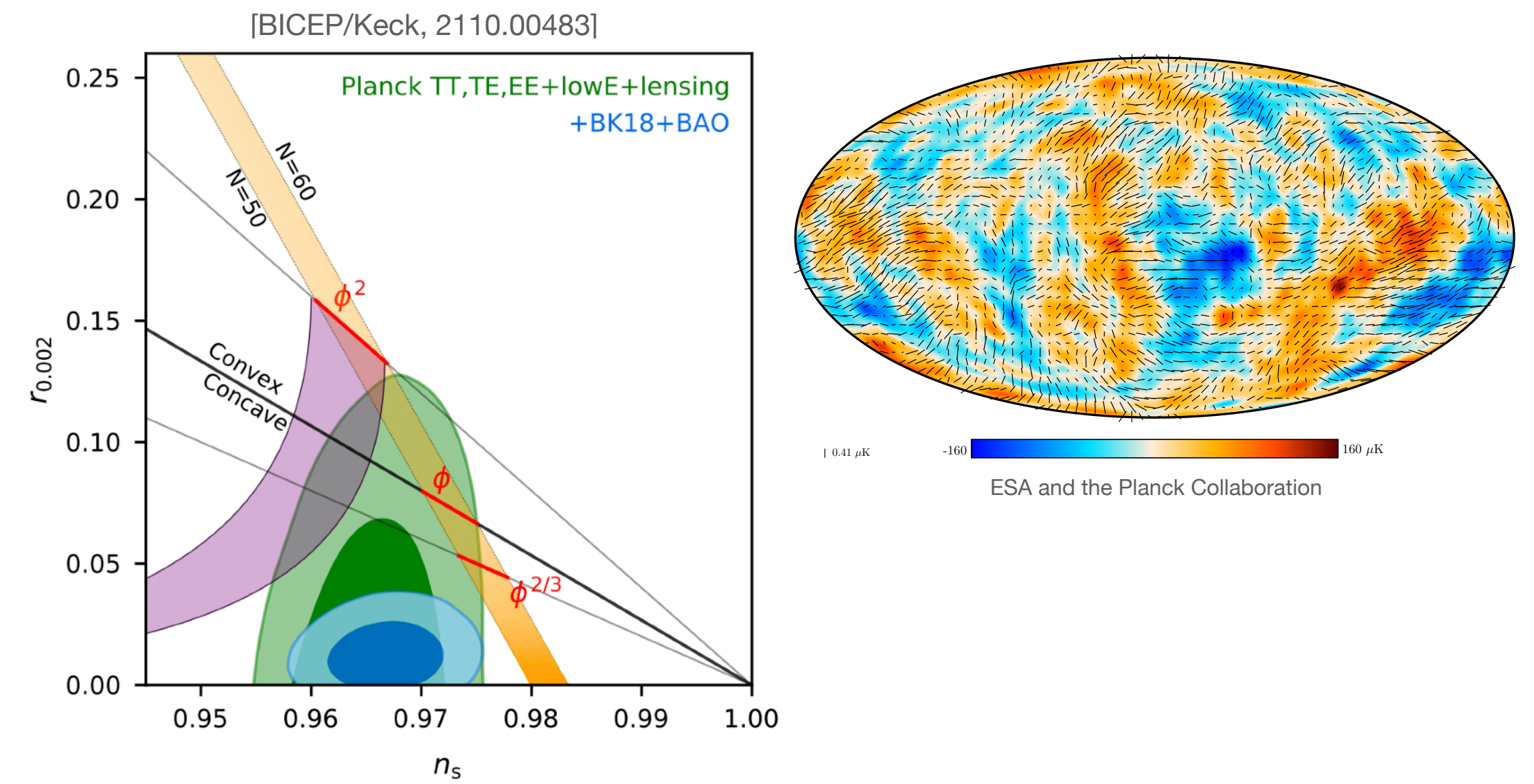
Using them, we can

- probe inflation
- probe thermal history (equation of state)
- explain the pulsar timing array (PTA) data
- test the primordial black hole (PBH) scenario
- test ideas in quantum gravity





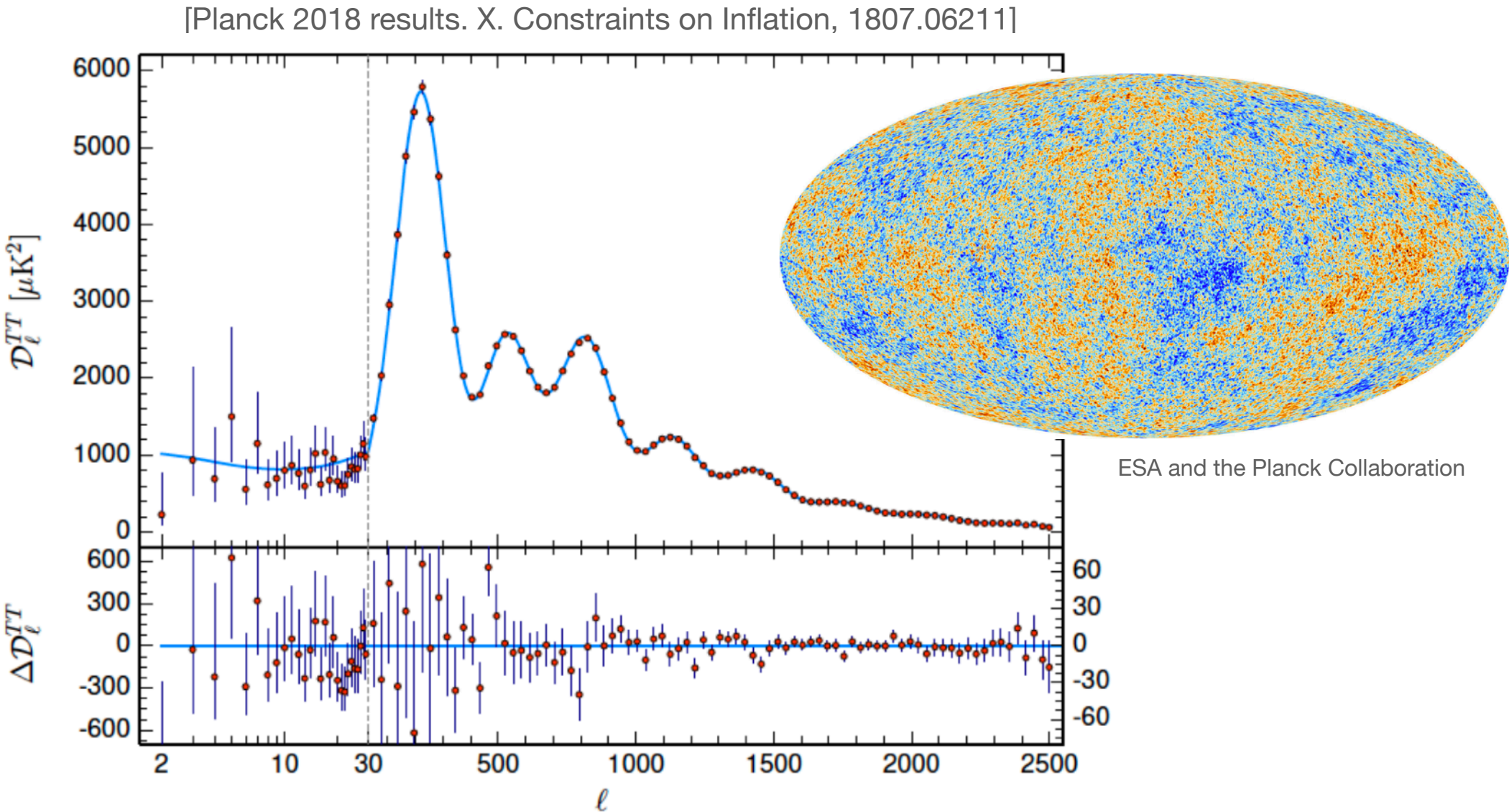
fit by only 6 parameters of the  $\Lambda\text{CDM}$  model!!



Primordial GWs have not been detected but upper-bounded.

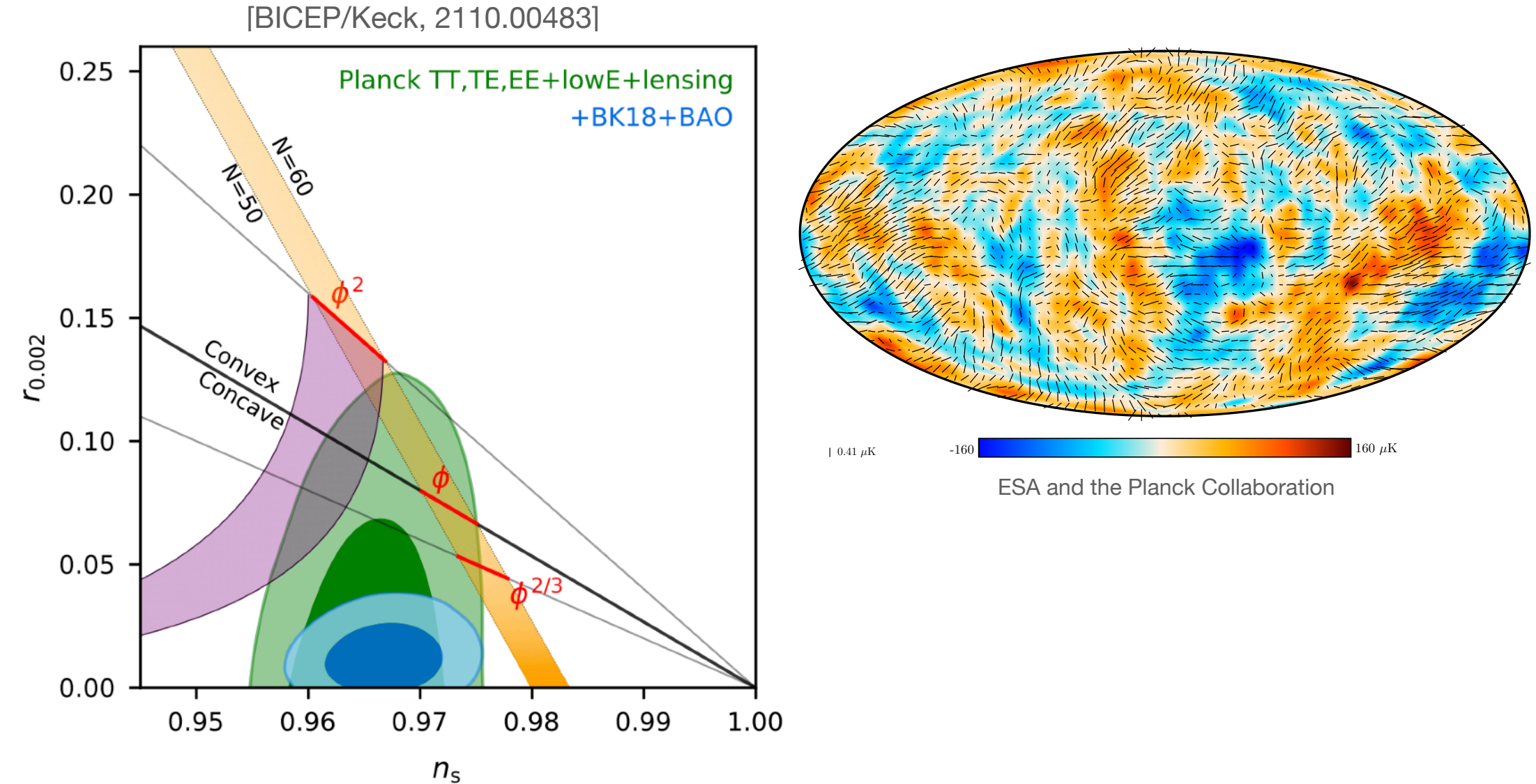


$$\zeta^{(1)}$$



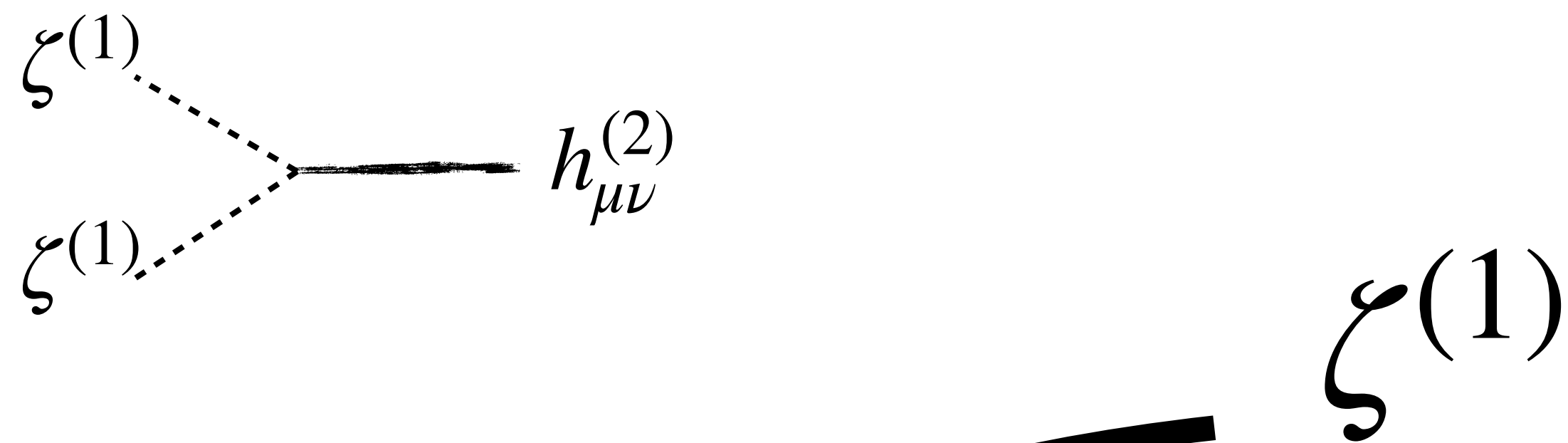
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$$h_{\mu\nu}^{(1)}$$



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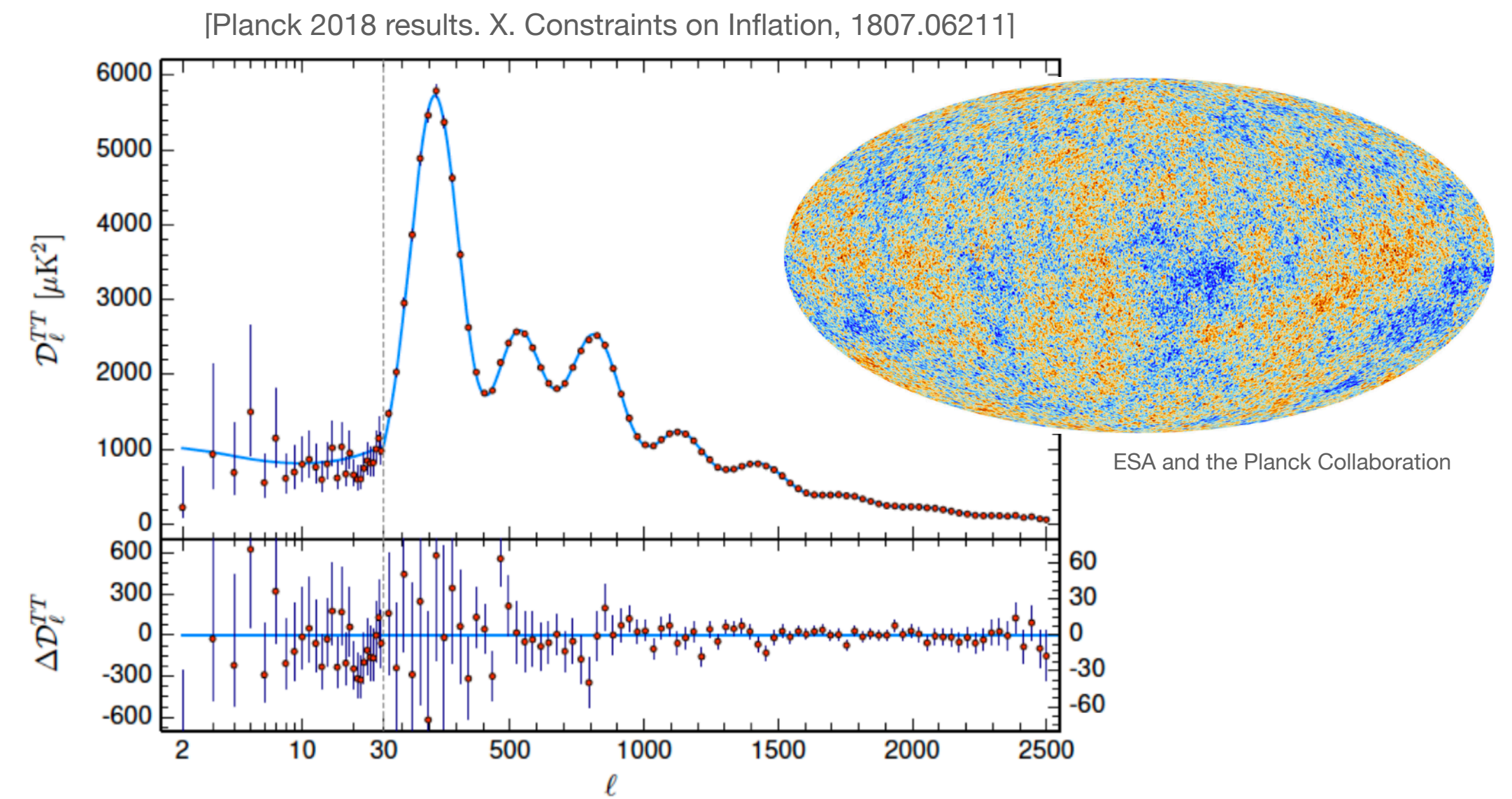




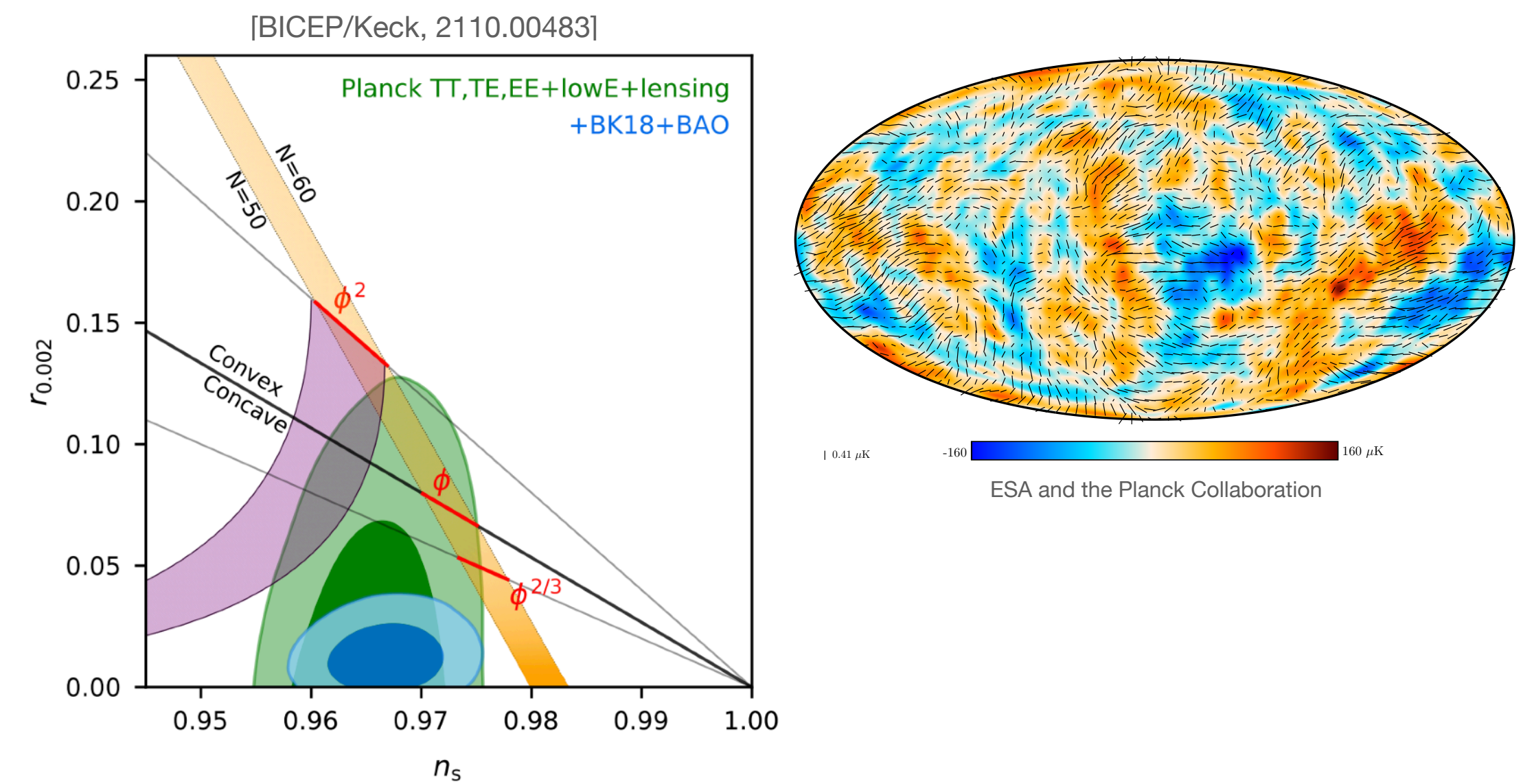
- Nonlinear but perturbative effect
- Potentially important on small scales

$h_{\mu\nu}^{(2)}$ 
 $h_{\mu\nu}^{(1)}$ 

$$\sim \mathcal{O}(\partial_\mu \zeta^{(1)} \partial_\nu \zeta^{(1)})$$



fit by only 6 parameters of the  $\Lambda$ CDM model!!



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# Small-Scale Perturbations Probing Early Universe

Large curvature/density perturbations  $\Rightarrow$

- primordial black holes (PBHs)
- induced gravitational waves (**induced GWs**)

[Saito, Yokoyama, 0812.4339; 0912.5317]

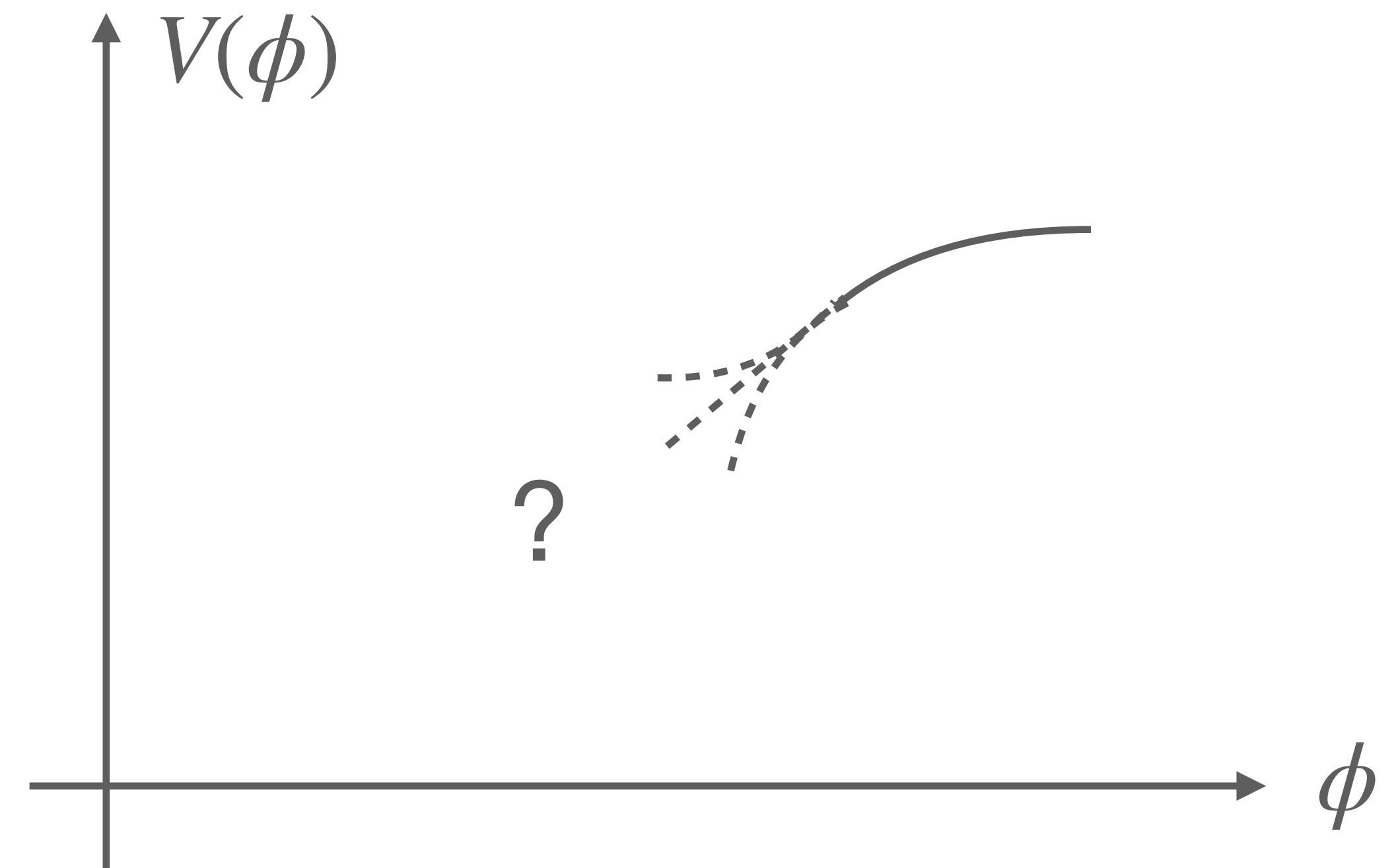
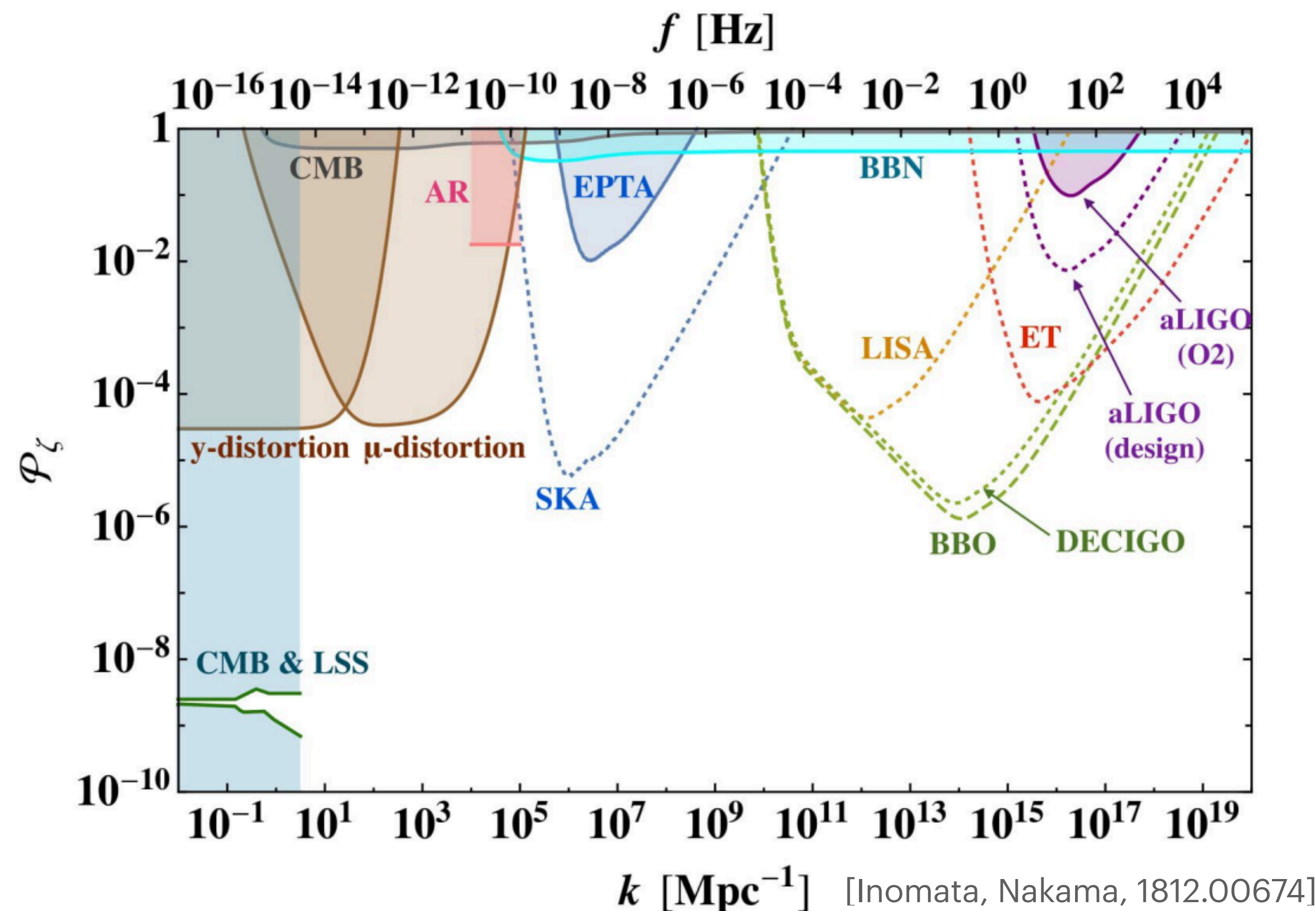


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[Saito, Yokoyama, 0812.4339; 0912.5317]





# Scalar-Induced Gravitational Waves

(Induced GWs, Second-order GWs, Secondary GWs, ...)

[Ananda, Clarkson, Wands, gr-qc/0612013], [Baumann, Steinhardt, Takahashi, Ichiki, hep-th/0703290] For reviews, see [Yuan, Huang, 2103.04739], [Domènech, 2109.01398].

Conformal Newtonian gauge (There are some variants of its name.)

$$ds^2 = -a^2(1 + 2\Phi)d\eta^2 + a^2 \left( (1 - 2\Psi)\delta_{ij} + \frac{1}{2}h_{ij} \right) dx^i dx^j$$

Gravitational potential    Curvature perturbations    GW (tensor mode)

(In the absence of anisotropic stress,  $\Phi = \Psi$ .)



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Graviton mode expansion

$$h_{ij}(\eta, \mathbf{x}) = \int \frac{d^3k}{(2\pi)^{3/2}} \left( e_{ij}^+(\mathbf{k}) h_{\mathbf{k}}^+(\eta) + e_{ij}^\times(\mathbf{k}) h_{\mathbf{k}}^\times(\eta) \right) e^{i\mathbf{k} \cdot \mathbf{x}}$$



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Equation of motion

$$h_{\mathbf{k}}''(\eta) + 2\mathcal{H}h_{\mathbf{k}}'(\eta) + k^2 h_{\mathbf{k}}(\eta) = 4S_{\mathbf{k}}(\eta)$$

where  $\mathcal{H} = aH$  is the conformal Hubble, and the source term is 
$$S_{\mathbf{k}} = \int \frac{d^3q}{(2\pi)^{3/2}} e_{ij}(\mathbf{k}) q_i q_j \left( 2\Phi_{\mathbf{q}} \Phi_{\mathbf{k}-\mathbf{q}} + \frac{4}{3(1+w)} (\mathcal{H}^{-1}\Phi'_{\mathbf{q}} + \Phi_{\mathbf{q}})(\mathcal{H}^{-1}\Phi'_{\mathbf{k}-\mathbf{q}} + \Phi_{\mathbf{k}-\mathbf{q}}) \right)$$



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GW energy density on subhorizon scales [Maggiore, gr-qc/9909001]

$$\rho_{\text{GW}}(\eta) = \frac{1}{16a^2} \langle \overline{h'_{ij} h'_{ij}} \rangle = \frac{1}{16a^2} \langle \overline{h_{ij,k} h_{ij,k}} \rangle = \int d \ln k \rho_{\text{GW}}(\eta, k)$$

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Dimensionless Power spectrum

$$\langle h_{\mathbf{k}}^\lambda(\eta) h_{\mathbf{k}'}^{\lambda'}(\eta) \rangle = \delta_{\lambda\lambda'} \delta^3(\mathbf{k} + \mathbf{k}') \frac{2\pi^2}{k^3} \mathcal{P}_h(\eta, k)$$

$$\Omega_{\text{GW}}(\eta, k) = \frac{\rho_{\text{GW}}(\eta, k)}{\rho_{\text{total}}(\eta)} = \frac{1}{24} \left( \frac{k}{aH} \right)^2 \overline{\mathcal{P}_h(\eta, k)}$$

Equation of motion

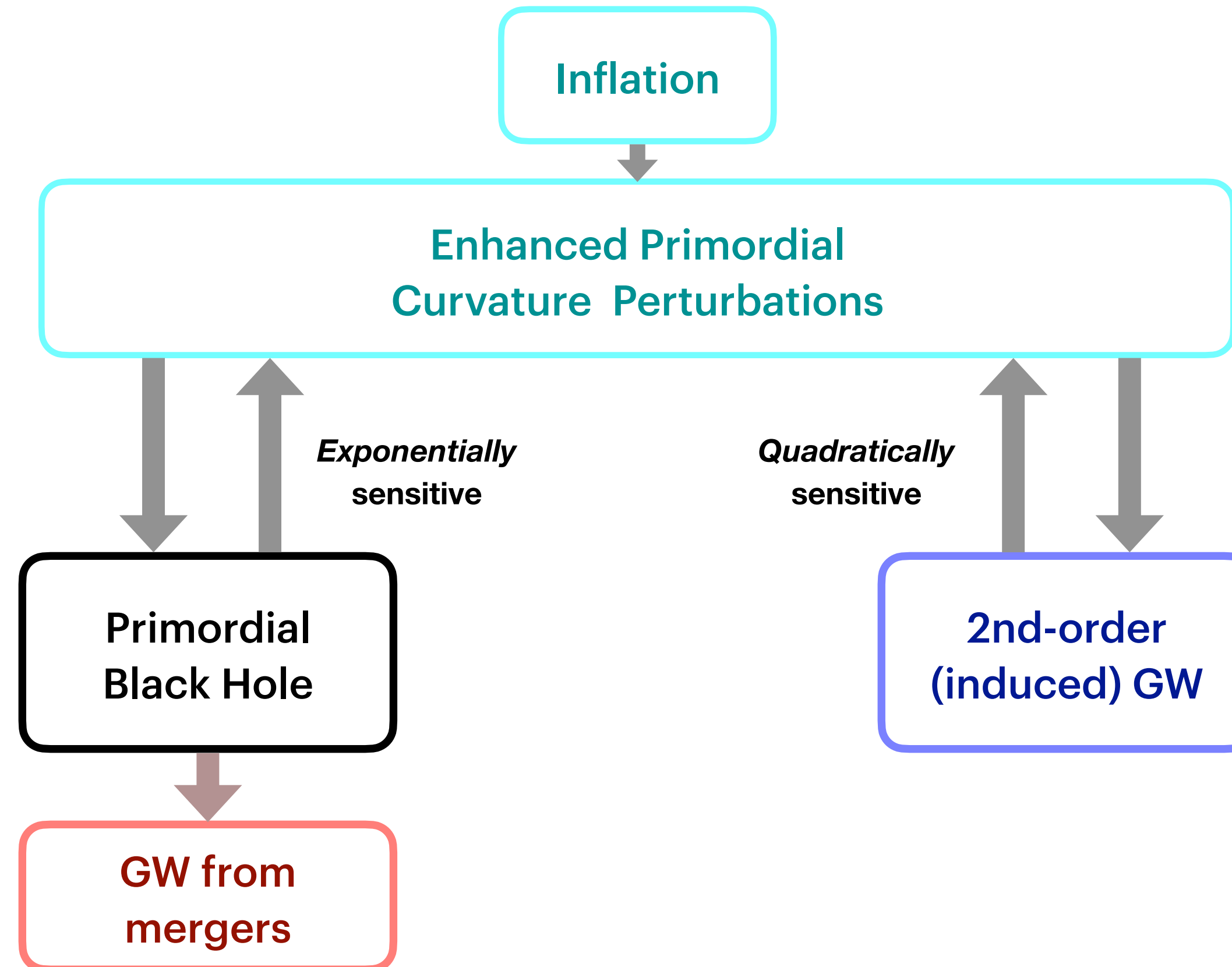
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# Relation to Primordial Black Holes

[Saito, Yokoyama, 0812.4339; 0912.5317]



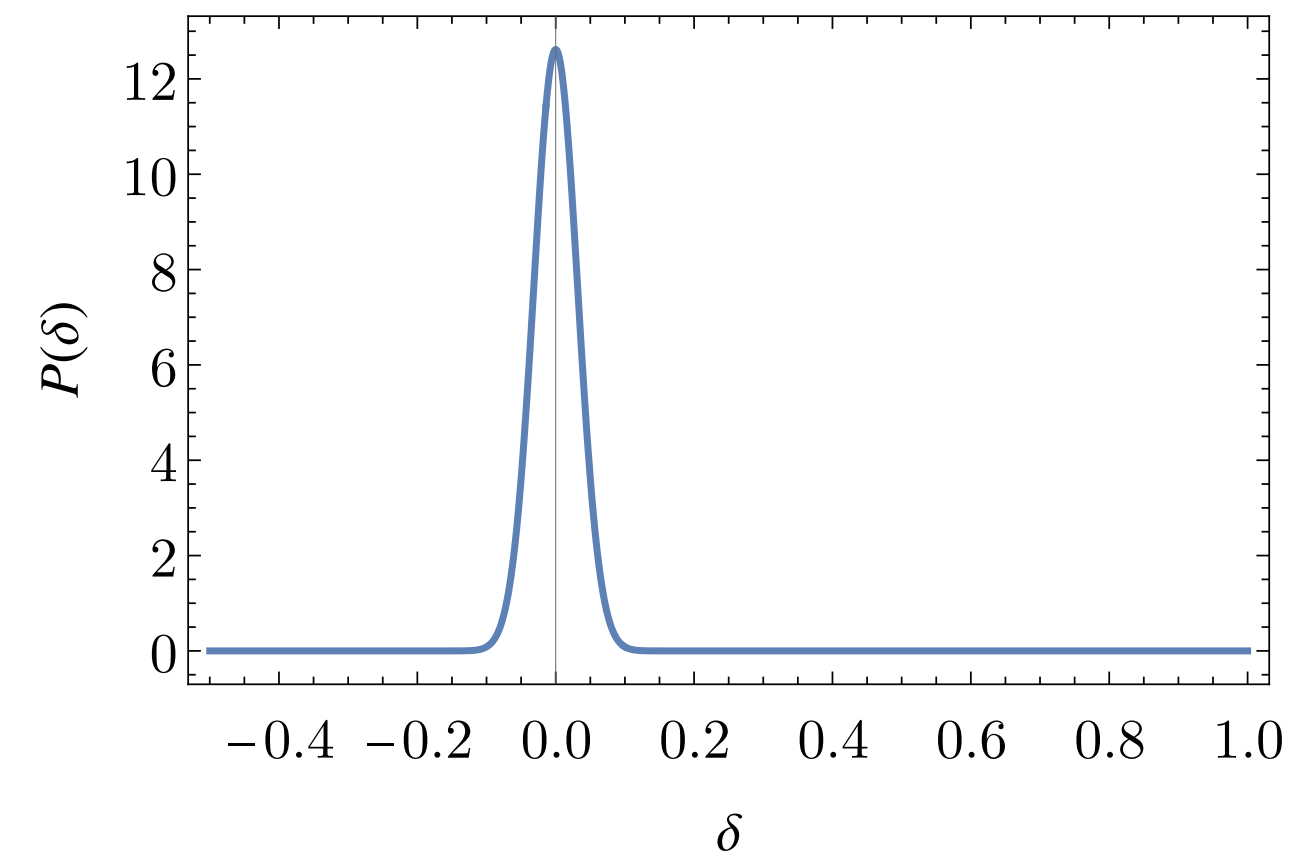
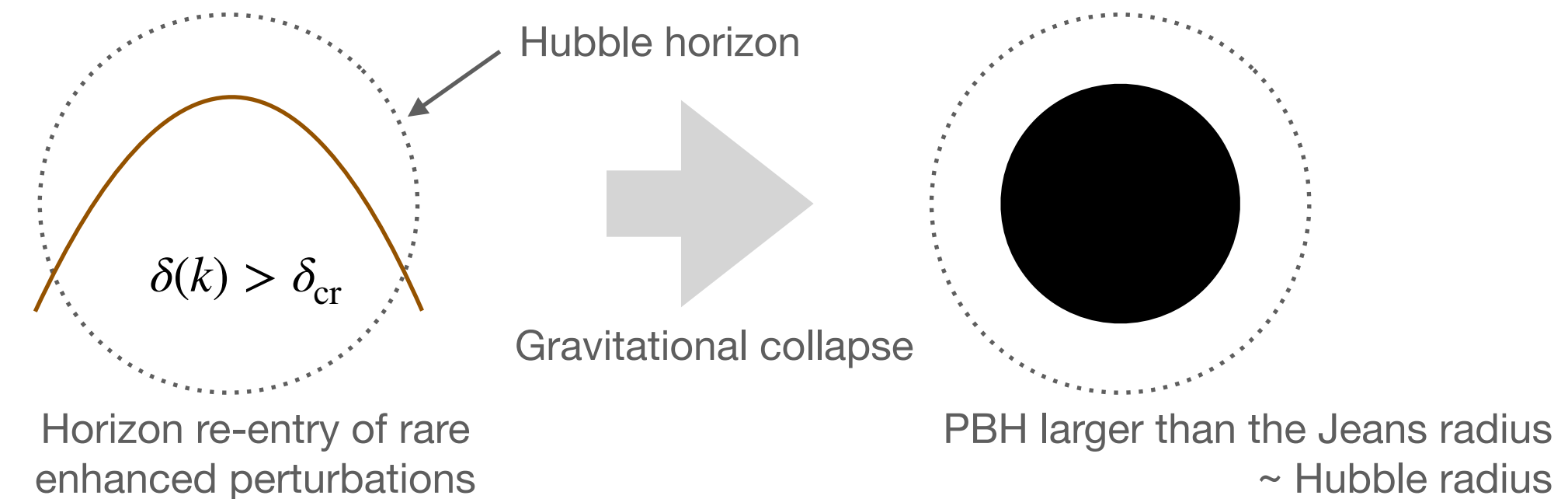
$$\begin{aligned}
 M &= 8.5 \times 10^{-4} M_{\odot} \left( \frac{\gamma}{0.2} \right) \left( \frac{g_*(T)}{10.75} \right)^{-1/6} \left( \frac{6.5 \times 10^7 \text{ Mpc}^{-1}}{k} \right)^2 \\
 &= 8.5 \times 10^{-4} M_{\odot} \left( \frac{\gamma}{0.2} \right) \left( \frac{g_*(T)}{10.75} \right)^{-1/6} \left( \frac{1.0 \times 10^{-7} \text{ Hz}}{f} \right)^2
 \end{aligned}$$

# PBH in a nutshell

## Production mechanism

The most popular mechanism is the direct gravitational collapse of the horizon-sized overdensity.

$$M \sim \rho \times H^{-3} \sim \frac{M_{\text{P}}^2}{H}$$



a Gaussian probability distribution of overdensity

$$\beta \equiv \left. \frac{\rho_{\text{PBH}}}{\rho} \right|_{\text{form}} \sim \exp \left( -\frac{\delta_c^2}{2\sigma^2} \right)$$

PBH formation is a VERY rare process.

Behaves like cold DM

$$\rho_{\text{PBH}} \sim a^{-3}$$

Long lifetime (for heavy PBHs)

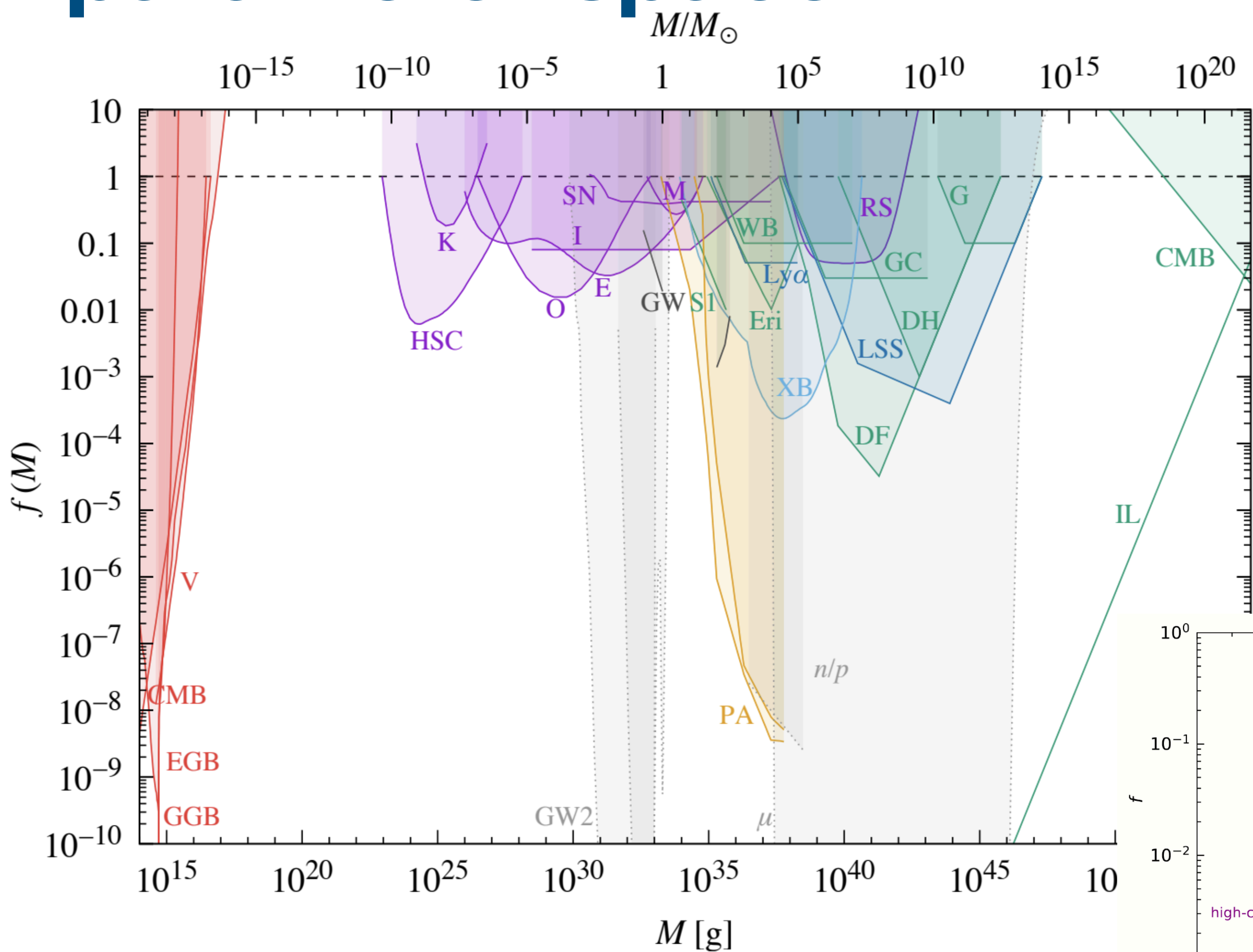
$$\tau \sim \frac{M^3}{M_{\text{P}}^2}$$



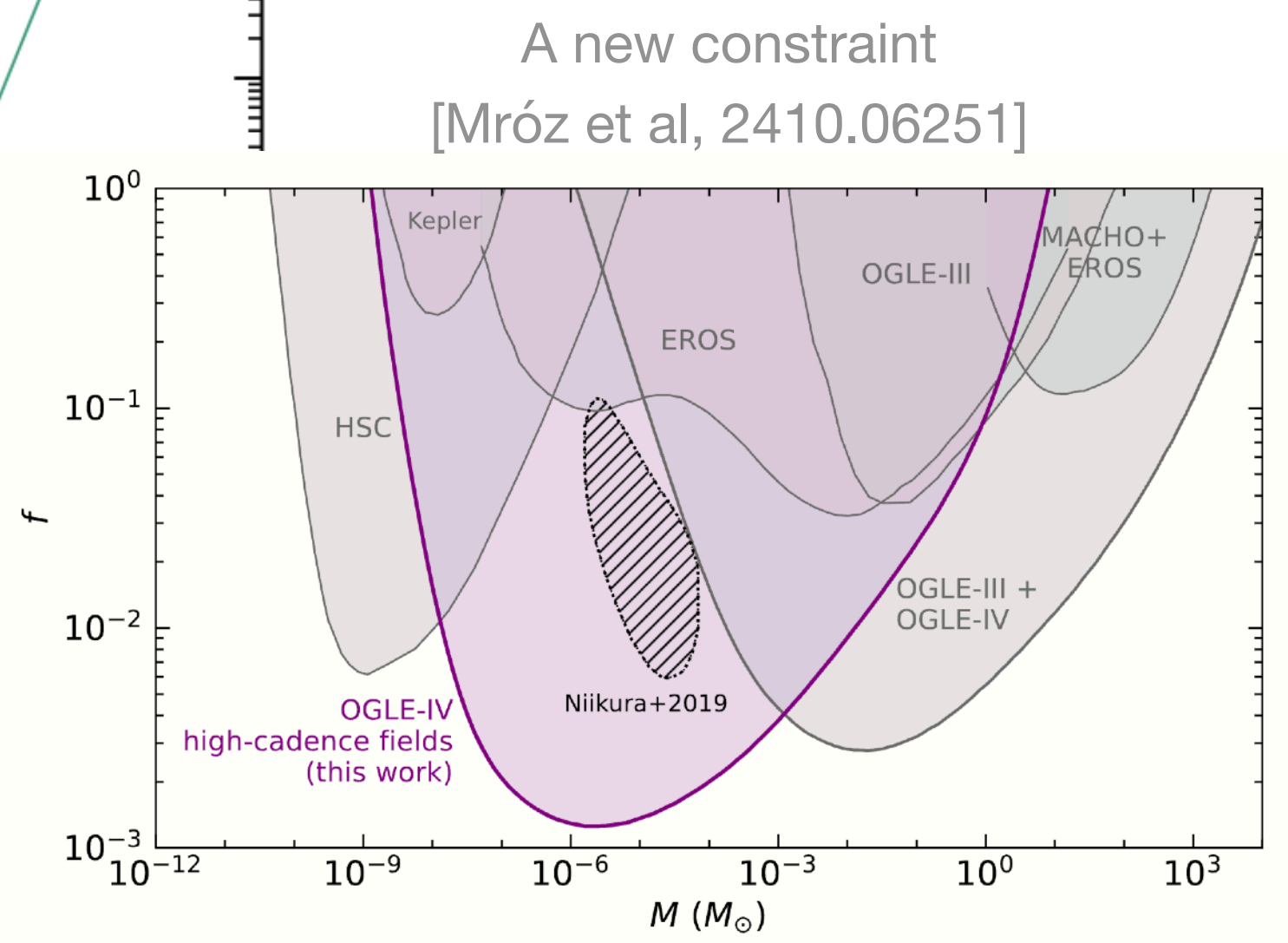
# PBH DM parameter space

$1M_{\odot} = 2 \times 10^{30} \text{ kg}$

$f(M) \equiv \frac{\rho_{\text{PBH}}}{\rho_{\text{DM}}}$



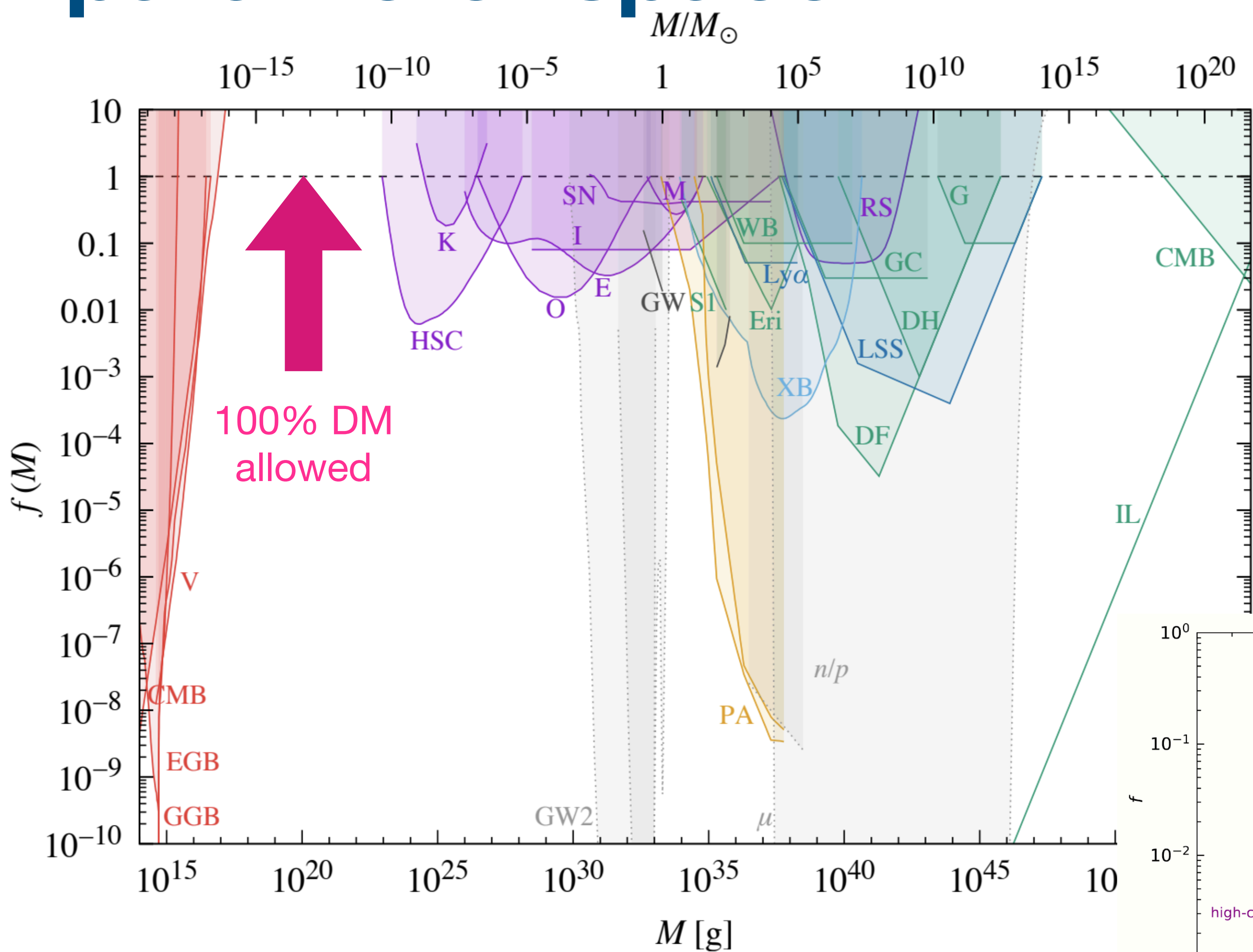
[Carr, Kohri, Sendouda, Yokoyama, [2002.12778](#)]



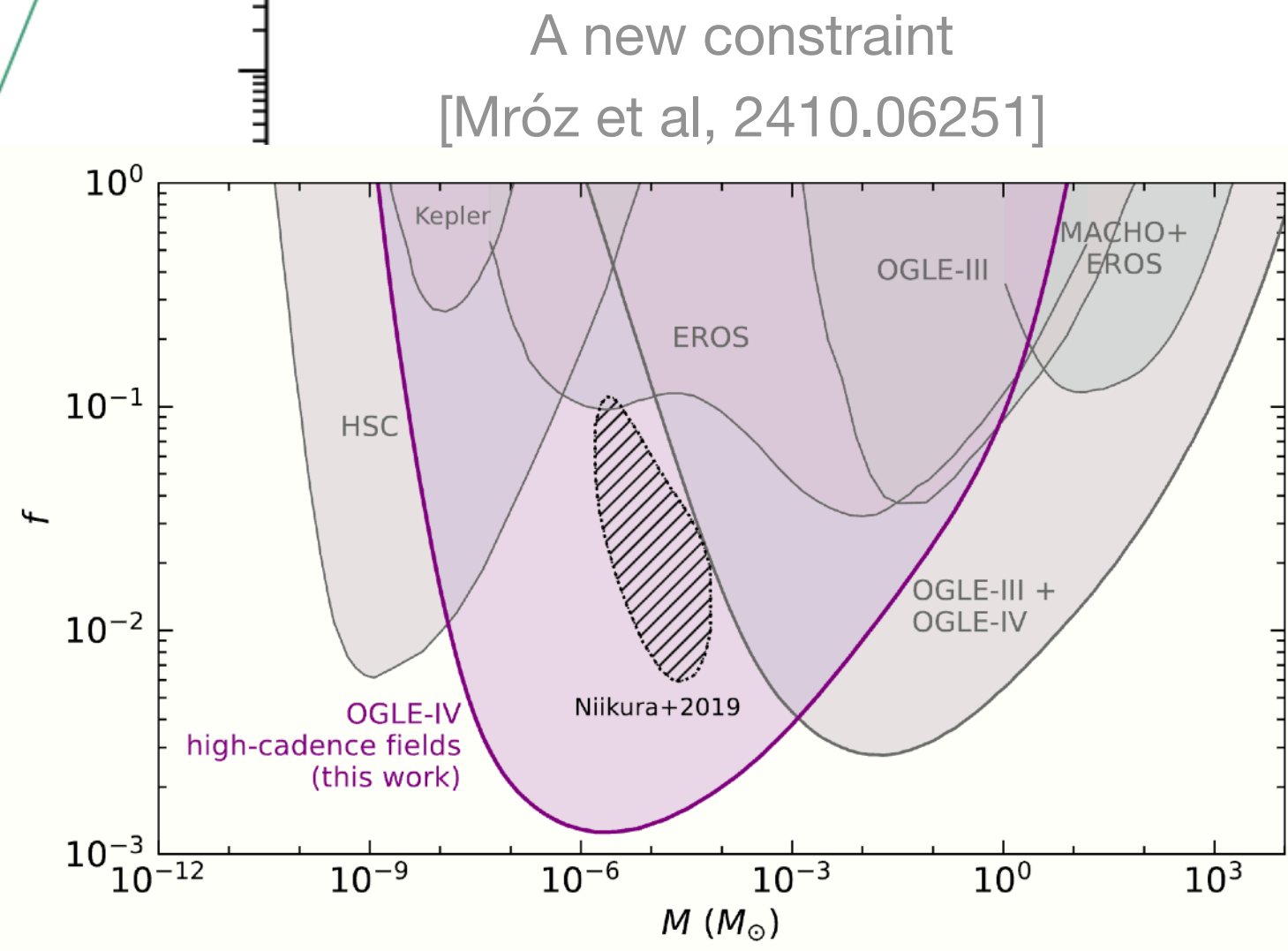
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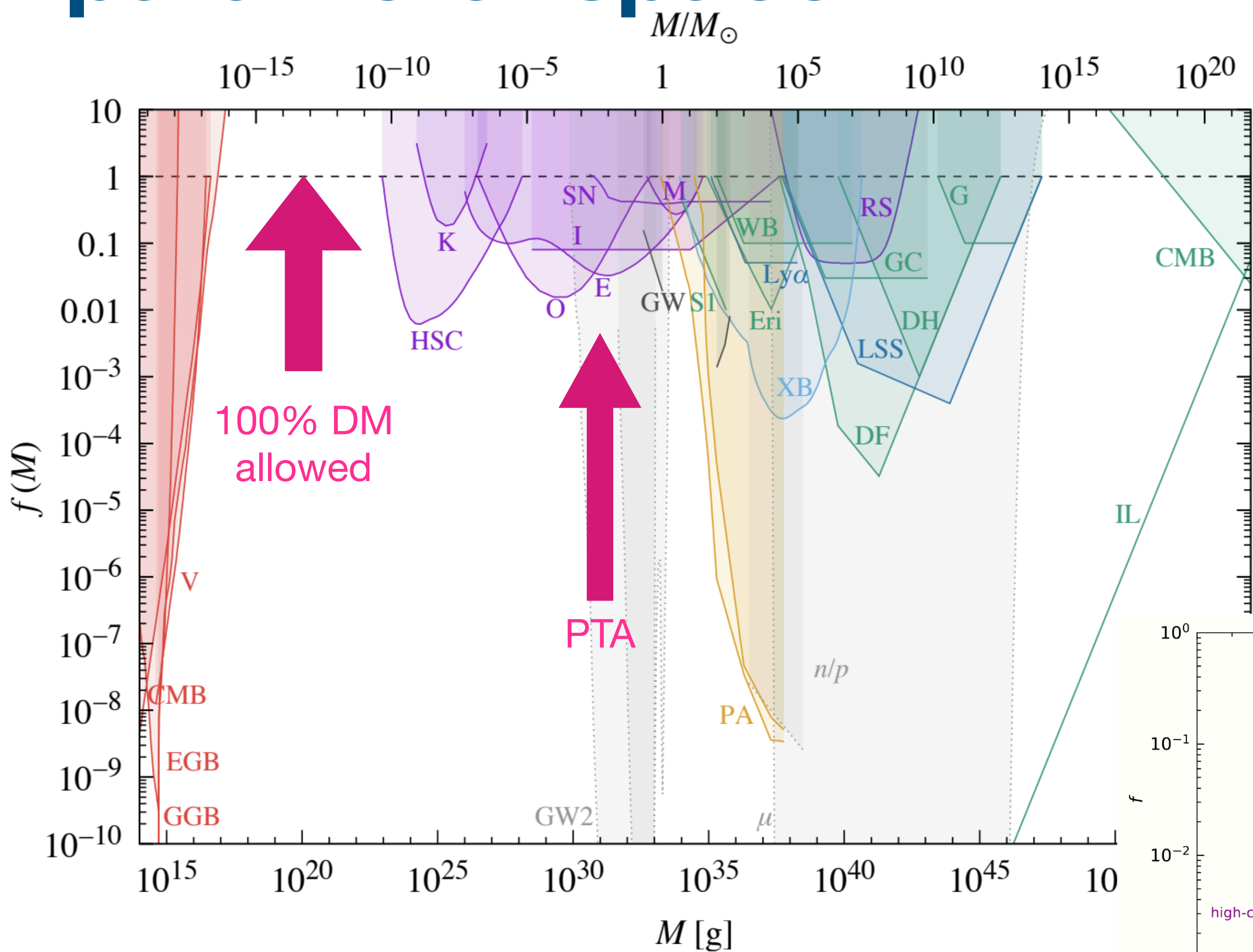




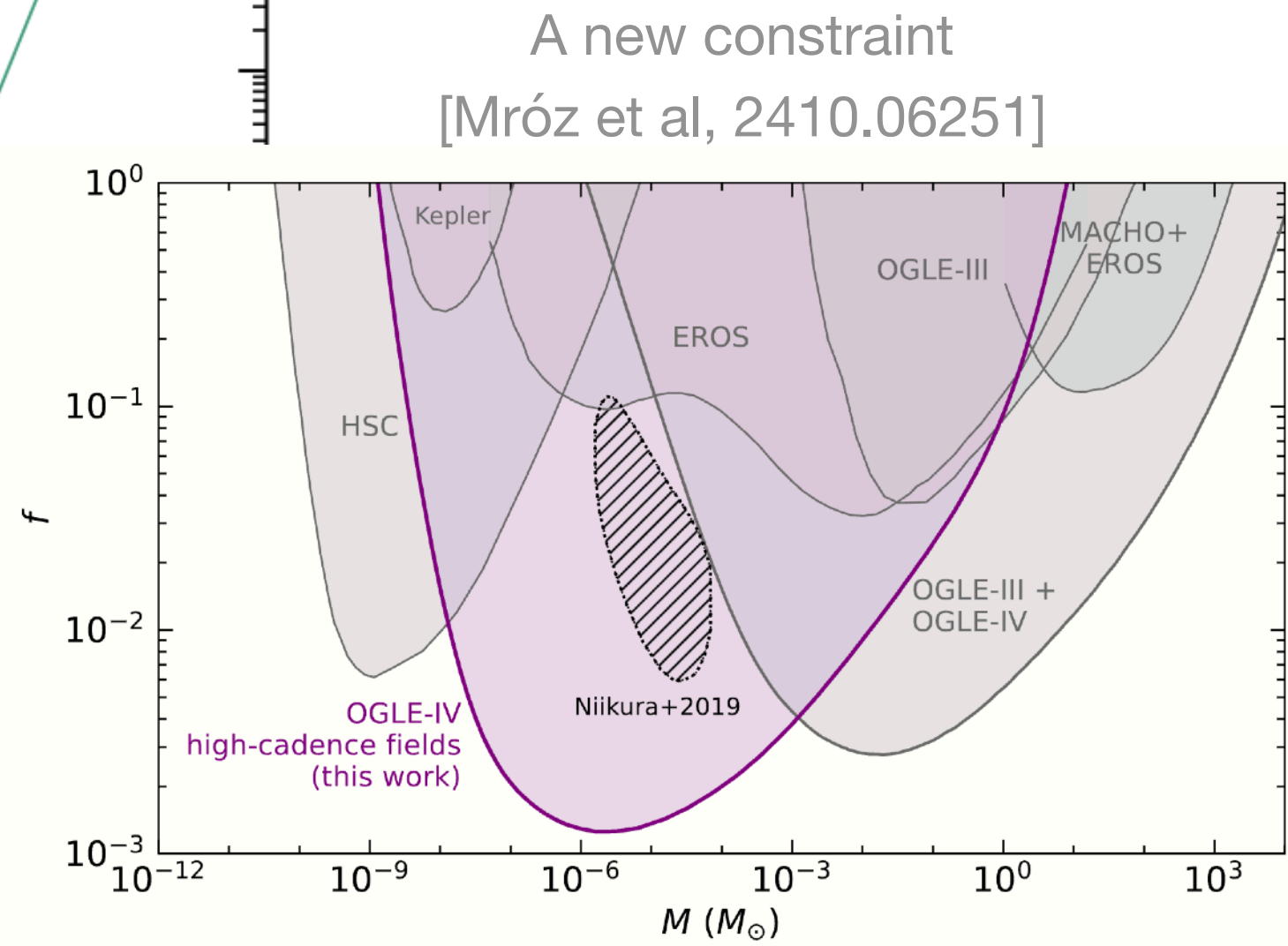
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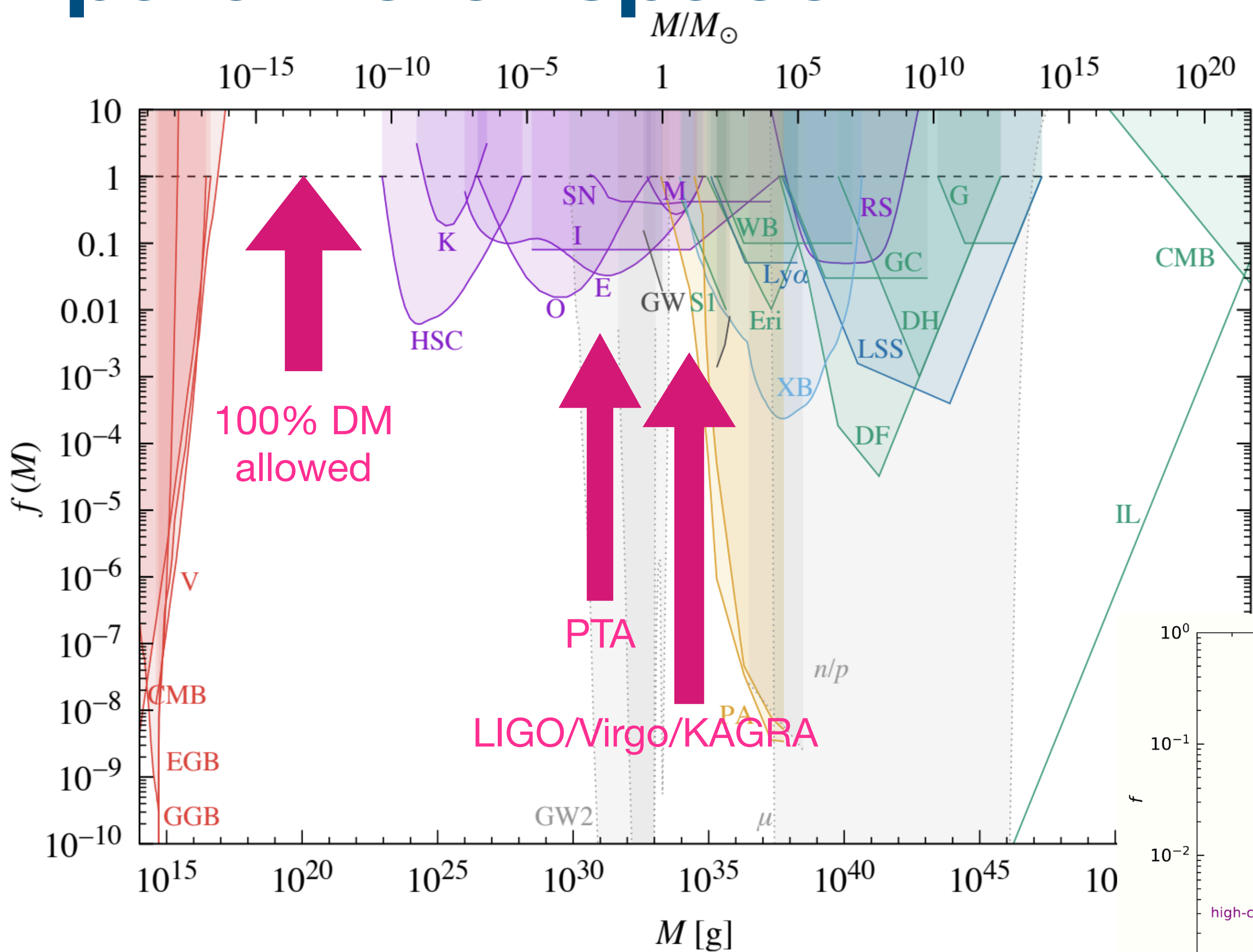
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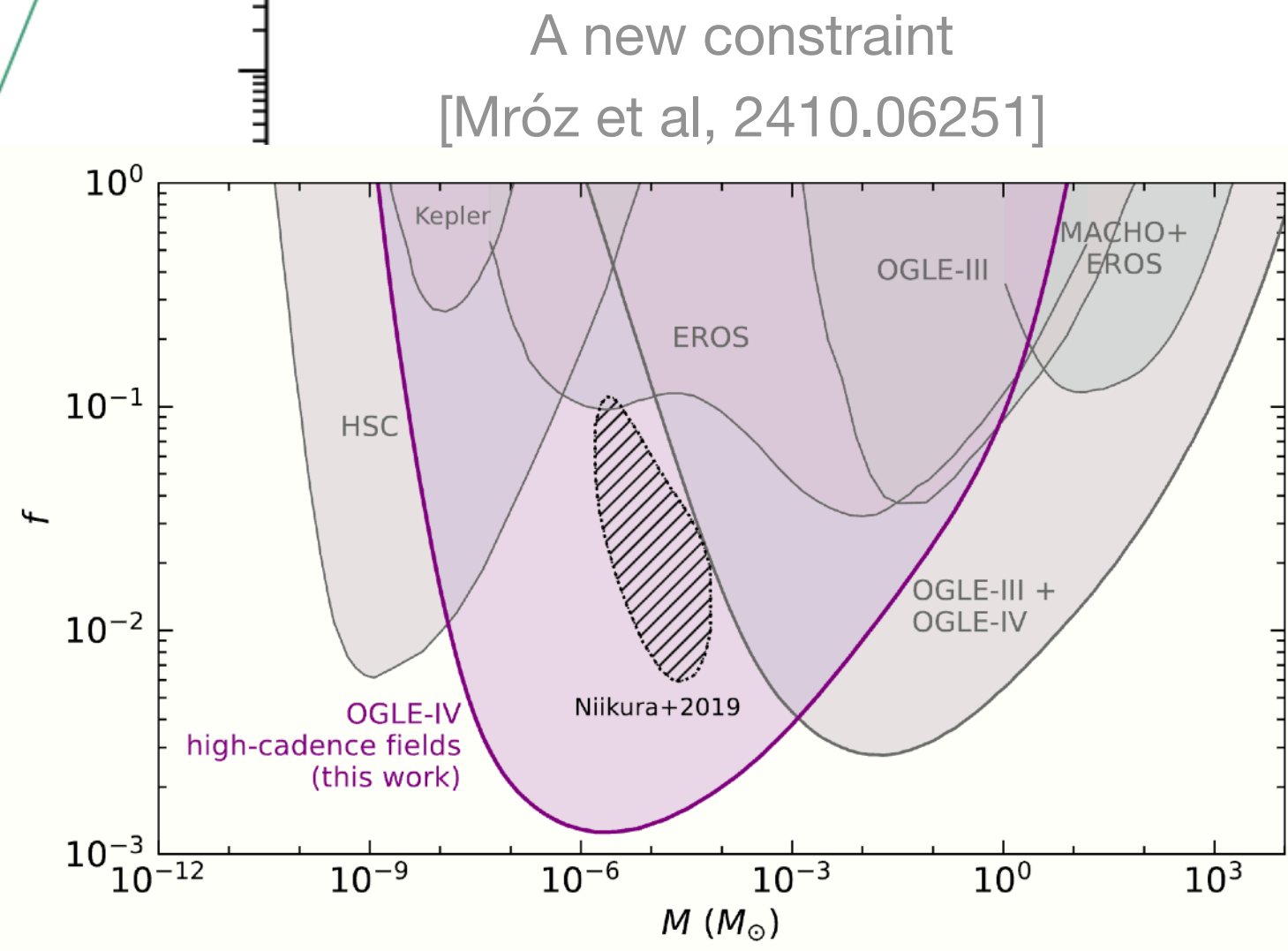
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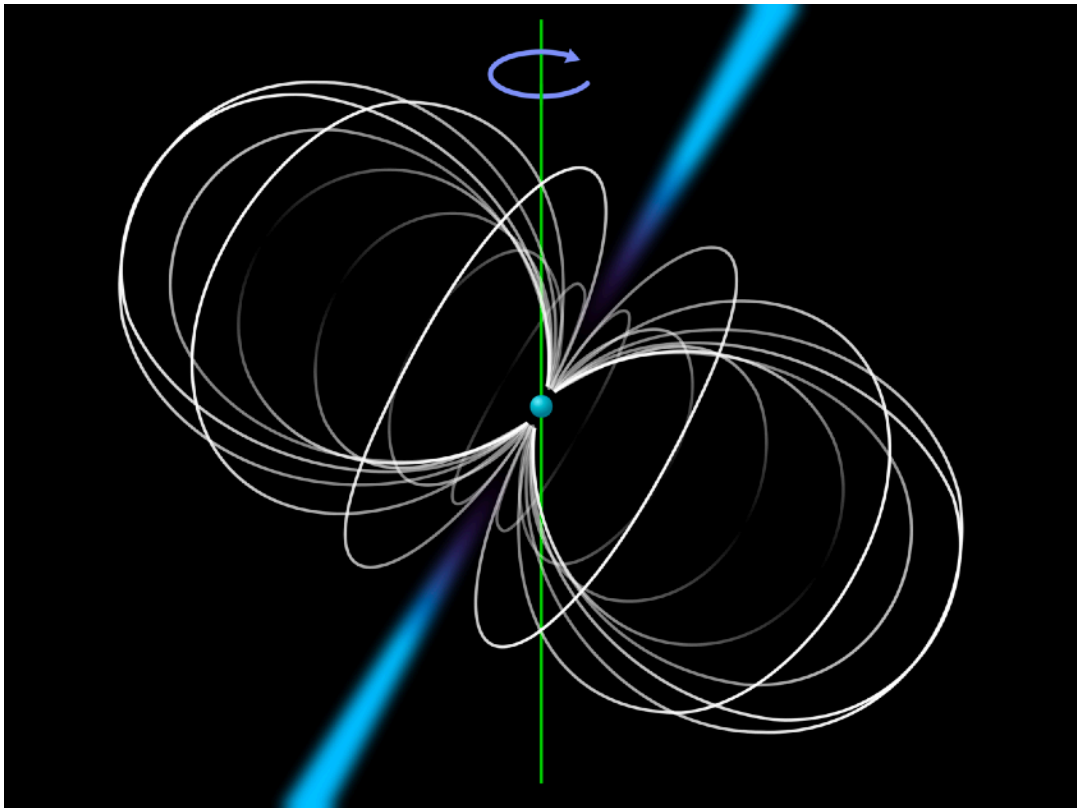
Why are they important?

Using them, we can

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# Pulsar Timing Array

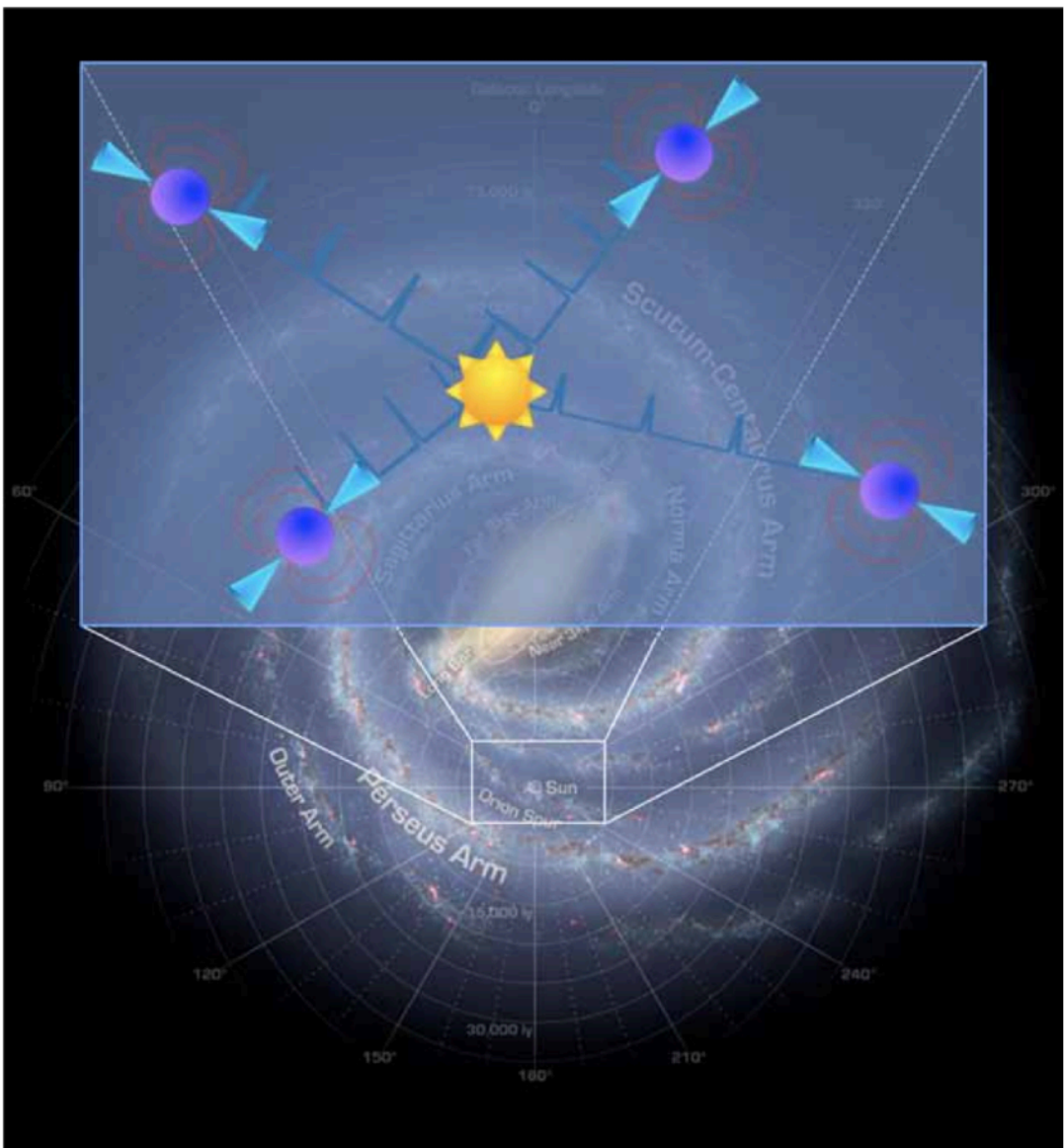


## Pulsar: one of the best clocks in Nature

- supposed to be a spinning neutron star emitting jets
- **regular periods** (milliseconds ~ seconds)

Uncertainty in the Time Of Arrival (TOA)  $\sigma_{\text{TOA}} = 200 \text{ ns}$

[from talk by David Nice, NANOGrav PFC; Fall 2017]

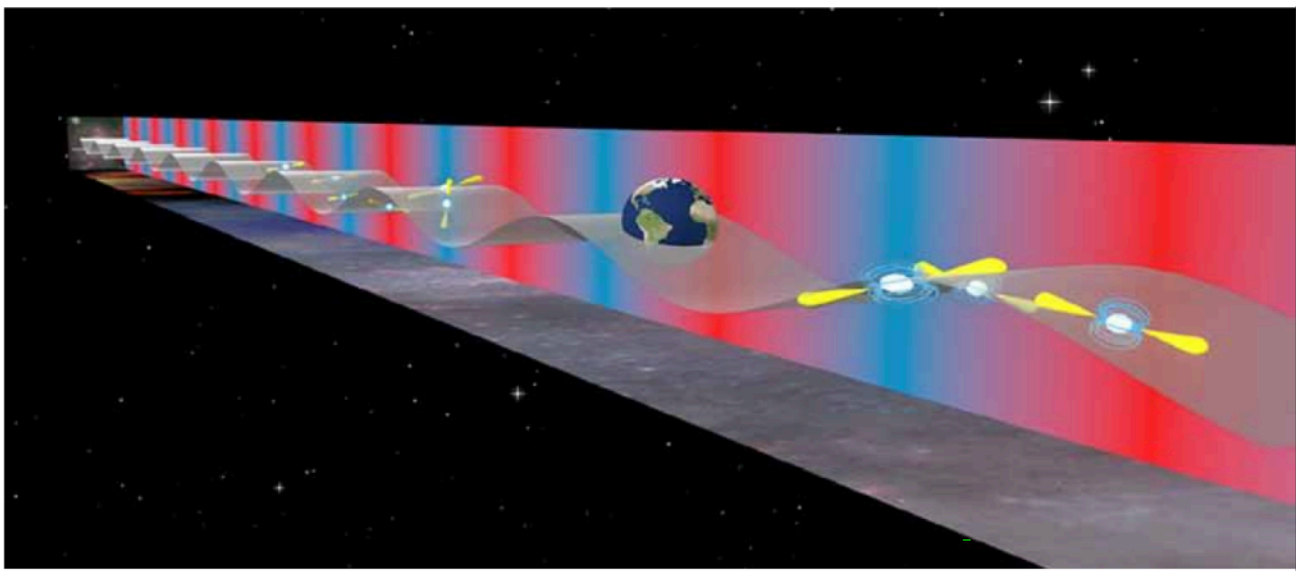


**Figure 3:** Illustration of lines-of-sight in our Galaxy to four of the 70+ pulsars used in NANOGrav for gravitational wave detection. Each line-of-sight, spanning thousands of light years, is like a detector arm of LIGO. Courtesy Jeffrey Hazboun / NASA / JPL-Caltech / R. Hurt (SSC-Caltech)

**pulsar timing**  
**= long-term monitoring of pulsars**

*O(10) mins. observation per a month*

$$f_{\text{yr}} \approx 32 \text{ nHz}$$

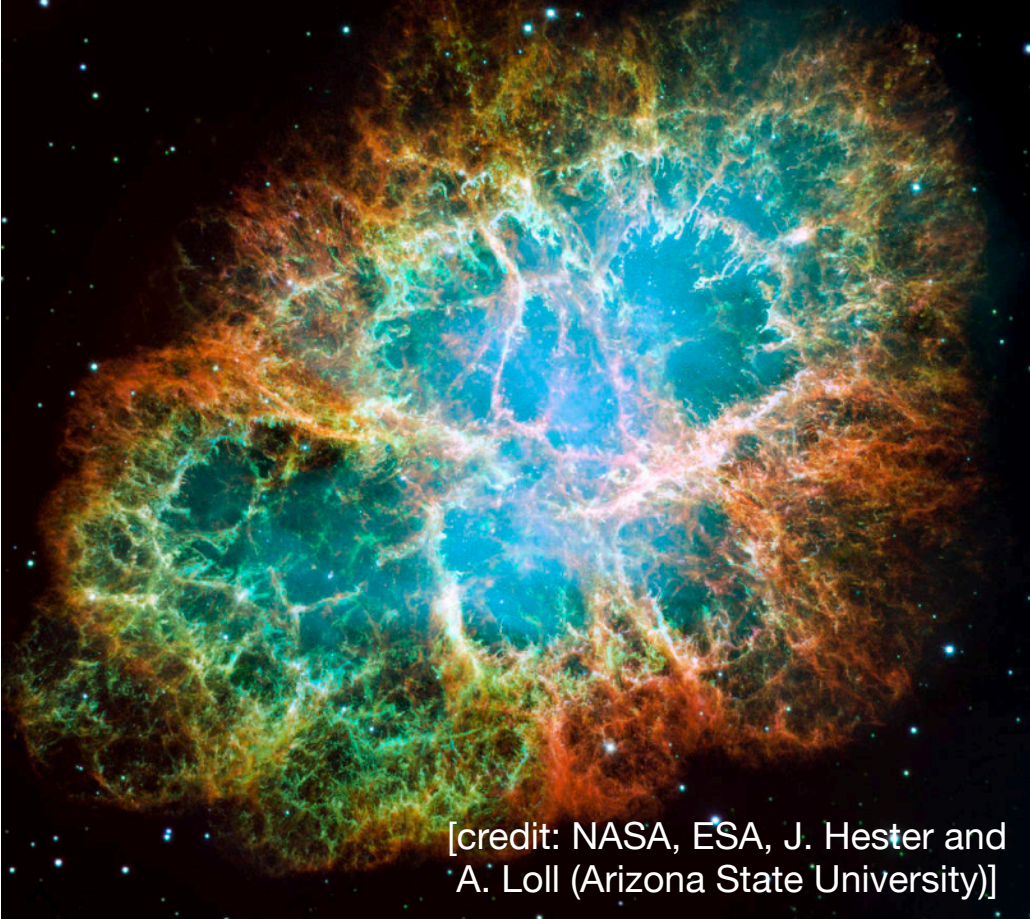


**Figure 4:** Continuous gravitational waves perturbing the positions of the earth and of several radio pulsars. Credit: B. Saxton (NRAO/AUI/NSF)

[from AstroBeats by Timothy Dolch]

## pulse timing modulated by

- scattering with interstellar medium
- binary rotation of a pulsar
- instrumental noises
- solar-system motion (cf. ephemeris uncertainty)
- **gravitational waves**



[credit: NASA, ESA, J. Hester and A. Loll (Arizona State University)]



[credit: NASA and ESA; Acknowledgment: J. Hester (ASU) and M. Weisskopf (NASA/MSFC)]



[from Wikipedia]



# Pulsar Timing Array Collaborations

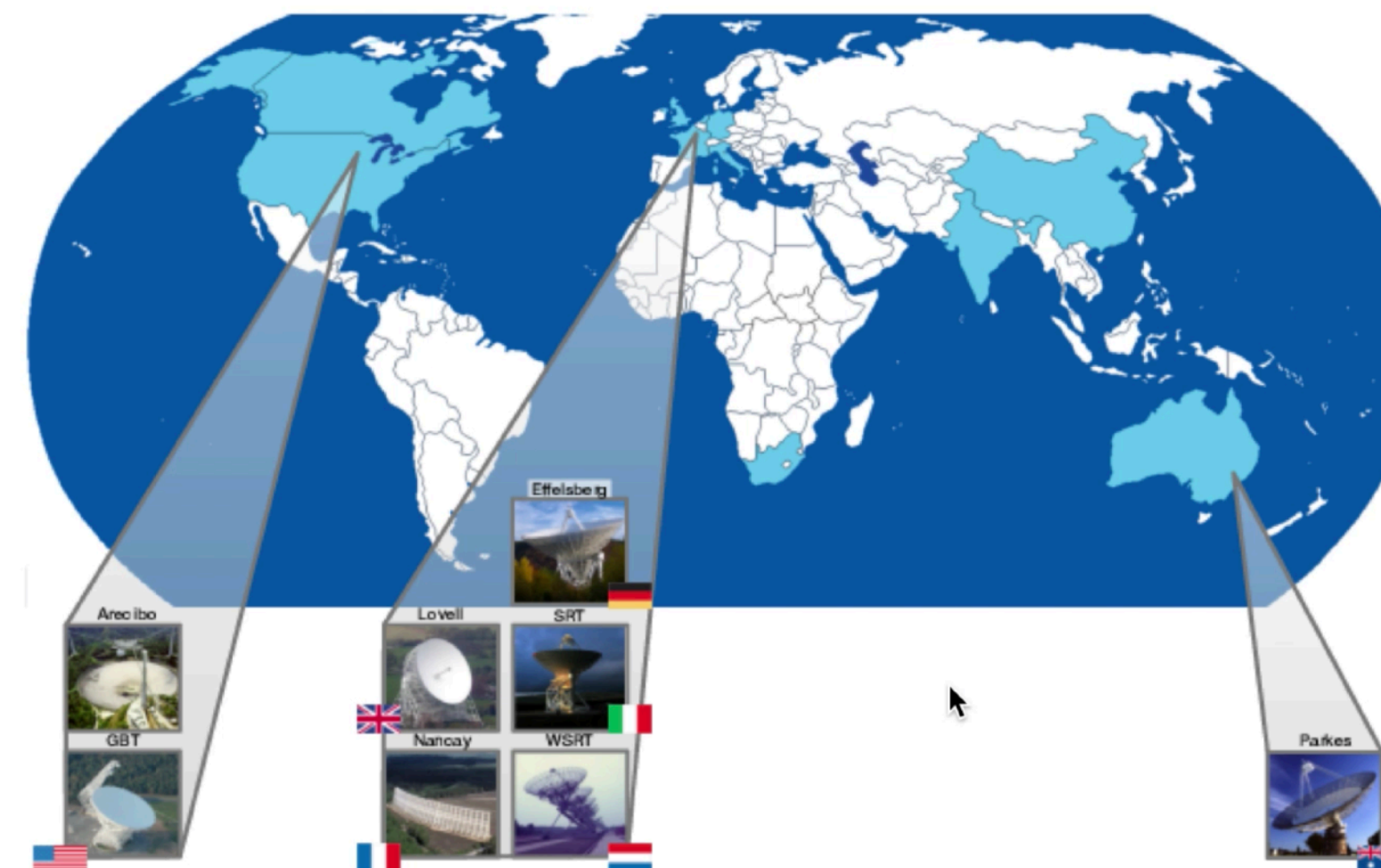
Main Radio Telescopes used by NANOGrav



**Figure 1:** The NRAO Robert C. Byrd Green Bank Telescope in West Virginia (top) and the 305-m William E. Gordon Telescope at Arecibo Observatory in Puerto Rico (bottom).  
From greenbankobservatory.org

[from AstroBeats by Timothy Dolch]

International Collaborations



Telescopes contributing data to IPTA Data Release 2.

[from slides by David Nice, NANOGrav PFC; Fall 2017]

International PTA (IPTA) now includes

- NANOGrav
- Parkes PTA (PPTA)
- European PTA (EPTA)
- Indian PTA (InPTA)

There are also

- Chinese PTA (CPTA)
- MeerKAT PTA (South Africa)

In future,

- Square Kilometer Array (SKA)



# Hellings-Downs Curve

[Hellings, Downs, Astrophys. J. 265, L39 (1983)]

Assumptions in a pedagogical derivation in [Janet, Romano, 1412.1142]

- Isotropic
- Stationary
- Stochastic (random)
- Spin 2
- unpolarized (sum over polarization)

→ [Smoking-gun signal of Stochastic Gravitational Wave Background \(SGWB\)!](#)

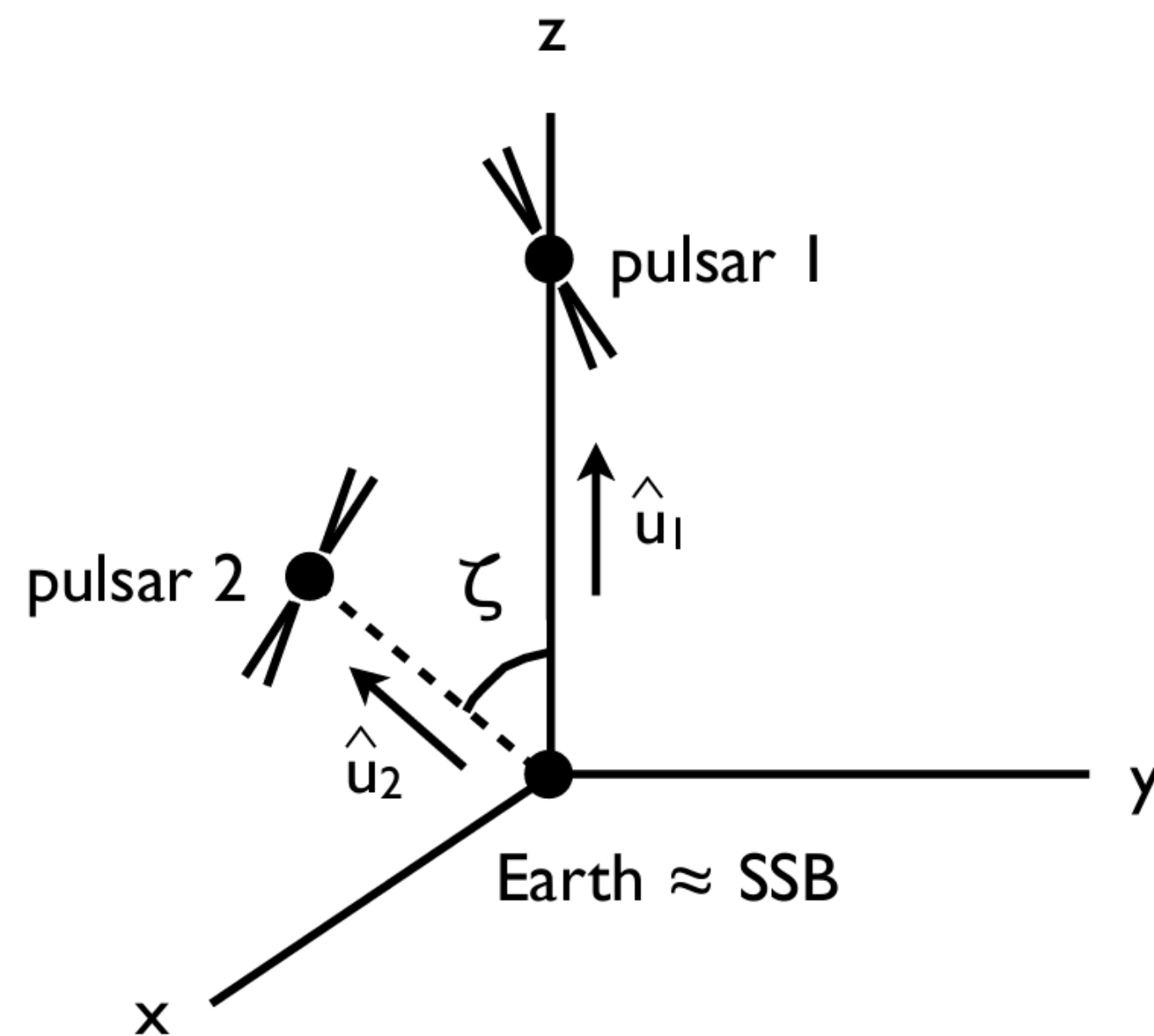
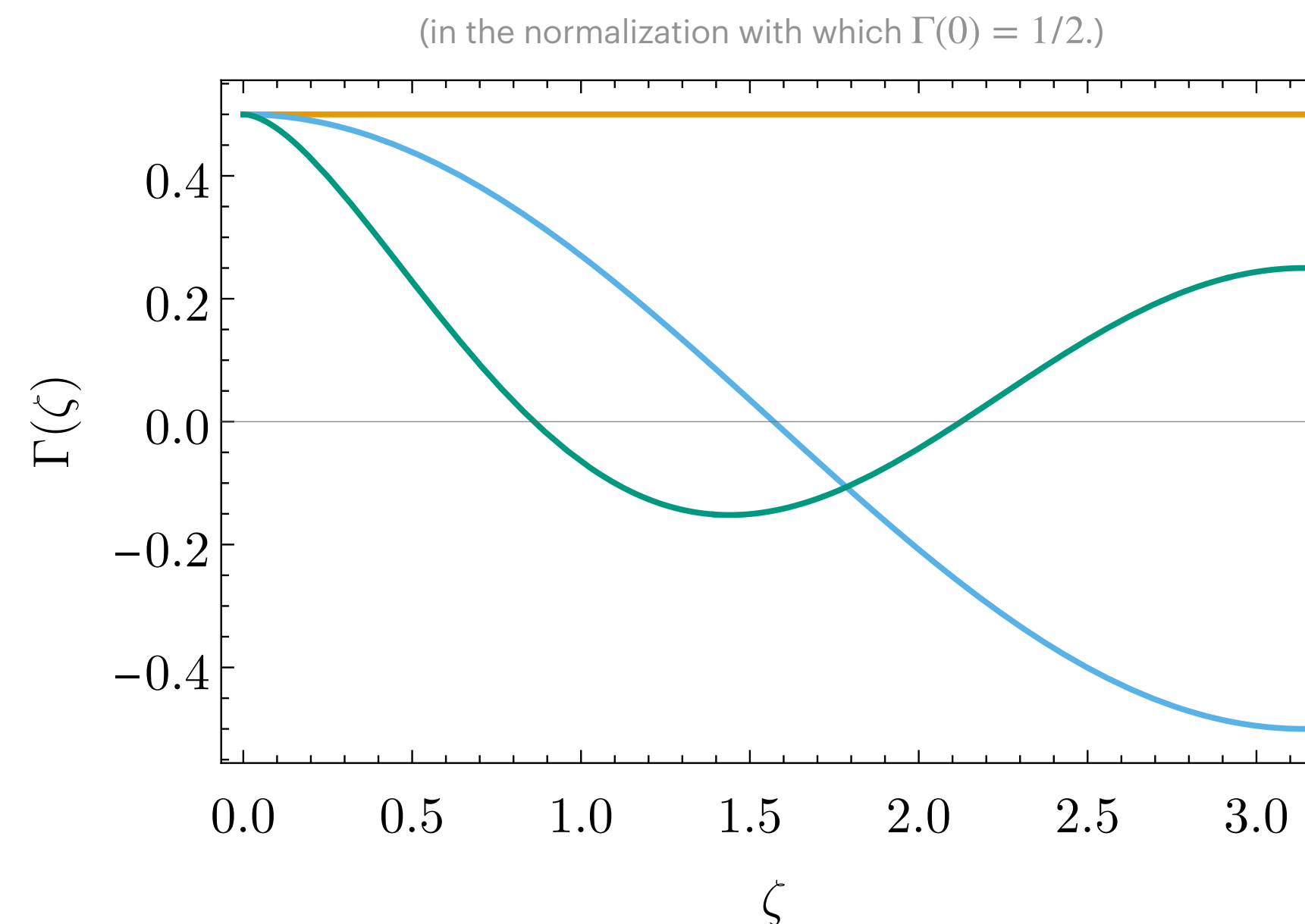


Figure from [Janet, Romano, 1412.1142]



Spin 0:  $\Gamma(\zeta) \sim 1$

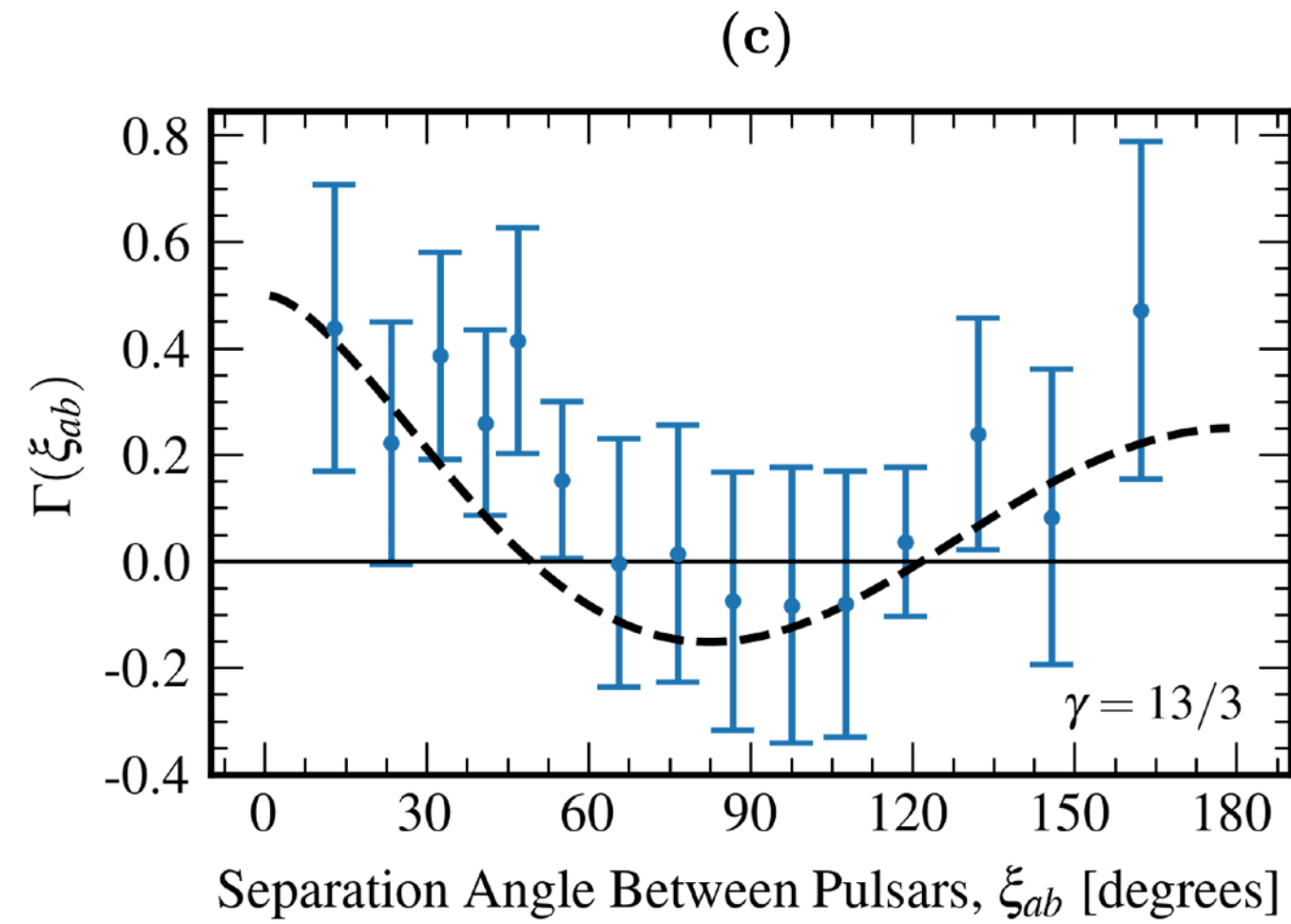
Spin 2:  $\Gamma(\zeta) = \frac{1}{2} - \frac{1}{4} \sin^2 \left( \frac{\zeta}{2} \right) + \frac{3}{2} \sin^2 \left( \frac{\zeta}{2} \right) \ln \left( \sin^2 \frac{\zeta}{2} \right)$

Spin 1:  $\Gamma(\zeta) \sim \cos \zeta$

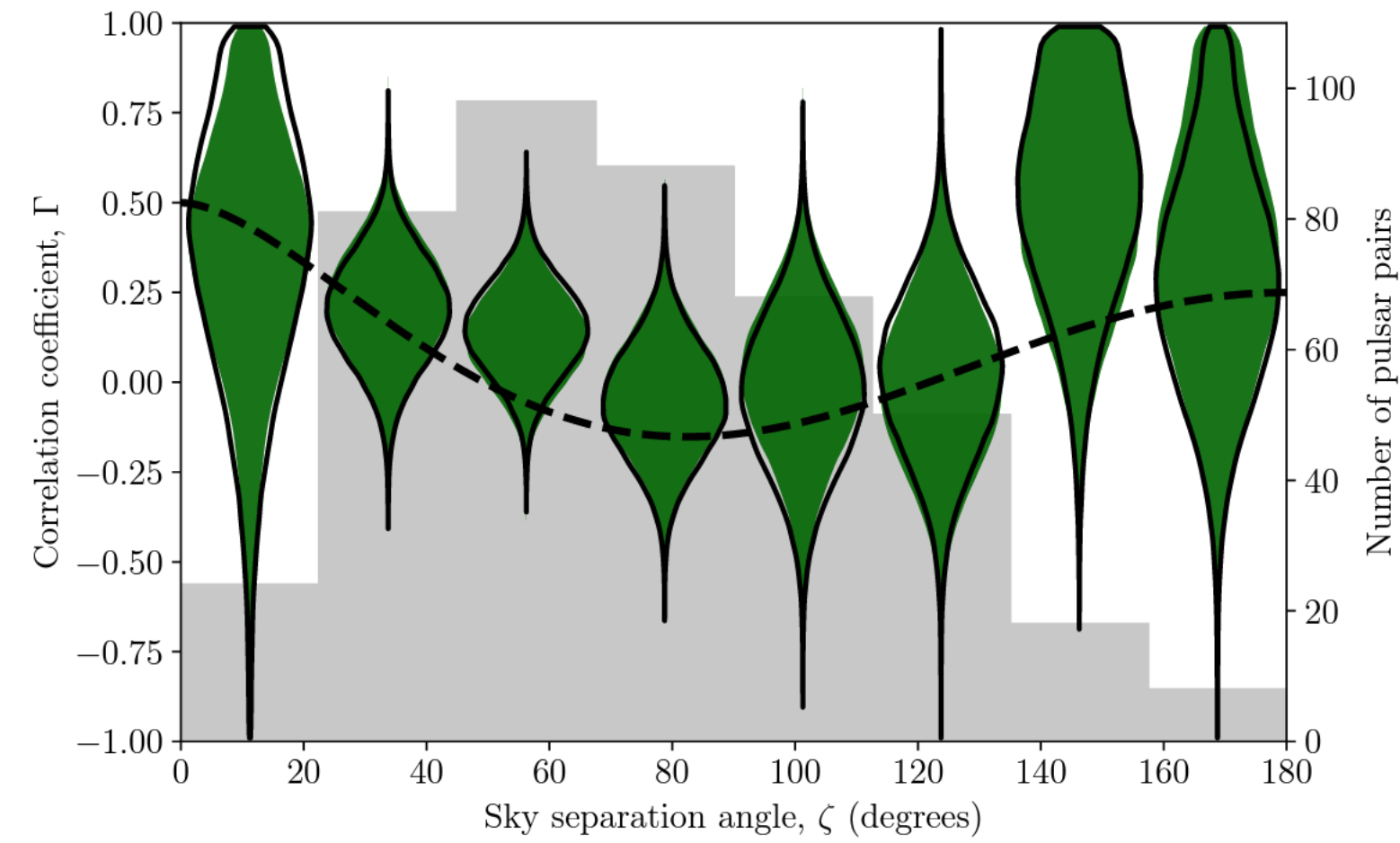


# Hellings-Downs Curve

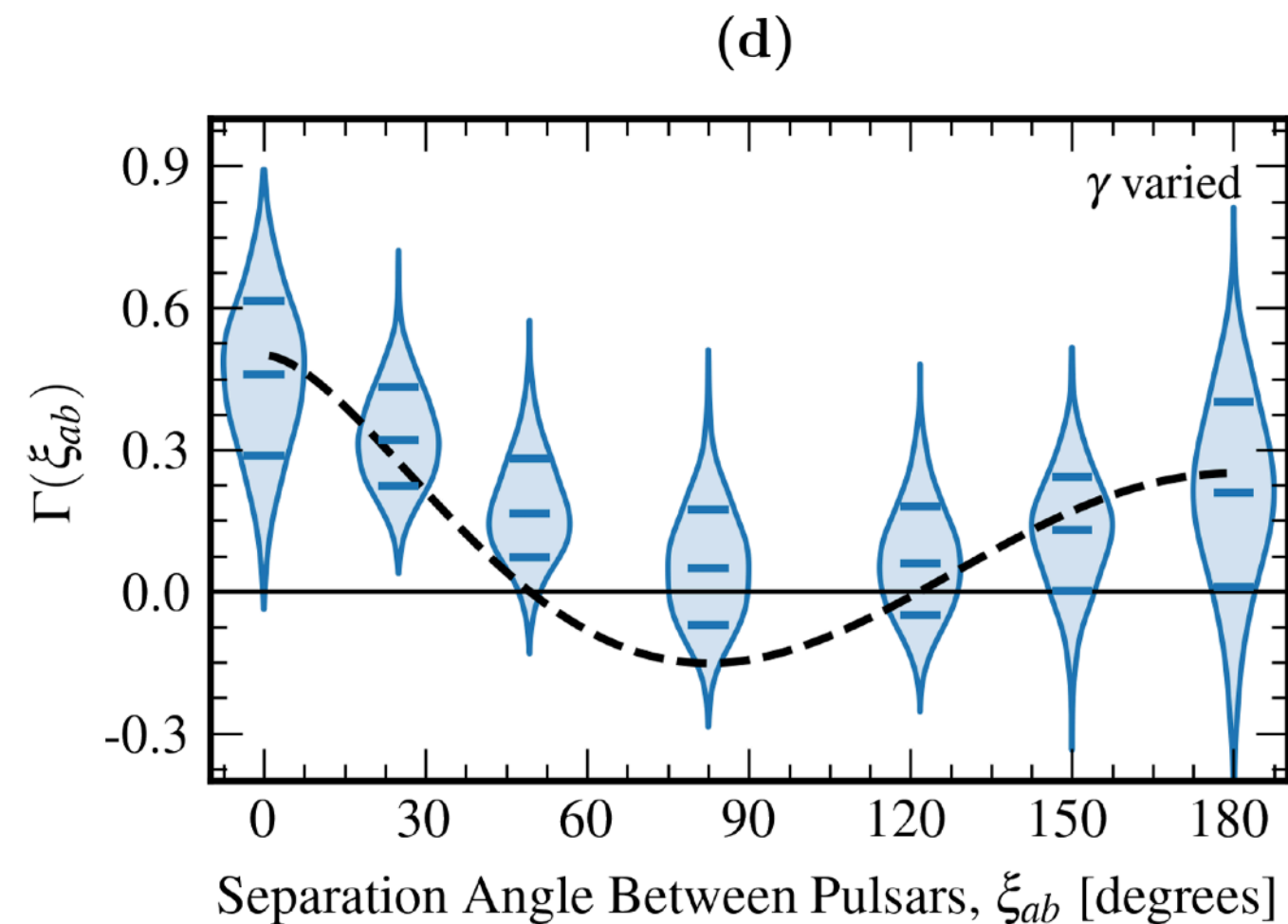
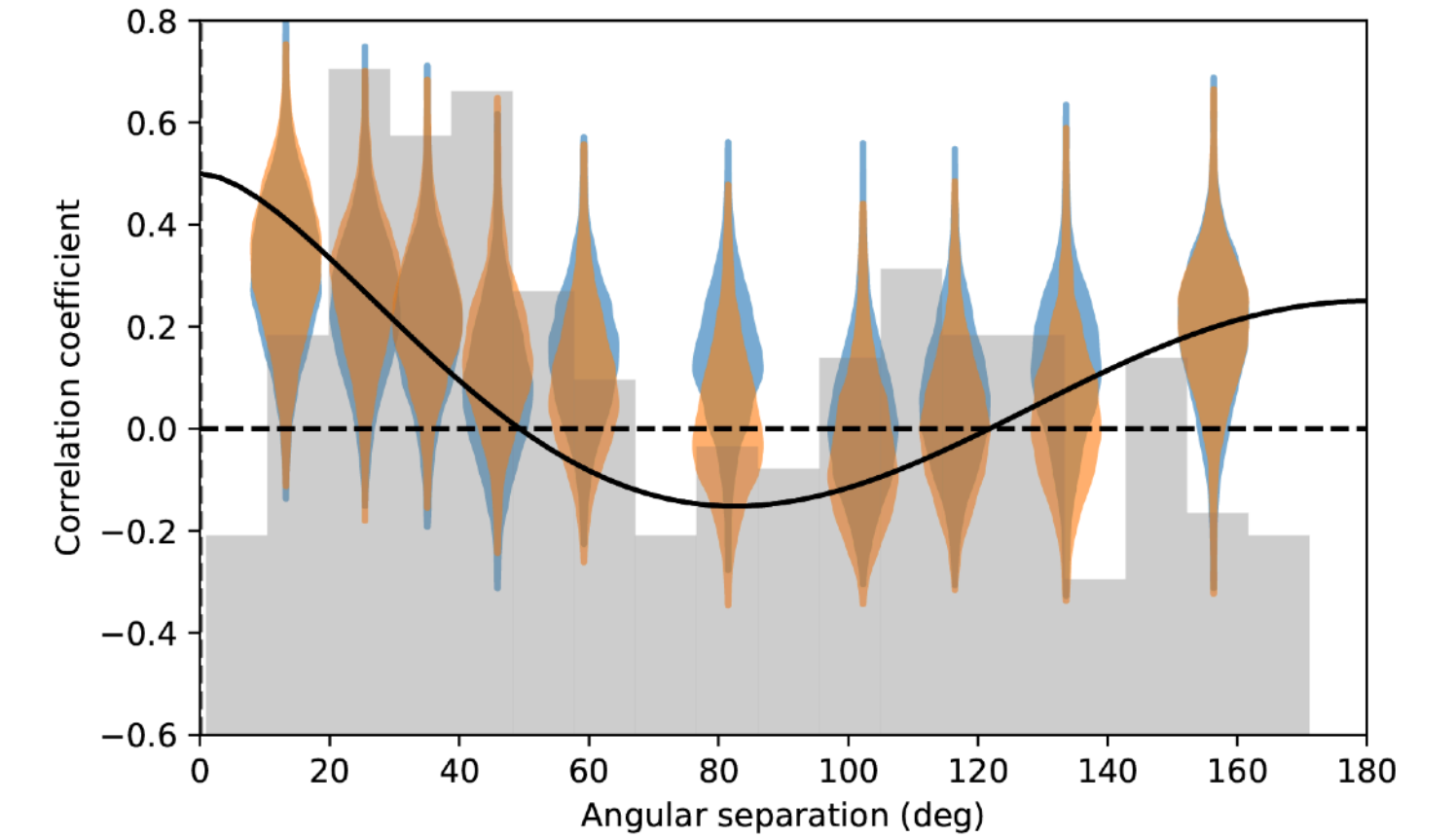
[NANOGrav, 2306.16213]



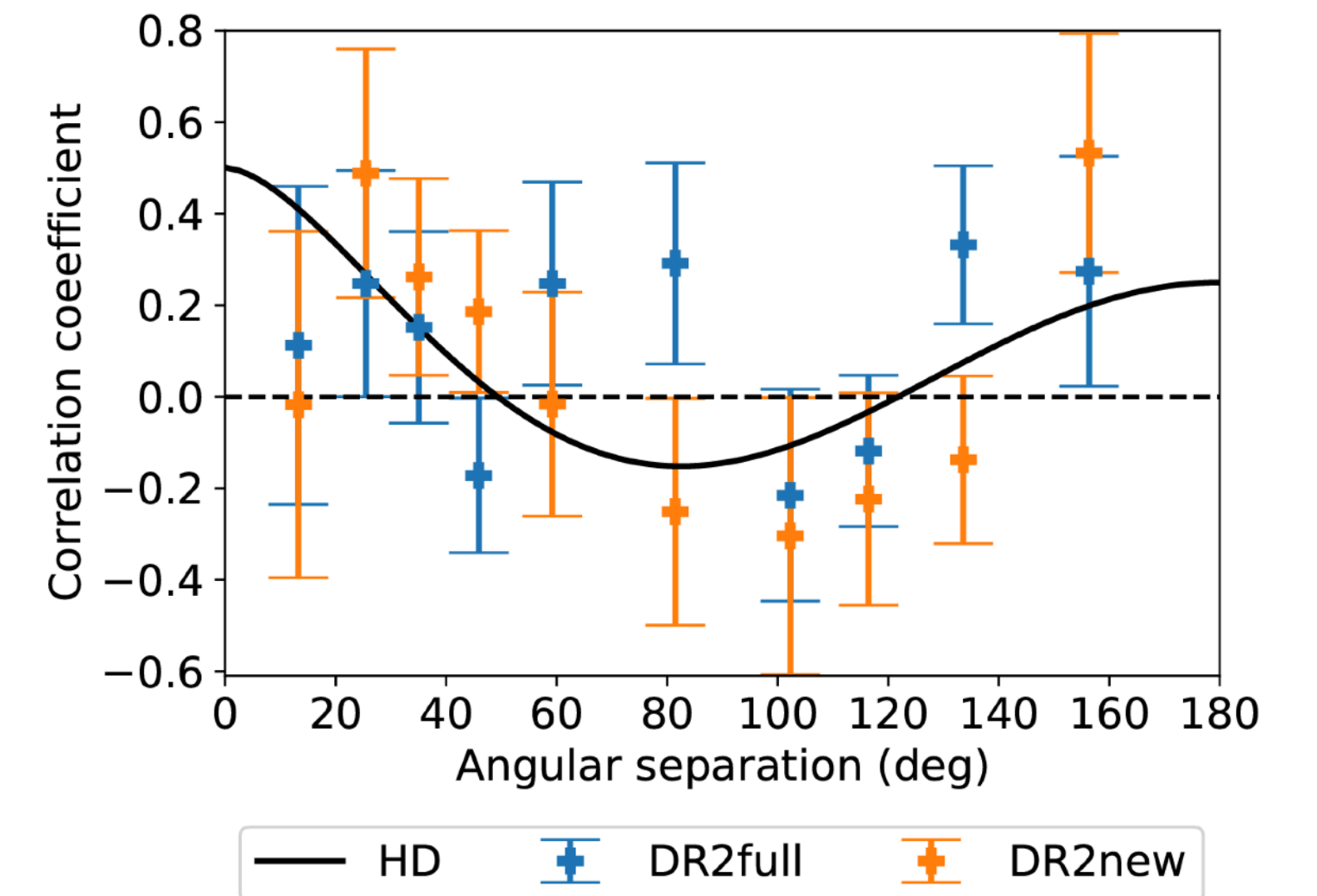
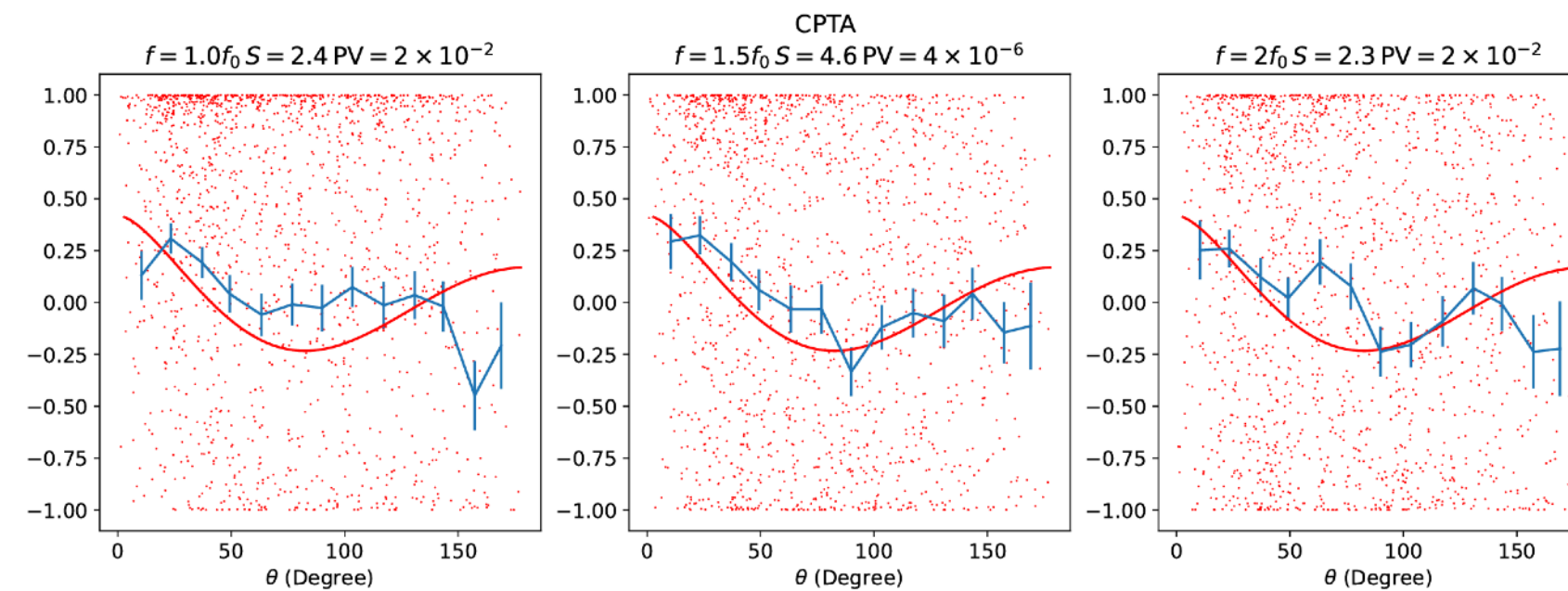
[PPTA, 2306.16215]



[EPTA/InPTA, 2306.16214]



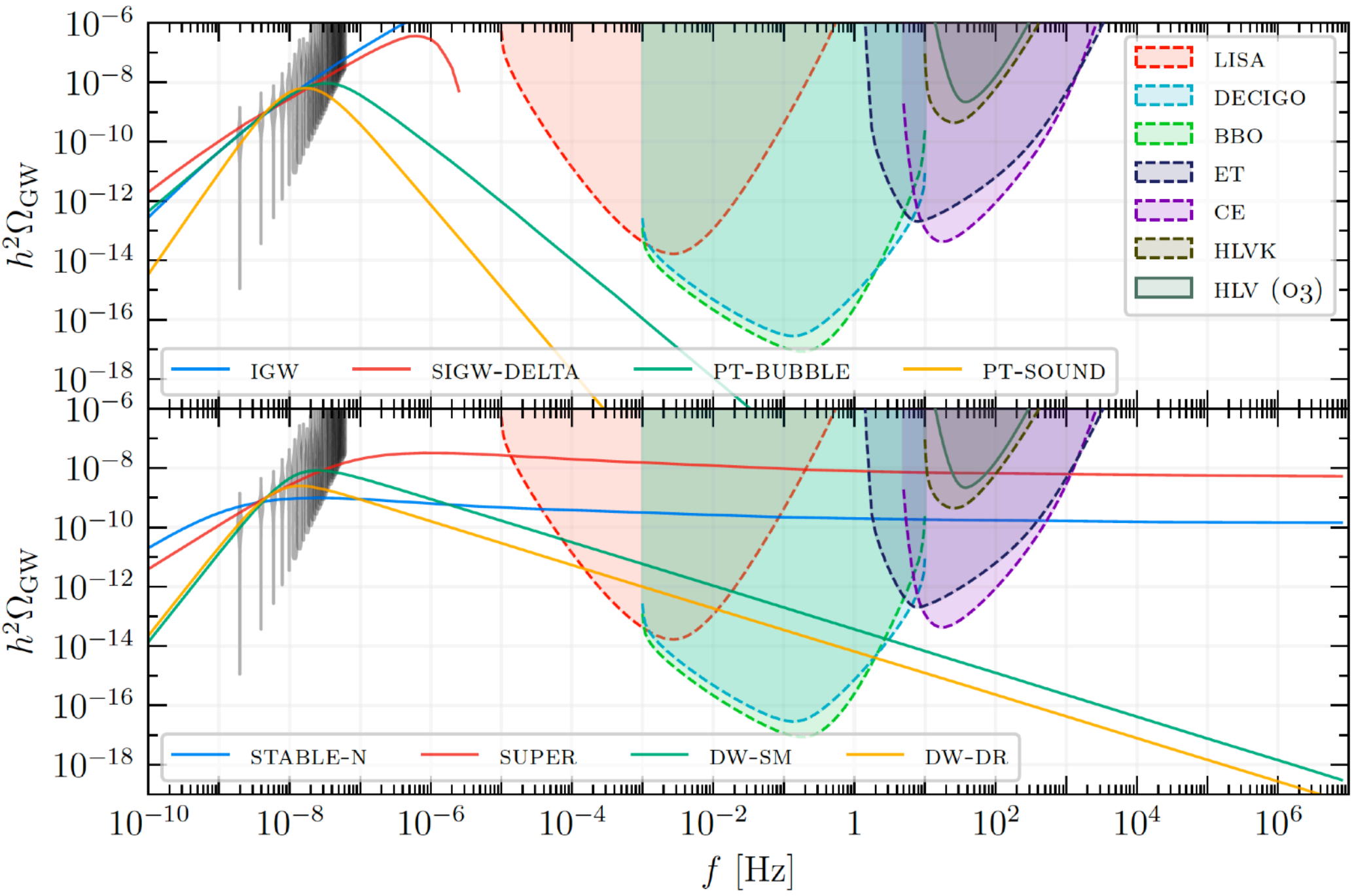
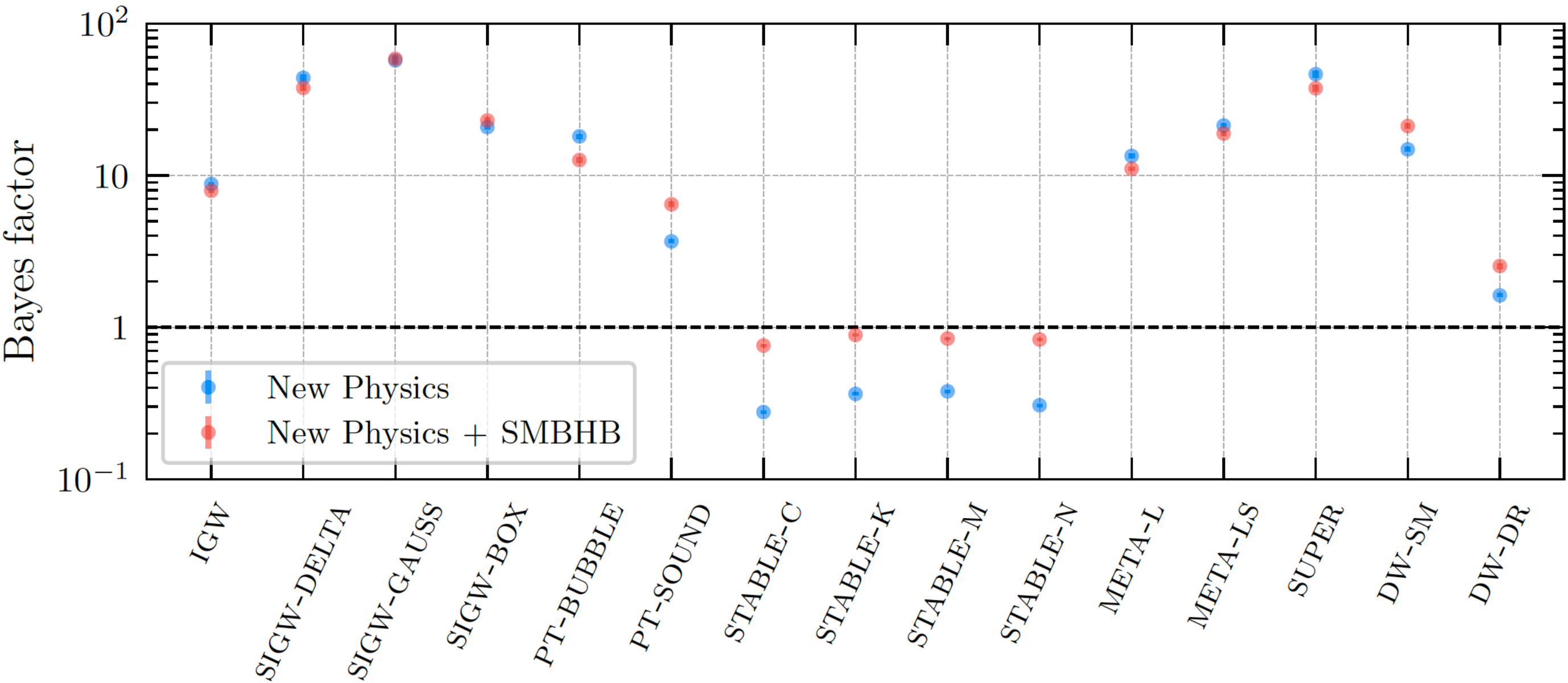
[CPTA, 2306.16216]



# New Physics Interpretations

[NANOGrav, 2306.16219]

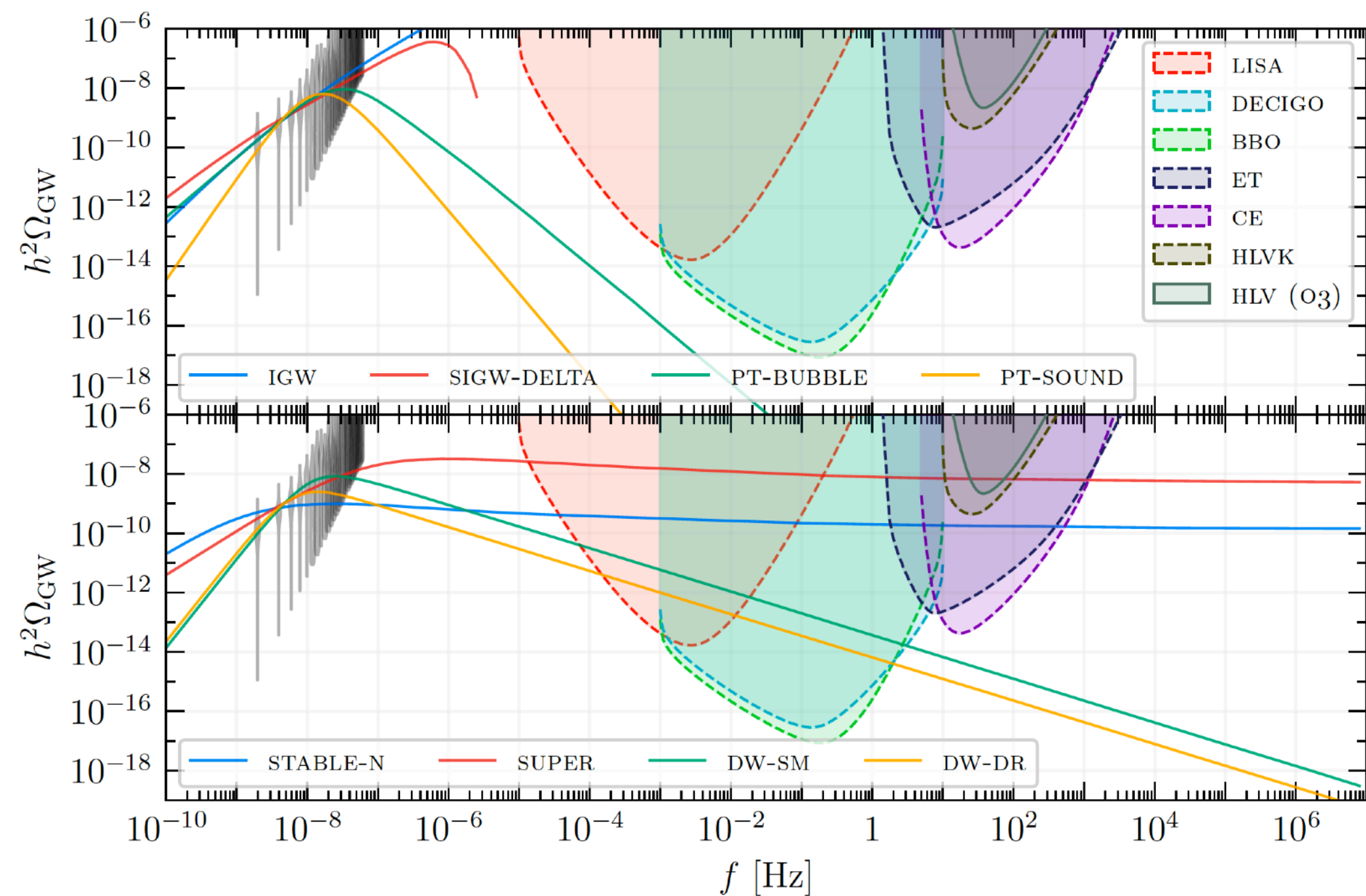
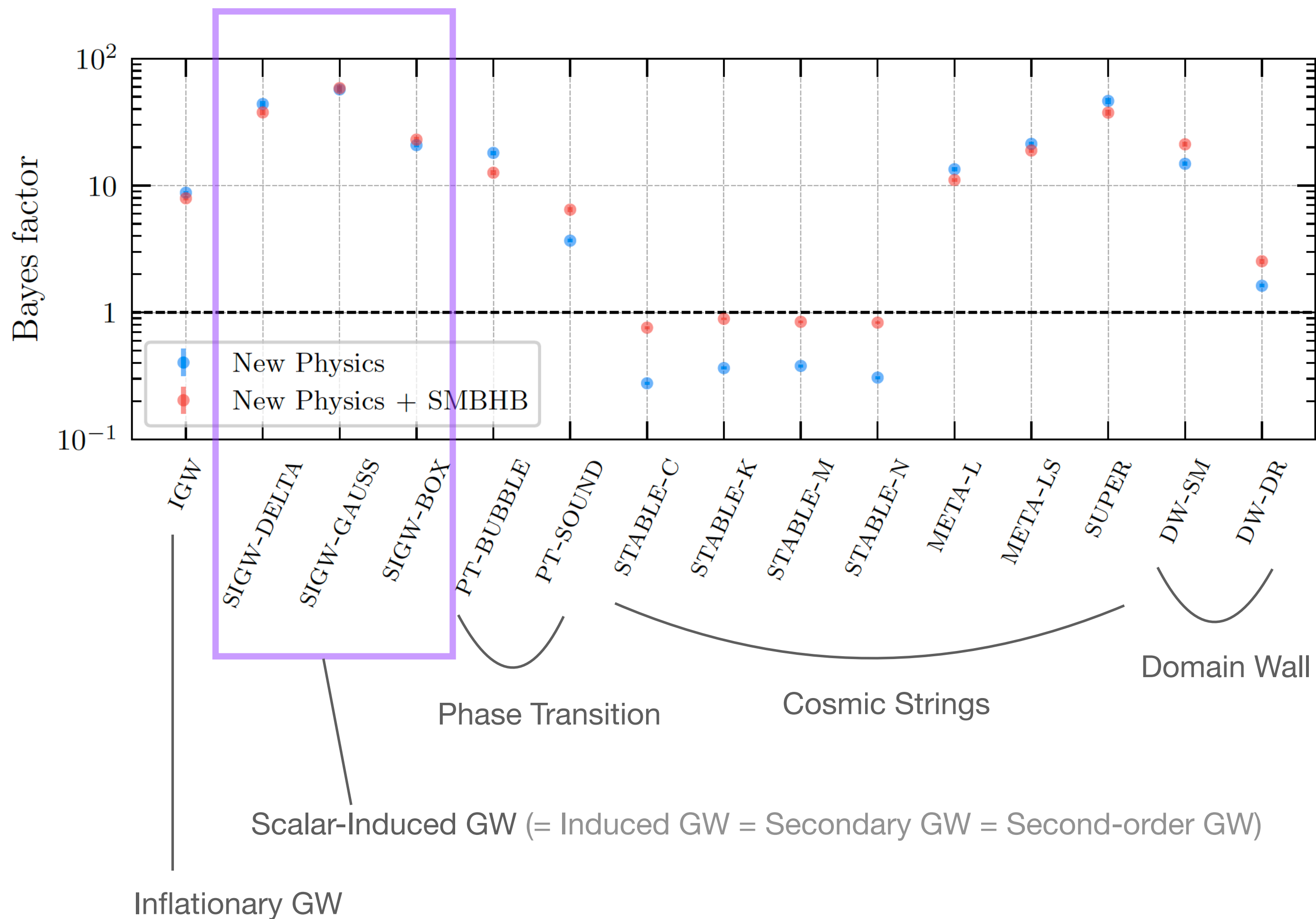
See also [EPTA/InPTA, 2306.16227], [Bian et al., 2307.02376], [Figueroa, 2307.02399], and [Ellis et al, 2308.08546].





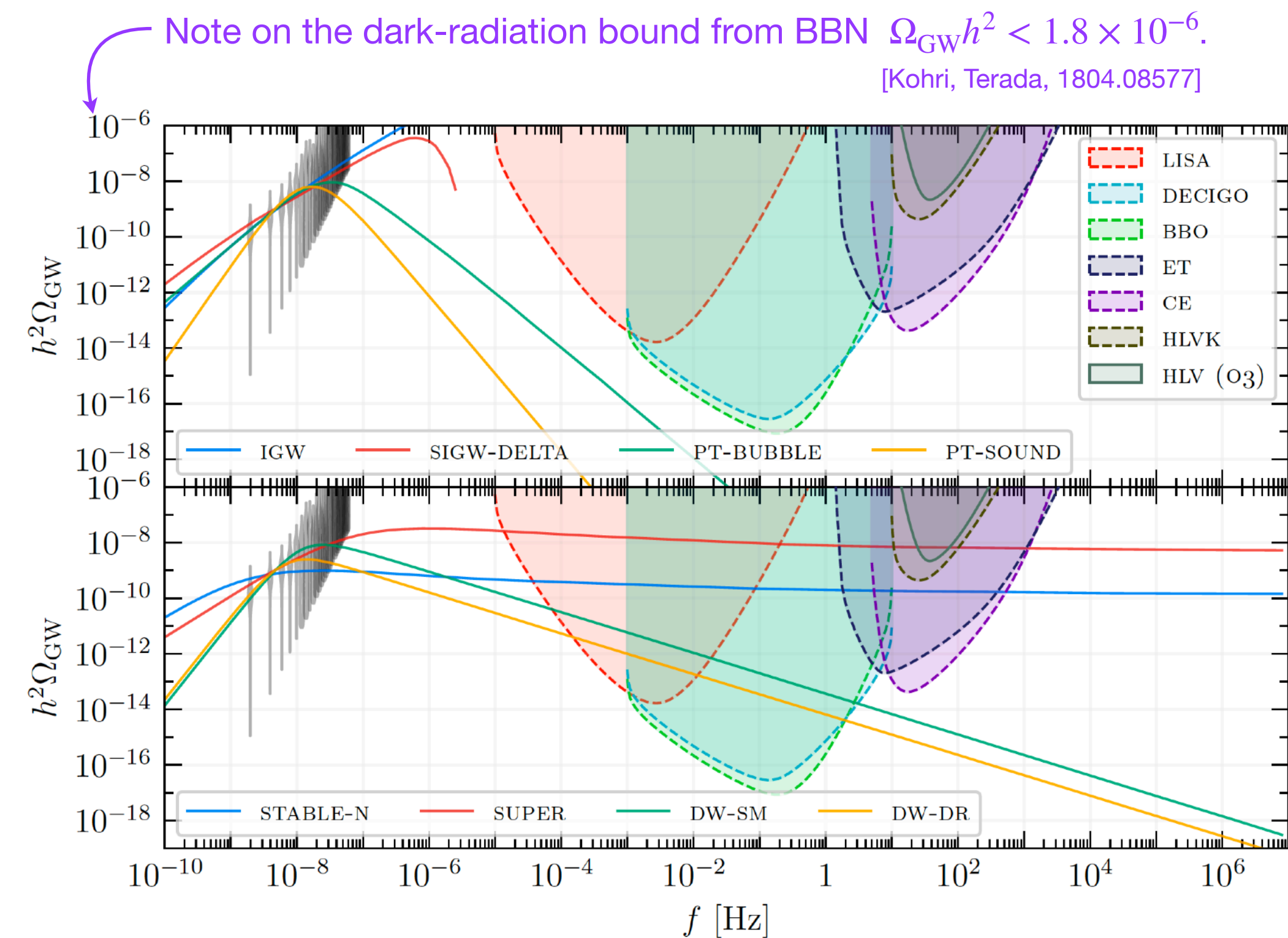
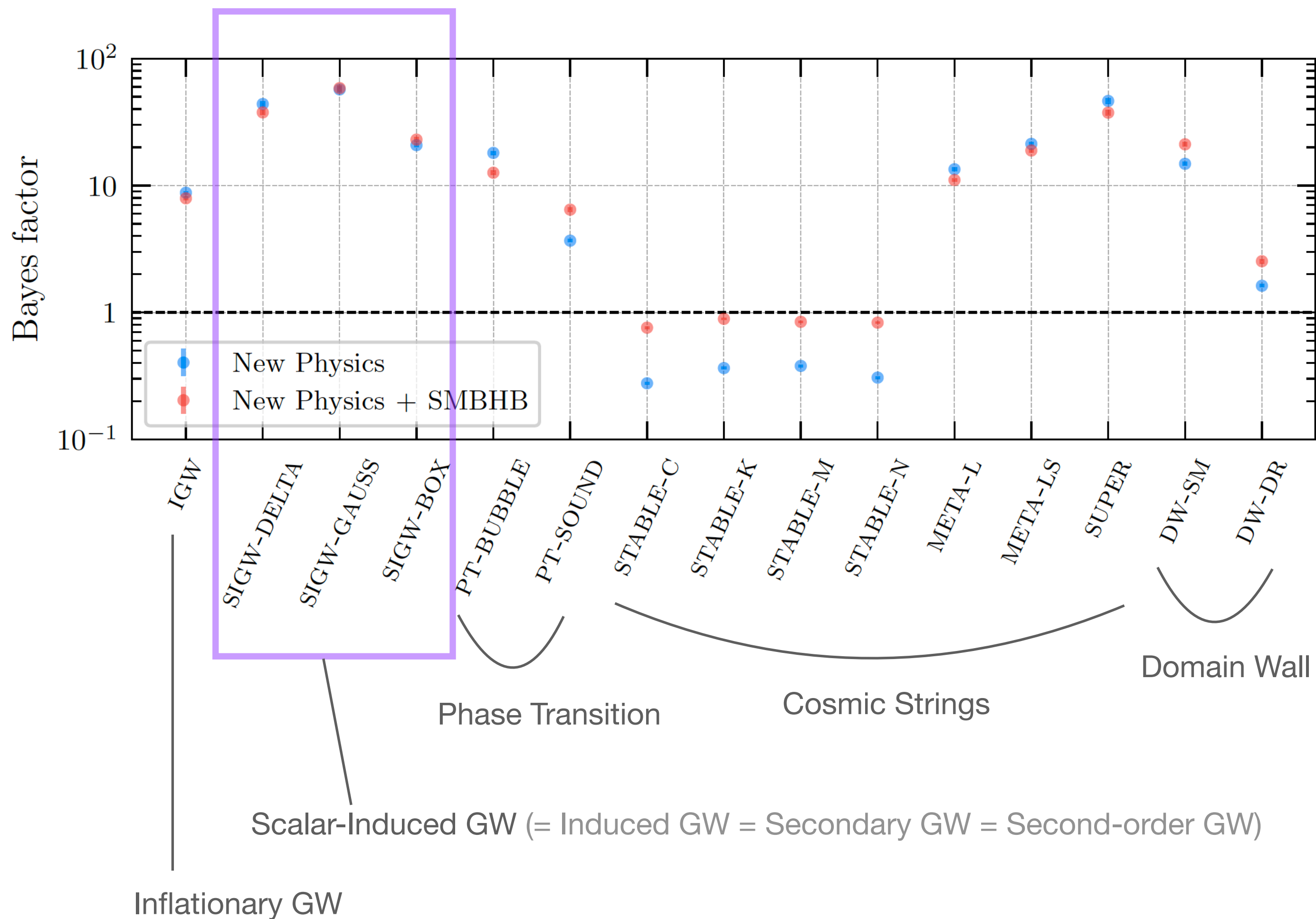
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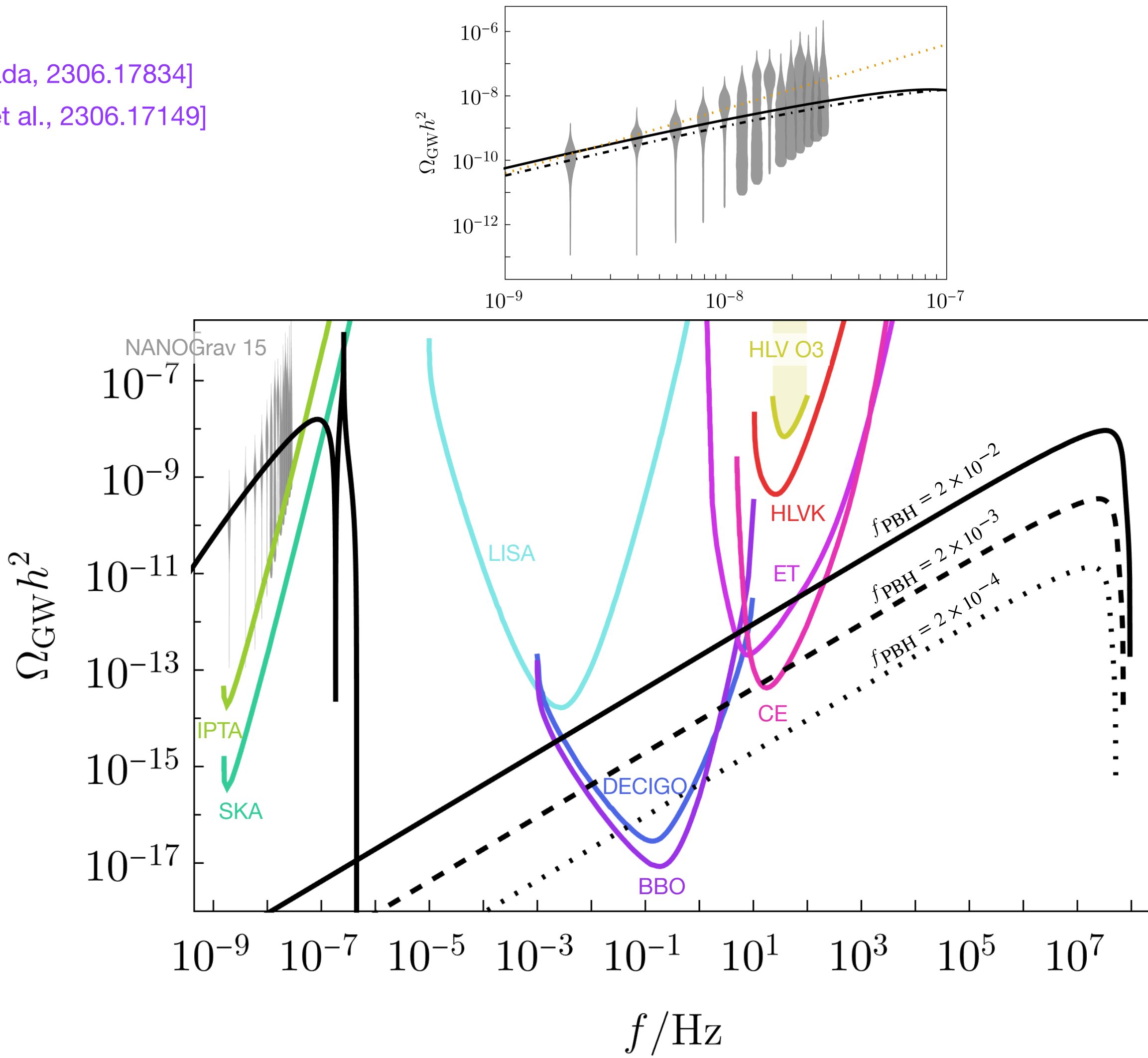
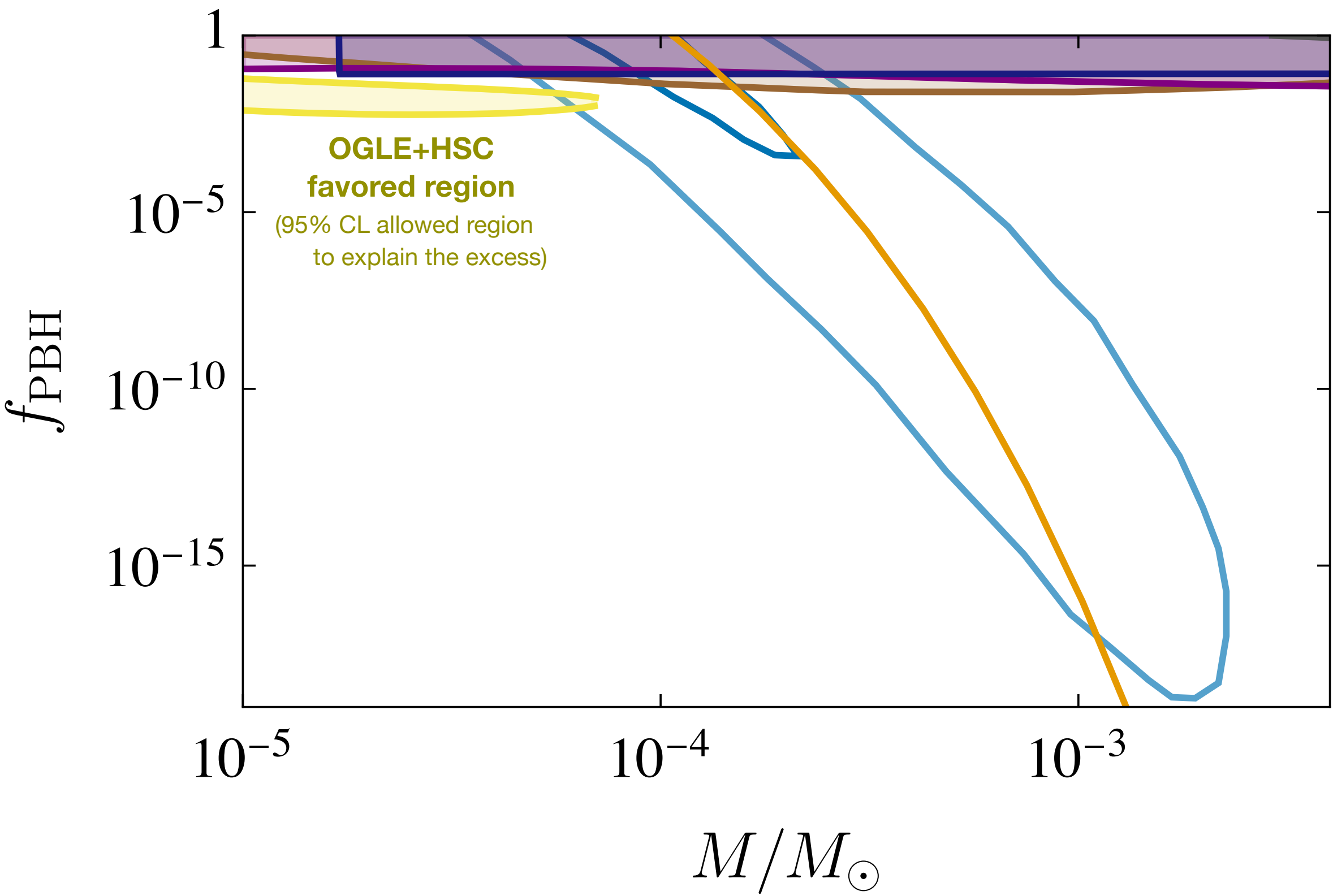
# Implications for Primordial Black Holes

[Inomata, Kohri, Terada, 2306.17834]

See also [Franciolini et al., 2306.17149]

The excess events of microlensing at OGLE: [Mróz et al., 1707.07634]

Interpretation by PBHs: [Niikura et al., 1901.07120]



$M/M_{\odot} = 1.2 \times 10^{-4}, 1.6 \times 10^{-4}, \text{ and } 2.2 \times 10^{-4}$  from top to bottom.

The sensitivity curves were taken from [Schmitz, 2002.04615].

The HLV O3 constraint is from [Abbott et al. (LIGO-Virgo-KAGRA), 2101.12130].

# Significance of Induced Gravitational Waves

(Scalar-)induced GWs = Gravitational waves induced by curvature perturbations

Why are they important?

Using them, we can

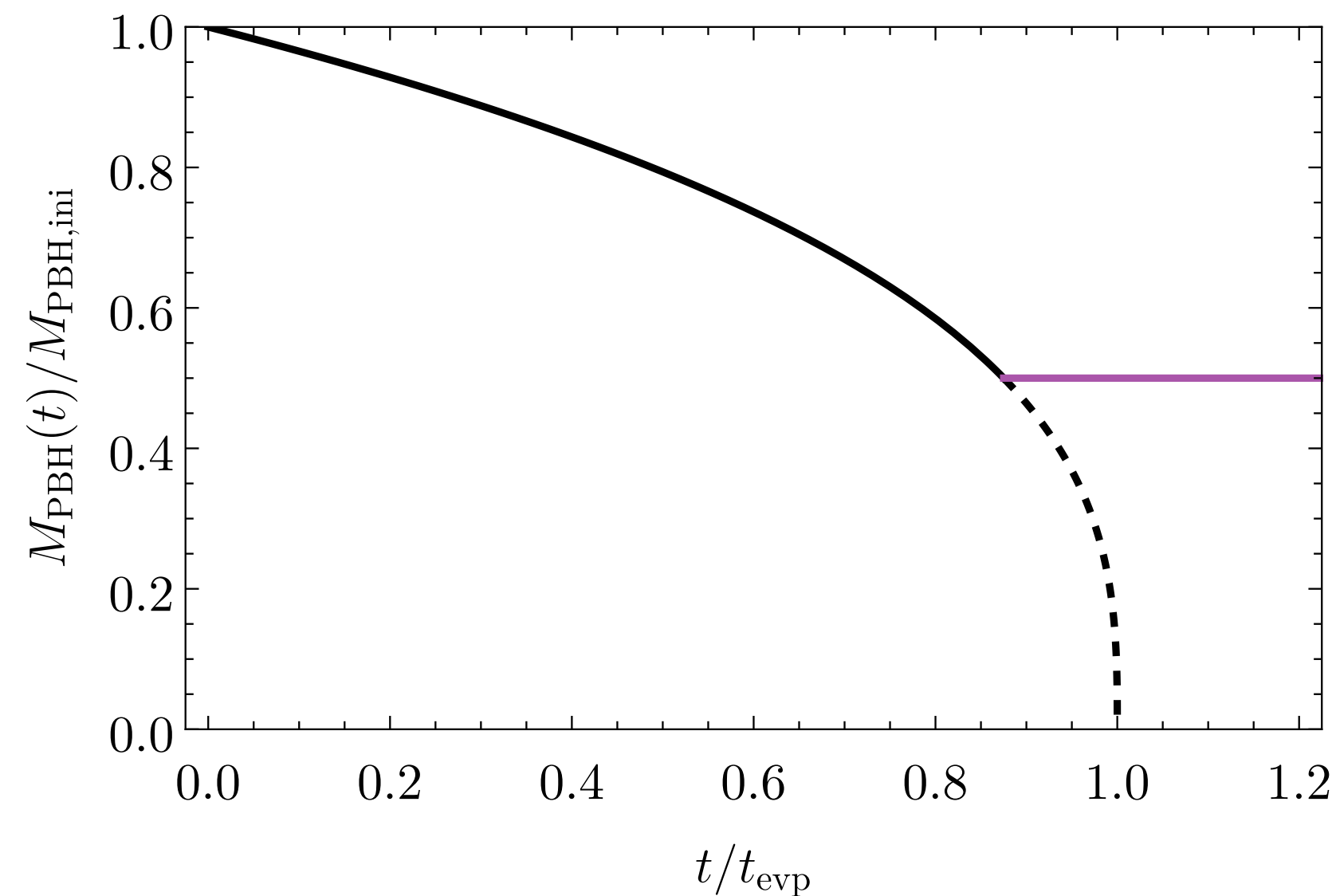
- ✓ probe inflation
  - probe thermal history (equation of state)
- ✓ explain the pulsar timing array (PTA) data
- ✓ test the primordial black hole (PBH) scenario
- ➔ test ideas in quantum gravity



# Memory Burden Effect Opens Light PBH DM Window

A conjectured effect that effectively stabilizes a complex system storing much information (memory).

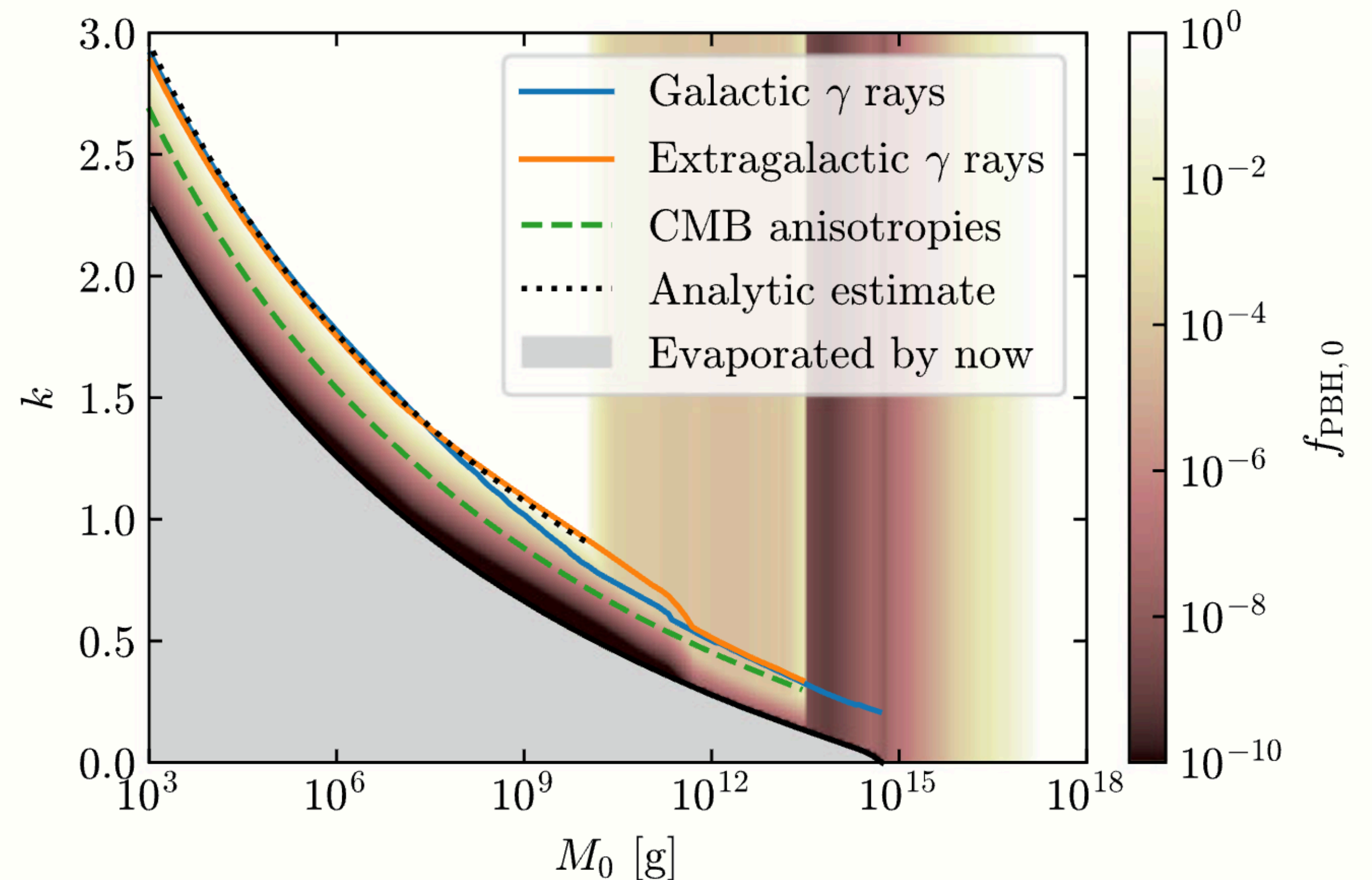
[Dvali, 1810.02336], [Dvali, Eisemann, Michel, and Zell, 2006.00011]



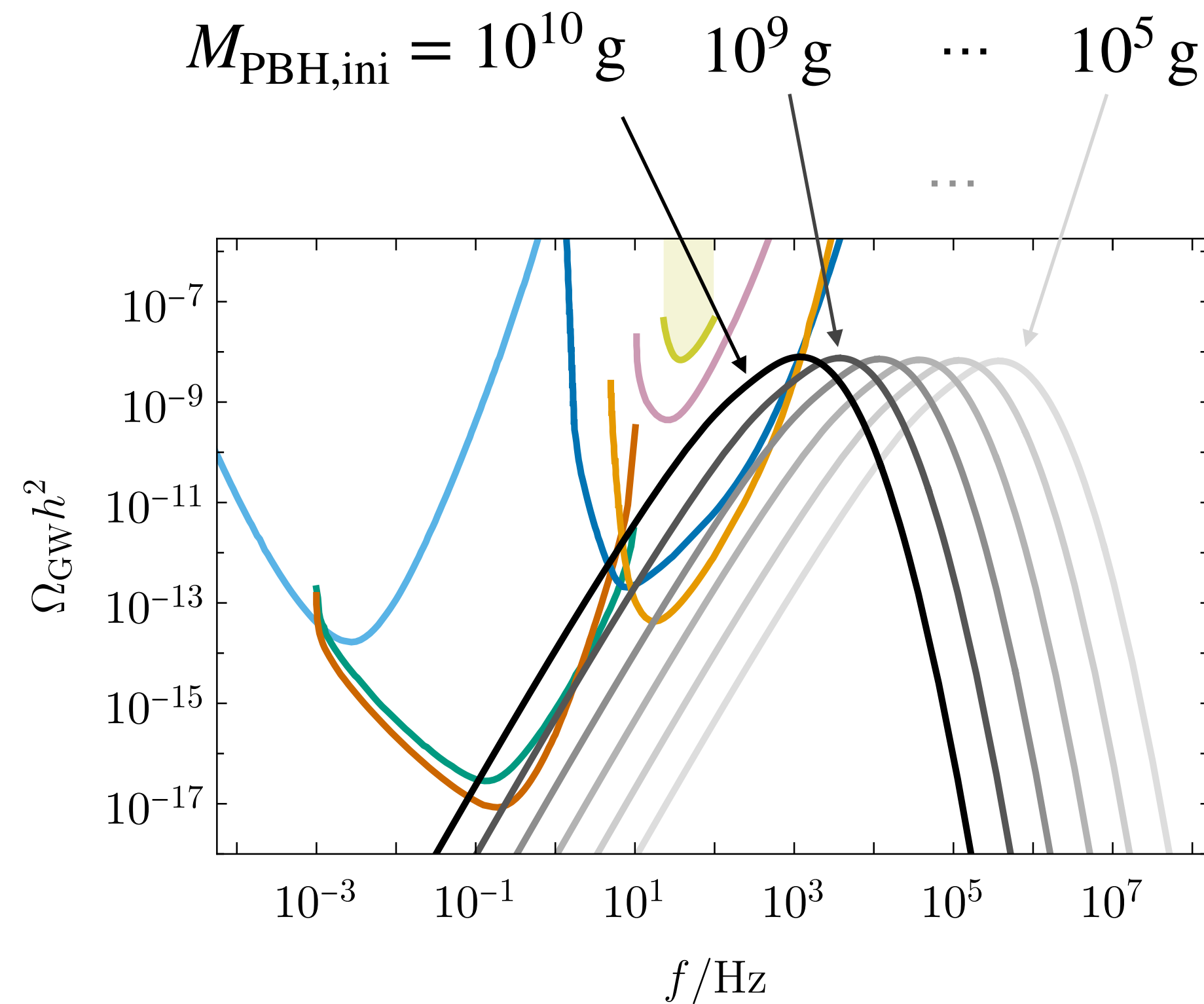
With the memory burden effect,  
PBHs lighter than  $10^{10}\text{g}$  can be dark matter.

[Thoss, Burkert, and Kohri, 2402.17823]

See also [Alexandre, Dvali, and Koutsangelas, 2402.14069]



# To Exclude the Memory Burden Effect



If the GW signal is stronger than the predicted one for the PBHs as the totality of dark matter, it would overproduce dark matter.

(Without the memory burden effect, light PBHs have evaporated away.)

Therefore, **we can exclude the memory burden effect.**

[Kohri, Terada, and Yanagida, 2409.06365]

$$\mathcal{P}_\zeta(k) = \frac{A_\zeta}{\sqrt{2\pi\Delta^2}} \exp\left(-\frac{\left(\ln \frac{k}{k_0}\right)^2}{2\Delta^2}\right) \quad \Delta = 1$$



# Matter-Dominated Era and Induced Gravitational Waves

# Early Matter-Dominated Era

**Heavy particles:** gravitino, modulino, etc.

**Bose-Einstein condensates:** inflaton, curvaton, moduli, dilaton, etc.

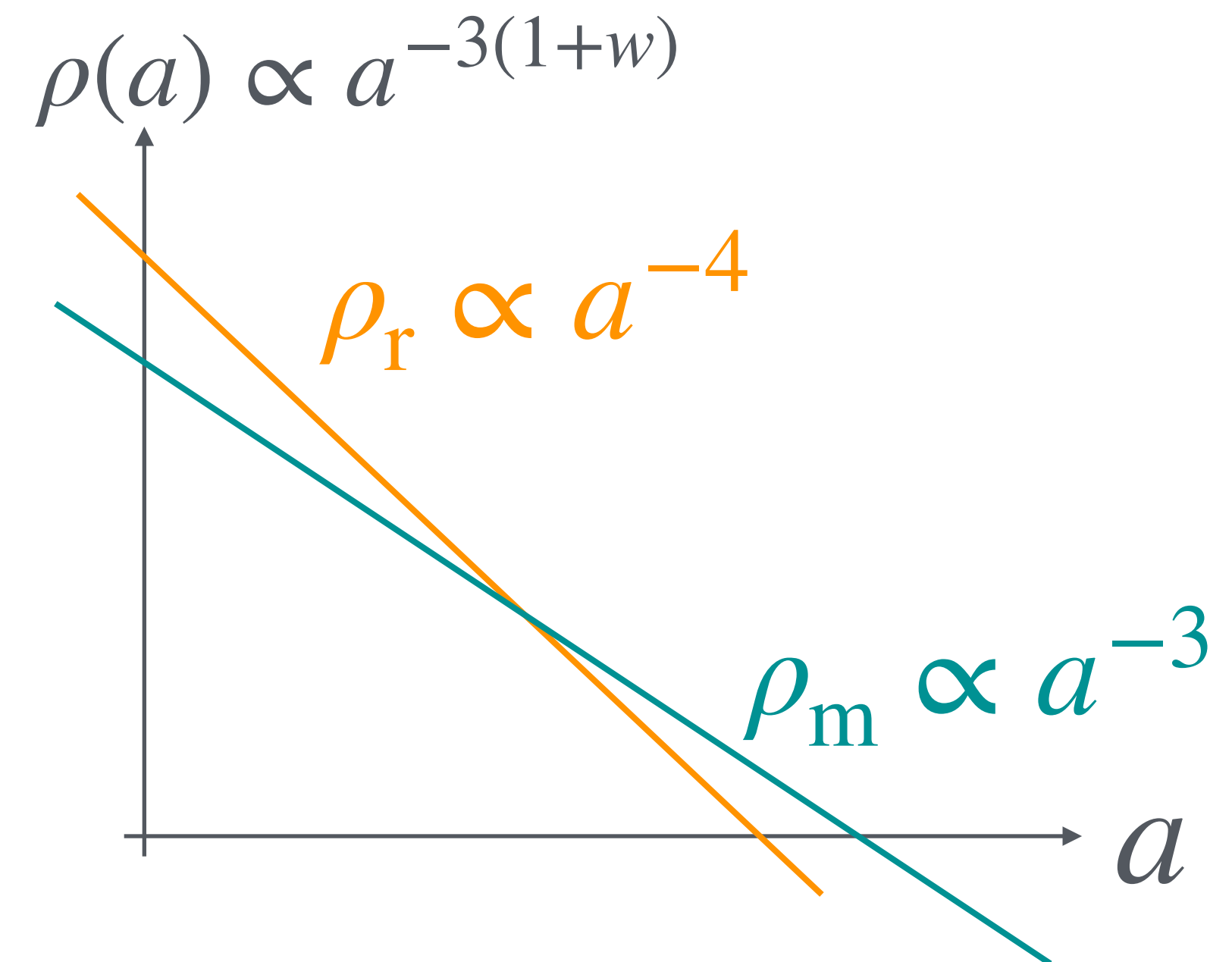
**Macroscopic objects:** primordial black hole (PBH), oscillon, Q-ball, etc.

For a canonically normalized homogeneous scalar field  $\phi$ ,

$$w = \frac{P}{\rho} = \frac{\dot{\phi}^2/2 - V(\phi)}{\dot{\phi}^2/2 + V(\phi)} \simeq \cos(2mt) \quad \longrightarrow \quad w_{\text{avg}} = 0$$

Generically quadratic around the minimum

$$V(\phi) \simeq \frac{1}{2}m^2\phi^2, \quad \phi \simeq \phi_0 \cos(mt)/a(t)^{3/2}$$





# MD Era: Enhancement Effect?

[Assadullahi, Wands, 0901.0989]

[Baumann, Steinhardt, Takahashi, Ichiki, hep-th/0703290]

$$\frac{\delta\rho}{\rho} \propto a(\eta) \quad \longleftrightarrow \quad \Phi = \text{const.}$$

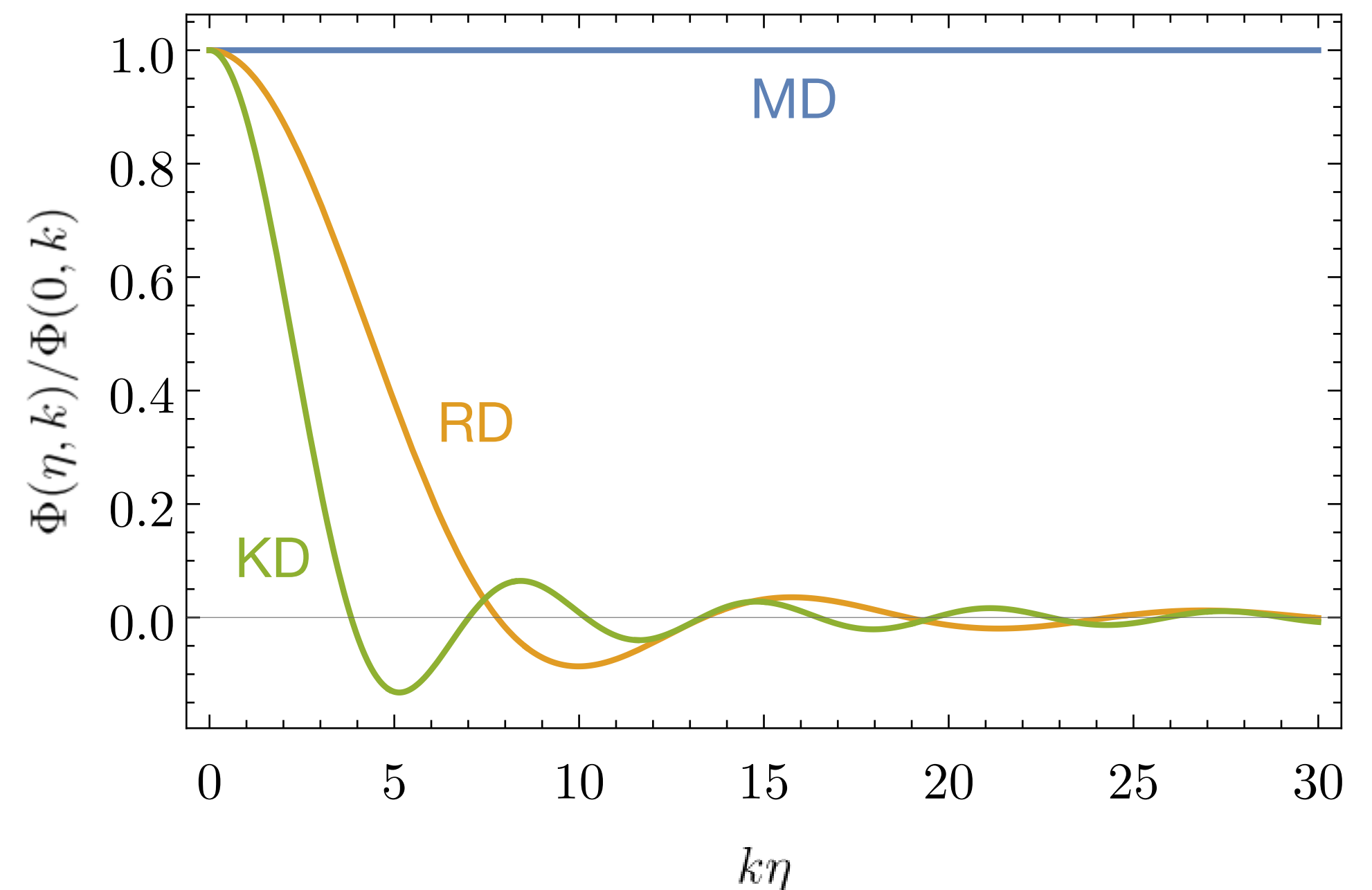
This makes the source term constant.

~~$$h_{\mathbf{k}}''(\eta) + 2\mathcal{H}h_{\mathbf{k}}'(\eta) + k^2 h_{\mathbf{k}}(\eta) = 4S_{\mathbf{k}}(\eta)$$~~

Constant metric distortion ~ Potential energy  
(+ decaying mode)

After MD

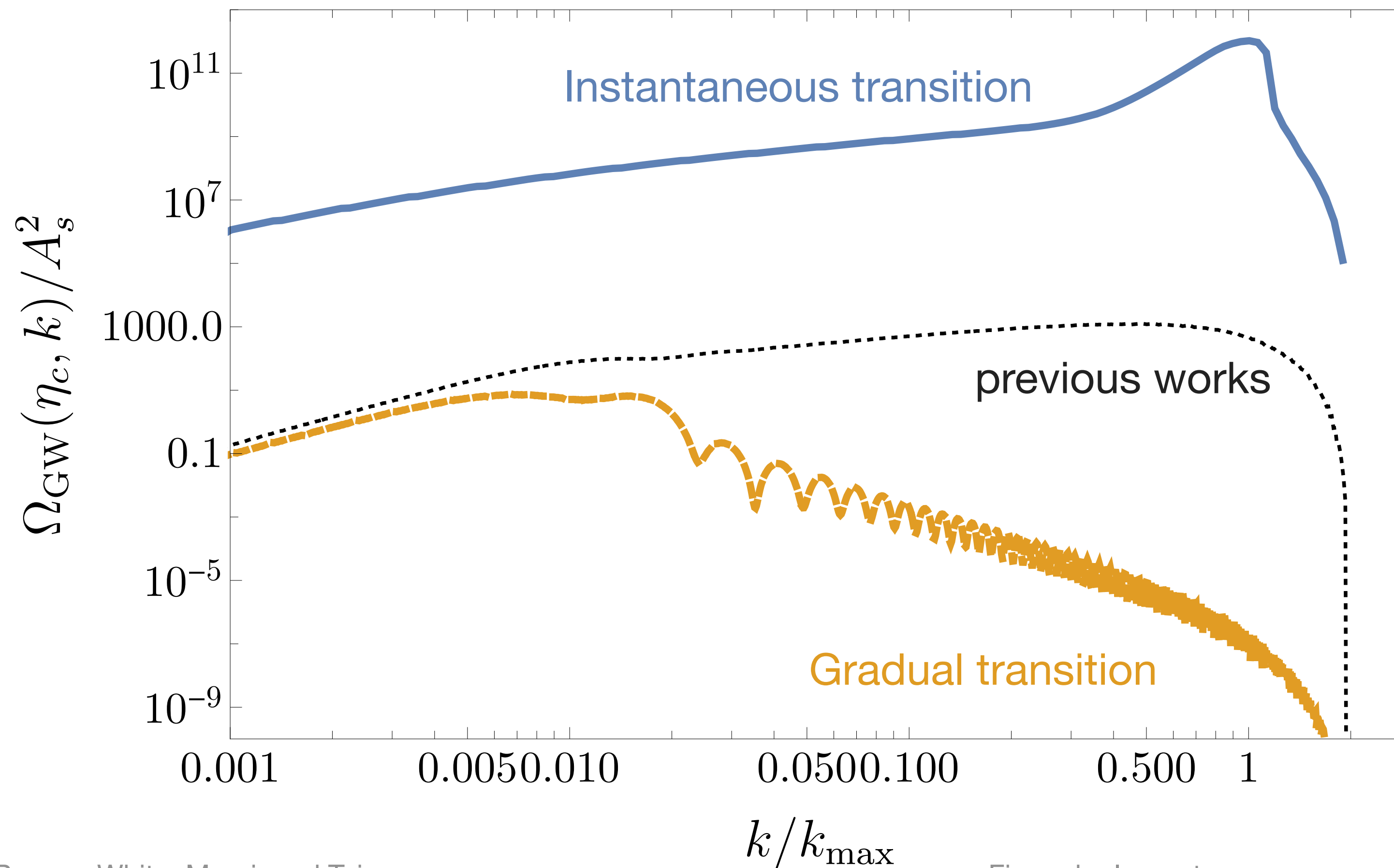
Propagating GW



*How efficient is the conversion?*

# Importance of Transition Speed

It depends sensitively on the time scale of the transition (MD to RD).



$$\mathcal{P}_\zeta = A_s \text{ with cutoff(s)}$$

$$k_{\text{max}} = 450/\eta_R$$

$$(k_{\text{min}} = 30/\eta_{\text{eq}})$$

Thanks to Pearce, Balasz, Pearce, White, Murai, and Tai  
for pointing out errors in the previous version.

Figure by Inomata  
based on [Inomata, Kohri, Nakama, and Terada, 1904.12878; 1904.12879]



# Gradual Reheating Transition

[Inomata, Kohri, Nakama, Terada, 1904.12878]

This is the standard case.

Suppose a constant decay rate  $\Gamma$   
of the field dominating the MD era.

Decay becomes effective when  $H \sim \Gamma$ .

The time scale of the decay

$$\Gamma^{-1} \sim H^{-1} \gg f^{-1}$$

$f$ : frequency of the GW mode  
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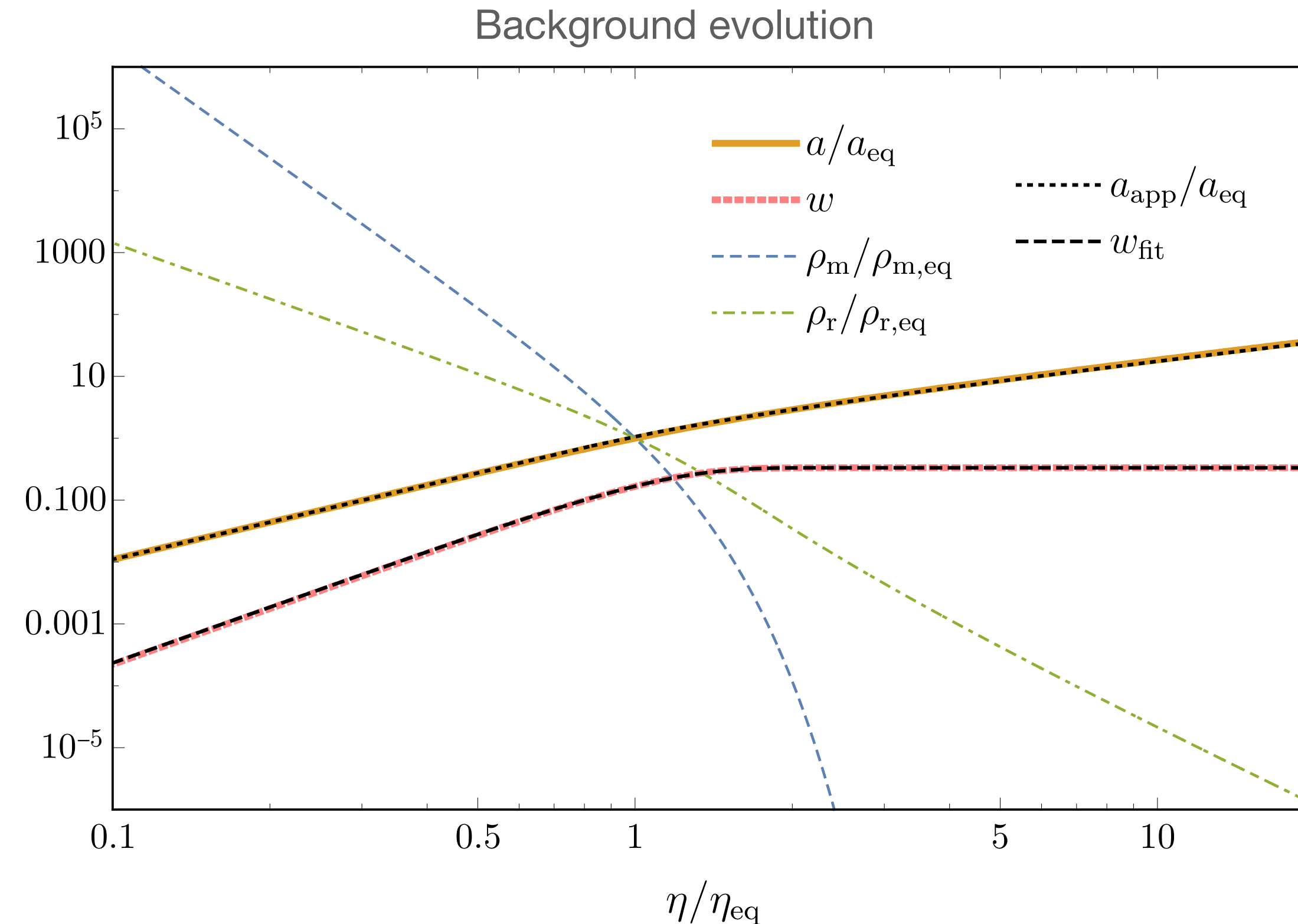
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$f$ : frequency of the GW mode on subhorizon scales



Equations for background quantities

$$\mathcal{H}^2 = \frac{a^2}{3} \rho_{\text{tot}}$$

$$\rho'_m + 3\mathcal{H}\rho_m = -a\Gamma\rho_m$$

$$\rho'_r + 4\mathcal{H}\rho_r = a\Gamma\rho_m$$

Good approximations:

$$\frac{a_{\text{app}}(\eta)}{a_{\text{app}}(\eta_R)} = \begin{cases} (\eta/\eta_R)^2 & (\eta < \eta_R) \\ 2(\eta/\eta_R) - 1 & (\eta \geq \eta_R) \end{cases}$$

with  $\eta_R \equiv 0.83\eta_{\text{eq}}$

$$w_{\text{fit}} = \frac{1}{3} \left( 1 - \exp \left( -0.7 \left( \eta/\eta_R \right)^3 \right) \right)$$



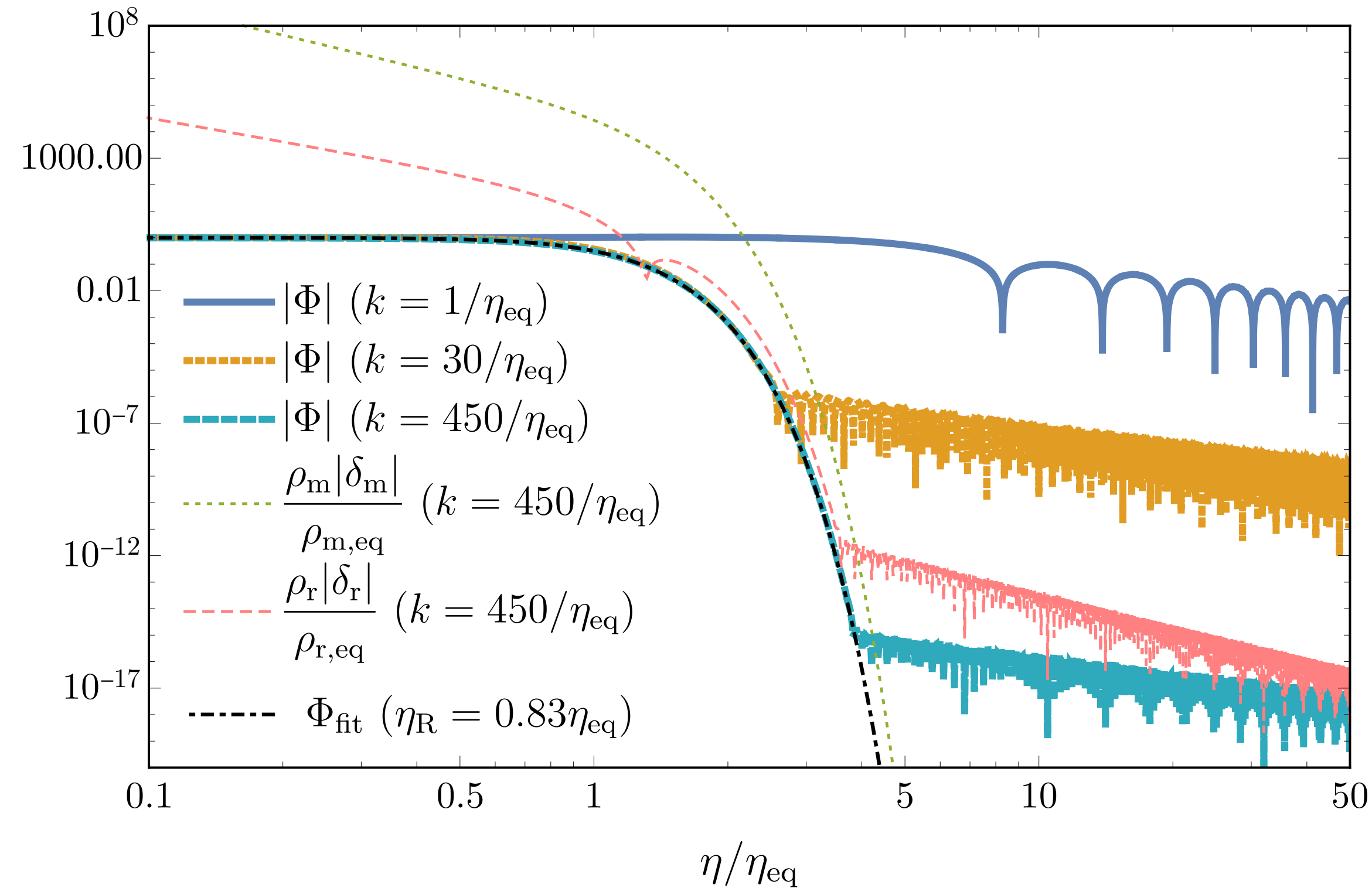
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[Inomata, Kohri, Nakama, Terada, 1904.12878]

[Poulin, Serpico, Lesgourgues, 1606.02073]

[textbook by Mukhanov, Cambridge, 2005]

Perturbation evolution



Equations for perturbation quantities

density contrast  $\delta_x \equiv \frac{\delta\rho_x}{\rho_x}$

velocity divergence  $\theta_x = \frac{1}{a} \nabla \cdot u_x$

$$\delta'_m = -\theta_m + 3\Phi' - a\Gamma\Phi$$

$$\theta'_m = -\mathcal{H}\theta_m + k^2\Phi$$

$$\delta'_r = -\frac{4}{3}(\theta_r - 3\Phi') + a\Gamma\frac{\rho_m}{\rho_r}(\delta_m - \delta_r + \Phi)$$

$$\theta'_r = \frac{k^2}{4}\delta_r + k^2\Phi - a\Gamma\frac{3\rho_m}{4\rho_r}\left(\frac{4}{3}\theta_r - \theta_m\right)$$

$$\Phi' = -\frac{k^2\Phi + 3\mathcal{H}^2\Phi + \frac{3}{2}\mathcal{H}^2\left(\frac{\rho_m}{\rho_{\text{tot}}}\delta_m + \frac{\rho_r}{\rho_{\text{tot}}}\delta_r\right)}{3\mathcal{H}}$$

Adiabatic initial conditions

$$\delta_m = -2\Phi, \quad \delta_r = \frac{4}{3}\delta_m, \quad \theta_m = \theta_r = \frac{k^2\eta}{3}\Phi$$

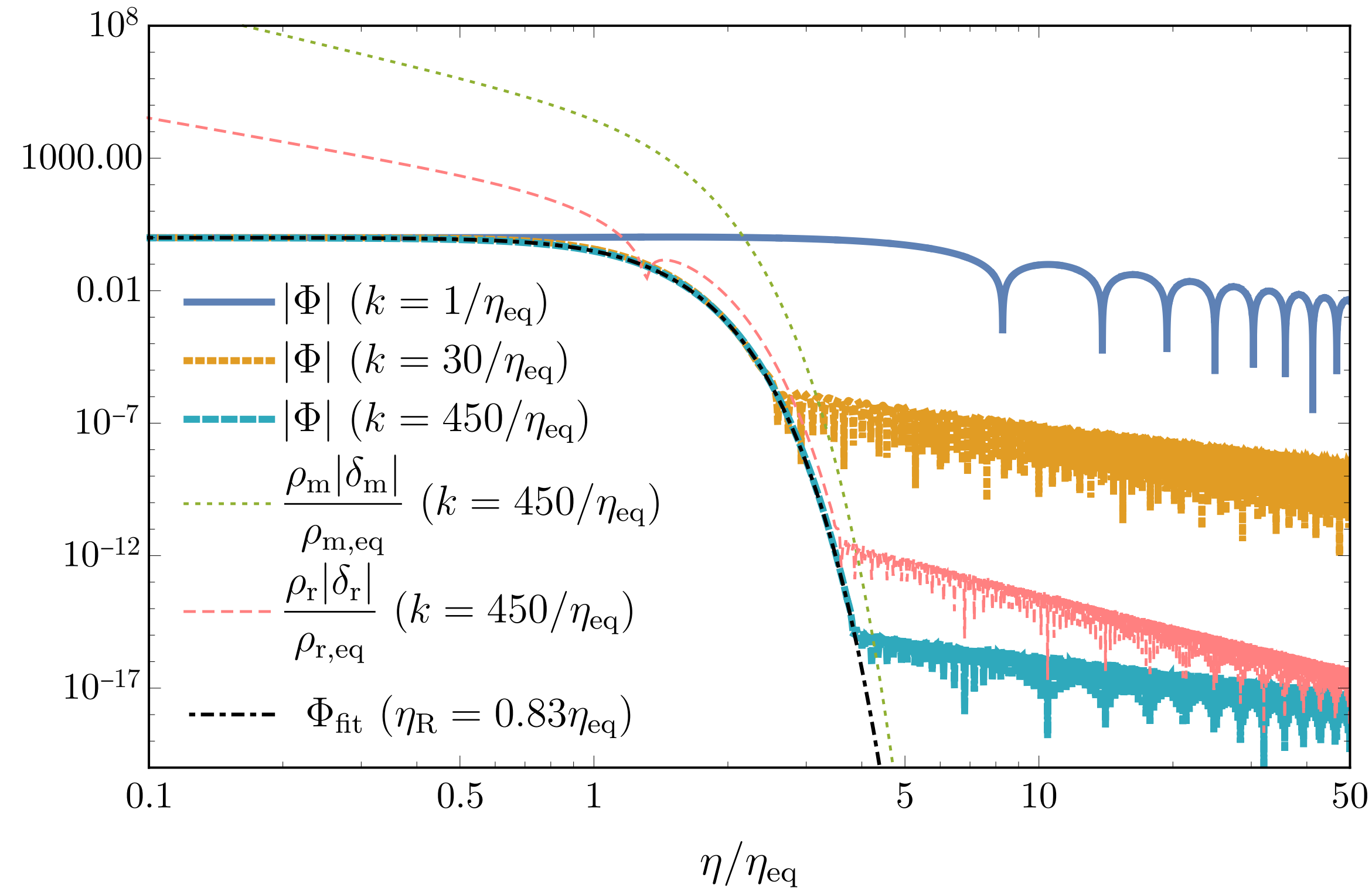
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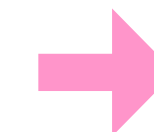
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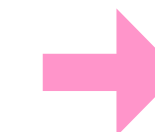
$$\delta_m = -2\Phi, \quad \delta_r = \frac{4}{3}\delta_m, \quad \theta_m = \theta_r = \frac{k^2\eta}{3}\Phi$$

Because of the growth of matter density perturbations,

$\delta\rho_m > \delta\rho_r$  even after the equality ( $\rho_m = \rho_r$ ), so the decay of  $\delta\rho_m$  controls  $\Phi$ .



$$\Phi_{\text{fit}} = \exp(-\Gamma t)$$



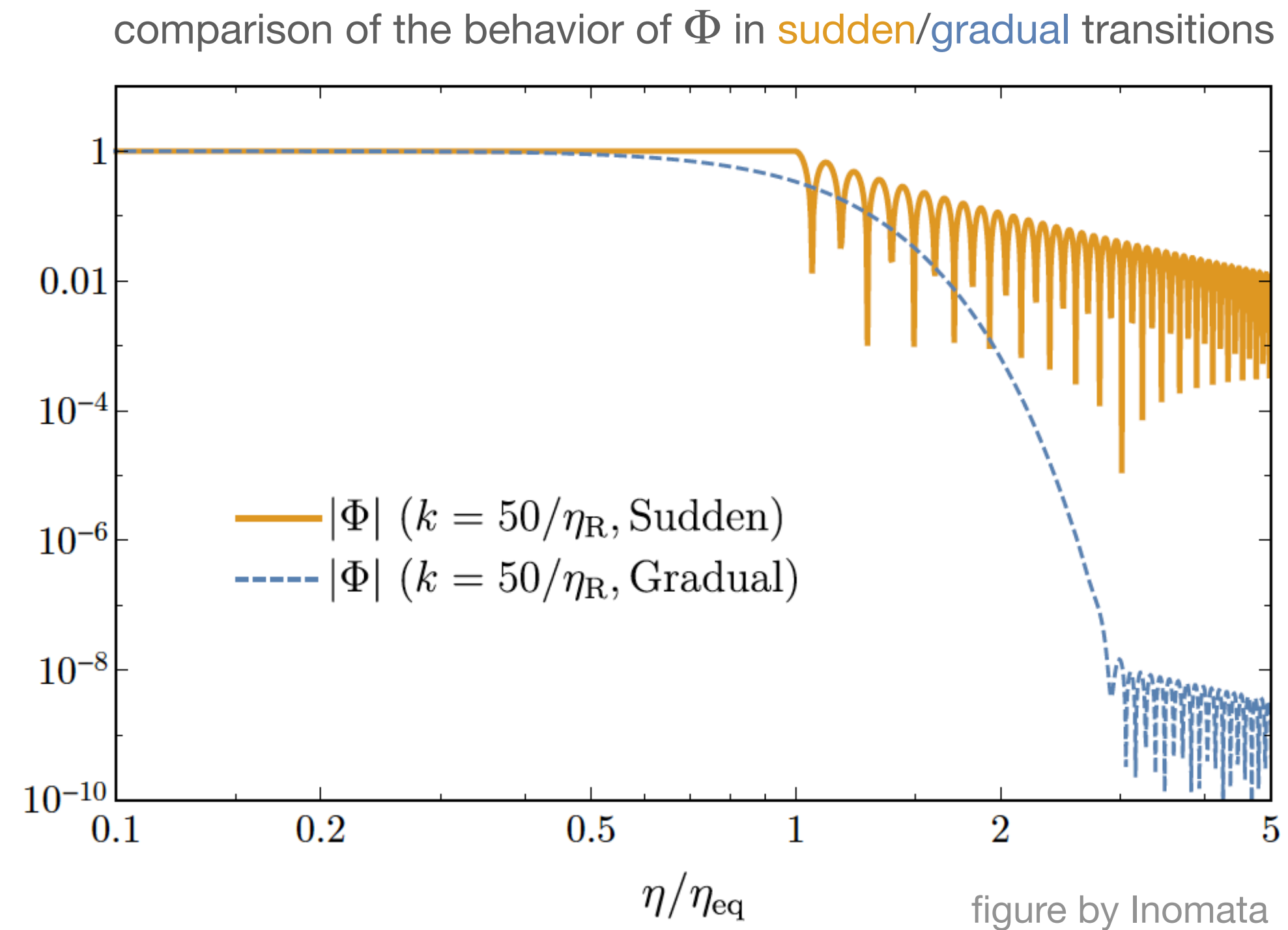
Suppression of induced-GWs

$$\Omega_{\text{GW}} \sim \Phi^4 \sim e^{-4\Gamma t}$$



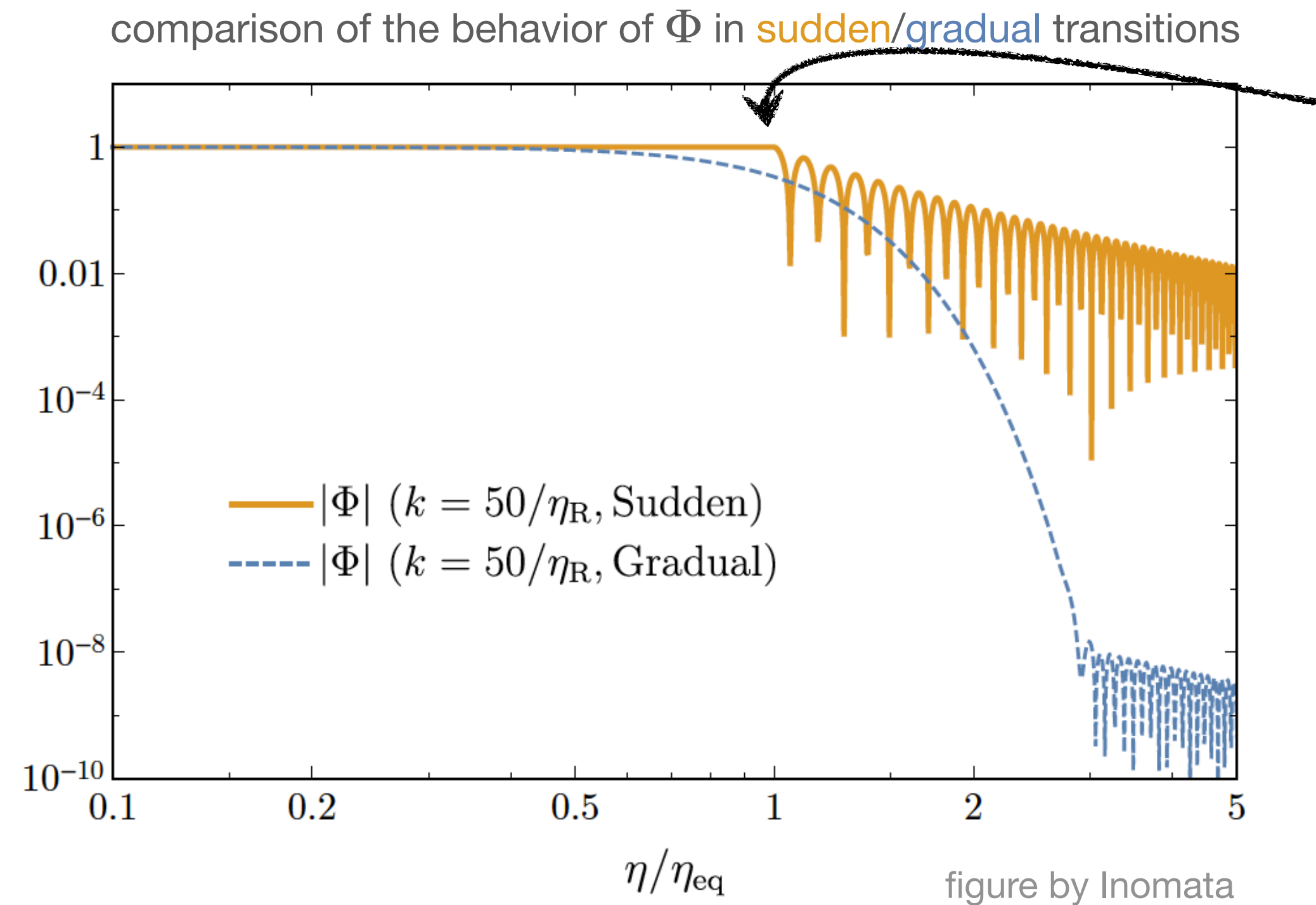
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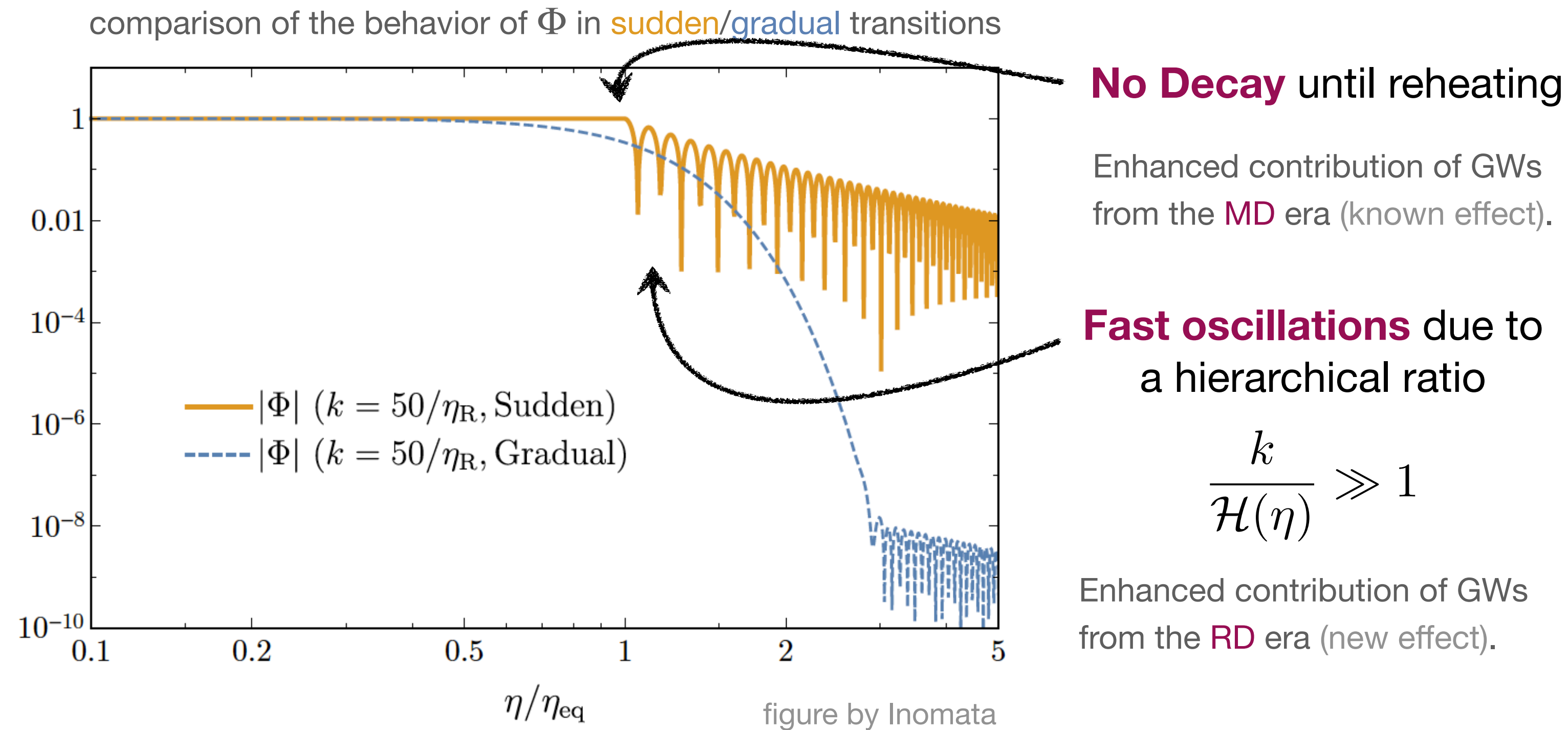
**No Decay** until reheating

Enhanced contribution of GWs  
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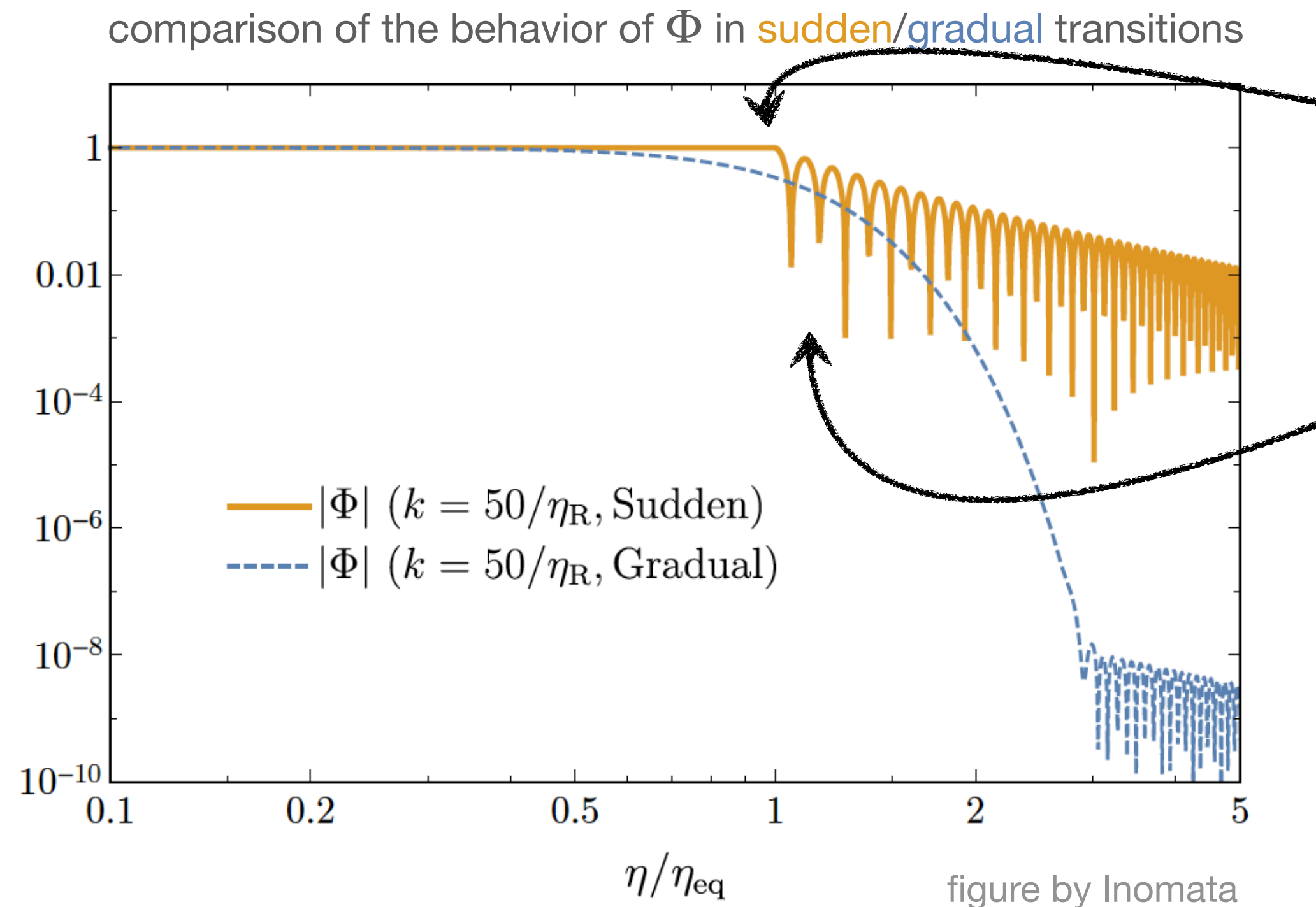
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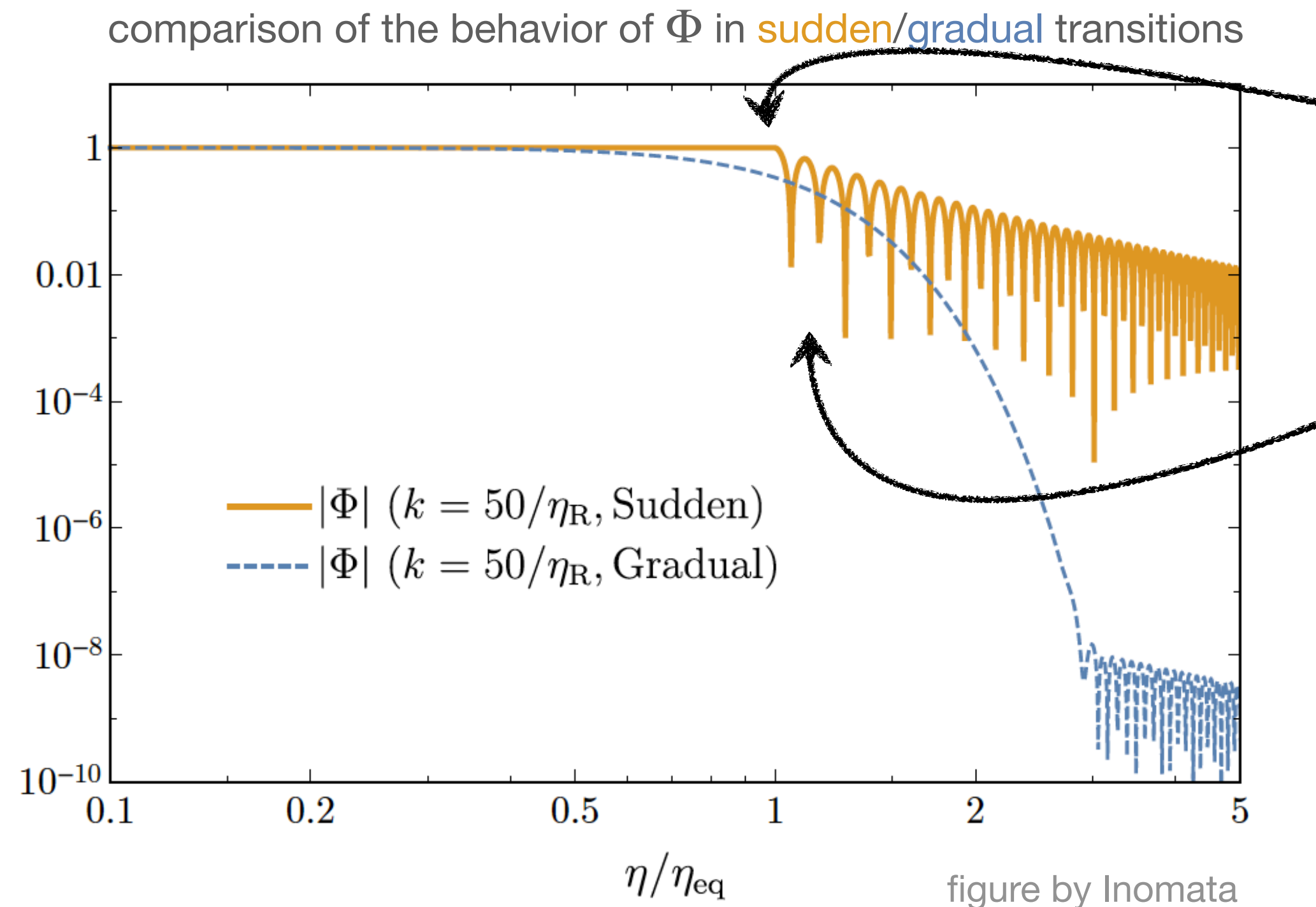
## (Quasi-) Sudden Reheating Examples

- “Triggeron” model (kinematic blocking)  
[Inomata, Kohri, Nakama, Terada, 1904.12879]
- Dark sector 1st-order phase transition  
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- Q-ball domination & evaporation  
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Poltergeist

mechanism for gravitational wave production



# Poltergeist

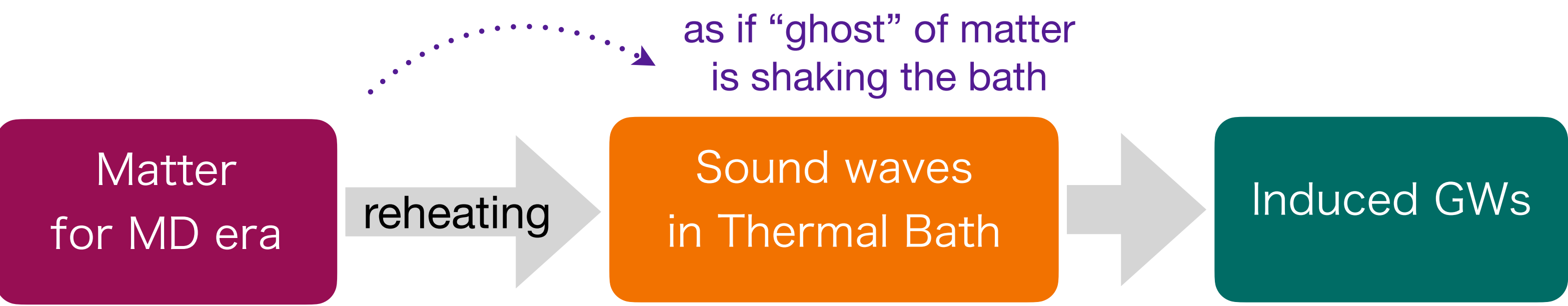
Article [Talk](#)

From Wikipedia, the free encyclopedia

*For other uses, see [Poltergeist \(disambiguation\)](#).*

In [ghostlore](#), a **poltergeist** (/ˈpoʊltər ɡaɪst/ or /ˈpɒltər ɡaɪst/; German for "rumbling ghost" or "noisy spirit") is a type of [ghost](#) or spirit that is responsible for physical disturbances, such as loud noises and objects being moved or destroyed. Most claims or fictional descriptions of poltergeists show them as being capable of [pinching](#), [biting](#), [hitting](#), and tripping people. They are also depicted as capable of the movement or [levitation](#) of objects such as furniture and cutlery, or noises such as knocking on doors. Foul smells are also associated with poltergeist occurrences, as well as spontaneous fires and different electrical issues such as flickering lights. <sup>[1]</sup>

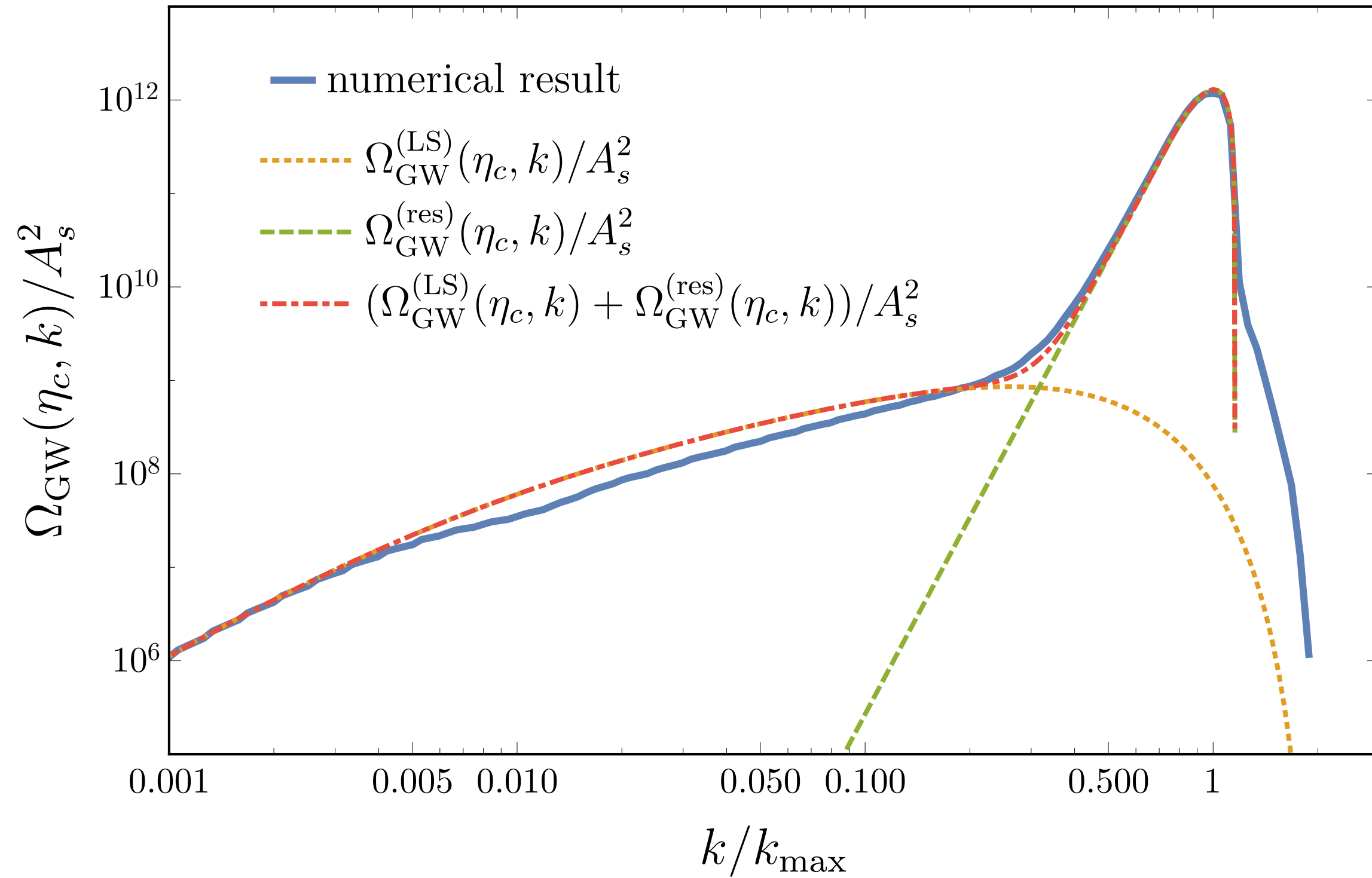
They have traditionally been described as troublesome spirits who haunt a particular person instead of a specific location. Some variation of poltergeist folklore is found in many different cultures. Early claims of spirits that supposedly harass and torment their victims date back to the 1st century, but references to poltergeists became more common in the early 17th century.





# Sudden Reheating Transition

[Inomata, Kohri, Nakama, Terada, 1904.12879]



$k_{\max}$  : a cutoff scale to avoid the nonlinear regime ( $\delta_m(\eta_R, k_{\max}) \sim 1$ ).

$$\Omega_{\text{GW}}(\eta_c, k) \simeq \Omega_{\text{GW}}^{(\text{LS})}(\eta_c, k) + \Omega_{\text{GW}}^{(\text{res})}(\eta_c, k)$$

$$\Omega_{\text{GW, RD}}^{(\text{LS})}(\eta_c, k) \simeq \frac{4\text{Ci}\left(\frac{x_R}{2}\right)^2 + (\pi - 2\text{Si}\left(\frac{x_R}{2}\right))^2}{86016000000} A_s^2 x_R^3 x_{\max, R}^5 \times \\ \left( \Theta(x_{\max, R} - x_R) \left( 5376 - 17640\tilde{k} + 23760\tilde{k}^2 - 16425\tilde{k}^3 + 5825\tilde{k}^4 - 847\tilde{k}^5 \right) \right. \\ \left. + \Theta(x_R - x_{\max, R}) \tilde{k}^{-5} (2 - \tilde{k})^6 (4 - 8\tilde{k} - 9\tilde{k}^2 + 13\tilde{k}^3 + 49\tilde{k}^4) \right),$$

$$\Omega_{\text{GW}}^{(\text{res})}(\eta_c, k) \simeq Y \frac{2.30285}{102400000} \sqrt{3} A_s^2 x_R^7 s_0(x_R) (15 - 10s_0^2(x_R) + 3s_0^4(x_R))$$

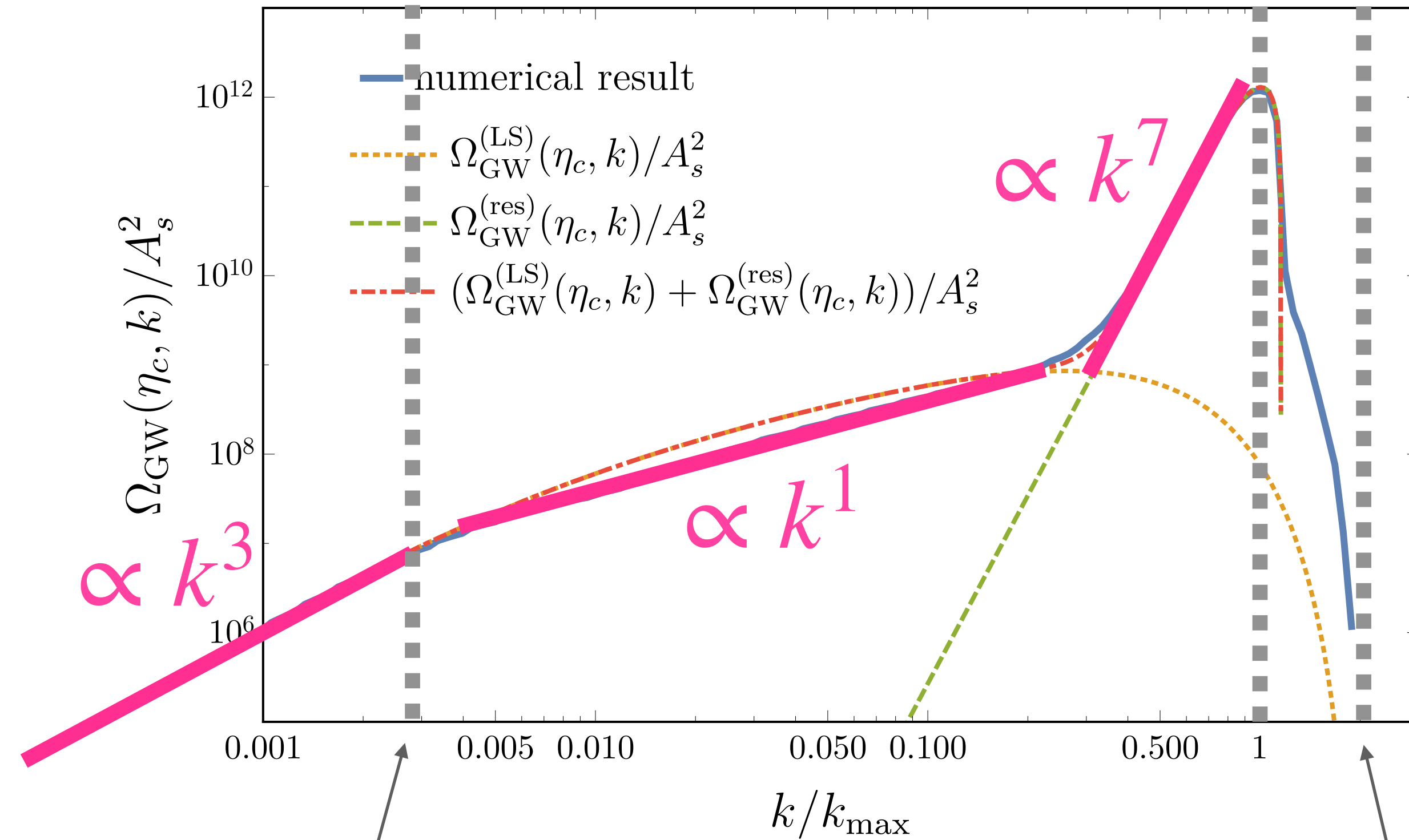
$$Y = 2.3: \text{fudge factor} \quad s_0(x_R) = \begin{cases} 1 & x_R \leq \frac{2x_{\max, R}}{1+\sqrt{3}} \\ 2\frac{x_{\max, R}}{x_R} - \sqrt{3} & \frac{2x_{\max, R}}{1+\sqrt{3}} \leq x_R \leq \frac{2x_{\max, R}}{\sqrt{3}} \\ 0 & \frac{2x_{\max, R}}{\sqrt{3}} \leq x_R \end{cases}$$

Poltergeist

mechanism for gravitational wave production

# Sudden Reheating Transition

[Inomata, Kohri, Nakama, Terada, 1904.12879]



$k_{\max}$  : a cutoff scale to avoid the nonlinear regime ( $\delta_m(\eta_R, k_{\max}) \sim 1$ ).

Scale of  
Reheating Transition

$k = 2k_{\max}$   
(second-order effect)

$$\Omega_{\text{GW}}(\eta_c, k) \simeq \Omega_{\text{GW}}^{(\text{LS})}(\eta_c, k) + \Omega_{\text{GW}}^{(\text{res})}(\eta_c, k)$$

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$$\left( \Theta(x_{\max, R} - x_R) \left( 5376 - 17640\tilde{k} + 23760\tilde{k}^2 - 16425\tilde{k}^3 + 5825\tilde{k}^4 - 847\tilde{k}^5 \right) \right.$$

$$\left. + \Theta(x_R - x_{\max, R}) \tilde{k}^{-5} (2 - \tilde{k})^6 (4 - 8\tilde{k} - 9\tilde{k}^2 + 13\tilde{k}^3 + 49\tilde{k}^4) \right),$$

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$Y = 2.3$ : fudge factor

$$s_0(x_R) = \begin{cases} 1 & x_R \leq \frac{2x_{\max, R}}{1+\sqrt{3}} \\ 2\frac{x_{\max, R}}{x_R} - \sqrt{3} & \frac{2x_{\max, R}}{1+\sqrt{3}} \leq x_R \leq \frac{2x_{\max, R}}{\sqrt{3}} \\ 0 & \frac{2x_{\max, R}}{\sqrt{3}} \leq x_R \end{cases}$$

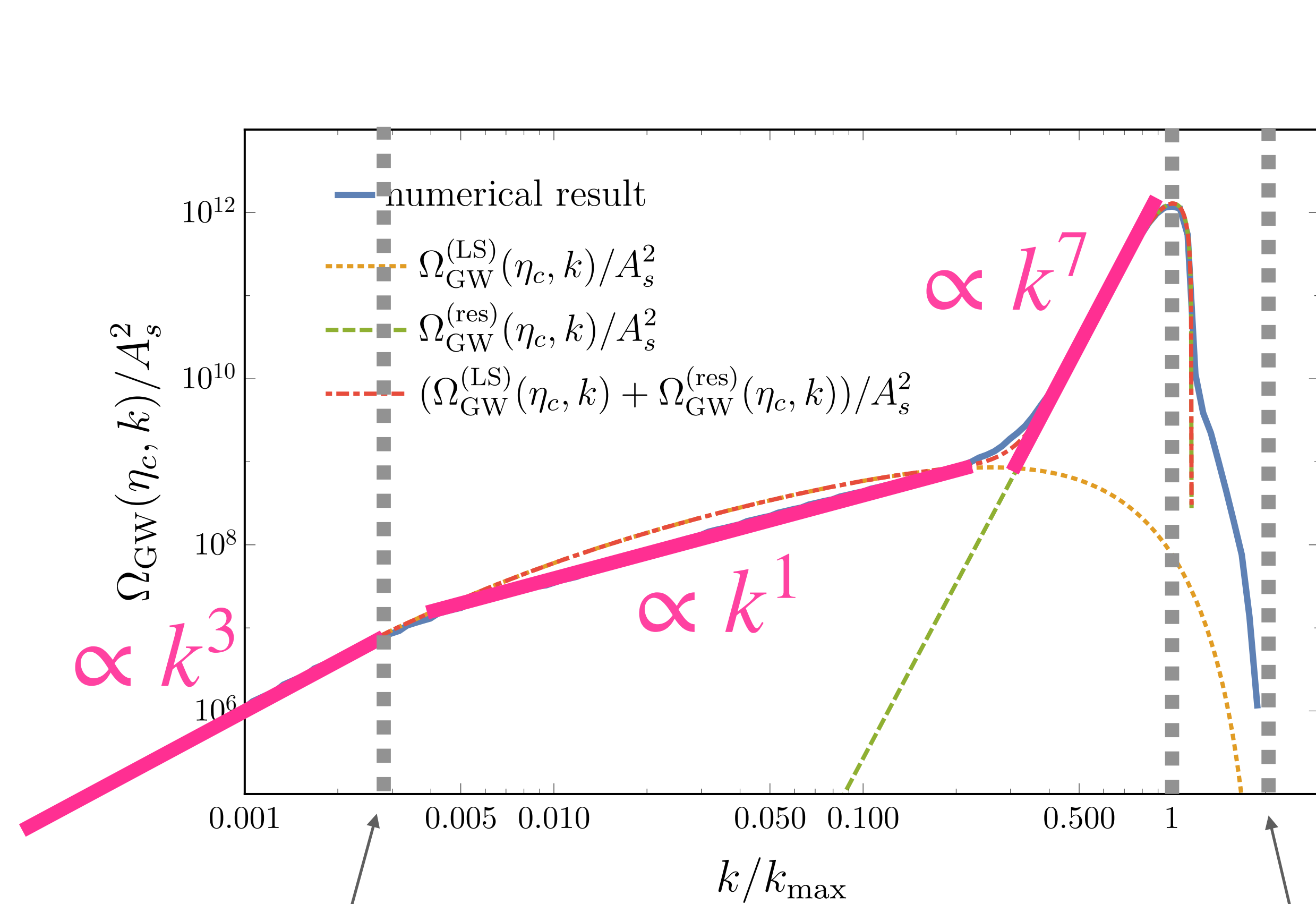
Poltergeist

mechanism for gravitational wave production



# Sudden Reheating Transition

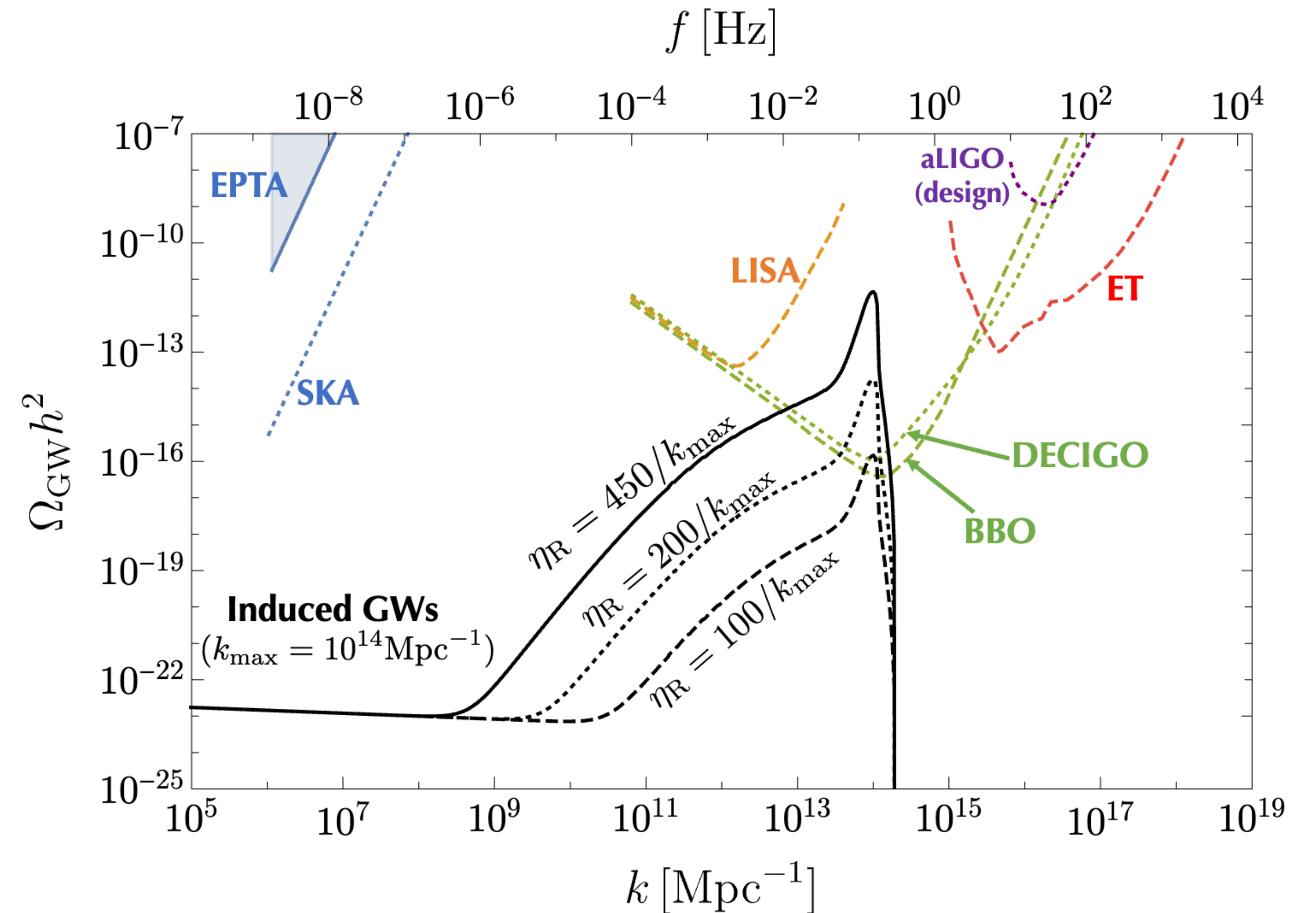
[Inomata, Kohri, Nakama, Terada, 1904.12879]



$k_{\text{max}}$  : a cutoff scale to avoid the nonlinear regime ( $\delta_m(\eta_R, k_{\text{max}}) \sim 1$ ).

Scale of Reheating Transition

$k = 2k_{\text{max}}$   
(second-order effect)

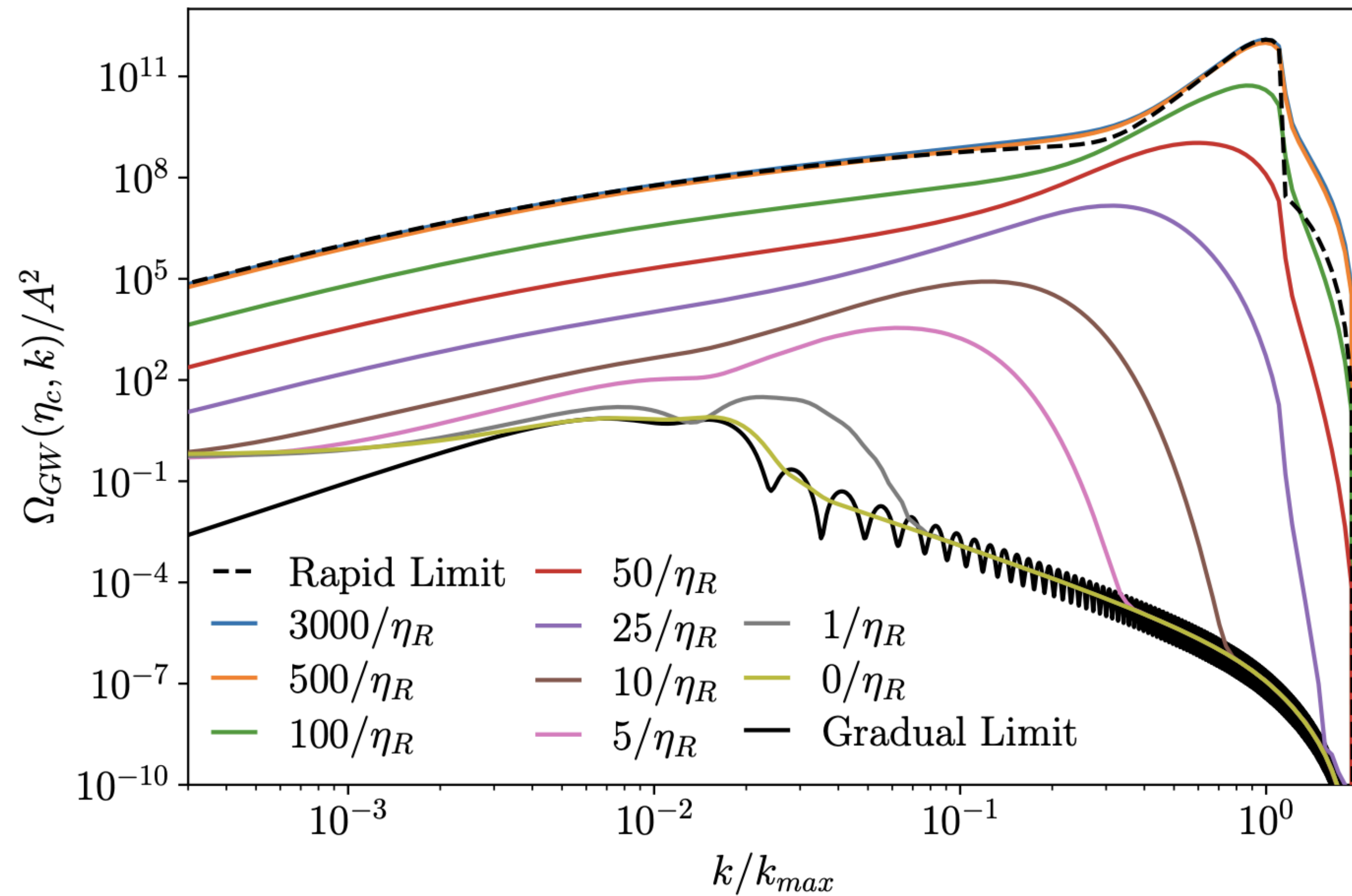


Polttergeist

mechanism for gravitational wave production

# Intermediate Transition-Speed Cases

[Pearce, Pearce, White, Balazs, 2311.12340]



$$\Gamma(\eta) = \Gamma_{\max}(\tanh(\beta(\eta - \eta_{\Gamma})) + 1)/2$$

- Our results have been **confirmed** and refined.
- In particular, the big enhancement effect is not an artifact due to the infinite transition speed.

More recent improvement with relative velocity perturbations  
[Kumar, Tai, Wang, 2410.17291]



# Examples of quasi-sudden transitions

- Domination and evaporation of tiny PBHs
- Axion rotation scenario

# Evaporation of Tiny Black Holes [Inomata, Kawasaki, Mukaida, Terada, Yanagida, 2003.10455]

## The scenario

- PBHs are produced in the early universe.
- They dominate the universe and realize a MD era (PBH-dominated era).
- They evaporate via Hawking radiation and reheat the universe before BBN.

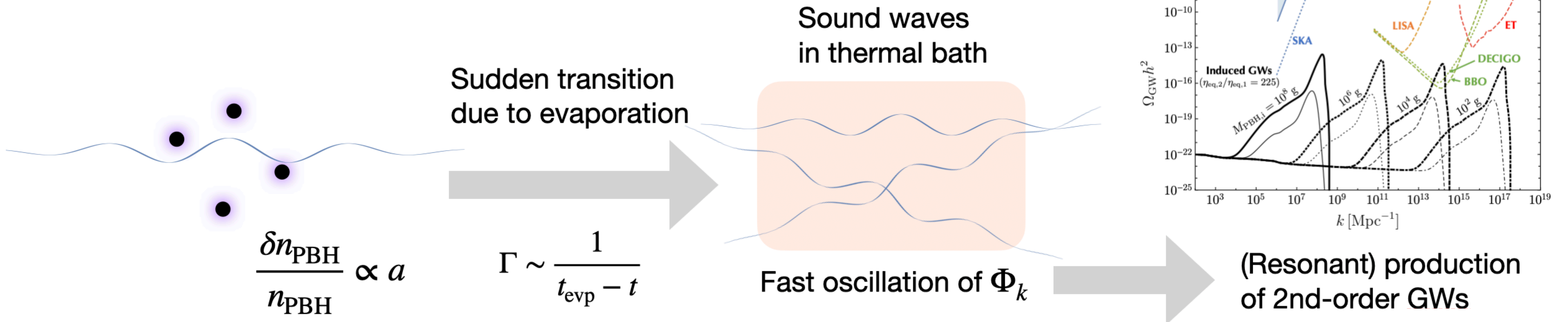
## Assumption

For the signal not to be suppressed, we need to assume

- small width of mass distribution
- small width of spin distribution

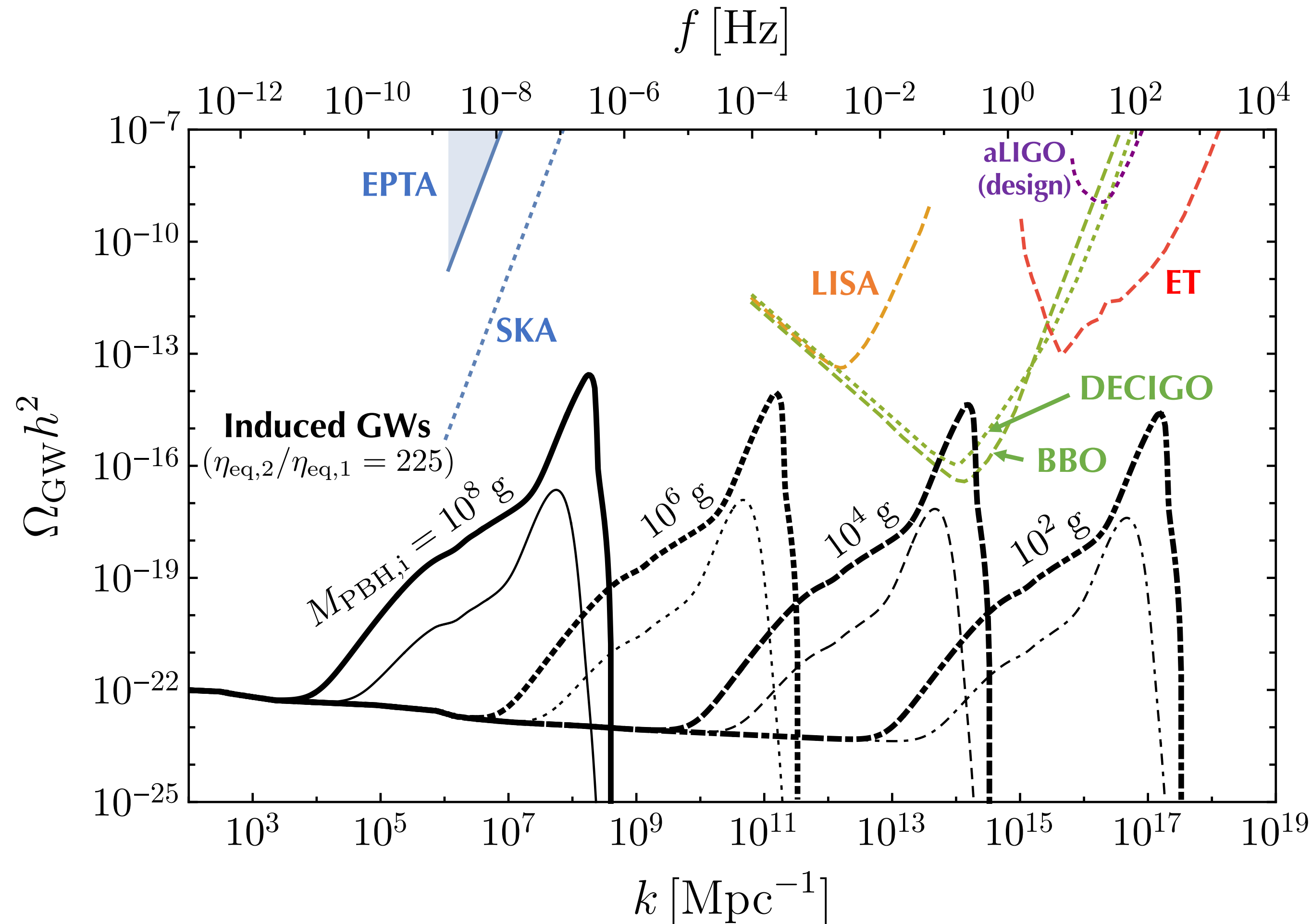
$$\Gamma \equiv - \frac{d \ln M_{\text{PBH}}}{dt} = \frac{1}{3(t_{\text{evp}} - t)}$$

$$M_{\text{PBH}} \propto (t_{\text{evp}} - t)^{1/3}$$





# Evaporation of Tiny Black Holes [Inomata, Kawasaki, Mukaida, Terada, Yanagida, 2003.10455]



## Primordial curvature perturbations

$$\mathcal{P}_\zeta(k) = A_s \left( \frac{k}{k_*} \right)^{n_s-1} \theta(k_{\text{NL}} - k)$$

## Finite width of mass (distribution) function

$$\rho_{\text{PBH},i}(M_{\text{PBH},i}) = \frac{\rho_{\text{PBH},i}}{\sqrt{2\pi}\sigma} \exp \left( -\frac{(\ln(M_{\text{PBH}}/M_{\text{PBH},0}))^2}{2\sigma^2} \right)$$

—  $\sigma \rightarrow 0$

—  $\sigma = 0.01$

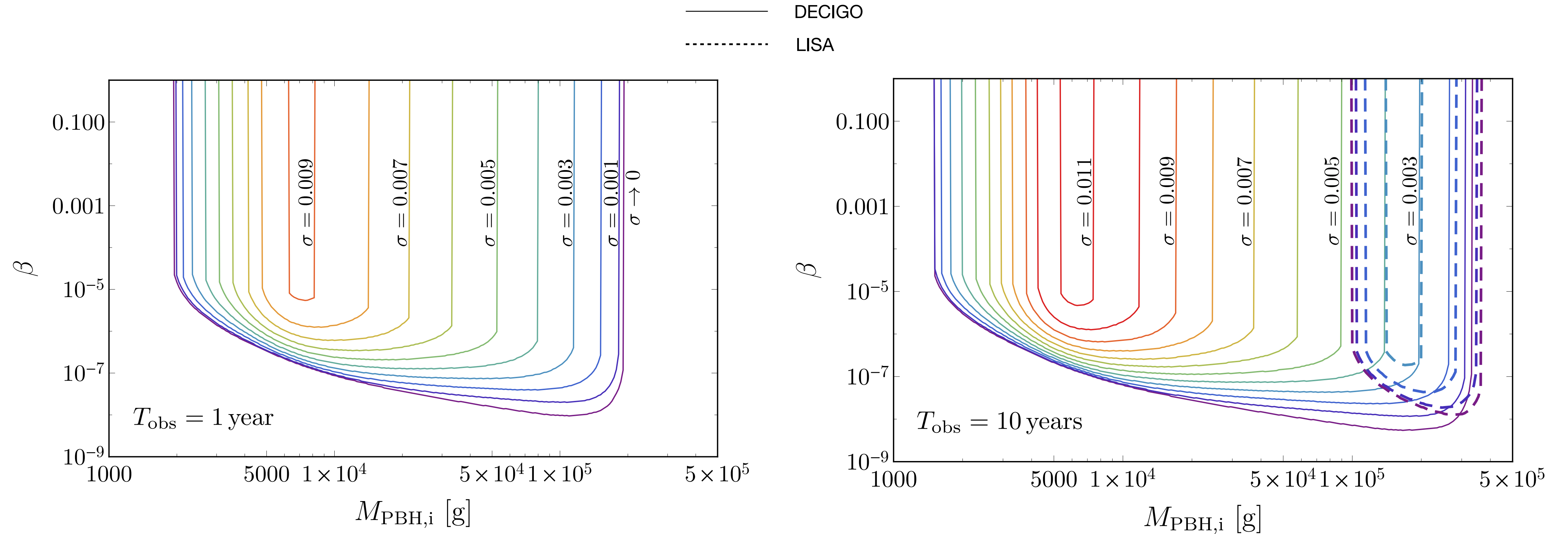
## Further enhancement in the non-linear regime?

[Papanikolaou, Vennin, Langlois, 2010.11573]

[Domènech, Lin, Sasaki, 2012.08151]

[Domènech, Takhistove, Sasaki, 2105.06816]

# Evaporation of Tiny Black Holes [Inomata, Kawasaki, Mukaida, Terada, Yanagida, 2003.10455]

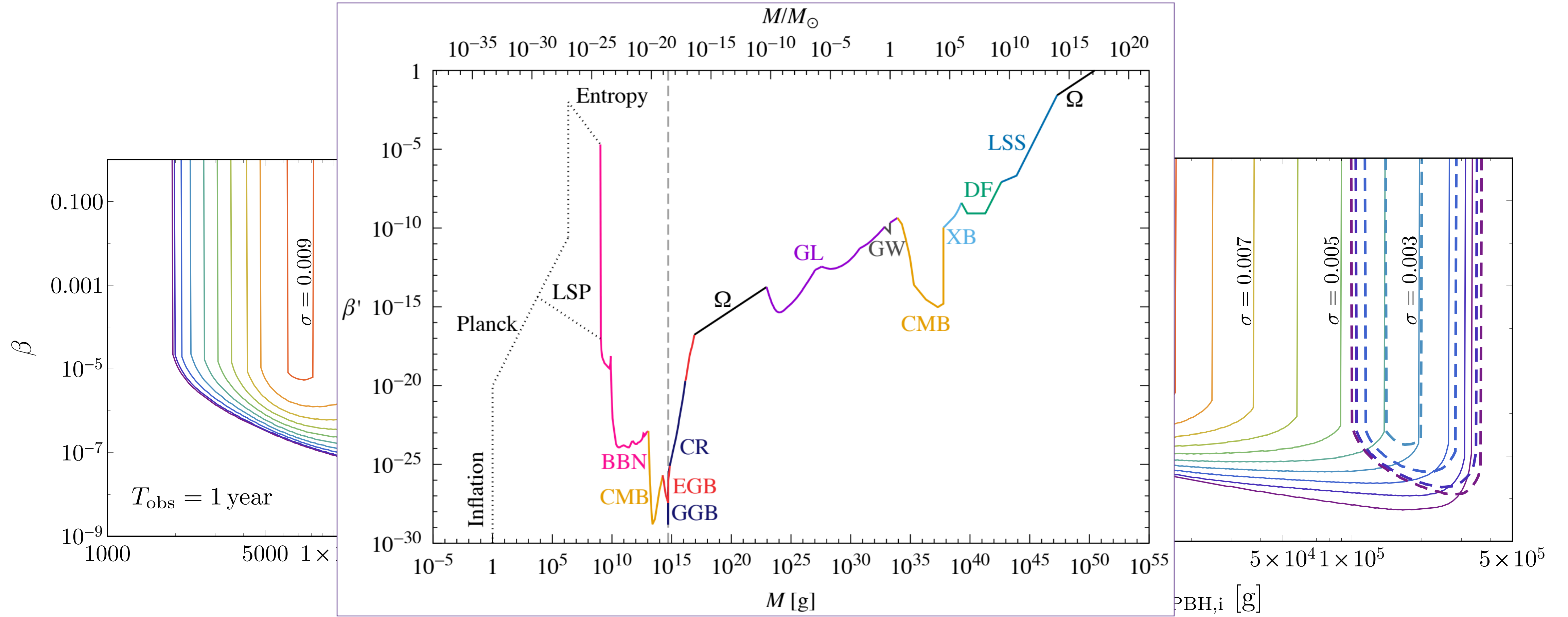


$\beta \equiv \frac{\rho_{\text{PBH},i}}{\rho_{\text{tot},i}} \gtrsim \mathcal{O}(10^{-7})$  for  $\mathcal{O}(10^3) \text{ g} \lesssim M_{\text{PBH},i} \lesssim \mathcal{O}(10^5) \text{ g}$  can be probed by future observations.



# Evaporation of Tiny Black Holes

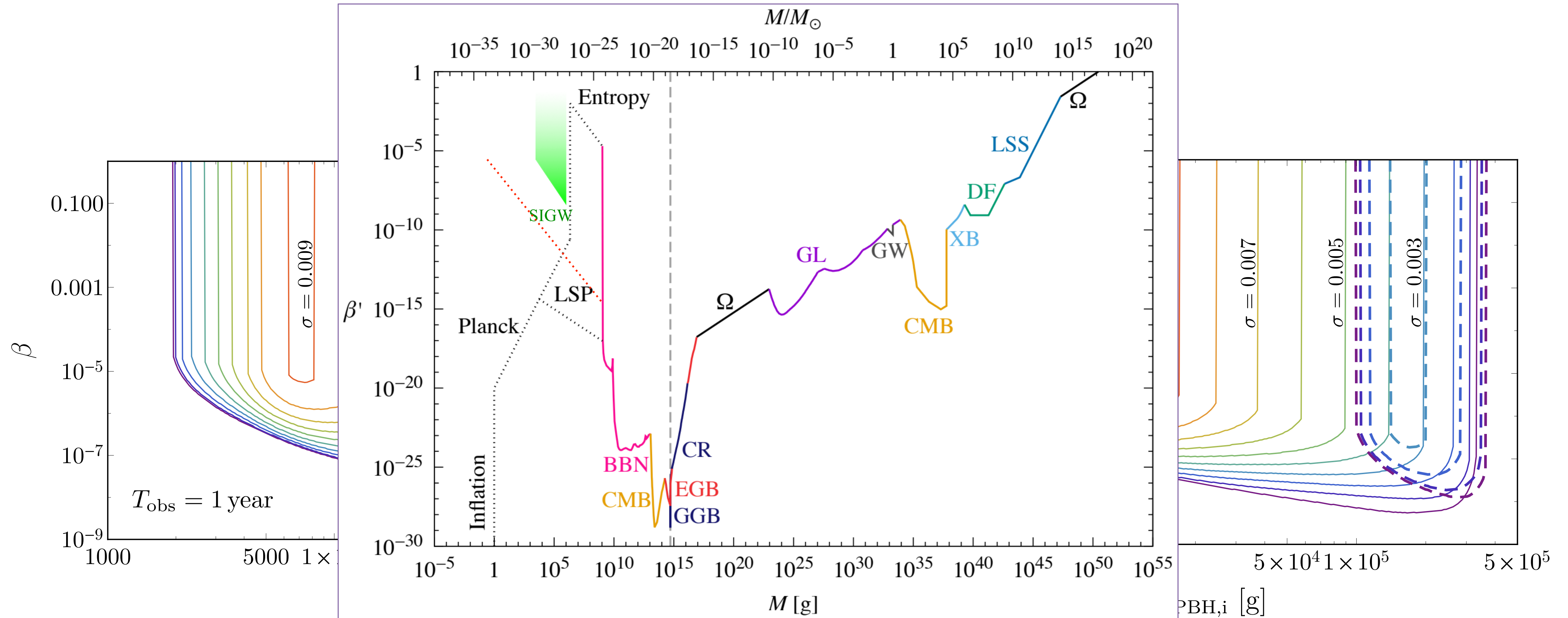
[Inomata, Kawasaki, Mukaida, Terada, Yanagida, 2003.10455]



[Carr, Kohri, Sendouda, Yokoyama, 2002.12778]

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Modification of [Carr, Kohri, Sendouda, Yokoyama, 2002.12778]

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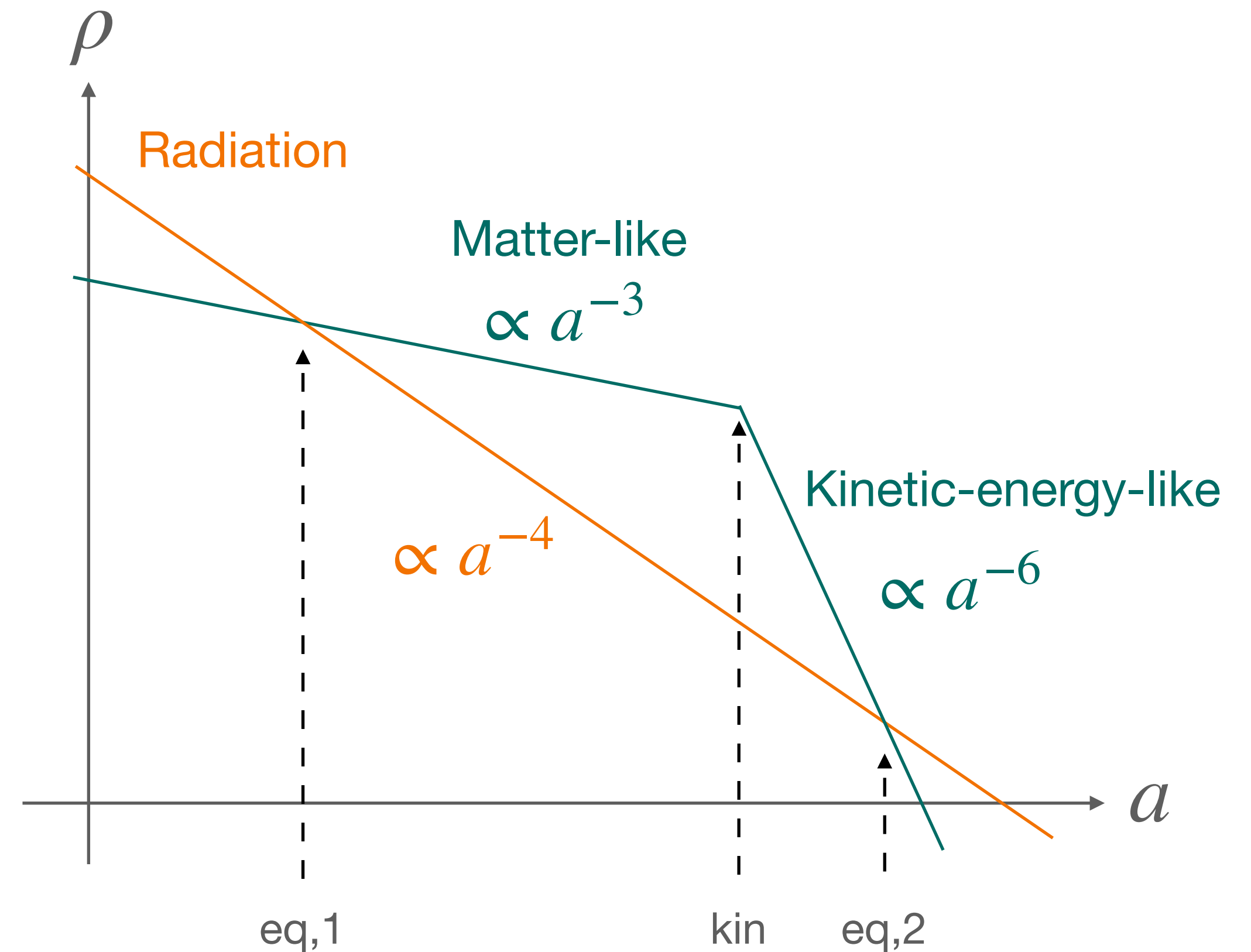
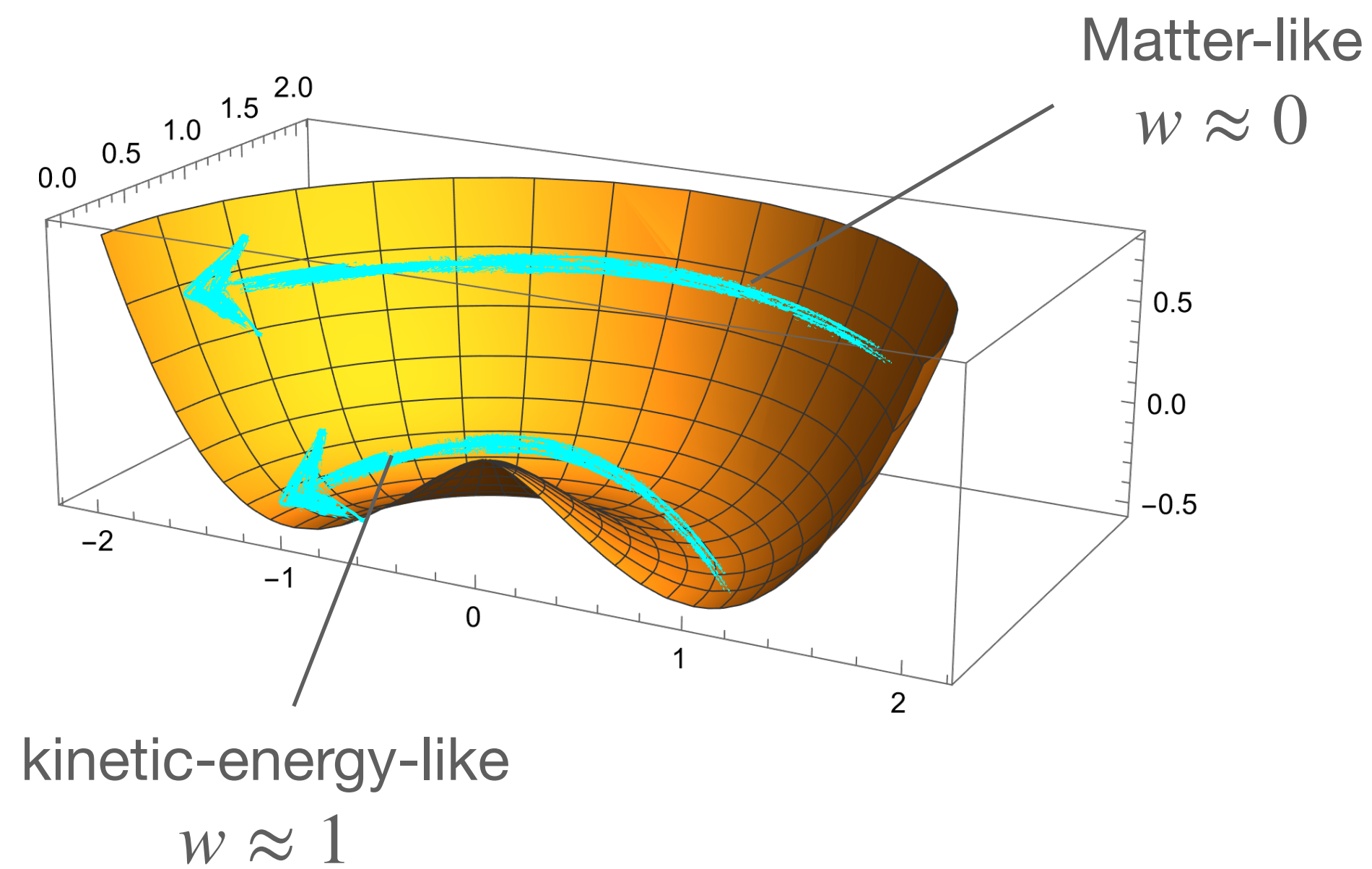
# Axion Rotation Cosmology with Kination

[Co, Dunsky, Fernandez, Ghalsasi, Hall, Harigaya, Shelton, 2108.09299]

[Gouttenoire, Servant, Simakachorn, 2108.10328; 2111.01150]

Example:

$$V(P) = m^2 |P|^2 \left( \log \left( \frac{2|P|^2}{f_a^2} \right) - 1 \right)$$



No Entropy Production neither at “kin” nor at “eq,2”!

# Axion Model Setup

[Co, Dunskey, Fernandez, Ghalsasi, Hall, Harigaya, Shelton, 2108.09299]



# Axion Model Setup

[Co, Dunsky, Fernandez, Ghalsasi, Hall, Harigaya, Shelton, 2108.09299]

(Supersymmetric) Two-field model

Kähler potential  $K = |P|^2 + |\bar{P}|^2 + |X|^2$

Superpotential  $W = X(P\bar{P} - v_P^2)$

Soft supersymmetry breaking mass terms ( $v_P \gg m_P, m_{\bar{P}}$ )  $V_{\text{soft}} = m_P^2 |P|^2 + m_{\bar{P}}^2 |\bar{P}|^2$

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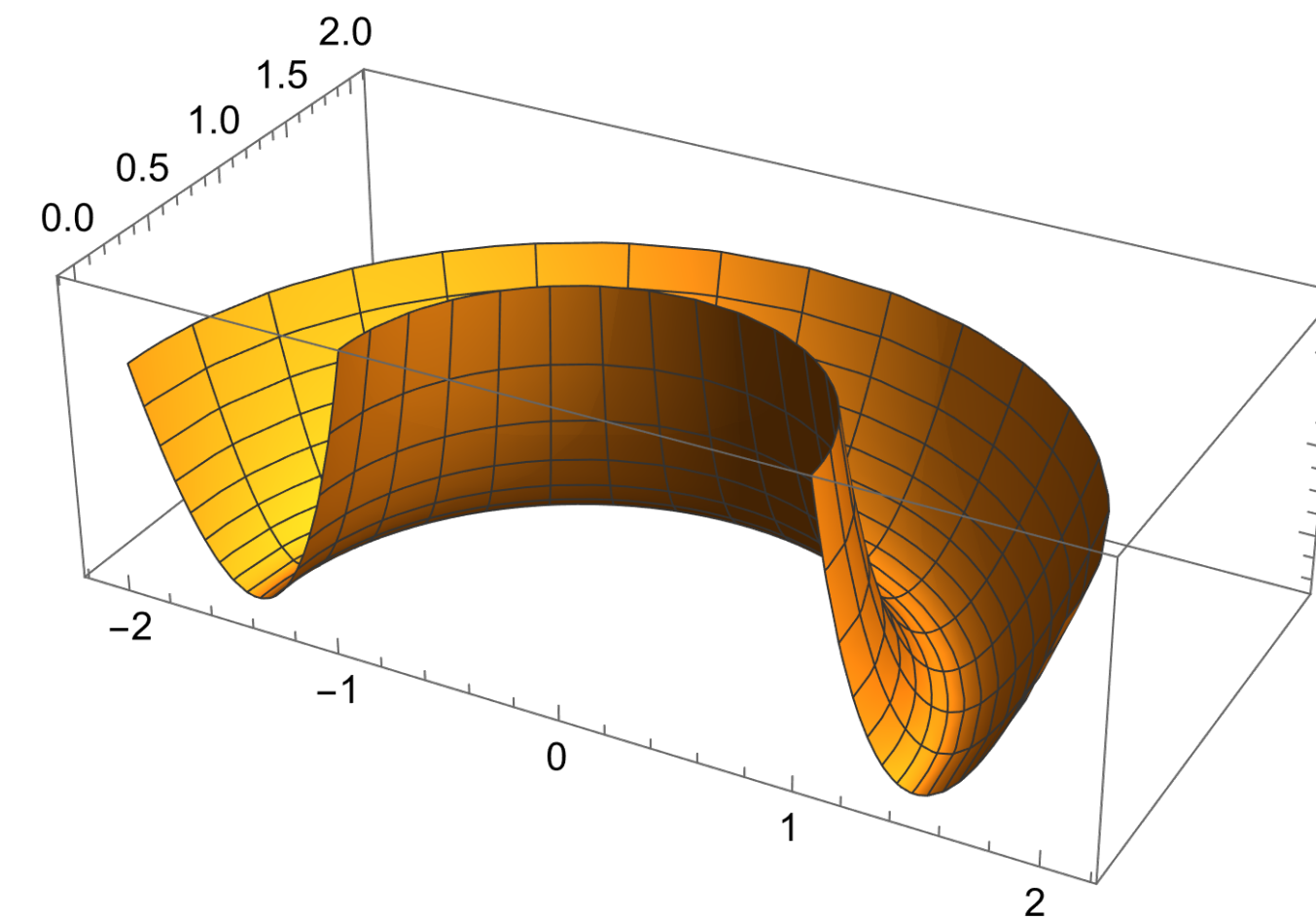
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$X$  and a linear combination of  $P$  and  $\bar{P}$  become heavy and can be integrated out.

$$\mathcal{L} = - \left( 1 + \frac{f_a^4}{16 |P|^4} \right) g^{\mu\nu} \partial_\mu P^\dagger \partial_\nu P - \left( 1 - \frac{(1+d)f_a^2}{4 |P|^2} \right)^2 m_P^2 |P|^2$$





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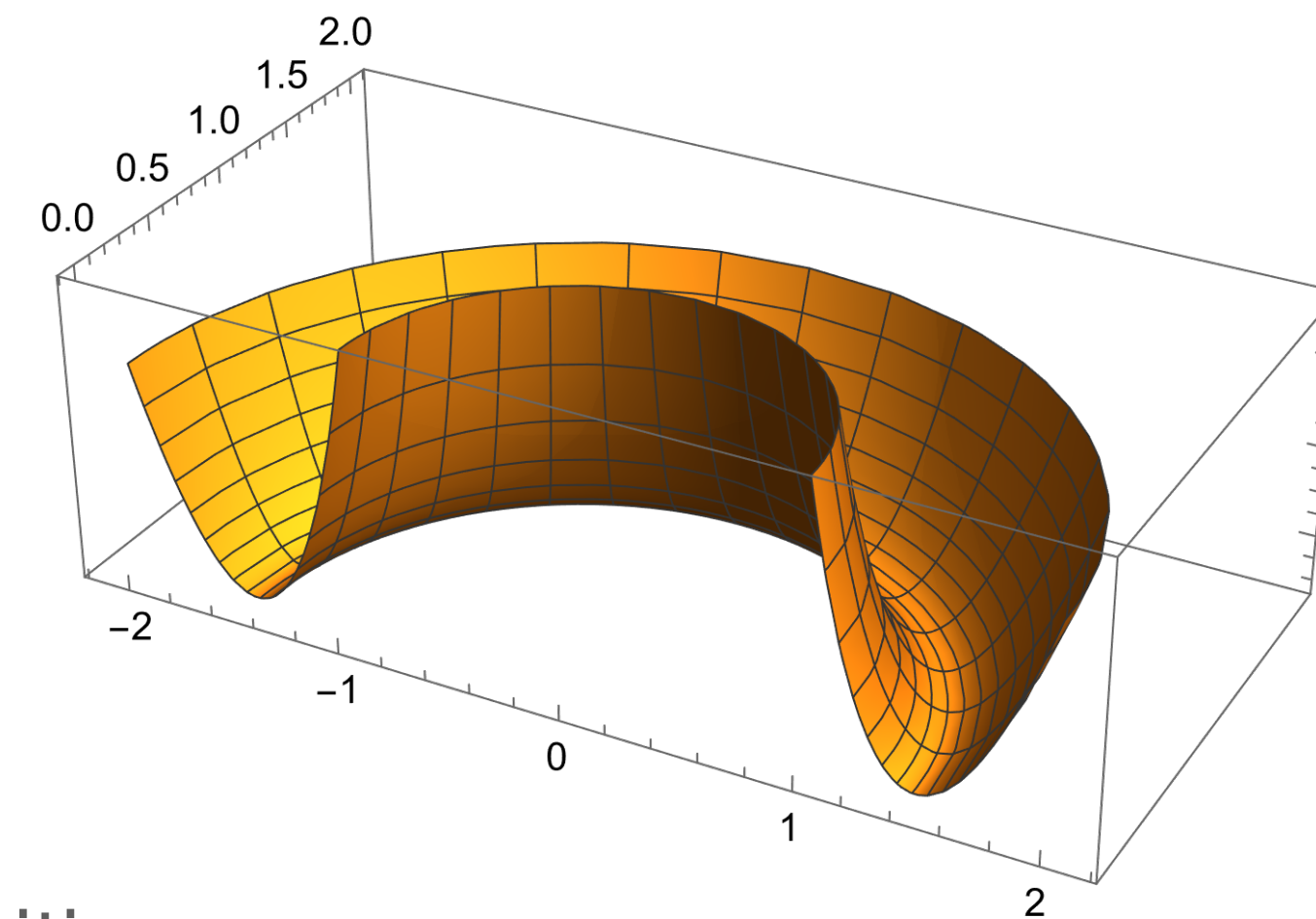
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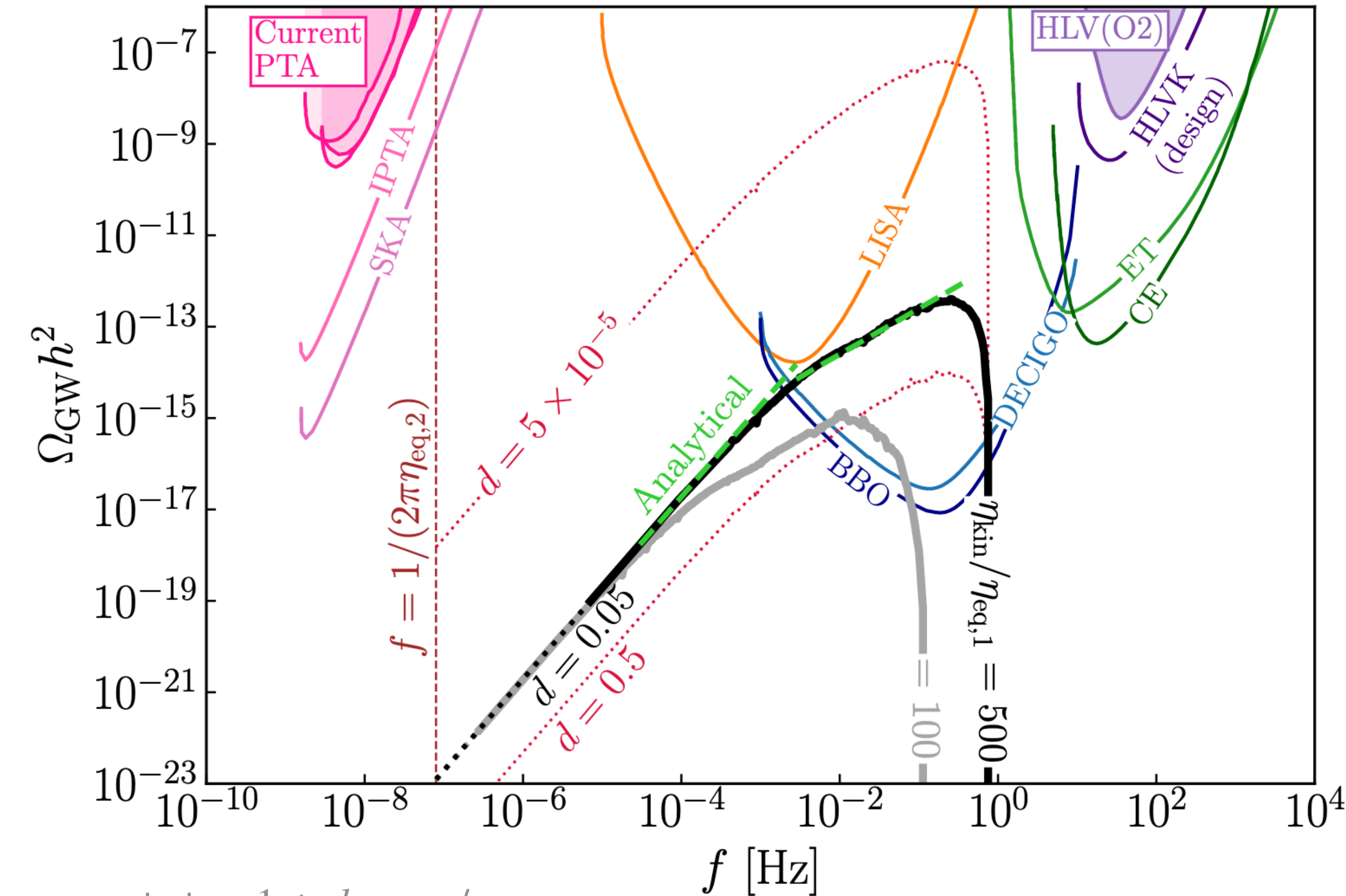
The approximately  $\mathbb{Z}_2$  symmetric limit  $d \equiv (m_{\bar{P}} - m_P)/m_P \ll 1$  realizes a sudden transition.



# Axion-Induced Gravitational Waves

[Harigaya, Inomata, Terada, 2305.14242]

See our Supplemental Material, [Domènech, 1912.05583], and [Domènech, Pi, Sasaki, 2005.12314] for Induced GWs with a general equation-of-state parameter  $w$ .



reminder:  $1 + d \equiv m_{\bar{P}}/m_P$

The dominant contribution (from the KD era by the Poltergeist mechanism) is plotted.

Assumed Curvature Spectrum:

$$\mathcal{P}_\zeta = A \Theta(k_{\max} - k) \Theta(k - 1/\eta_{\text{kin}})$$

with  $A = 2.1 \times 10^{-9}$ , and  $k_{\max}$  the nonlinear scale.

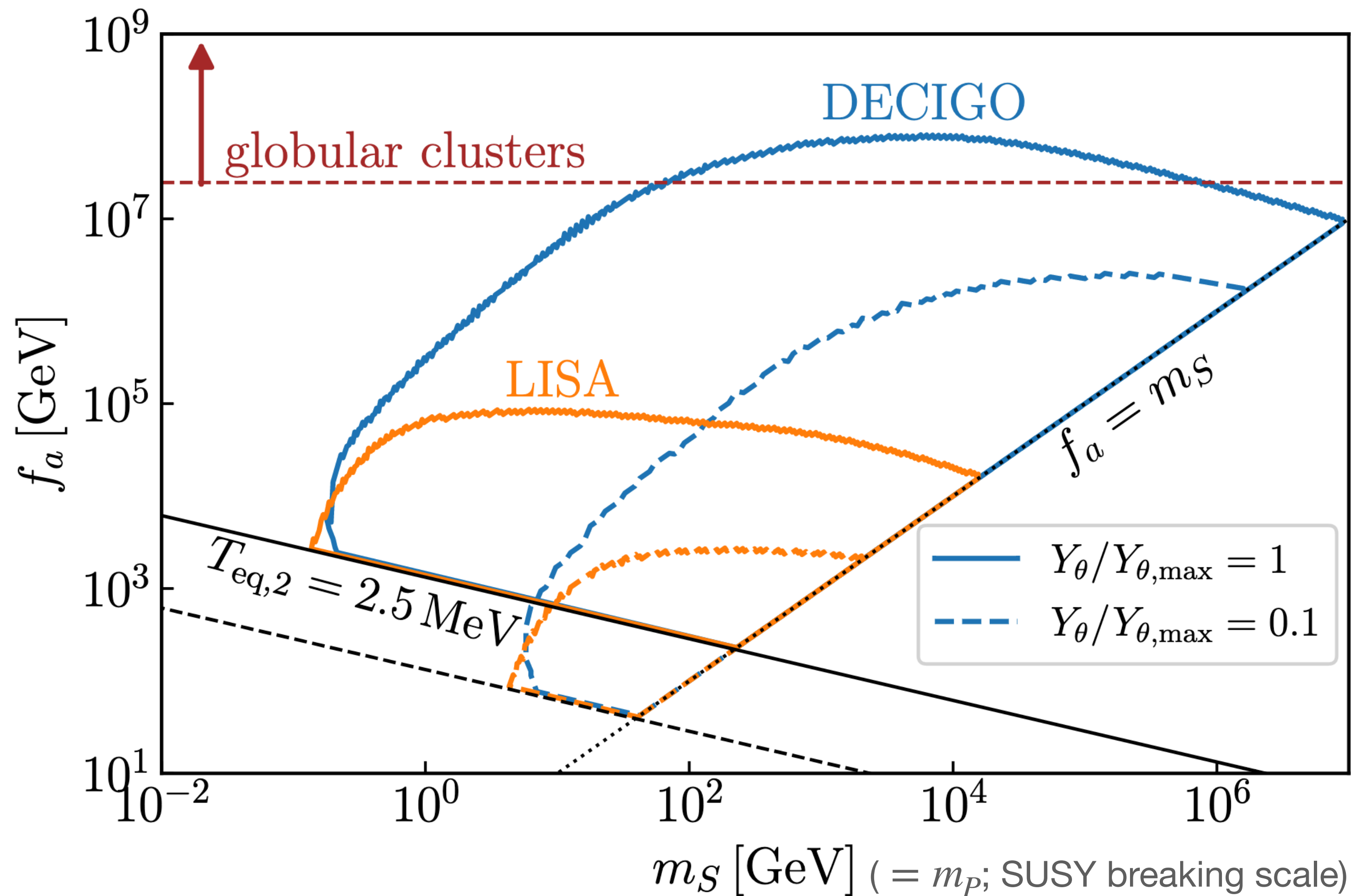
Analytic formula:

$$\Omega_{\text{GW}} h^2 \simeq 2 \times 10^{-11} A^2 Q^4 \frac{\eta_{\text{kin}}^2}{\eta_{\text{eq},1}^2} (k_* \eta_{\text{kin}})^5 \begin{cases} k \eta_{\text{kin}} & (k \eta_{\text{kin}} > 4) \\ 0.535 \times (k \eta_{\text{kin}})^2 & (k \eta_{\text{kin}} < 4) \end{cases}$$

where  $k_* \equiv \min[k_{\max}, 1/\eta_{\text{eq},1}]$  and  $Q \sim \Phi_{k_*}(\eta_{\text{kin}})/\Phi_{k_*}(\eta_{\text{eq},1}) \ll \eta \ll \eta_{\text{kin}}$ .



# Probable Parameter Space 1: Saxion



$d = 0.05$  and  $b = 0.1$

U(1) charge density

$$Y_\theta \equiv \frac{n_\theta}{s}, \quad n_\theta = 2 \left( 1 + \frac{f_a^4}{16|P|^4} \right) |P|^2 \dot{\theta}$$

$$Y_{\theta,\max} = 100 \left( \frac{m_S}{8.7 \times 10^8 \text{ GeV}} \right)^{-1/3} \left( \frac{b}{0.1} \right)^{1/3}$$

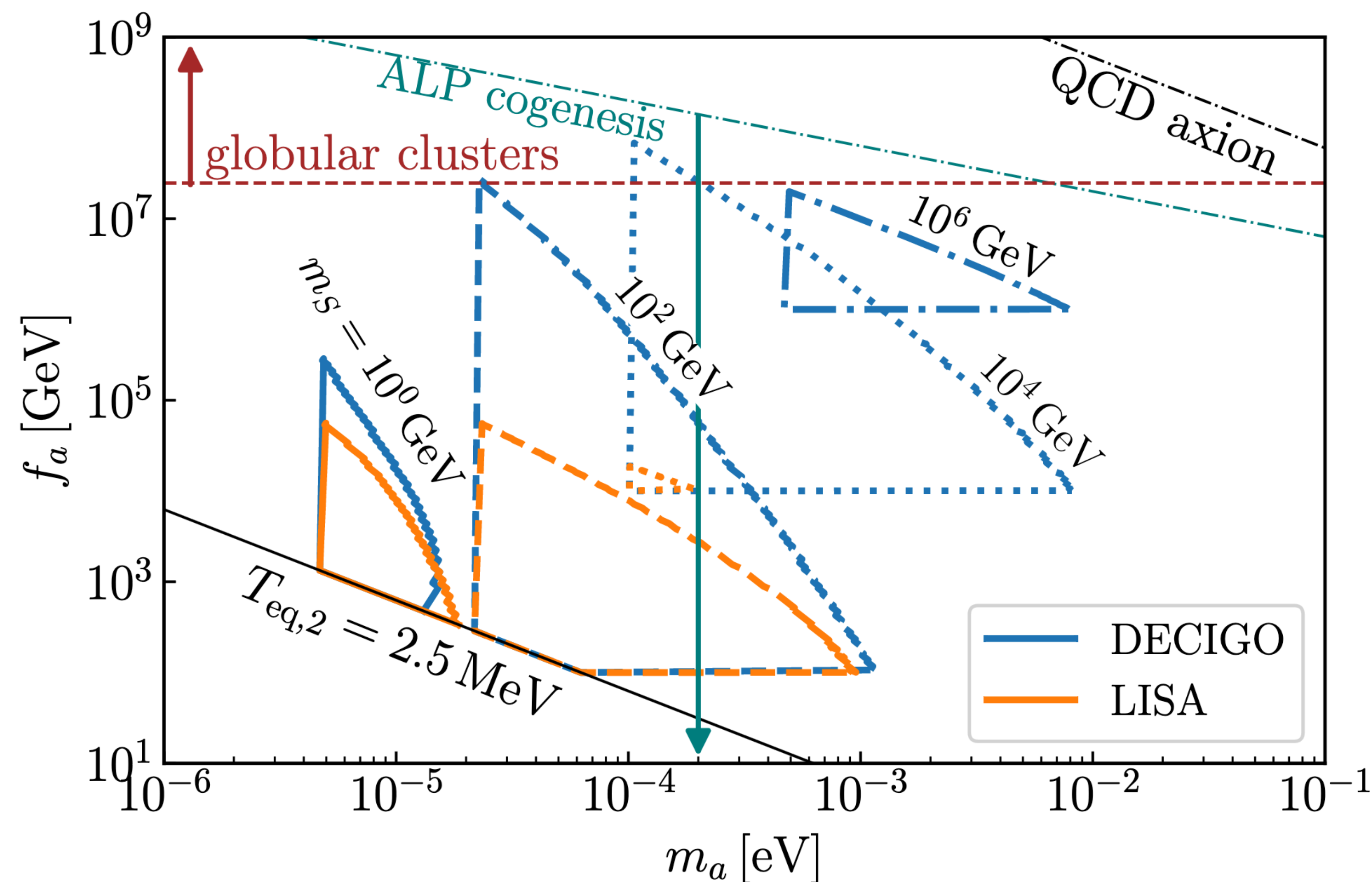
$b$ : parametrizing the efficiency of thermalization

[Dolan, Hiskens, Volkas, 2207.03102]

Globular cluster constraint assumes

$$g_{a\gamma\gamma} = \frac{\alpha}{2\pi f_a}$$

# Probable Parameter Space 2: Axion



$d = 0.05$  and  $b = 0.1$

Axion 100% dark matter is assumed.

$$m_a Y_\theta \simeq 0.44 \text{ eV}$$

kinetic misalignment [Co, Hall, Harigaya, 1910.14152]

ALPogenesis [Co, Hall, Harigaya, 2006.04809]

# Summary and Conclusions



# Conclusions

GWs induced by curvature perturbations are a useful probe of the early Universe.

Applications include inflation, PBHs, and PTAs.

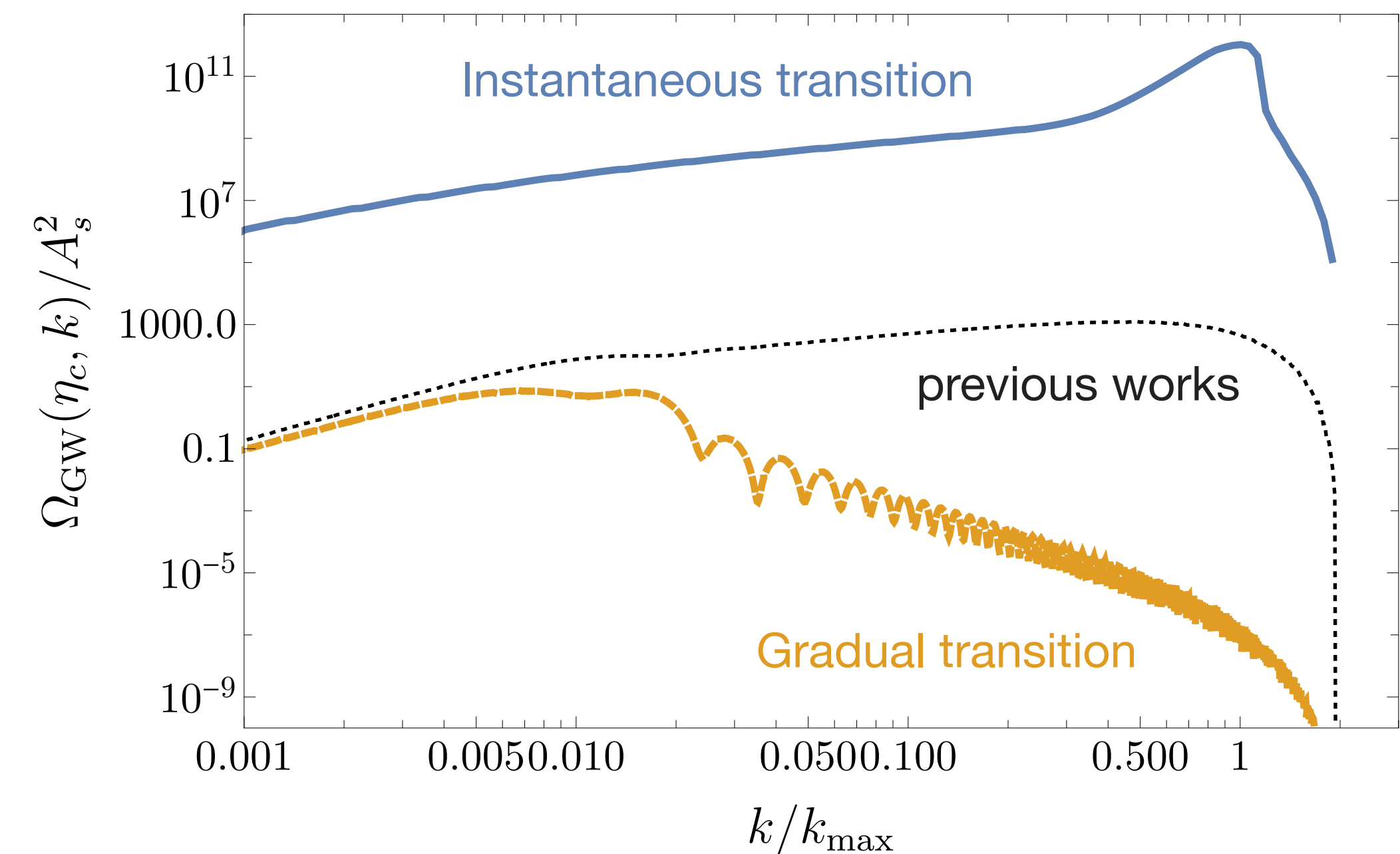
Induced GWs are sensitive to the rapidness of the end of the (early) matter-dominated era.

**Gradual** transition: the induced GWs are **suppressed**.

**Rapid** transition: the induced GWs are **enhanced**.

Key point in the enhancement mechanism: An **interplay** between the MD era and RD/KD era.

- A **hierarchy**  $(k/aH) \gg 1$  of the subhorizon modes of  $\Phi$  that entered deep in the **MD** era
- **Rapid oscillations** of  $\Phi$ , which occurs in the **RD/KD** era



# Appendix

# Scalar-Induced Gravitational Waves

Green's function method to solve for  $h_{\mathbf{k}}(\eta)$

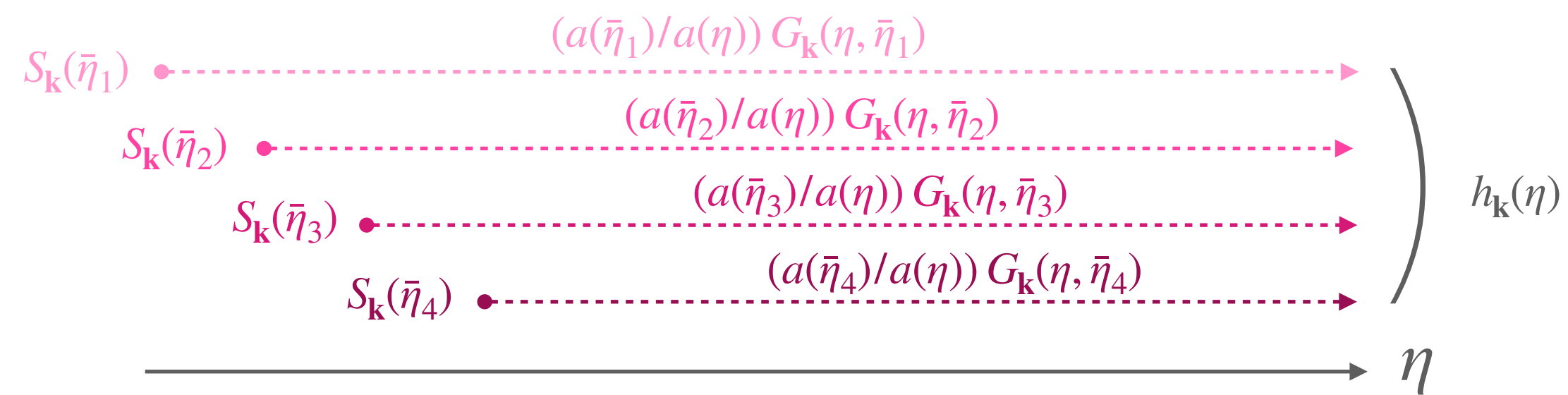
$$a(\eta)h_{\mathbf{k}}(\eta) = 4 \int^{\eta} d\bar{\eta} a(\bar{\eta}) G_{\mathbf{k}}(\eta, \bar{\eta}) S_{\mathbf{k}}(\bar{\eta})$$



# Scalar-Induced Gravitational Waves

Green's function method to solve for  $h_{\mathbf{k}}(\eta)$

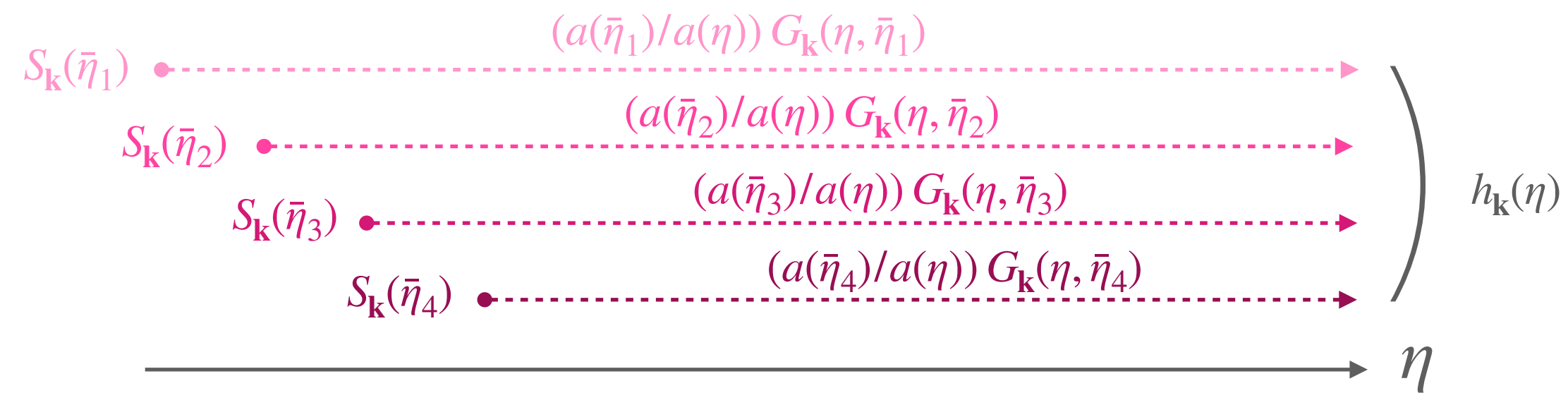
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Eq. of motion for Green's function

$$G_{\mathbf{k}}''(\eta, \bar{\eta}) + \left( k^2 - \frac{a''}{a} \right) G_{\mathbf{k}}(\eta, \bar{\eta}) = \delta(\eta - \bar{\eta})$$

# Scalar-Induced Gravitational Waves

Green's function method to solve for  $h_{\mathbf{k}}(\eta)$

Eq. of motion for curvature perturbations

$$\Phi_{\mathbf{k}}'' + 3\mathcal{H}(1 + c_s^2)\Phi_{\mathbf{k}}' + (2\mathcal{H}' + (1 + 3c_s^2)\mathcal{H}^2 + c_s^2 k^2)\Phi_{\mathbf{k}} = \frac{a^2}{2}\tau\delta S$$

where  $\delta P = c_s^2\delta\rho + \tau\delta S$ . In simple cases,  $c_s^2 = w$  and  $\delta S = 0$ .

$$\left. \begin{array}{l} S_{\mathbf{k}}(\bar{\eta}_1) \cdots \cdots \cdots (a(\bar{\eta}_1)/a(\eta)) G_{\mathbf{k}}(\eta, \bar{\eta}_1) \\ S_{\mathbf{k}}(\bar{\eta}_2) \cdots \cdots \cdots (a(\bar{\eta}_2)/a(\eta)) G_{\mathbf{k}}(\eta, \bar{\eta}_2) \\ S_{\mathbf{k}}(\bar{\eta}_3) \cdots \cdots \cdots (a(\bar{\eta}_3)/a(\eta)) G_{\mathbf{k}}(\eta, \bar{\eta}_3) \\ S_{\mathbf{k}}(\bar{\eta}_4) \cdots \cdots \cdots (a(\bar{\eta}_4)/a(\eta)) G_{\mathbf{k}}(\eta, \bar{\eta}_4) \end{array} \right) h_{\mathbf{k}}(\eta)$$

$\eta$

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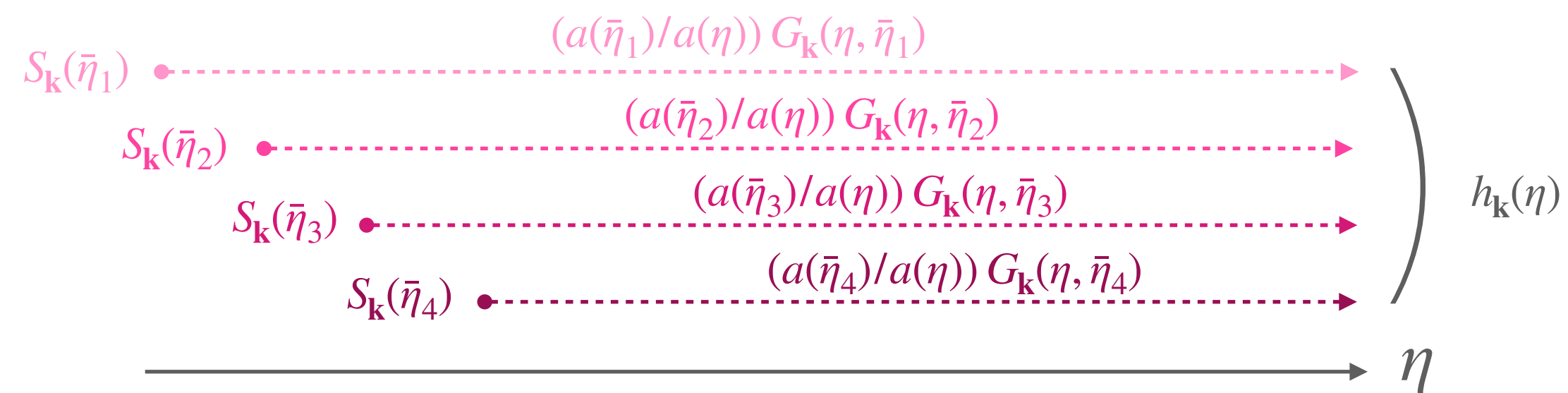


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↑  
transfer fn.

$$\langle \phi_{\mathbf{k}} \phi_{\mathbf{k}'} \rangle = \delta(\mathbf{k} + \mathbf{k}') \frac{2\pi^2}{k^3} \left( \frac{3 + 3w}{5 + 3w} \right)^2 \mathcal{P}_{\zeta}(k)$$

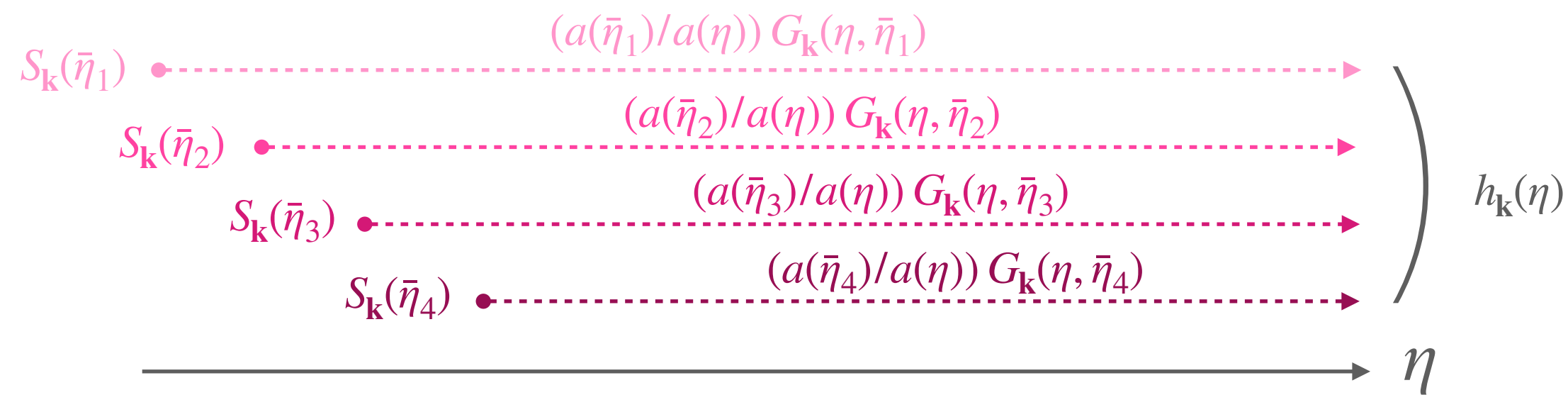
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With some more calculations, one obtains

$$\mathcal{P}_h(\eta, k) = 4 \int_0^{\infty} dv \int_{|1-v|}^{1+v} du \left( \frac{4v^2 - (1 + v^2 - u^2)^2}{4uv} \right)^2 I^2(u, v, k\eta) \mathcal{P}_{\zeta}(uk) \mathcal{P}_{\zeta}(vk)$$

where  $I(u, v, k\eta)$  has been calculated in [Espinosa, Racco, Riotto, 1804.07732], [Kohri, Terada, 1804.08577].

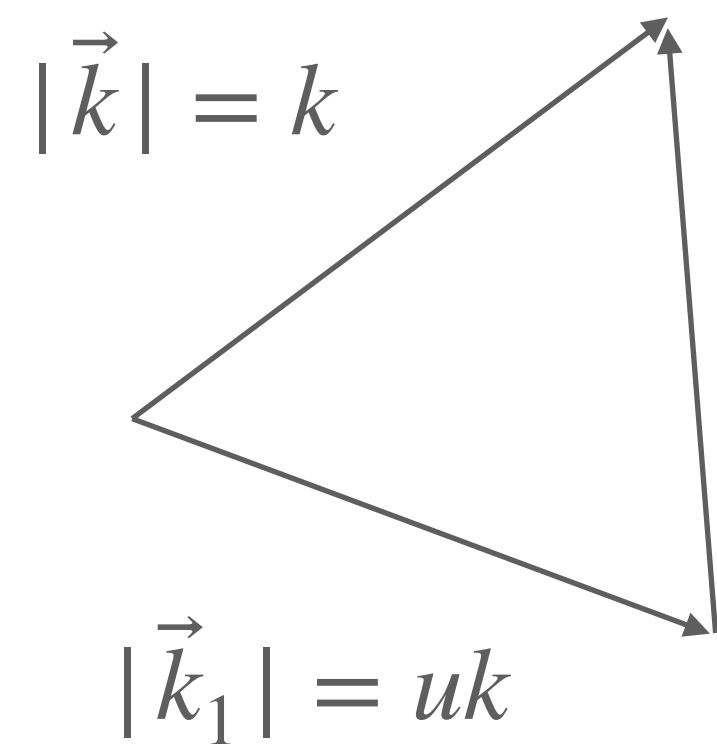


# Scalar-Induced Gravitational Waves

Intuition from the delta-function case

$$\mathcal{P}_\zeta = A_\zeta \delta(\ln(k/k_*))$$

induced GWs produced in the RD era

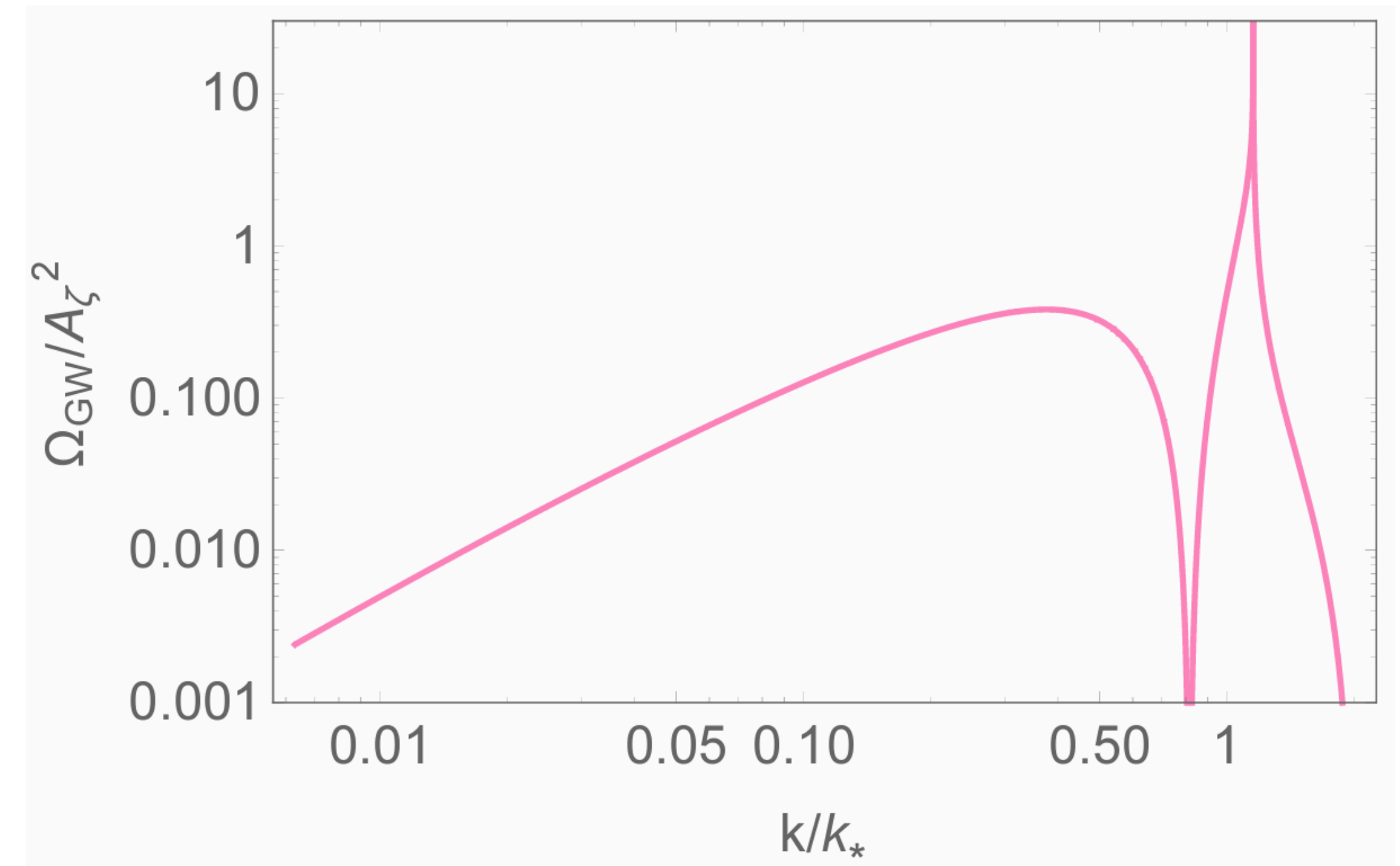


momentum conservation

$$\vec{k} = \vec{k}_1 + \vec{k}_2$$

energy conservation  
(resonance condition)

$$|\vec{k}| = c_s \left( |\vec{k}_1| + |\vec{k}_2| \right)$$



# When the GWs are induced?

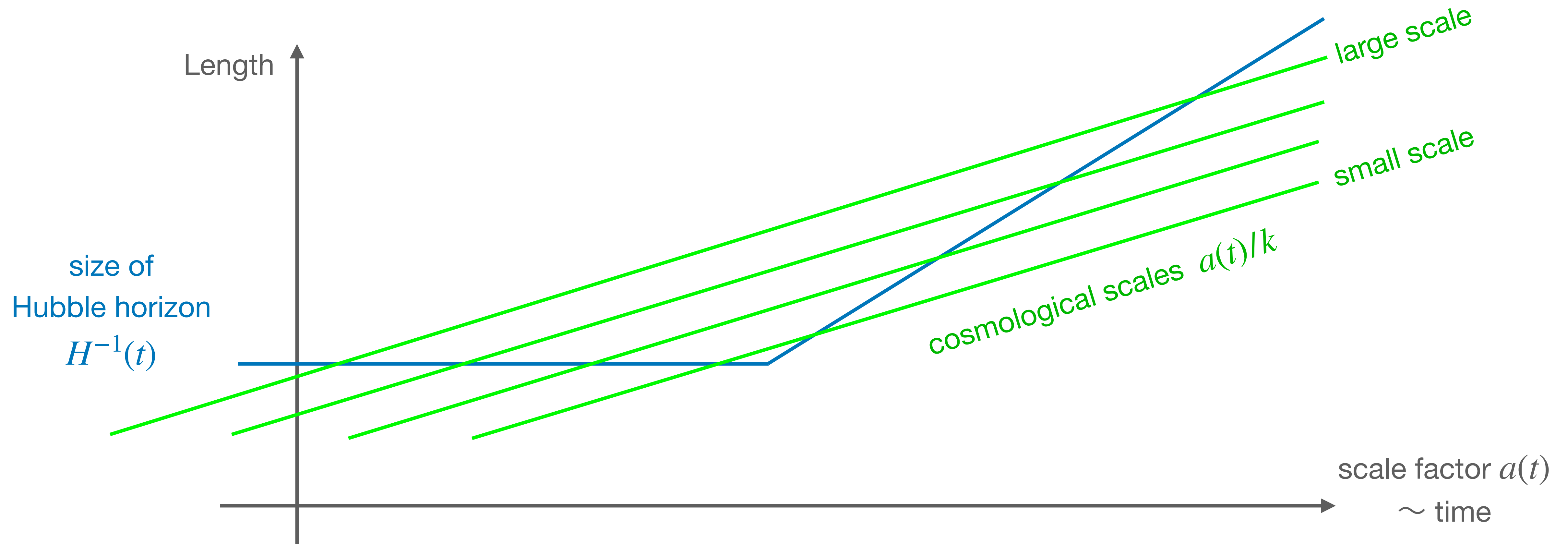
We adopt the standard inflationary paradigm.

Basically, the induced GWs are dominantly produced around the horizon reentry of each mode.

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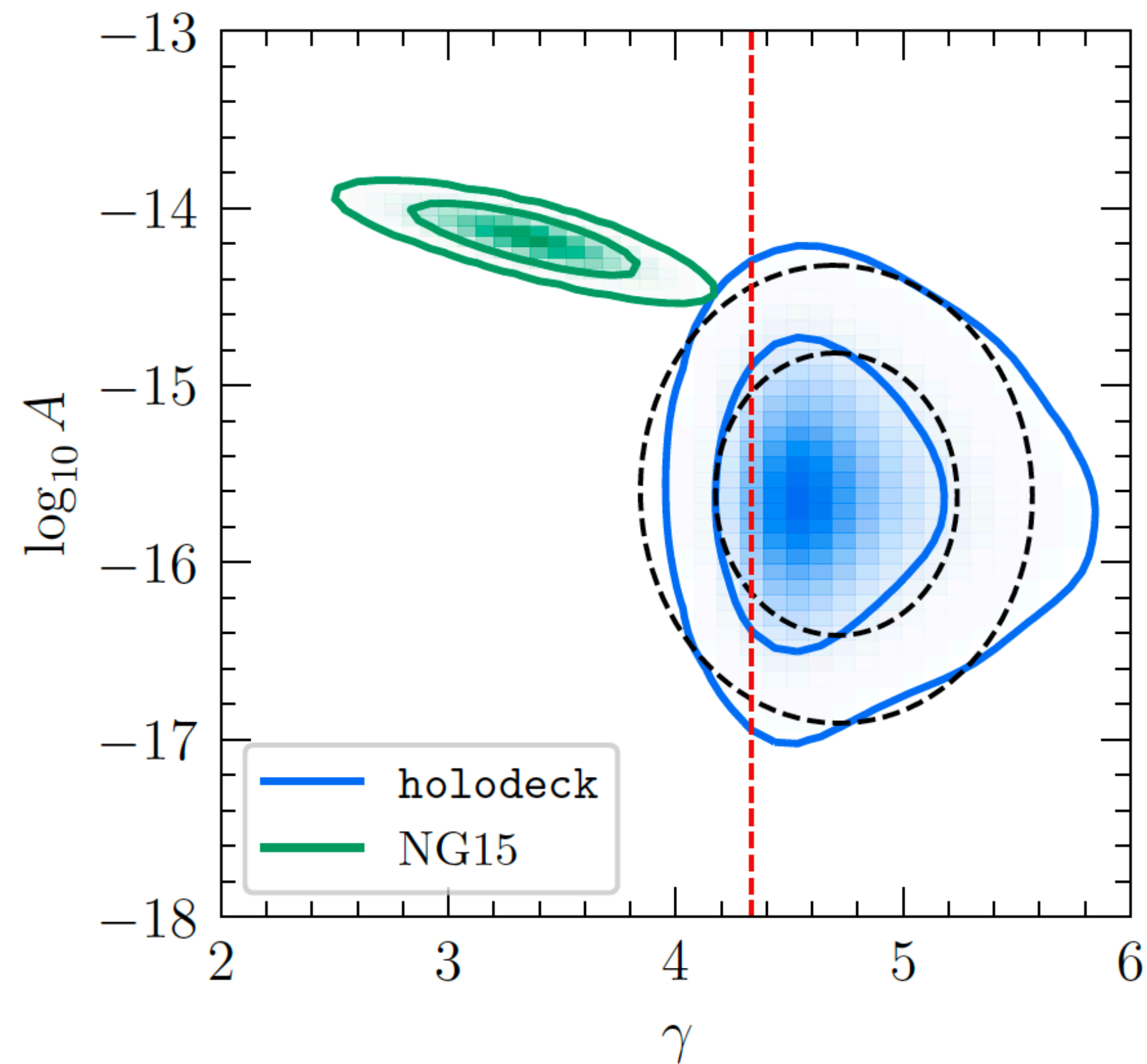
Basically, the induced GWs are dominantly produced around the horizon reentry of each mode.





# Astrophysical Interpretation

## Supermassive Black Hole Binary Mergers



The simplest model doesn't work well.

- Circular orbit
- Energy loss only due to GW emission

Interactions with the environment are important.

# Inflation and Cosmic Scales

**Inflation** solves

- homogeneity puzzle
- flatness puzzle
- monopole puzzle

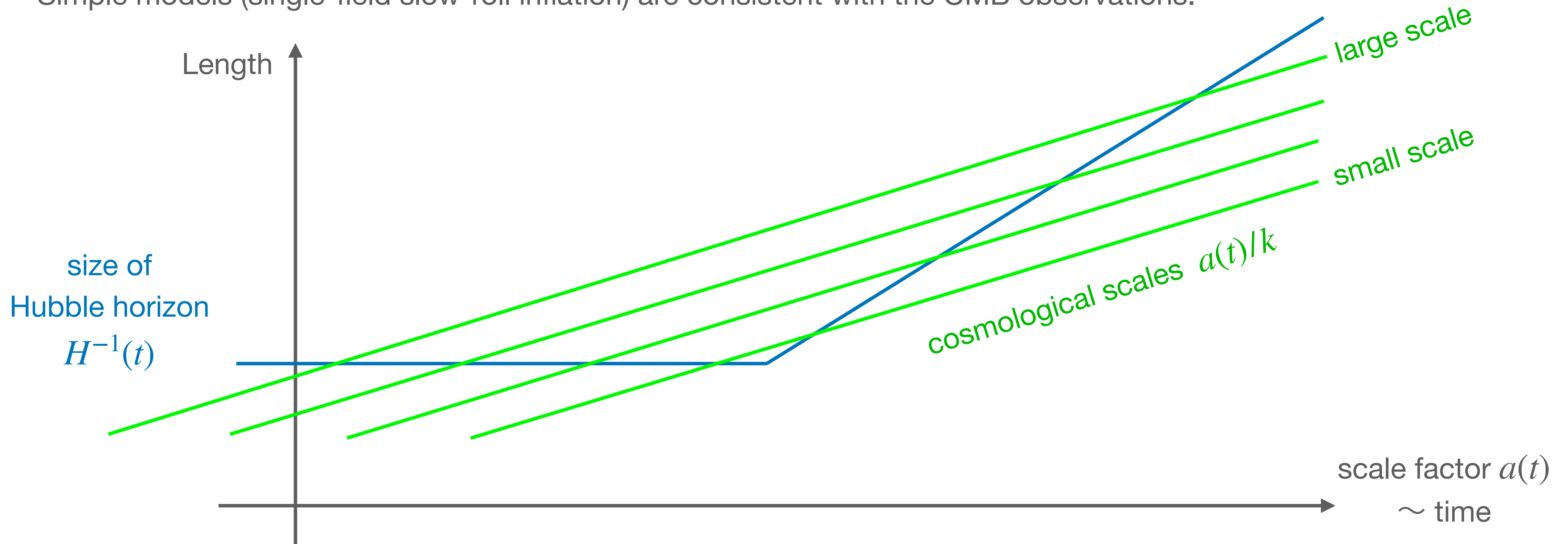
and seeds the large scale structure of the Universe.

Simple models (single-field slow-roll inflation) are consistent with the CMB observations.

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# Parameter Relations

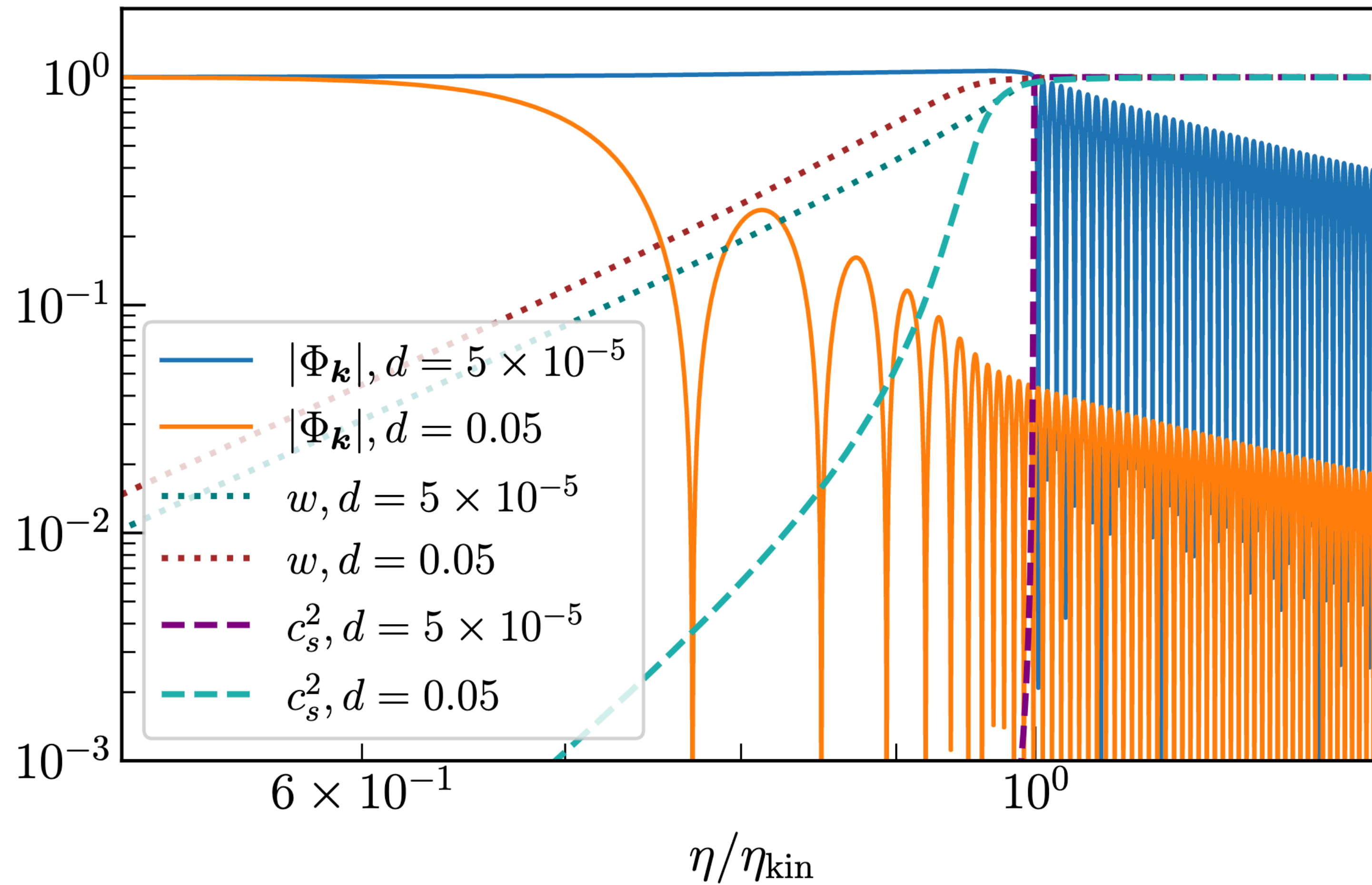
Duration of the MD era:  $\frac{\eta_{\text{kin}}}{\eta_{\text{eq},1}} = 3.8 \left( \frac{m_S}{10^5 \text{ GeV}} \right)^{1/3} \left( \frac{f_a}{10^9 \text{ GeV}} \right)^{-1/3} \left( \frac{Y_\theta}{100} \right)^{2/3}$

Temperature at the second equality:  $T_{\text{eq},2} = 1.8 \times 10^6 \text{ GeV} \left( \frac{f_a}{10^9 \text{ GeV}} \right) \left( \frac{Y_\theta}{100} \right)^{-1}$

Frequency corresponding to the second equality:  $\frac{1}{2\pi\eta_{\text{eq},2}} = 0.11 \text{ Hz} \left( \frac{T_{\text{eq},2}}{1.8 \times 10^6 \text{ GeV}} \right)$

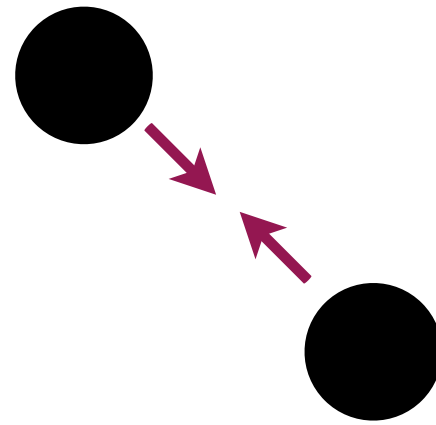
Maximal charge yield:  $Y_{\theta,\text{max}} = 100 \left( \frac{m_S}{8.7 \times 10^8 \text{ GeV}} \right)^{-1/3} \left( \frac{b}{0.1} \right)^{1/3}$

# Evolution of $\Phi_{\mathbf{k}}$ , $w$ , and $c_s^2$



# GWs from Binary PBH Mergers

## Binary formation in the radiation era



### comoving merger rate

[Sasaki, Suyama, Tanaka, Yokoyama, 1603.08338; 1801.05235]

$$R(z) = \left( \frac{f_{\text{PBH}} \Omega_{\text{CDM}} \rho_c}{M} \right) \frac{dP_t}{dt}$$

$$\frac{dP_t}{dt} = \begin{cases} \frac{3}{58} \left[ -\left(\frac{t}{t_0}\right)^{\frac{3}{8}} + \left(\frac{t}{t_0}\right)^{\frac{3}{37}} \right] \frac{1}{t} & \text{for } t < t_c \\ \frac{3}{58} \left(\frac{t}{t_0}\right)^{\frac{3}{8}} \left[ -1 + \left(\frac{t}{t_c}\right)^{-\frac{29}{56}} \left(\frac{4\pi}{3} f_{\text{PBH}}\right)^{-\frac{29}{8}} \right] \frac{1}{t} & \text{for } t \geq t_c, \end{cases}$$

$$t_0 = (3/170) \left\{ \bar{x}^4 / [(GM)^3 (4\pi f_{\text{PBH}}/3)^4] \right\}$$

$$t_c = t_0 (4\pi f_{\text{PBH}}/3)^{37/3}$$

$$\bar{x} = \left[ 3M / (4\pi \rho_{\text{PBH,eq}}) \right]^{1/3}$$

$$\Omega_{\text{GW}}^{\text{merger}}(f) = \frac{f}{3H_0^2} \int_0^{\frac{f_{\text{cut}}}{f}-1} dz \frac{R(z)}{(1+z)H(z)} \frac{dE_{\text{GW}}}{df_s}$$

### Energy spectrum at the source frame

[Ajith et al., 0710.2335] [Ajith et al., 0909.2867]

$$\frac{dE}{df_s} = \frac{(G\pi)^{2/3} M_c^{5/3}}{3} \begin{cases} f_s^{-1/3} & \text{for } f_s < f_1 \\ w_1 f_s^{2/3} & \text{for } f_1 \leq f_s < f_2 \\ w_2 \frac{\sigma^4 f_s^2}{(\sigma^2 + 4(f_s - f_2)^2)^2} & \text{for } f_2 \leq f_s \leq f_3 \\ 0 & \text{for } f_s > f_3 \end{cases}$$

$$\text{total mass} \quad M_t = m_1 + m_2$$

$$\text{chirp mass} \quad M_c^{5/3} = m_1 m_2 (m_1 + m_2)^{-1/3}$$

$$\text{source-frame frequency} \quad f_s = (1+z)f$$

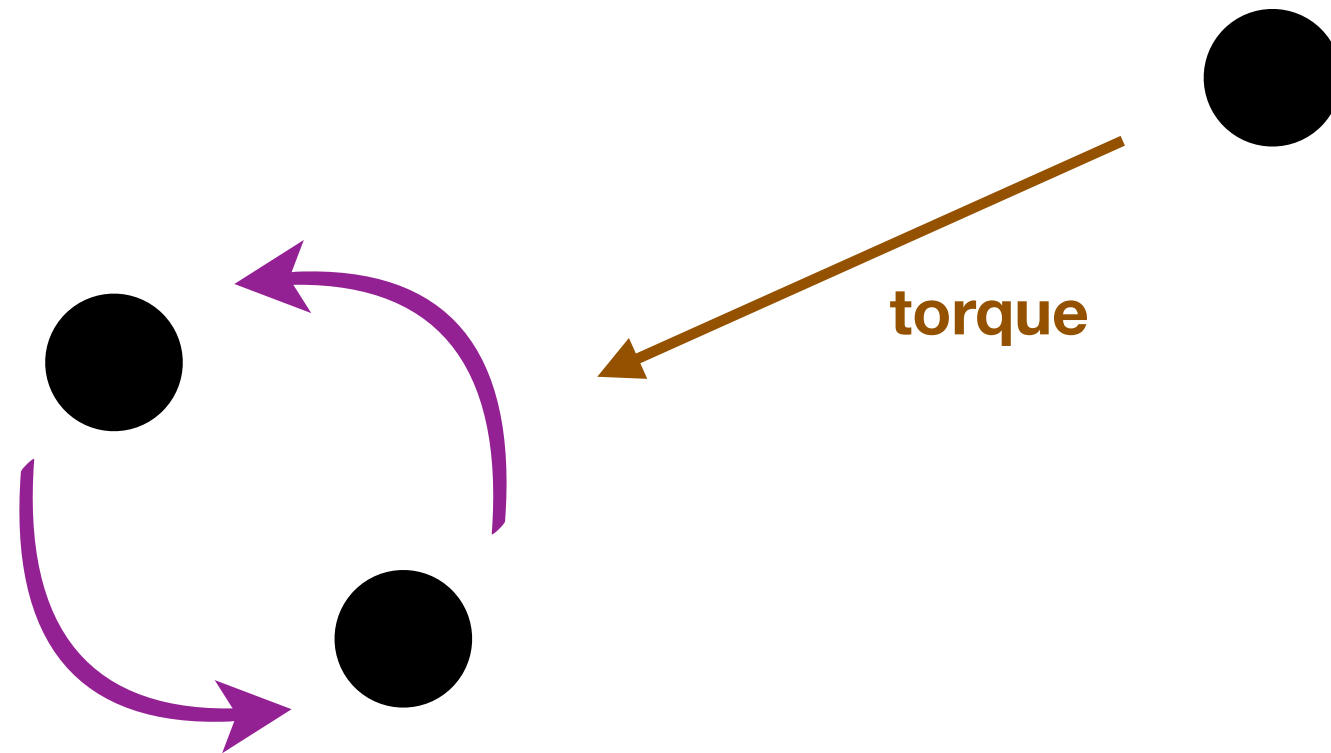
[Nakamura, Sasaki, Tanaka, Thorne, 1997]

[Sasaki, Suyama, Tanaka, Yokoyama, 1603.08338]



# GWs from Binary PBH Mergers

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[Nakamura, Sasaki, Tanaka, Thorne, 1997]  
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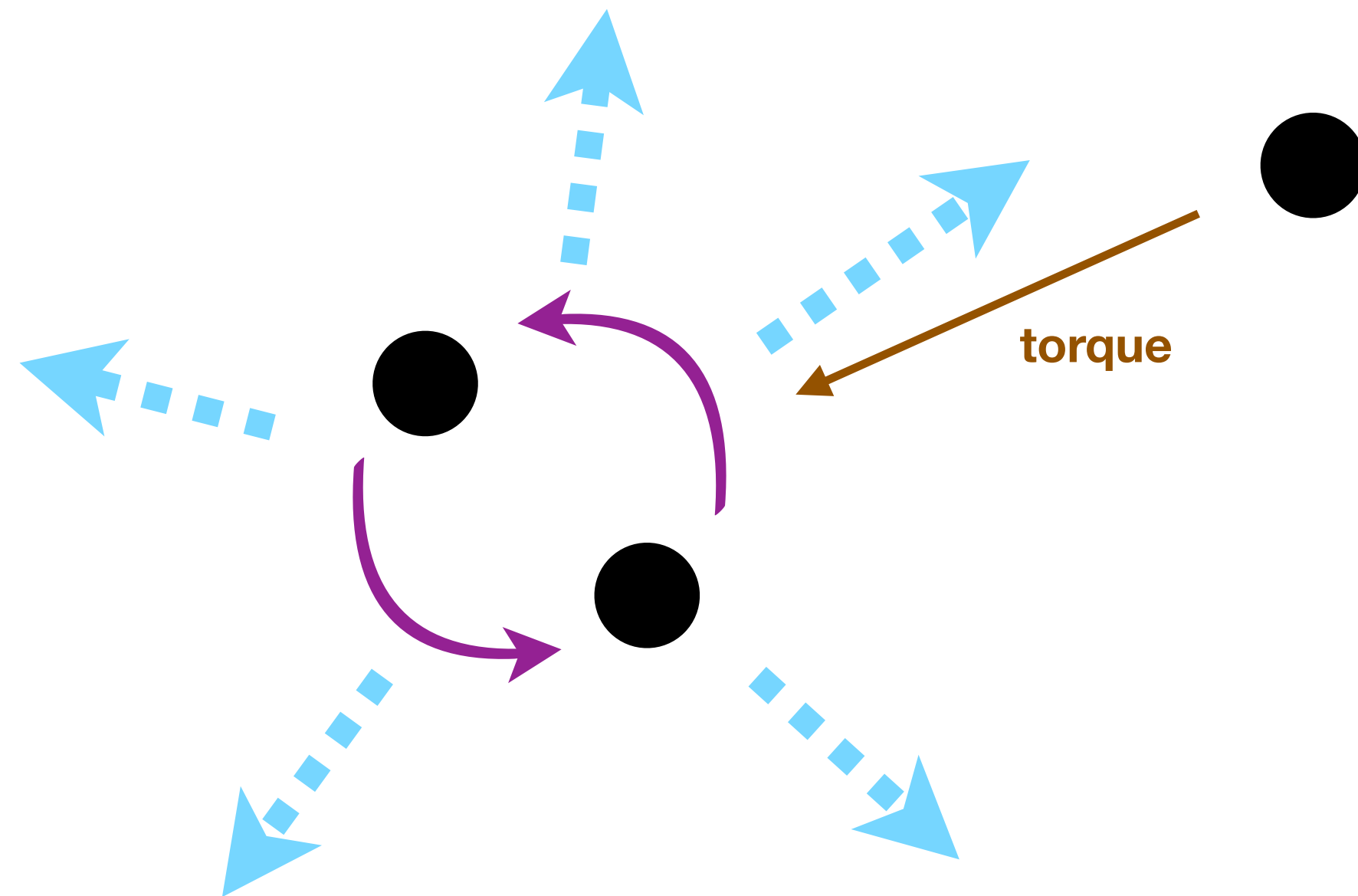
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# GWs from Binary PBH Mergers

Binary formation in the radiation era



Binary Black Holes loose energy by emitting **Gravitational Waves**.

[Nakamura, Sasaki, Tanaka, Thorne, 1997]  
[Sasaki, Suyama, Tanaka, Yokoyama, 1603.08338]

comoving merger rate

[Sasaki, Suyama, Tanaka, Yokoyama, 1603.08338; 1801.05235]

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$$\text{source-frame frequency } f_s = (1+z)f$$

$f^2$  scaling from Induced GWs



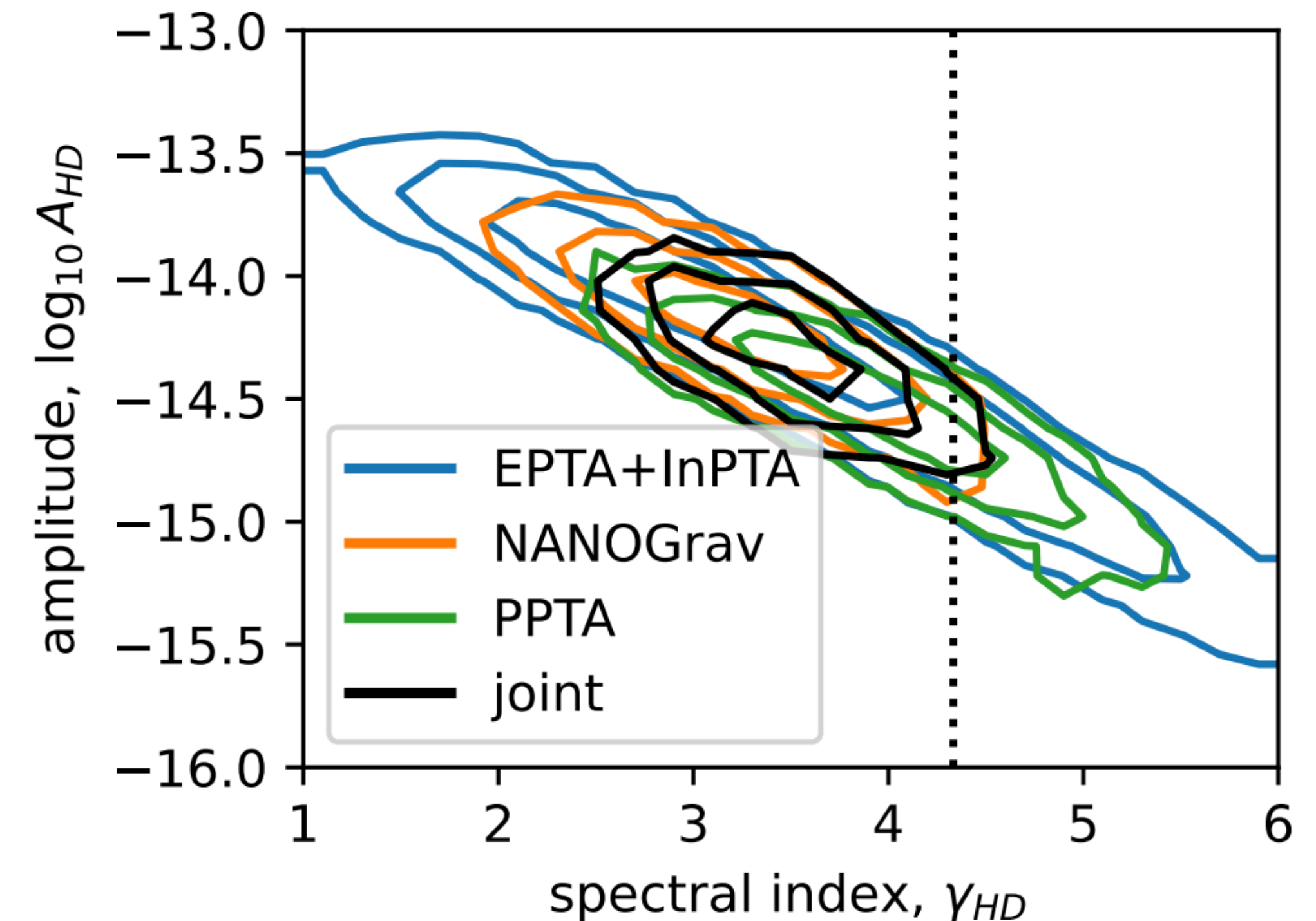
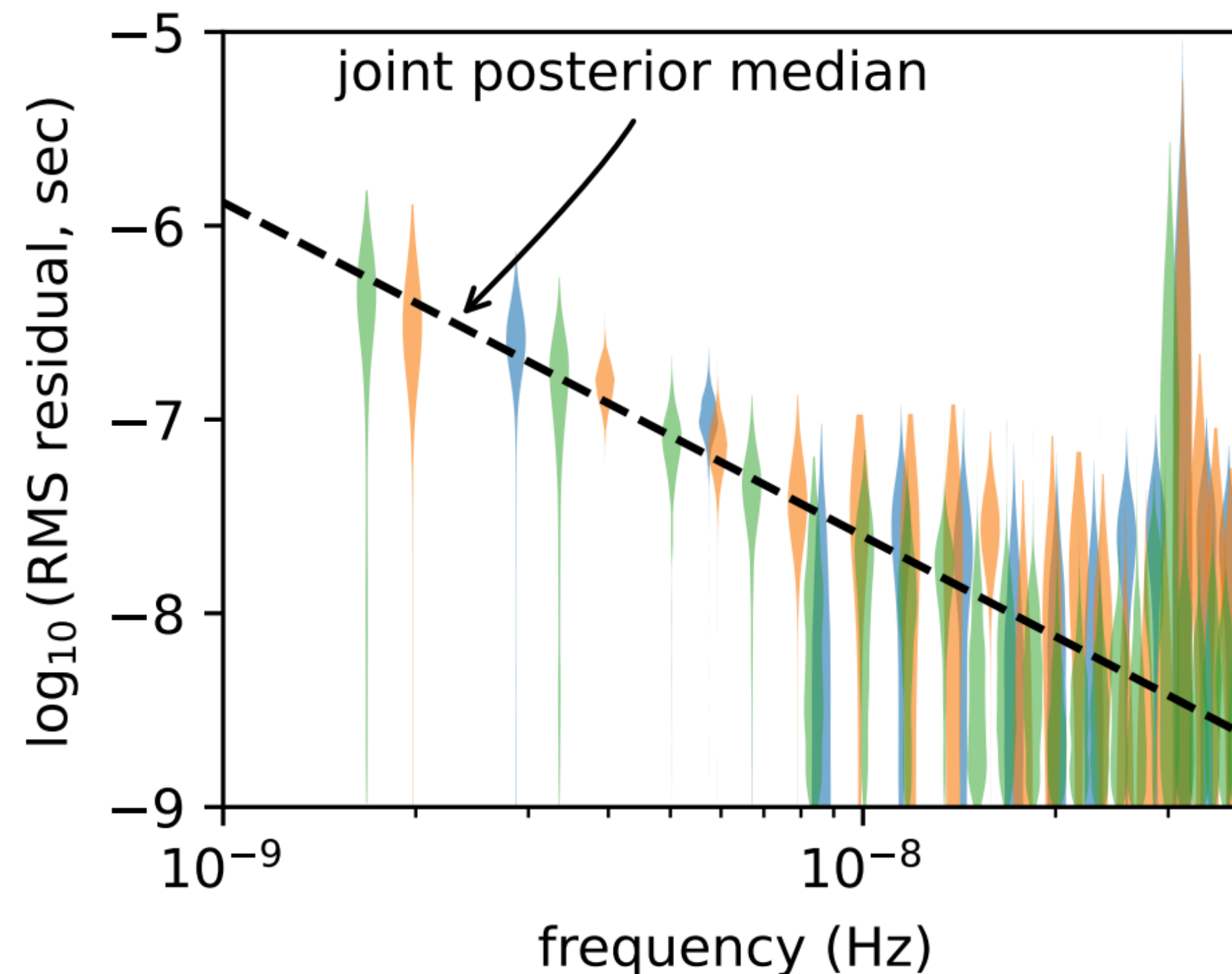
# Gravitational-Wave Spectrum

$$\Omega_{\text{GW}}(f) = \frac{2\pi^2 f_*^2}{3H_0^2} A_{\text{GWB}}^2 \left( \frac{f}{f_*} \right)^{5-\gamma}$$

$$5 - \gamma = 1.8 \pm 0.6 \text{ (90\% credible region)}$$

[NANOGrav, 2306.16213]

[IPTA, 2309.00693]



# Gravitational-Wave Spectrum

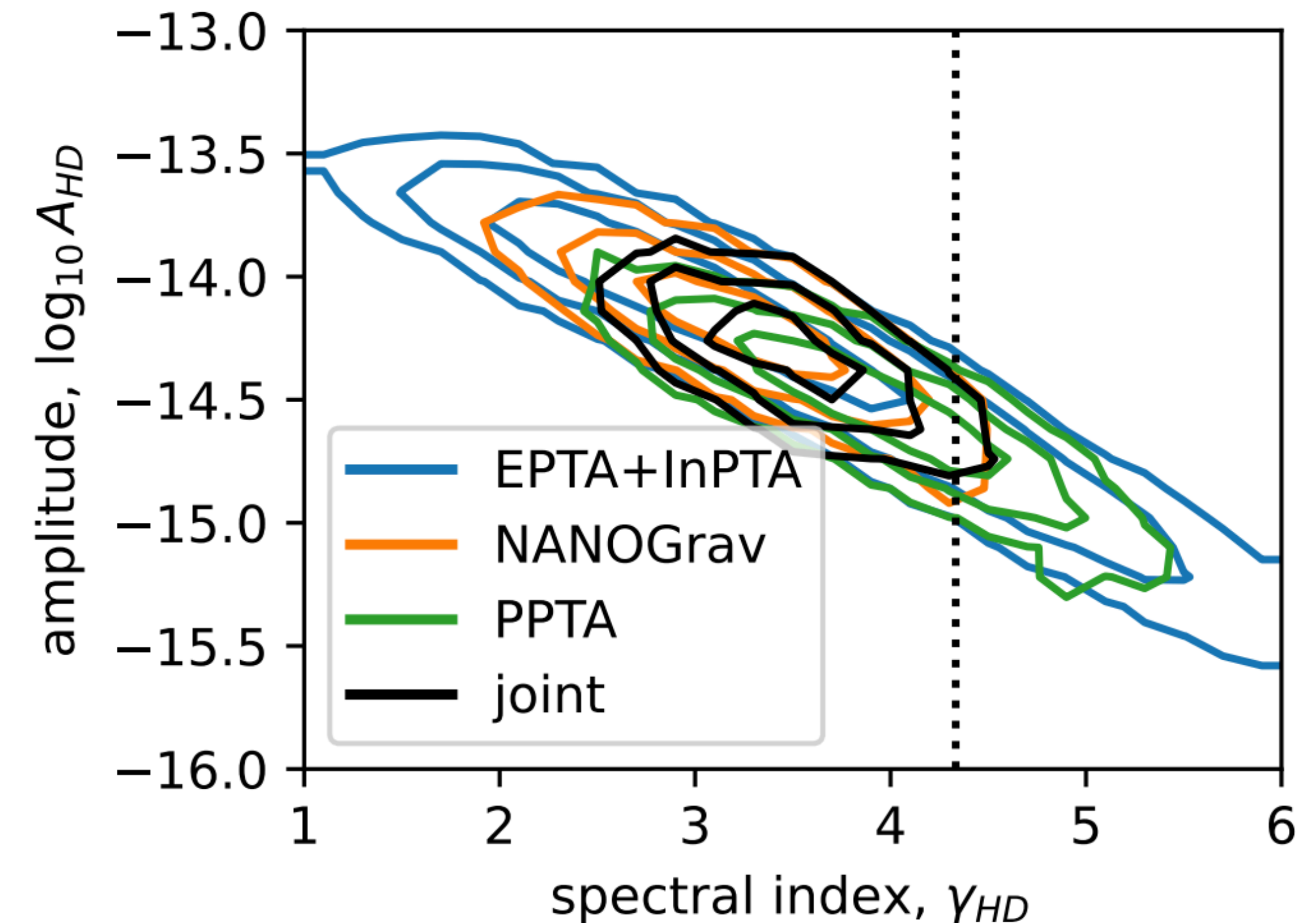
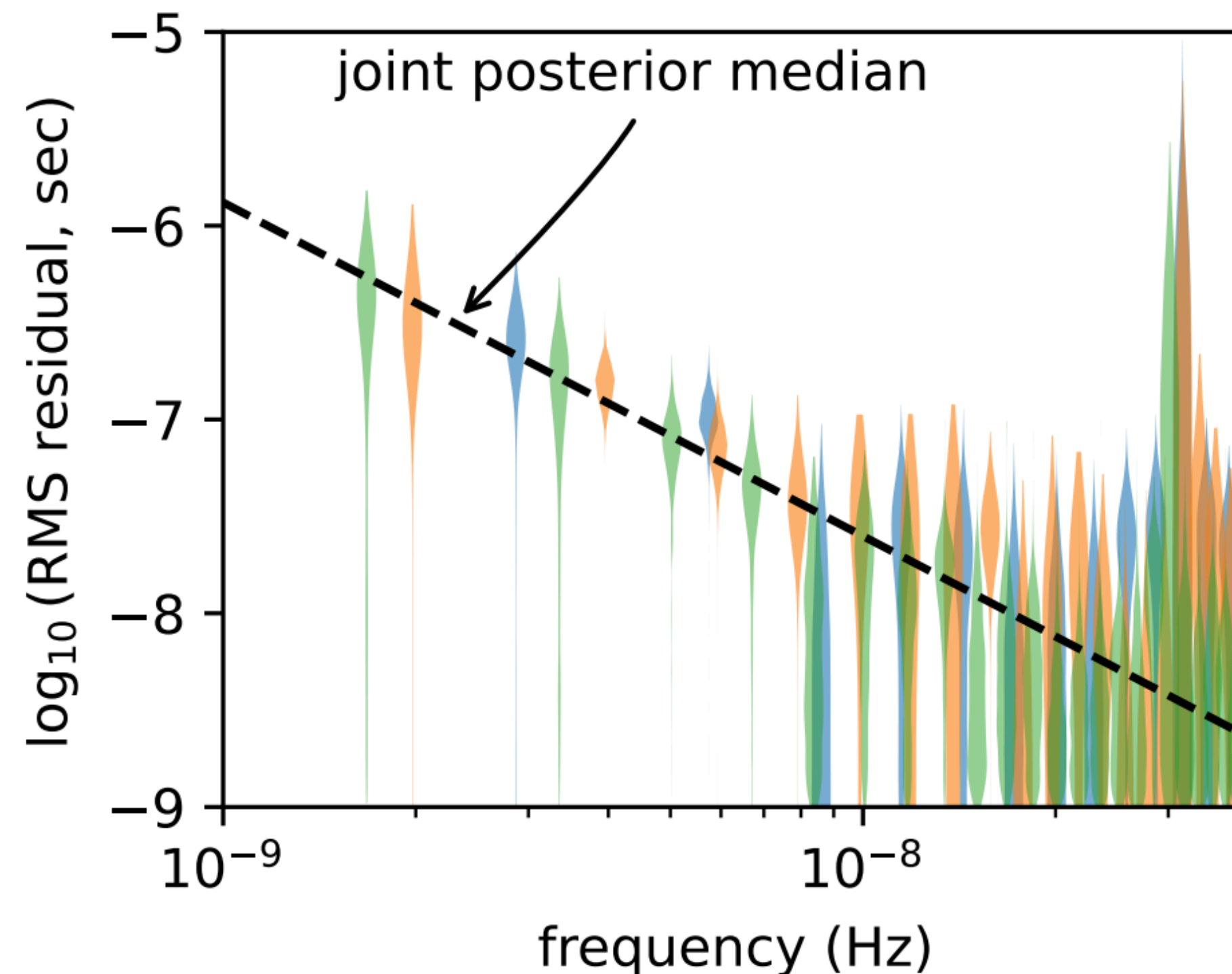
$$\Omega_{\text{GW}}(f) = \frac{2\pi^2 f_*^2}{3H_0^2} A_{\text{GWB}}^2 \left( \frac{f}{f_*} \right)^{5-\gamma}$$

Data may be implying the  $\Omega_{\text{GW}} \propto f^2$  behavior!?

$$5 - \gamma = 1.8 \pm 0.6 \text{ (90\% credible region)}$$

[NANOGrav, 2306.16213]

[IPTA, 2309.00693]



# Universal Infrared $f^3$ scaling

[Cai, Pi, Sasaki, 1909.13728]

[Hook, Marques-Tavares, Racco, 2010.03568]

Small Hubble patches

Large Hubble patches

- Finite duration of GW generation on subhorizon scales

Central Limit Theorem

$$\mathcal{P}_h(k_L) \propto \frac{1}{N_{\text{patch}}} = \left( \frac{k_L}{k_S} \right)^3$$

- Radiation-dominated era

no further redshift factors

$$\Omega_{\text{GW}}(f) \propto f^3$$



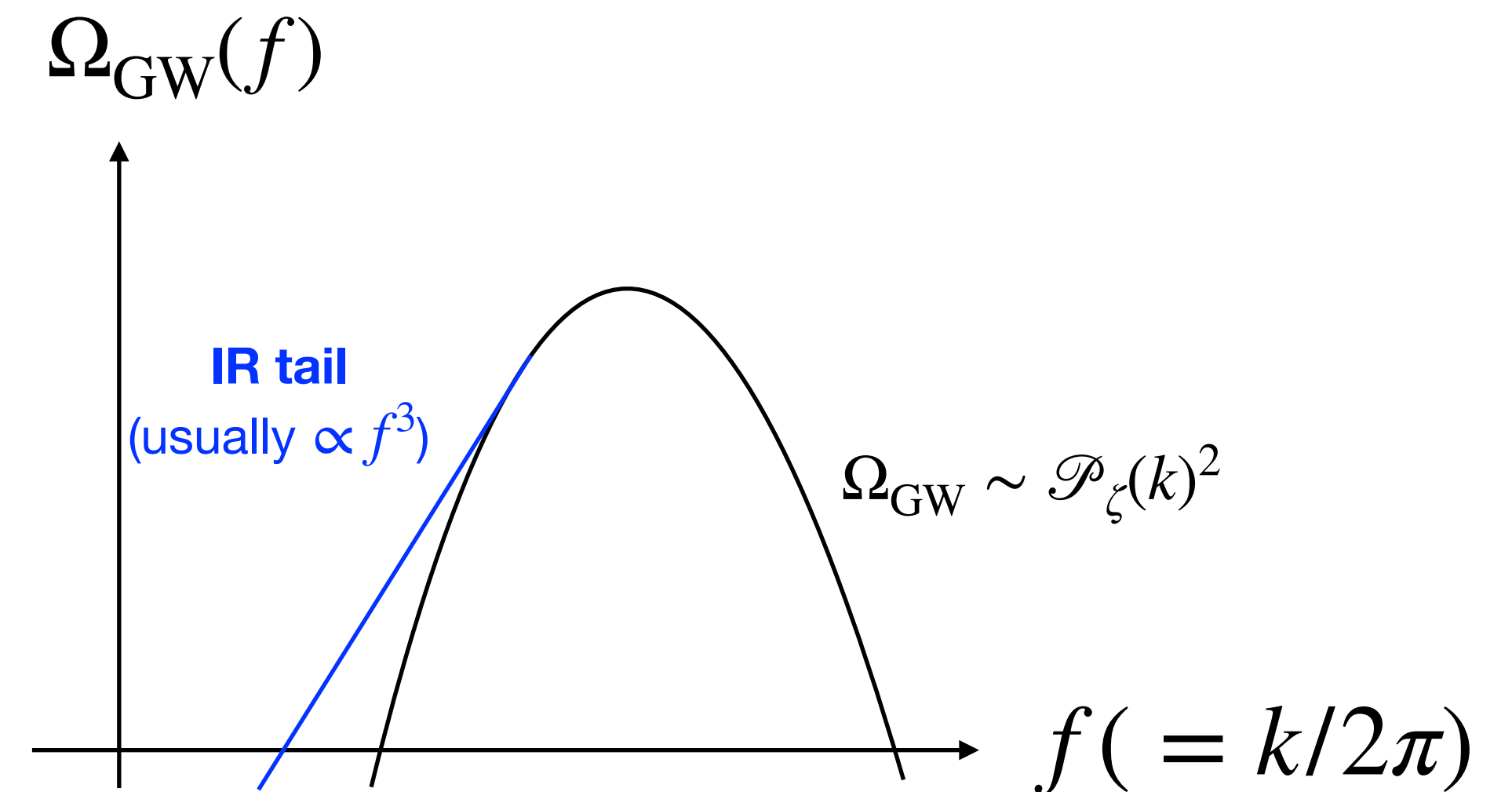
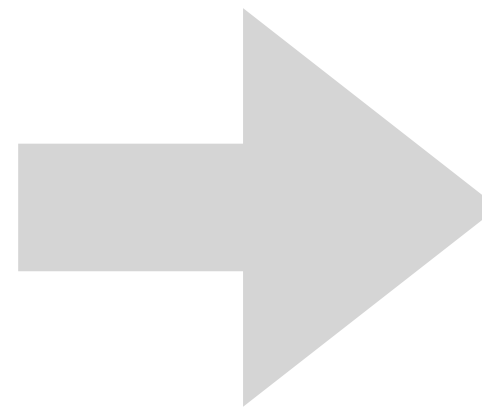
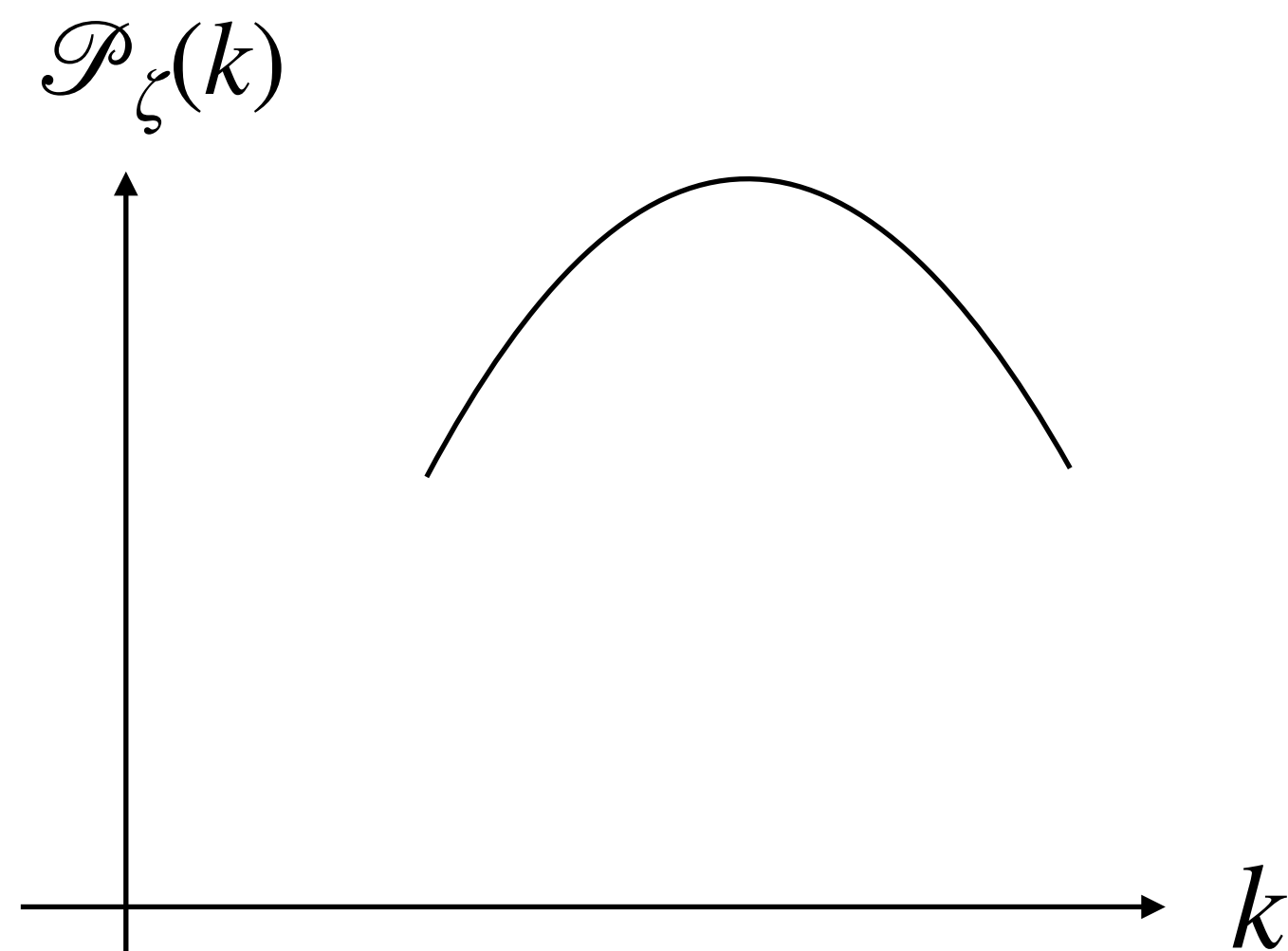
# IR tail of the induced GWs

$$\Omega_{\text{GW}}^{\text{ind}}(f) = \Omega_{\text{r}} \left( \frac{g_*(f)}{g_*^0} \right) \left( \frac{g_{*,s}^0}{g_{*,s}(f)} \right)^{4/3} \bar{\Omega}_{\text{GW}}^{\text{ind}}(f)$$

$$\bar{\Omega}_{\text{GW}}^{\text{ind}}(f) = \int_0^\infty dv \int_{|1-v|}^{1+v} du \mathcal{K}(u, v) \mathcal{P}_{\mathcal{R}}(uk) \mathcal{P}_{\mathcal{R}}(vk)$$

$$\mathcal{K}(u, v) = \frac{3(4v^2 - (1 + v^2 - u^2)^2)^2 (u^2 + v^2 - 3)^4}{1024 u^8 v^8} \left[ \left( \ln \left| \frac{3 - (u+v)^2}{3 - (u-v)^2} \right| - \frac{4uv}{u^2 + v^2 - 3} \right)^2 + \pi^2 \Theta(u + v - \sqrt{3}) \right]$$

[Espinosa, Racco, Riotto, 1804.27732]  
[Kohri, Terada, 1804.08577]



[Cai, Pi, Sasaki, 1909.13728]

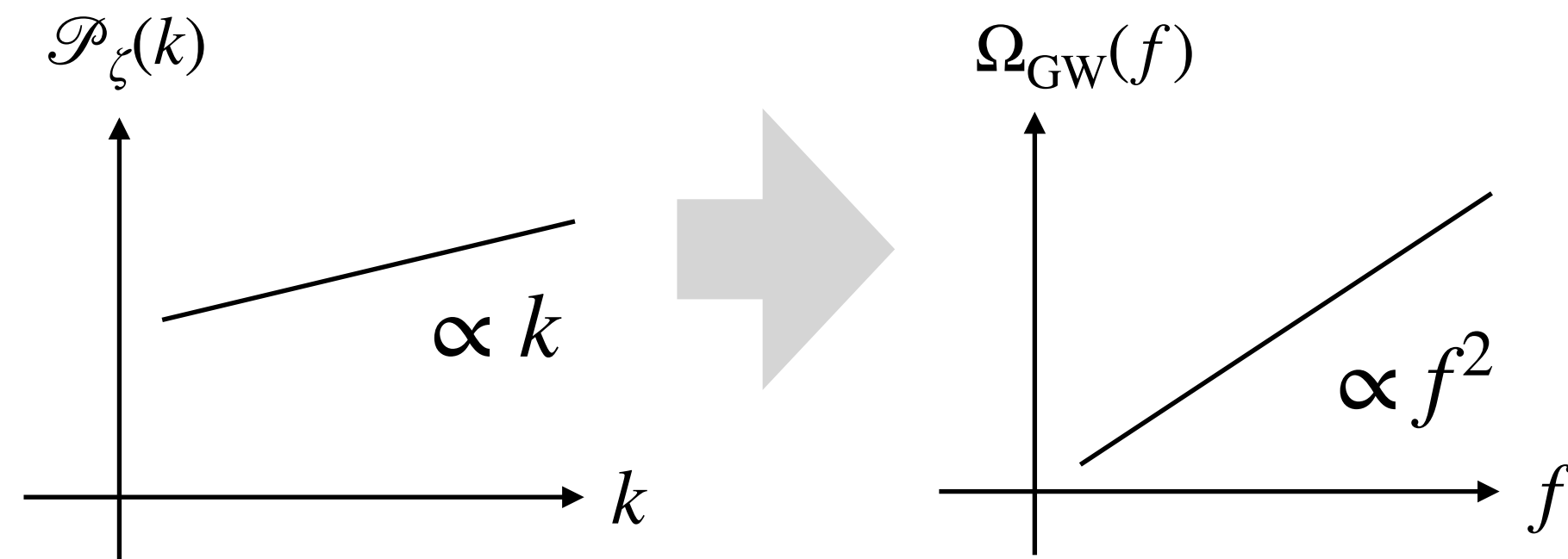
[Yuan, Chen, Huang, 1910.09099]

[Domènech, Pi, Sasaki, 2005.12314]

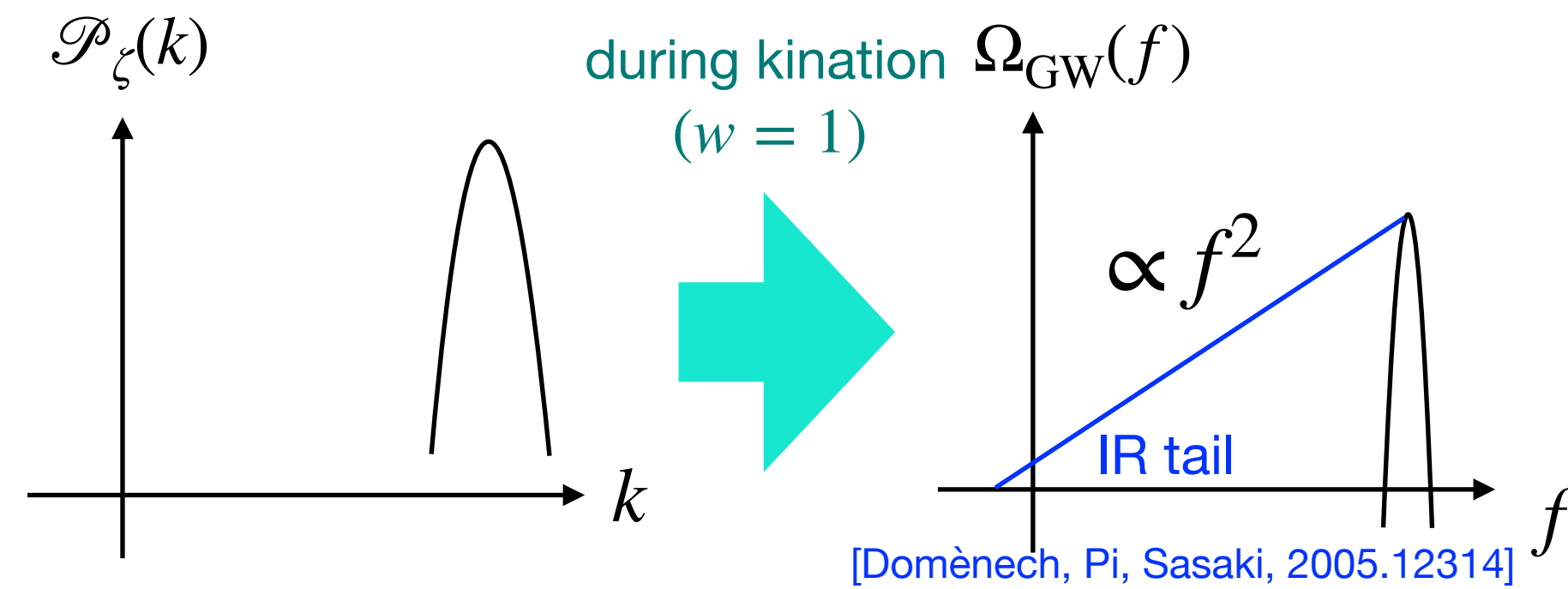
# Explaining $\Omega_{\text{GW}} \propto f^2$ Scaling

[Inomata, Kohri, Terada, 2306.17834]

Possibility 1

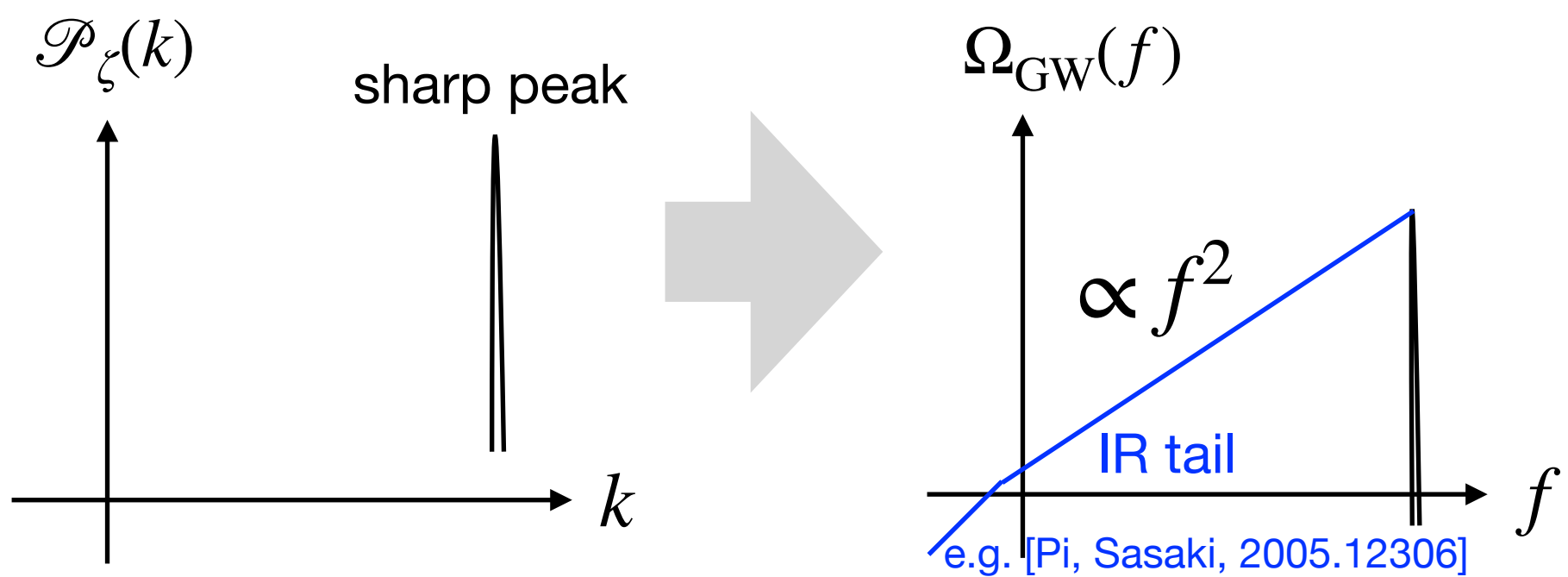


Possibility 2



[Harigaya, Inomata, Terada, 2309.00228]

Possibility 3

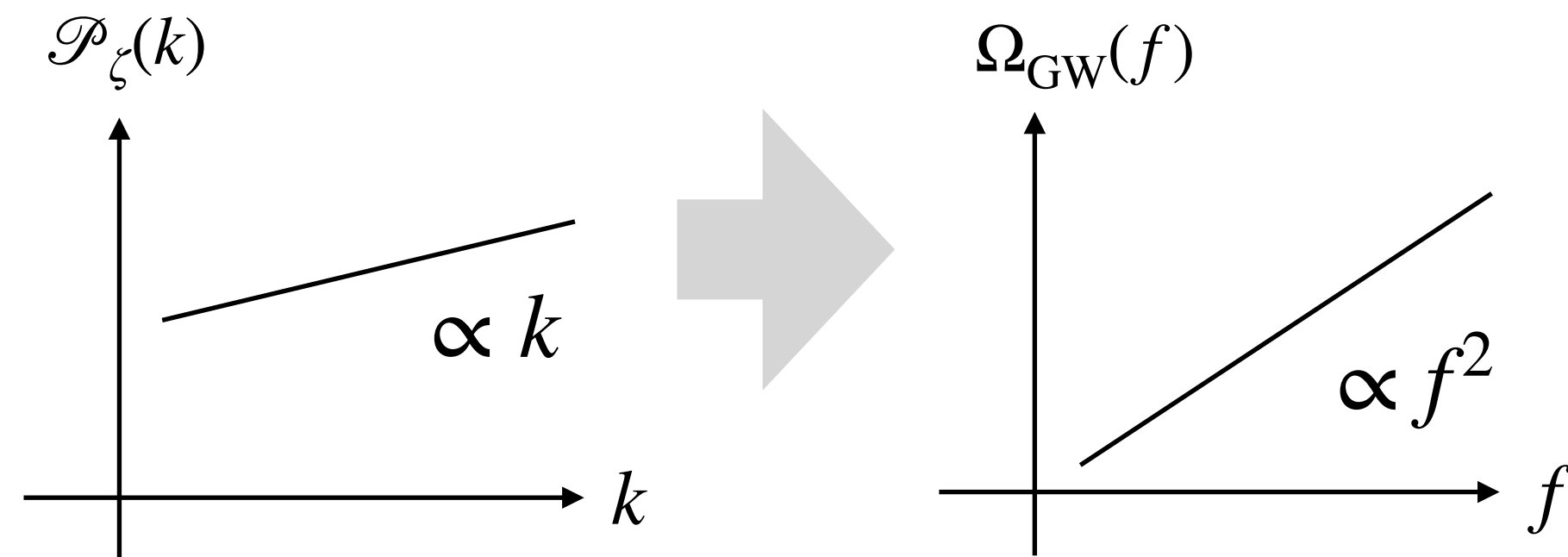


[Inomata, Kohri, Terada, 2306.17834]

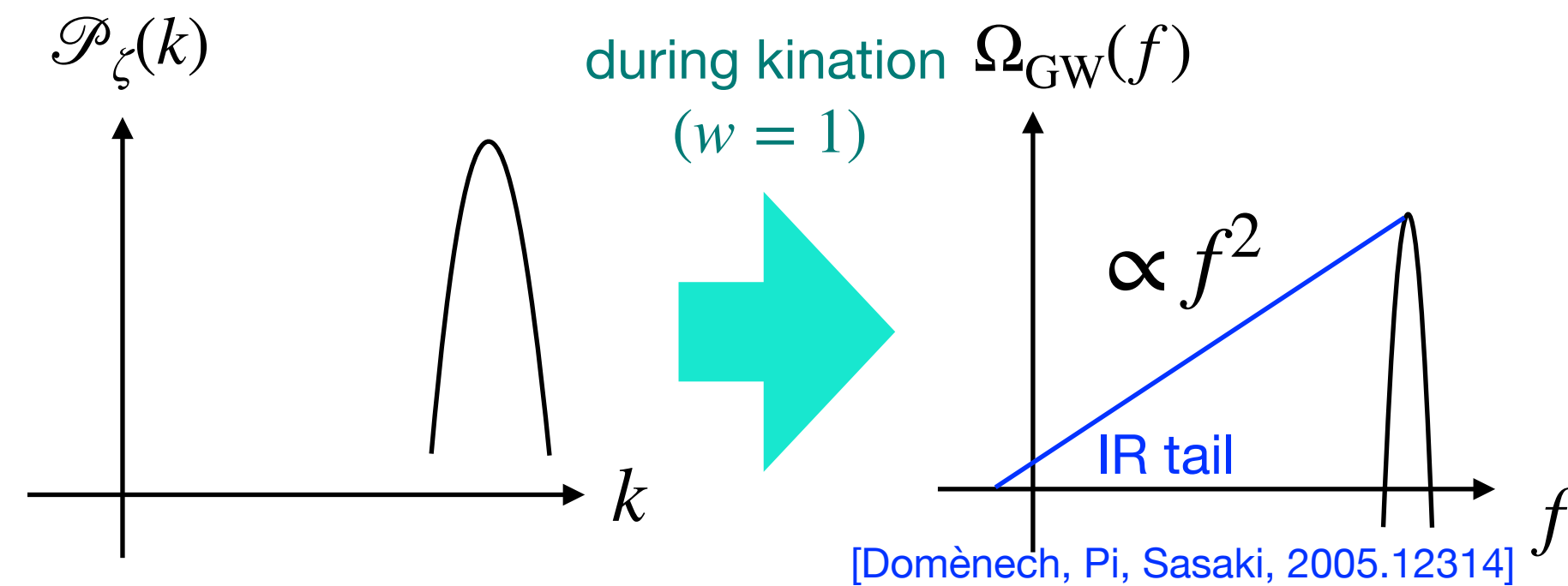
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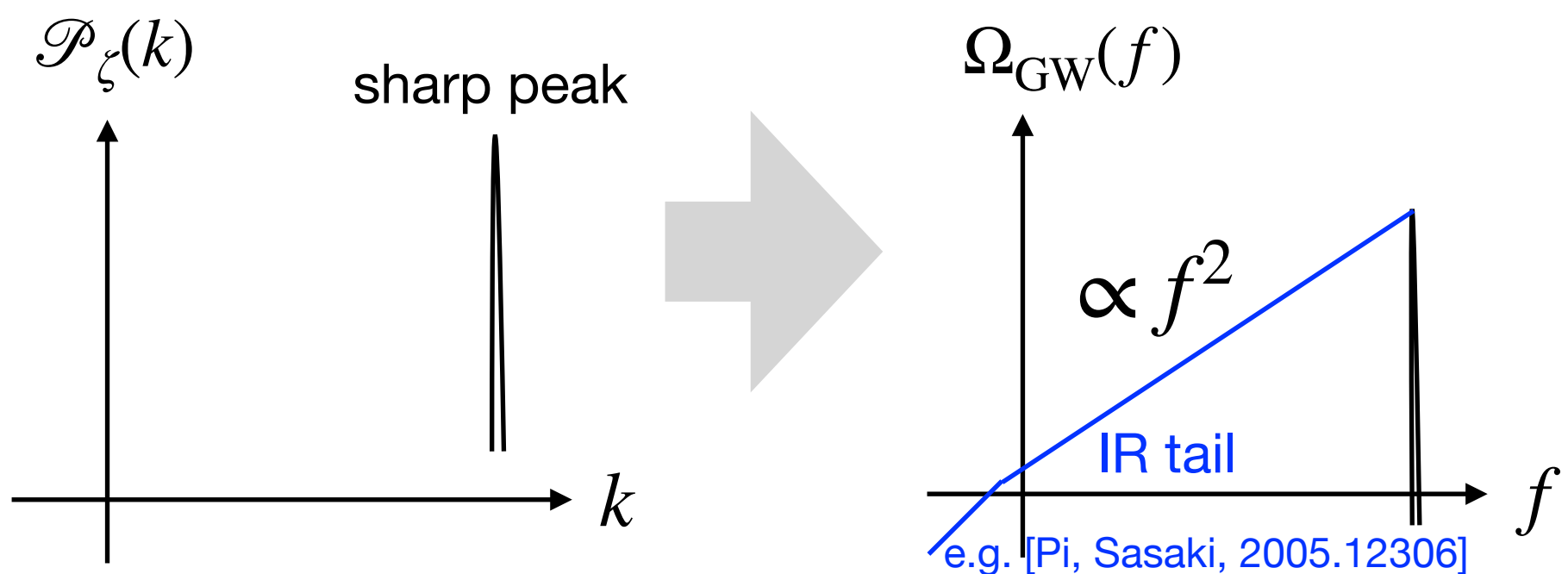
Possibility 1



Possibility 2



Possibility 3



The peak is  
on a **smaller scale**  
than the PTA range.

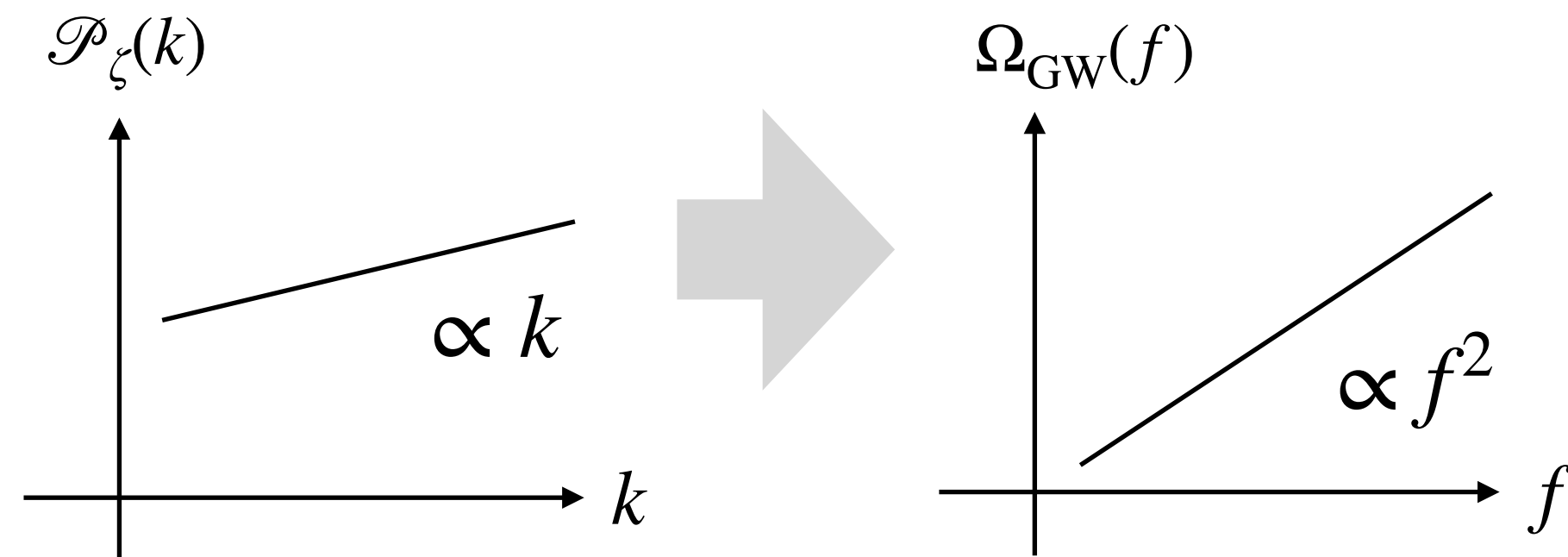
→ **SUB-solar mass PBHs**



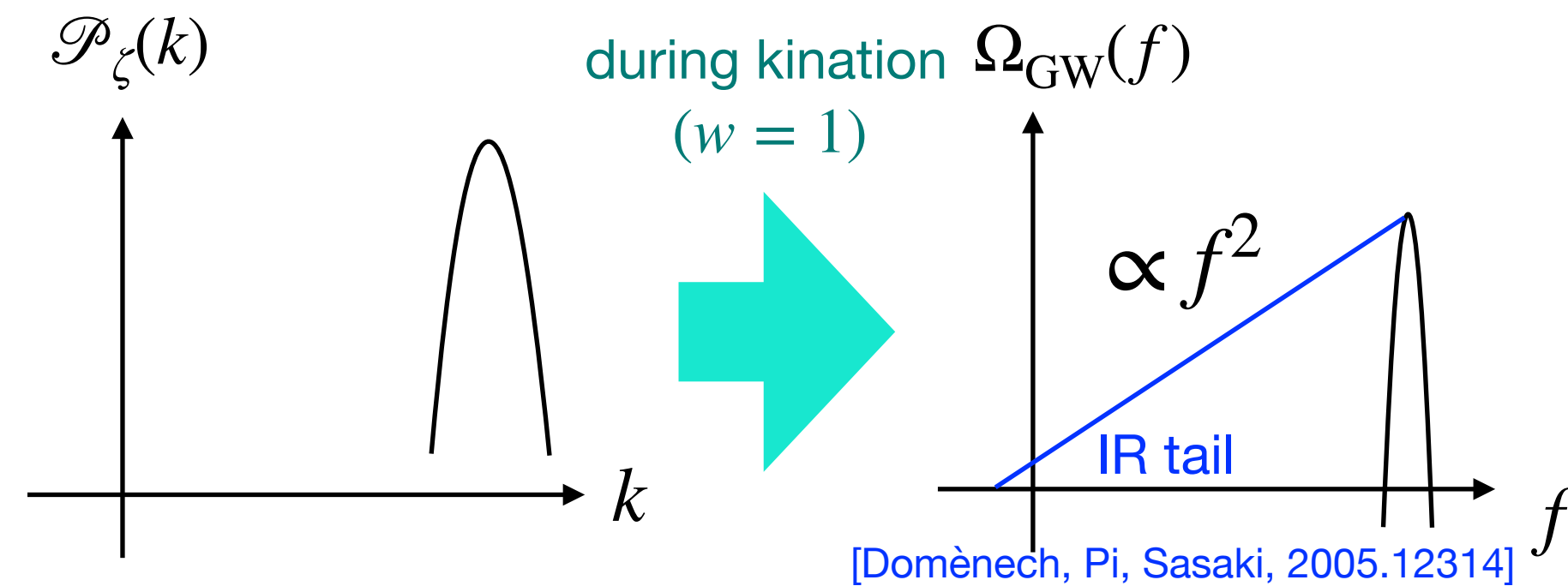
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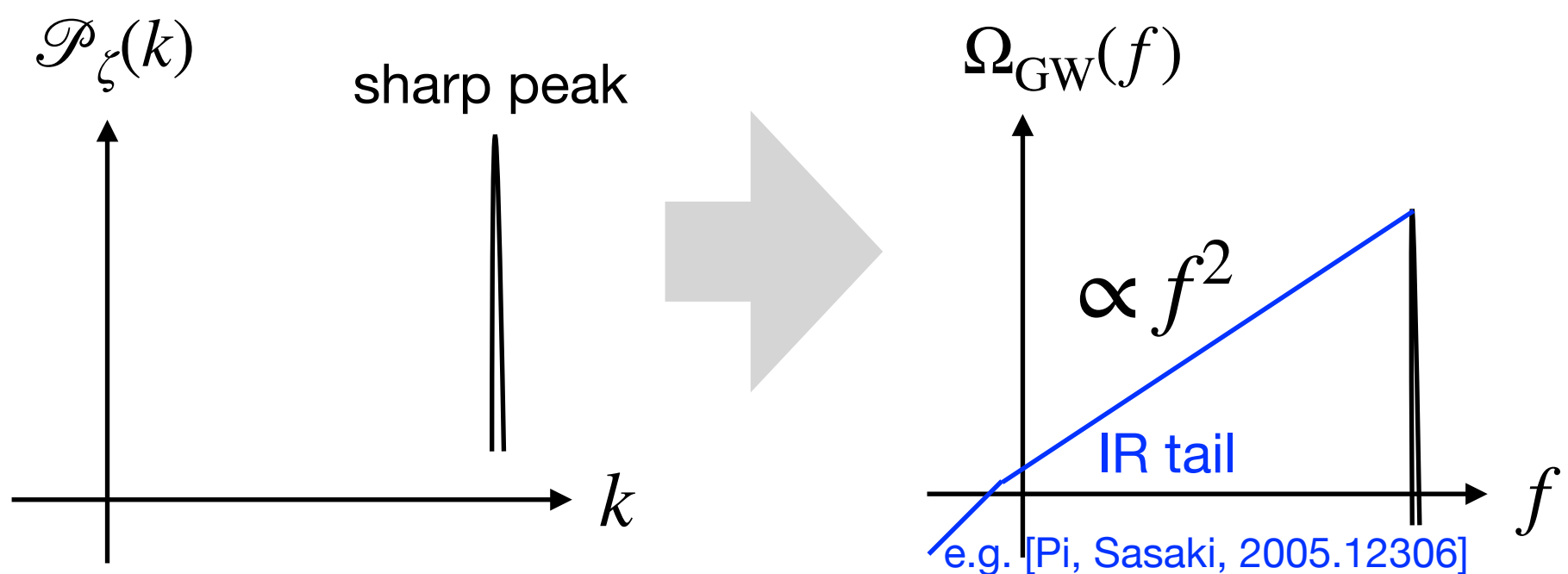
Possibility 2



[Harigaya, Inomata, Terada, 2309.00228]

[Domènech, Pi, Sasaki, 2005.12314]

Possibility 3



[Inomata, Kohri, Terada, 2306.17834]

e.g. [Pi, Sasaki, 2005.12306]

The peak is  
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→ **SUB-solar mass PBHs**

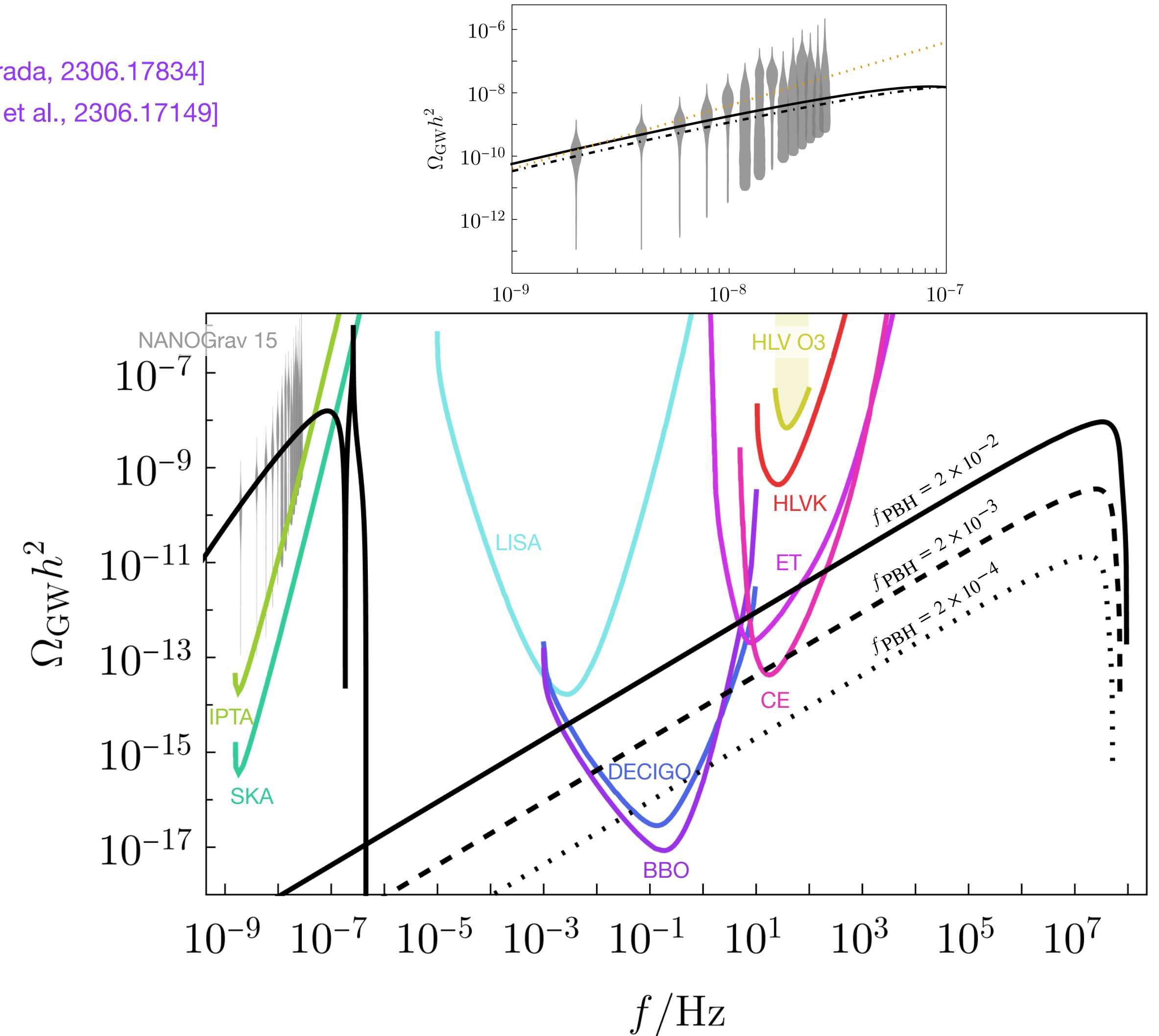
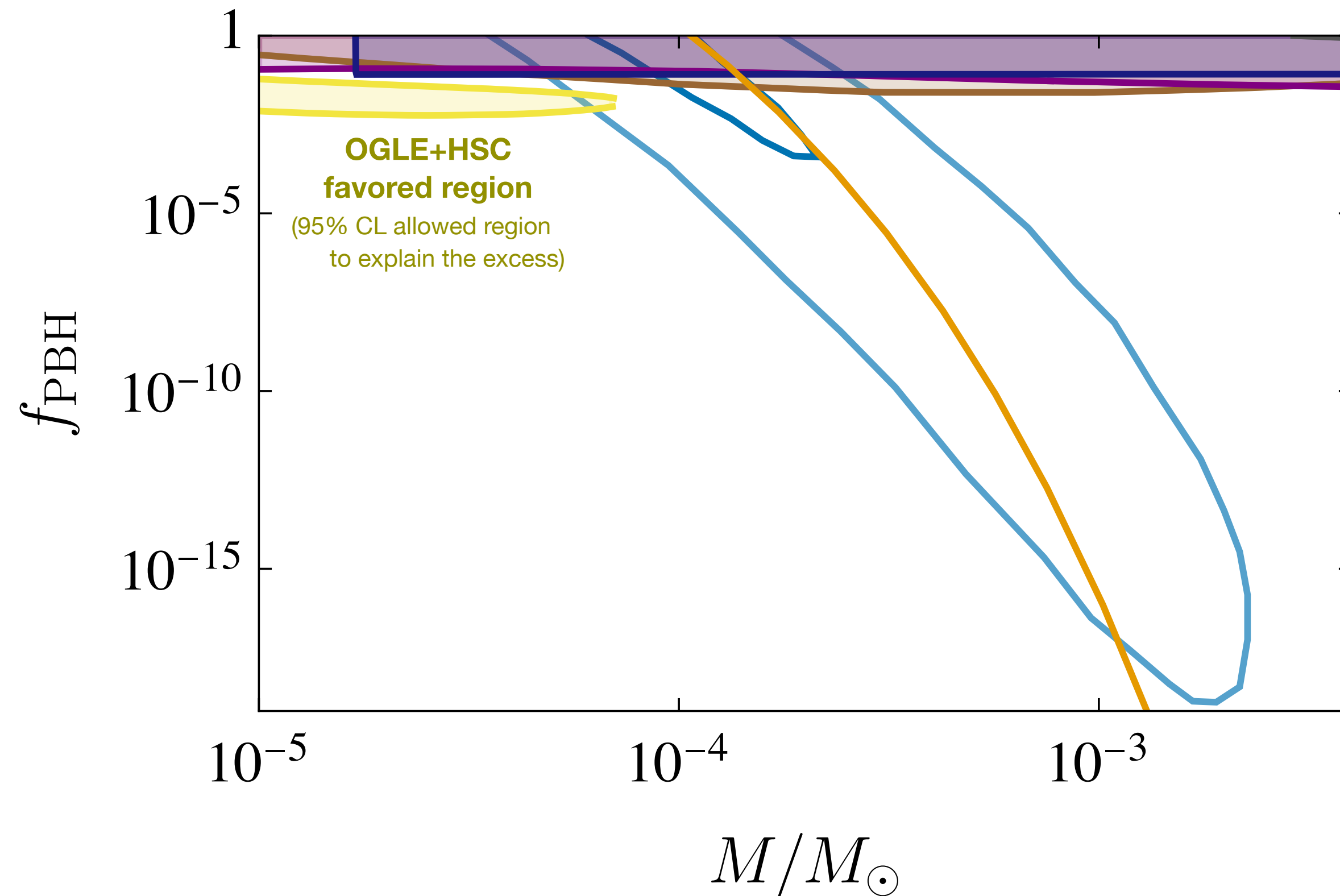
# Implications for Primordial Black Holes

[Inomata, Kohri, Terada, 2306.17834]

See also [Franciolini et al., 2306.17149]

The excess events of microlensing at OGLE: [Mróz et al., 1707.07634]

Interpretation by PBHs: [Niikura et al., 1901.07120]



$M/M_{\odot} = 1.2 \times 10^{-4}, 1.6 \times 10^{-4}, \text{ and } 2.2 \times 10^{-4}$  from top to bottom.

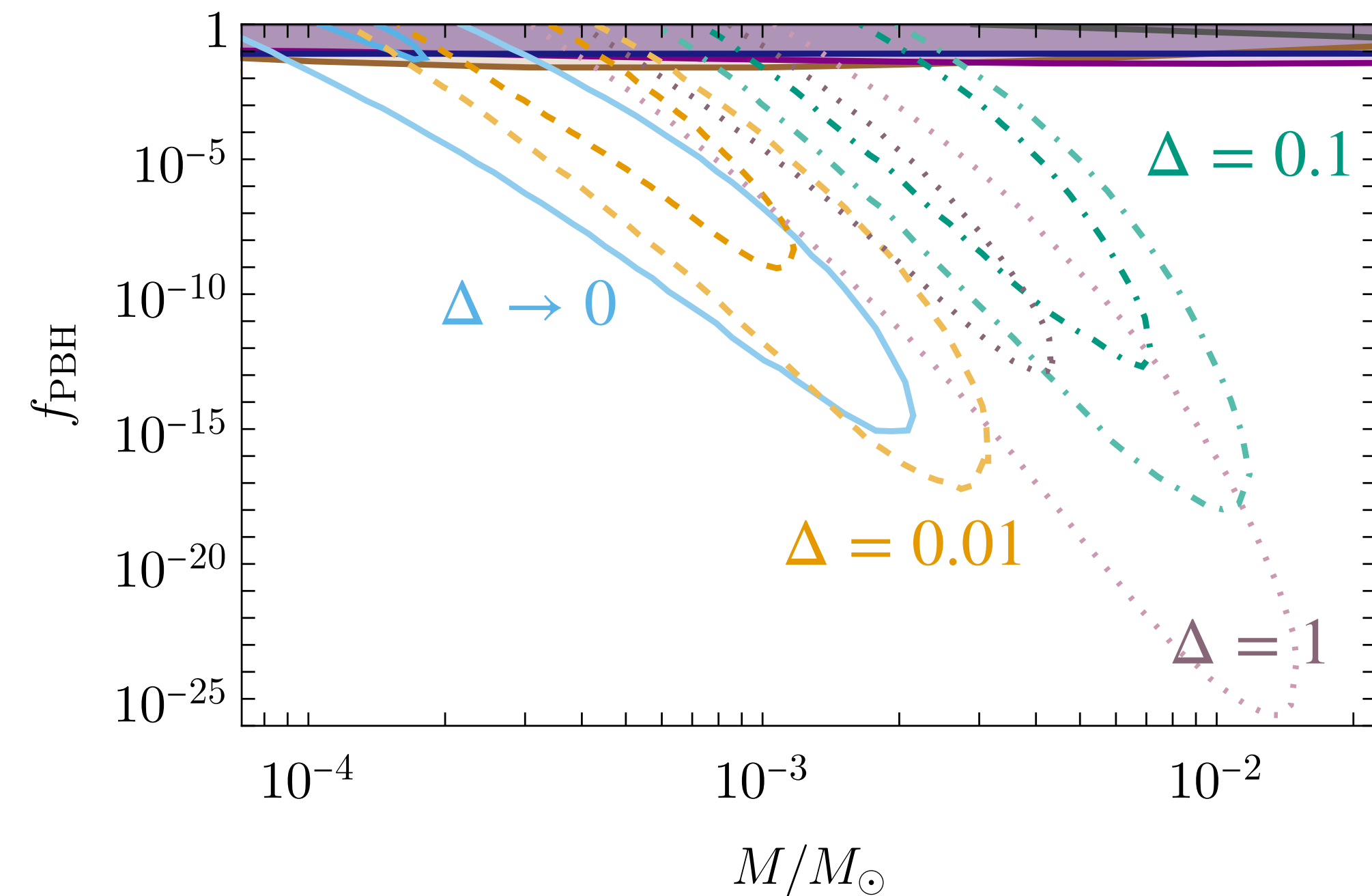
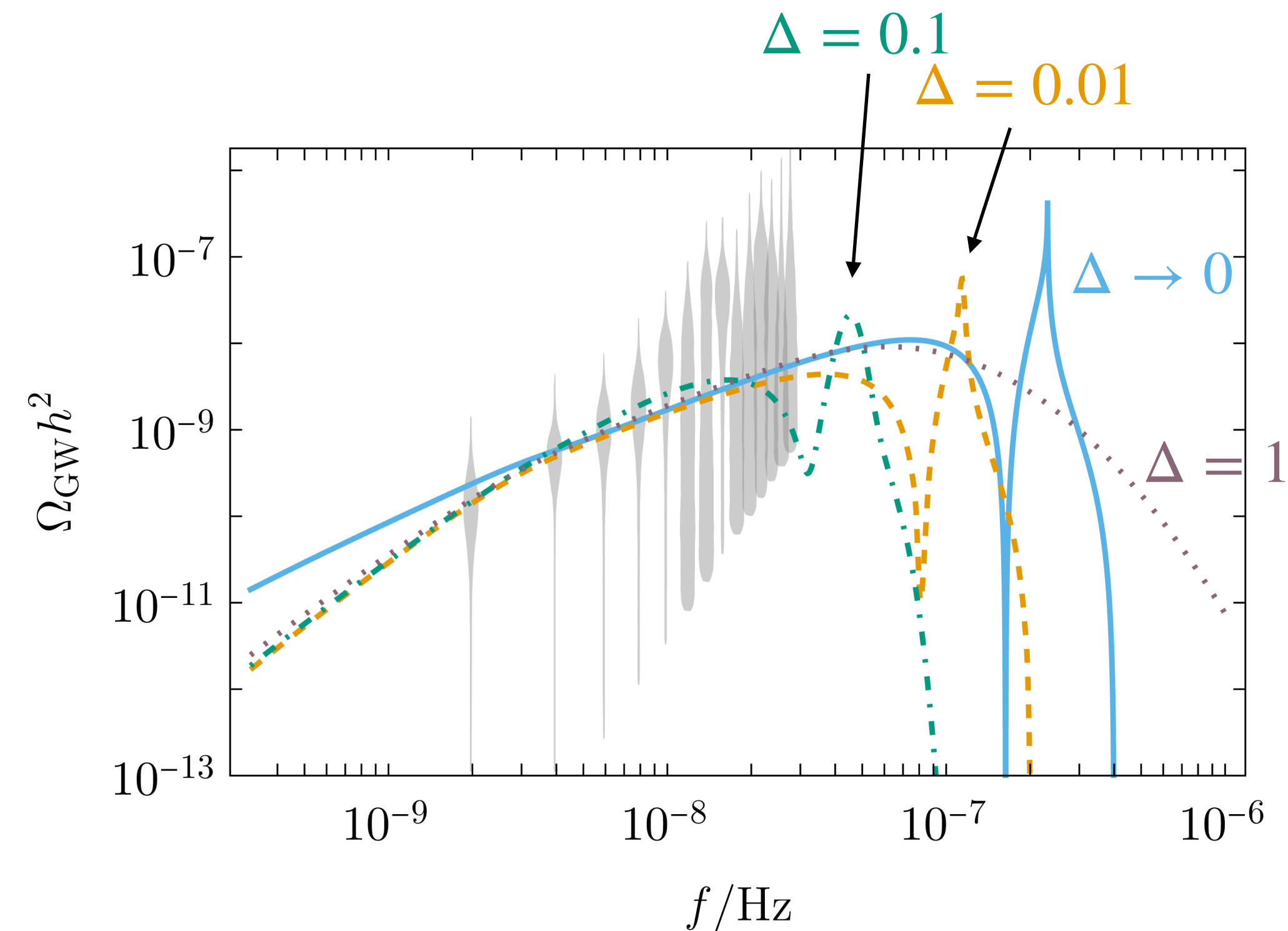
The sensitivity curves were taken from [Schmitz, 2002.04615].

The HLV O3 constraint is from [Abbott et al. (LIGO-Virgo-KAGRA), 2101.12130].

# Implications for Primordial Black Holes

Effects of finite width of the power spectrum of the curvature perturbations

$$\mathcal{P}_\zeta(k) = \frac{A_\zeta}{\sqrt{2\pi\Delta^2}} \exp\left(-\frac{(\log(k/k_*))^2}{2\Delta^2}\right)$$

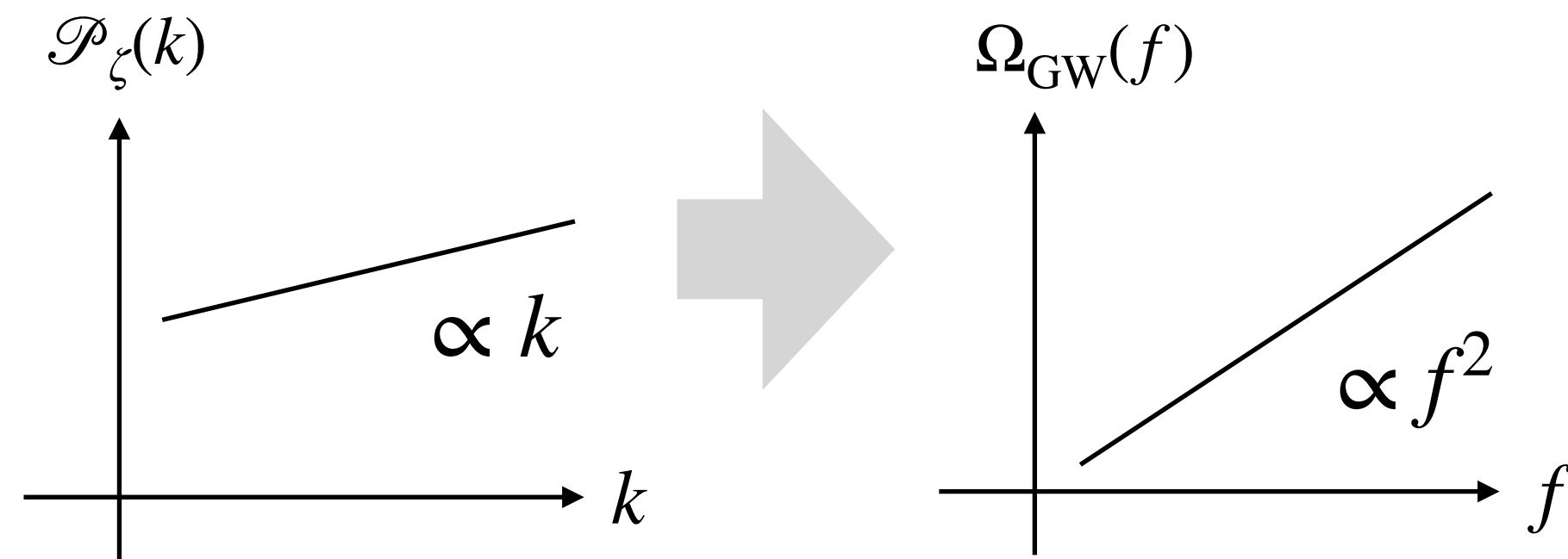




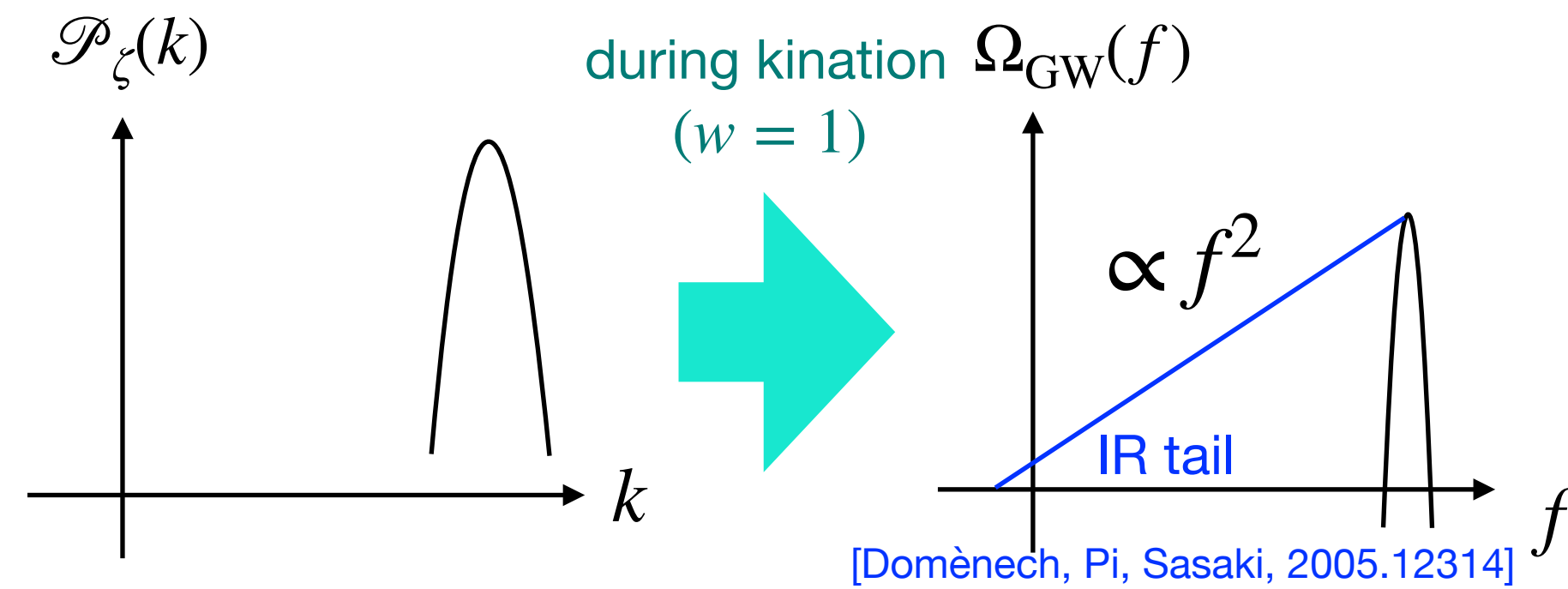
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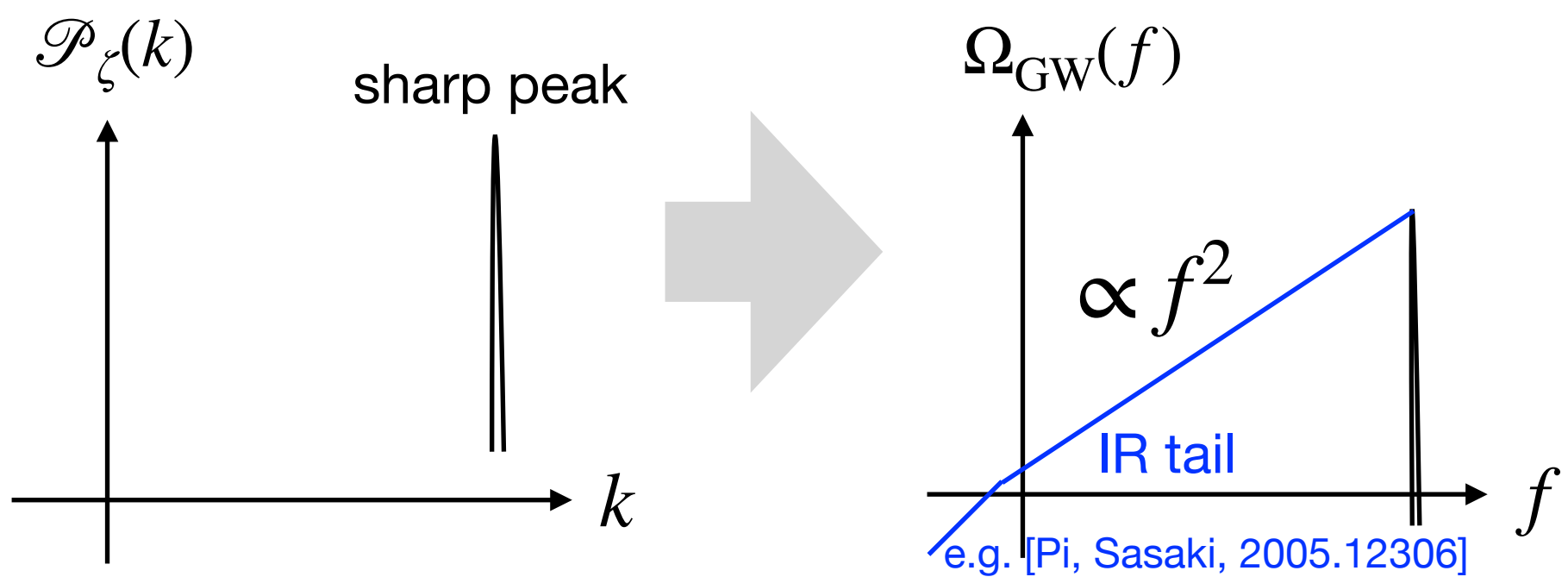


Possibility 2



[Harigaya, Inomata, Terada, 2309.00228]

Possibility 3

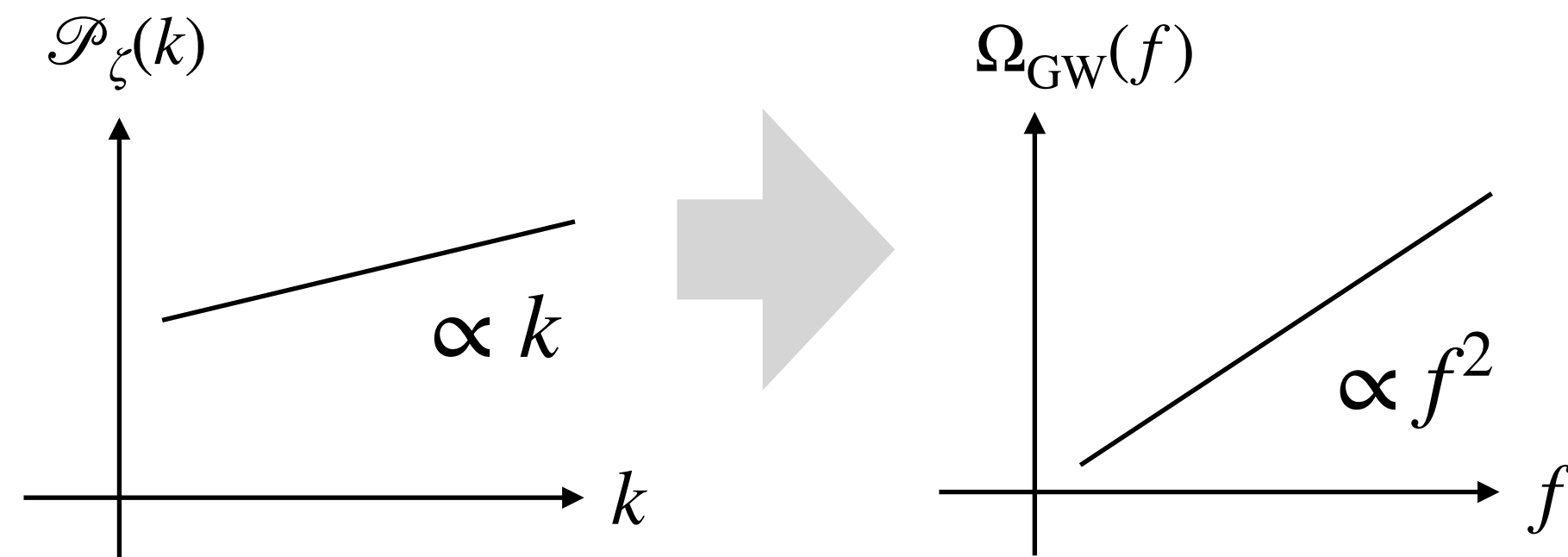


[Inomata, Kohri, Terada, 2306.17834]

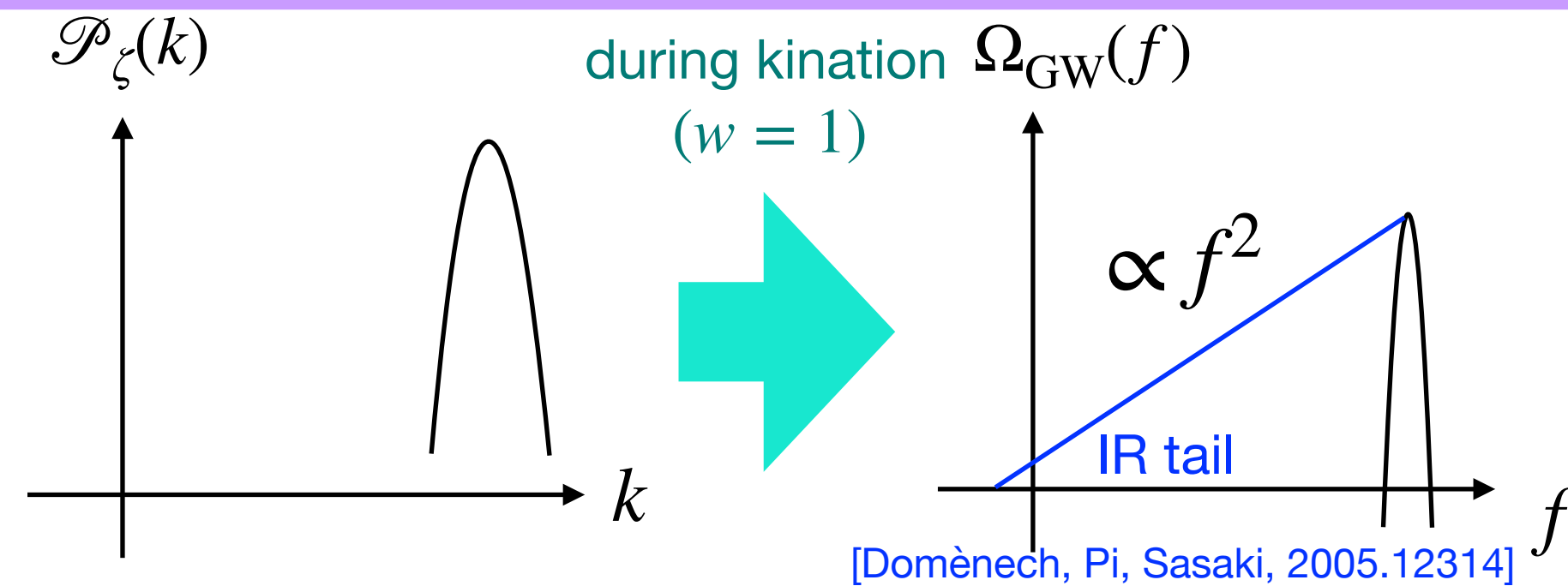
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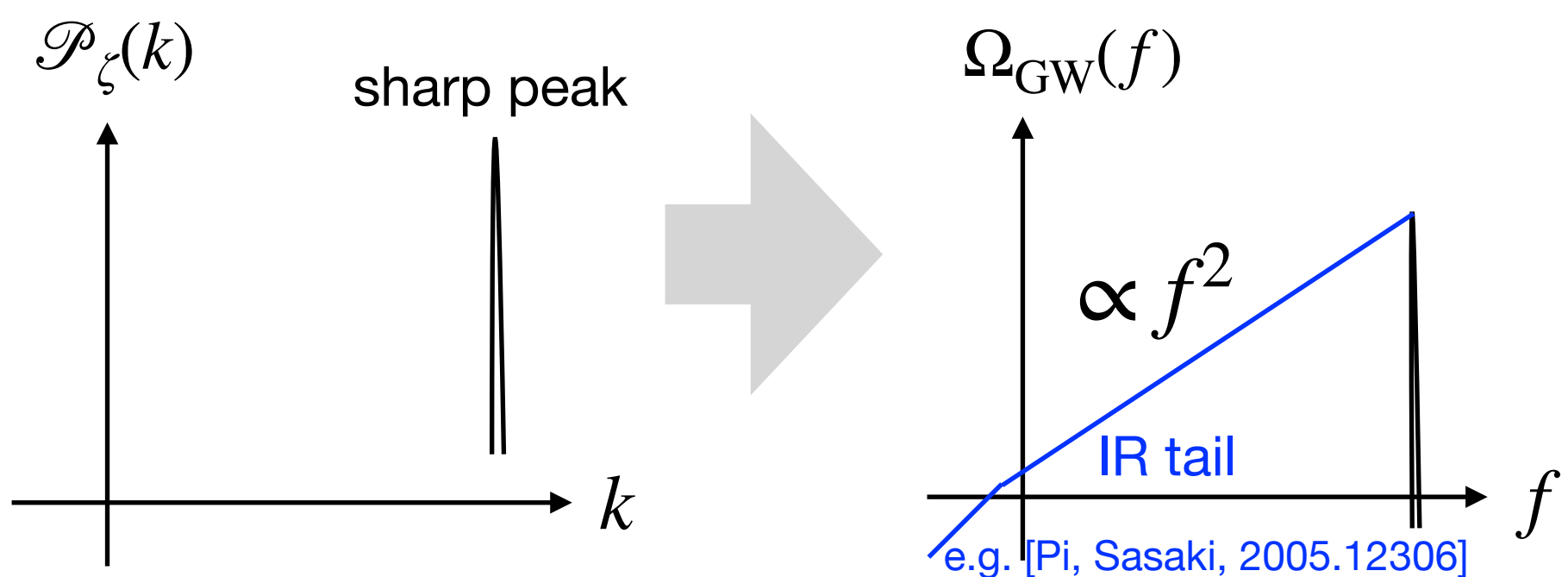


Possibility 2



[Harigaya, Inomata, Terada, 2309.00228]

Possibility 3



[Inomata, Kohri, Terada, 2306.17834]

# $f^2$ Spectrum in the Kination Scenario

- Growth factor for superhorizon modes from **growing subhorizon density perturbations**  $w := \frac{P}{\rho} = 1 \quad \rho \propto a^{-6}$

The source term decreasing slower than the Hubble scale

an additional factor of  $\left(\frac{a(k)}{a_{\text{fixed}}}\right)^4 \sim f^{-2}$

- Relative redshift factor** for subhorizon modes during kination

an additional factor of  $\left(\frac{a_{\text{fixed}}}{a(k)}\right)^2 \sim f$

During an era with  $w = 1$ ,

$$2\pi f = k = \mathcal{H} \propto a^{-2},$$

$$a \propto \eta^{1/2},$$

$\eta$ : conformal time

$\mathcal{H}$ : conformal Hubble parameter

Multiplying the above factors to the standard one ( $f^3$ ), we obtain  $f^3 \cdot f^{-2} \cdot f = f^2$ .

More generally, it nontrivially depends on the equation-of-state parameter  $w$ :

$$\Omega_{\text{GW}}(f) \sim f^{3-2|(1-3w)/(1+3w)|}$$

for the IR tail part of the spectrum.

[Domènech, Pi, Sasaki, 2005.12314]



# Induced GW scenario with kination

$$w := \frac{P}{\rho} = 1 \quad \rho \propto a^{-6}$$

The PBH abundance is exponentially suppressed compared to the standard scenario.

$$f_{\text{PBH}} \equiv \frac{\rho_{\text{PBH}}}{\rho_{\text{DM}}} \sim \exp\left(-\frac{\delta_c^2}{2\mathcal{P}_\zeta(k(M))}\right)$$

1. Smaller curvature perturbation is required to fit the PTA data.

This is because the GW fraction is enhanced during kination.

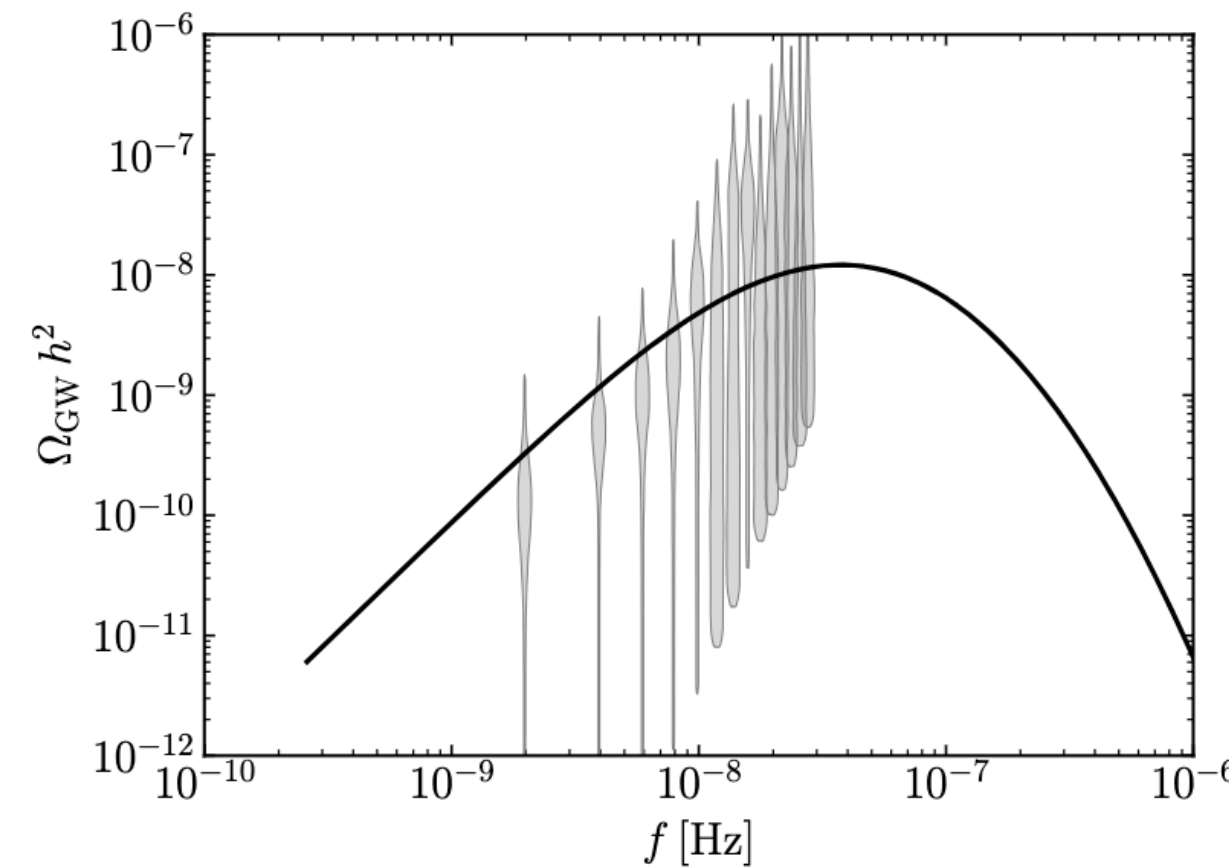
$$\Omega_{\text{GW}} \propto a^2$$

2. It will be harder for a PBH to form during kination.

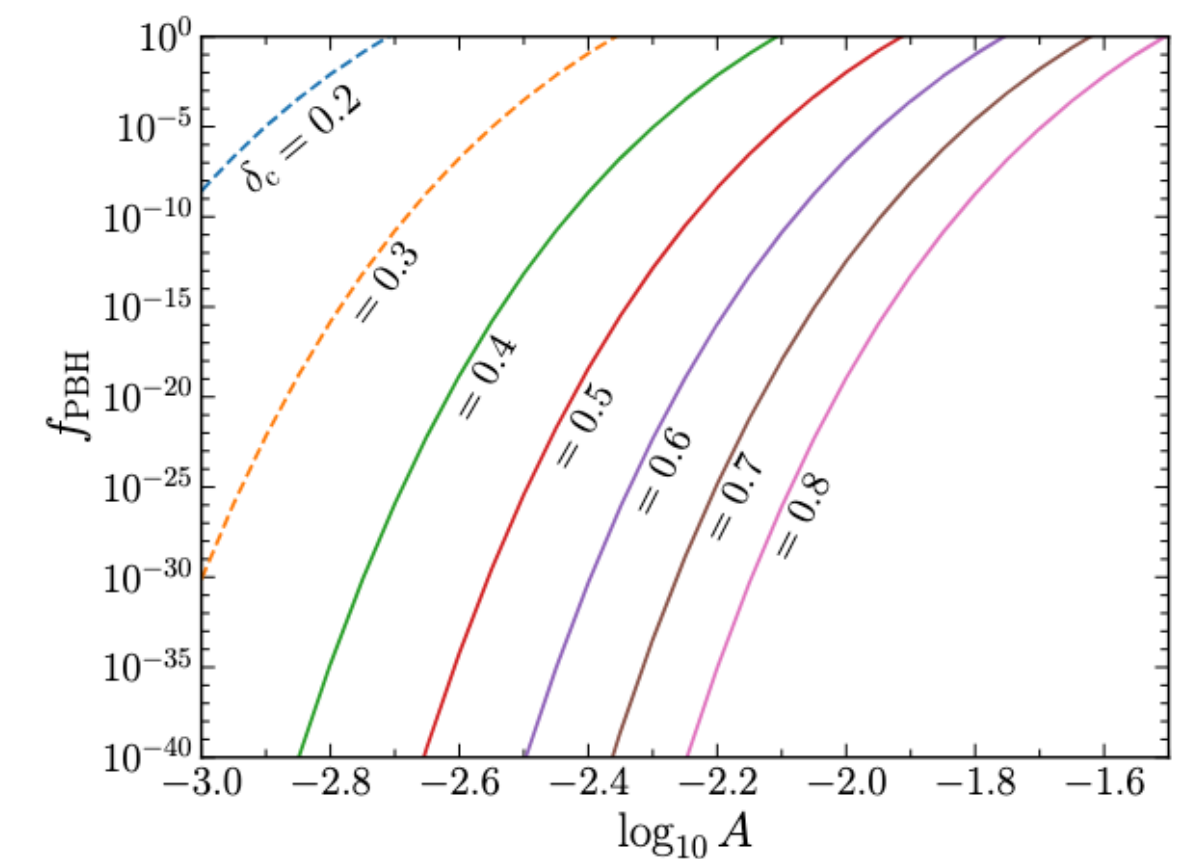
$$\delta_c \approx 0.4 - 0.75$$

See, e.g., [Escrivà et al., 2007.05564] and references therein.

Example GW spectrum



PBH abundance



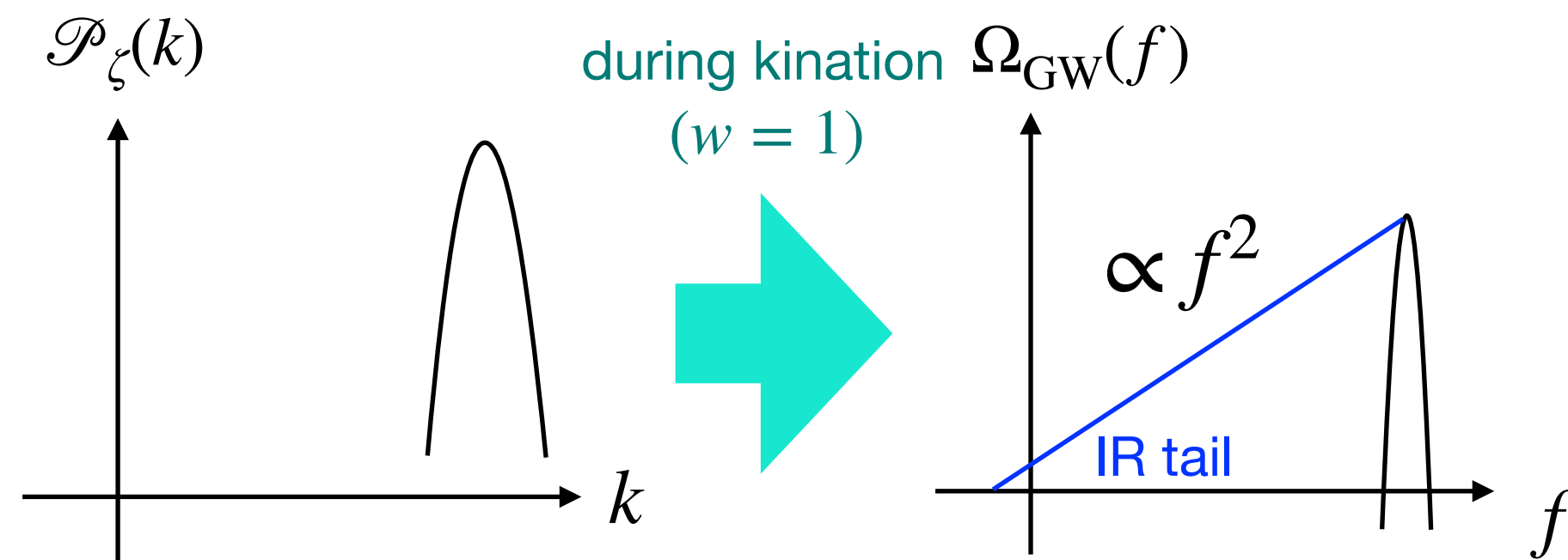
The PTA data can be fit without PBH overproduction.

[Harigaya, Inomata, Terada, 2309.00228]

See also [Balaji et al., 2307.08552] for a similar scenario.

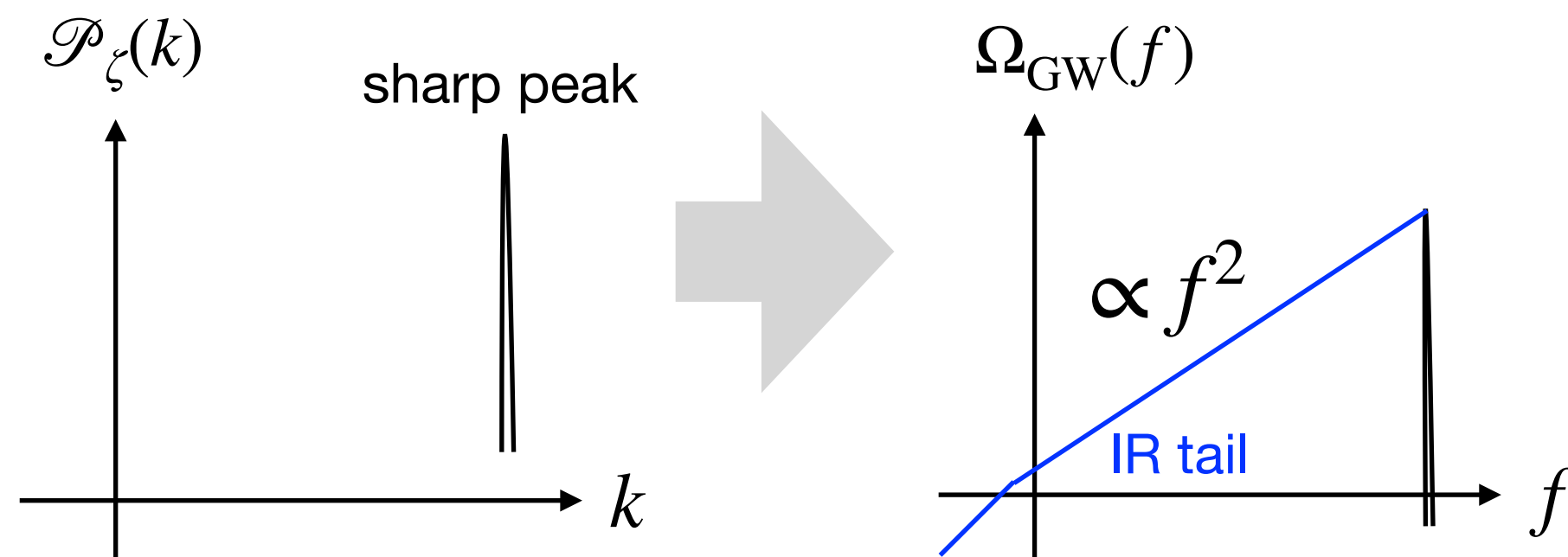
# Summary of This Part

The PTA data may be indicating  $\Omega_{\text{GW}} \propto f^2$  spectrum, which can be interpreted in terms of (the IR tail of) the scalar-induced GWs.



[Harigaya, Inomata, Terada, 2309.00228]

- Fitting the PTA data well.
- No PBH overproduction.



[Inomata, Kohri, Terada, 2306.17834]

- Fitting the PTA data well.
- Associated with  $\mathcal{O}(10^{-4}) M_\odot$  PBHs.
  - Their binary mergers lead to additional GW signals.

# Memory Burden Effect



# Toy Model of a Black Hole

[Dvali, 1810.02336]

[Dvali, Eisemann, Michel, and Zell, 2006.00011]  
see Refs. therein.

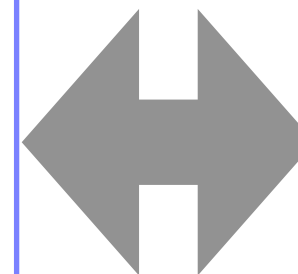
Master mode  
(soft mode)

$$\nabla \sim R^{-1} = (2GM)^{-1}$$

Huge occupation number

Emitted as Hawking Radiation

Interaction



Memory modes  
(would-be hard modes)

$$\nabla \gg R^{-1}$$

Responsible for the BH entropy

Hardly emitted as Hawking Radiation

# Assisted Gaplessness

prototype interaction [Dvali, Eisemann, Michel, and Zell, 2006.00011]

$$\hat{H} = \epsilon_0 \hat{n}_0 + \left(1 - \frac{\hat{n}_0}{N_c}\right)^p \sum_{k=1}^K \epsilon_k \hat{n}_k$$

$$\mathcal{E}_k = \left(1 - \frac{n_0}{N_c}\right) \epsilon_k$$

entropy

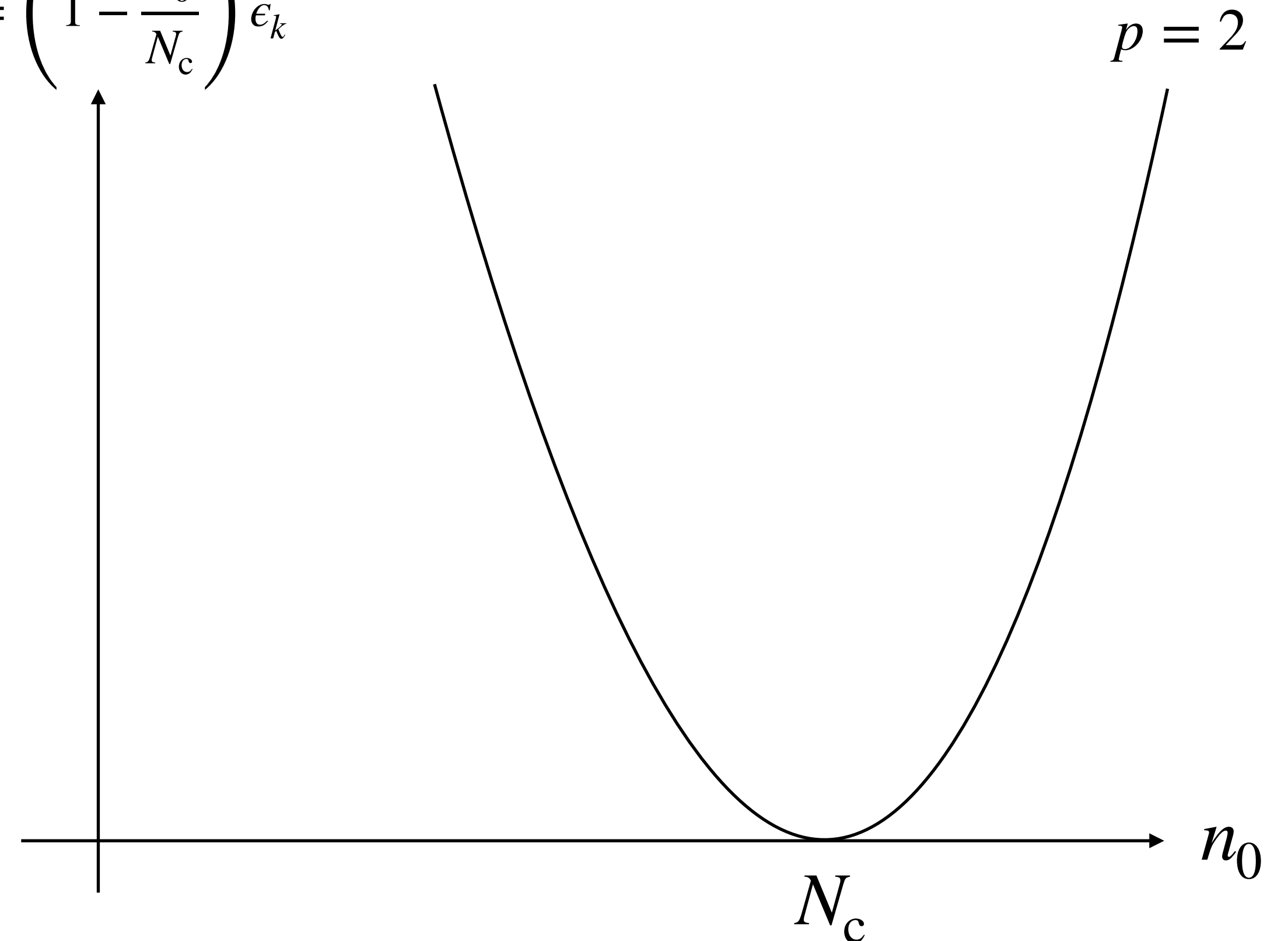
$$|n_0 (= N_c), n_1, \dots, n_K\rangle$$

$$S = K \ln(n_{\max} + 1) \quad \text{if } n_i = 0, \dots, n_{\max}.$$

It follows an **area law** in a more specific model.

$$K \propto R^{d-1}$$

[Dvali, 1712.02233]



# Memory Burden

[Dvali, 1810.02336]

[Dvali, Eisemann, Michel, and Zell, 2006.00011]

Extend the system a bit.

$$\hat{H} = \epsilon_0 \hat{n}_0 + \epsilon_0 \hat{m}_0 + \left(1 - \frac{\hat{n}_0}{N_c}\right)^p \sum_{k=1}^K \epsilon_k \hat{n}_k + C_0 \left( \hat{a}_0^\dagger \hat{b}_0 + \hat{b}_0^\dagger \hat{a}_0 \right)$$



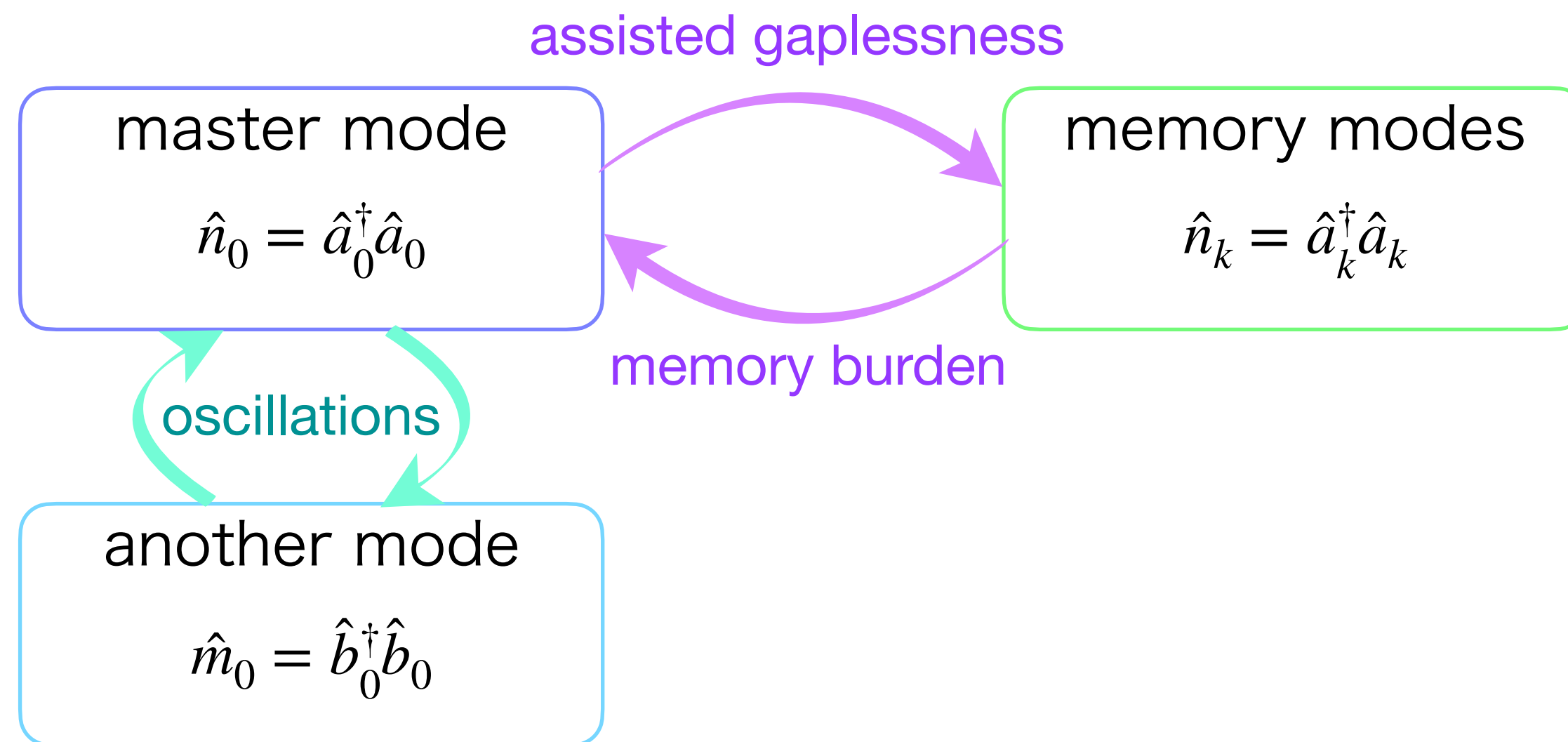
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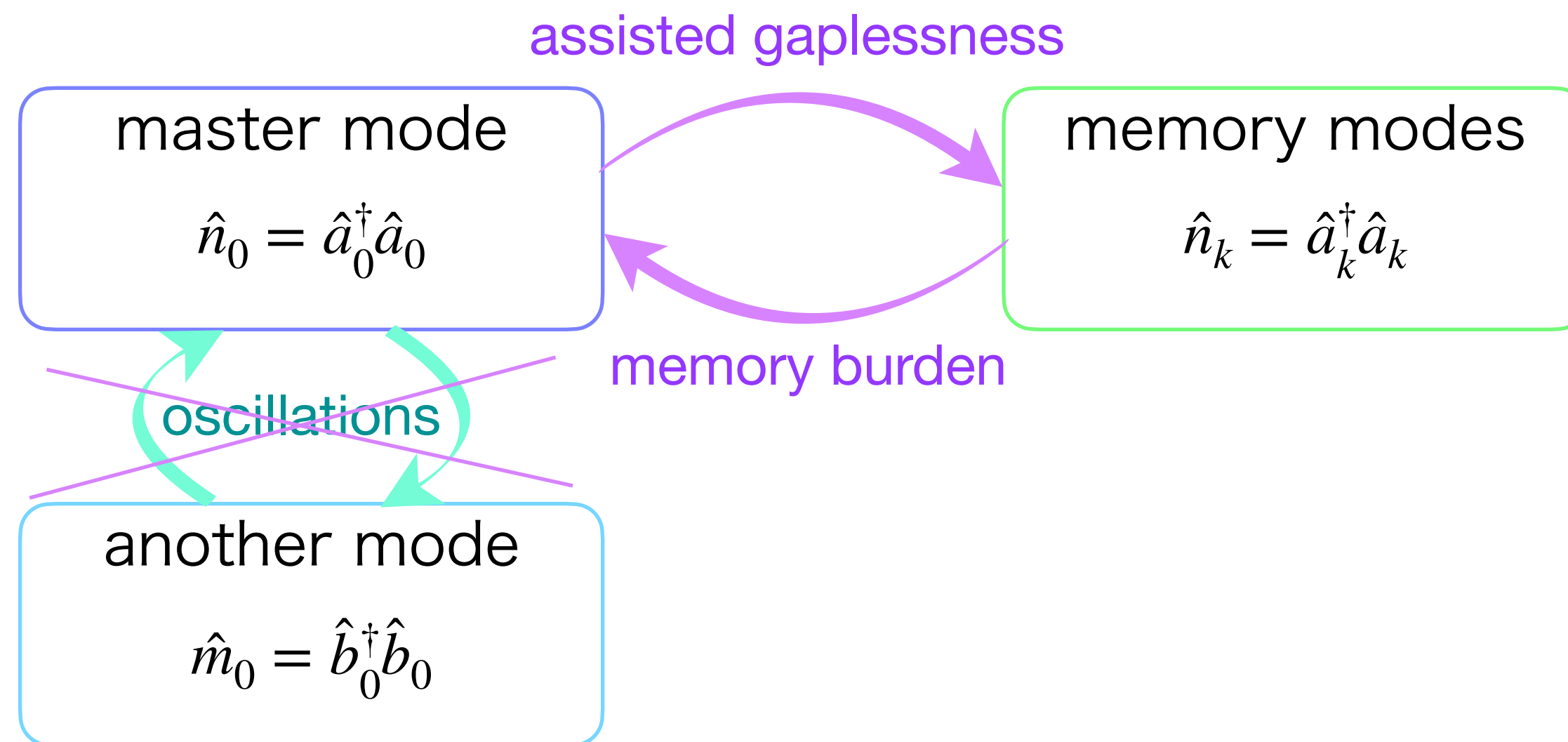
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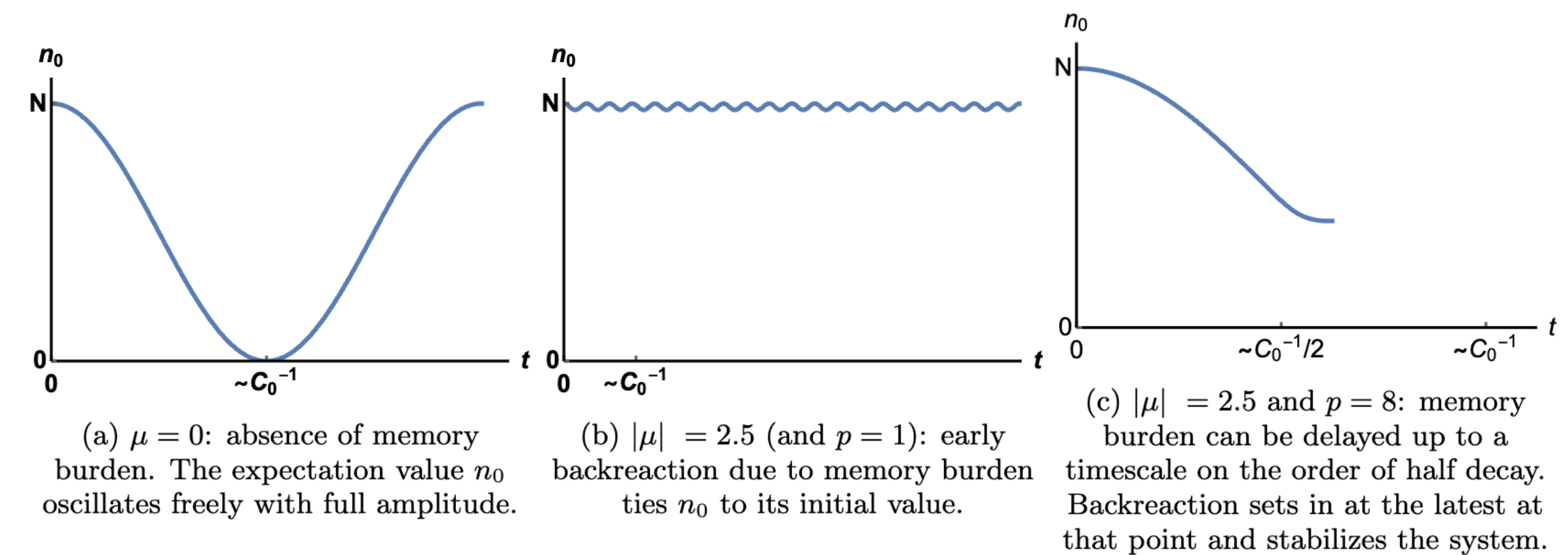
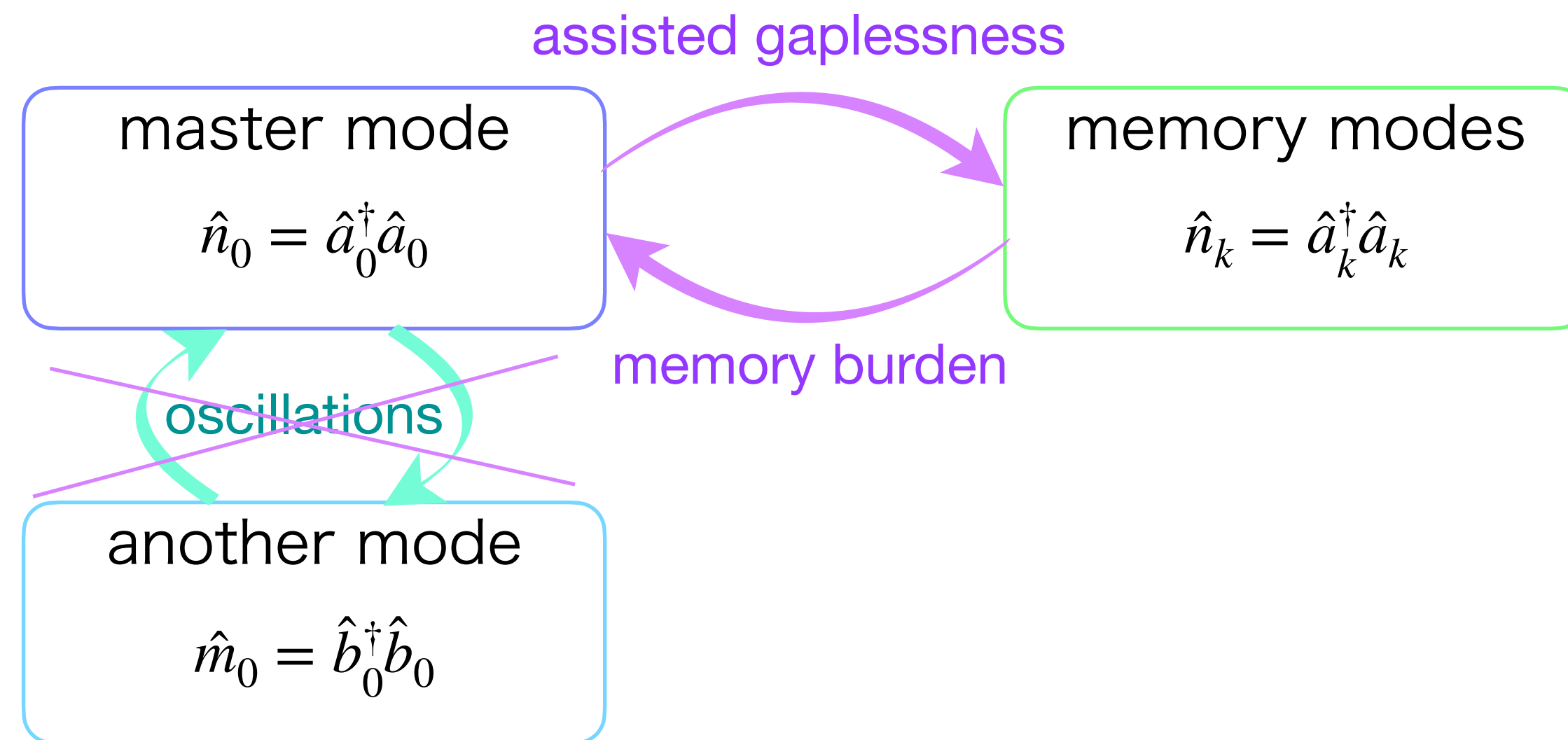


Figure from [Dvali, Eisemann, Michel, and Zell, 2006.00011]



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- Become extremely long lived?
- A new classical instability?

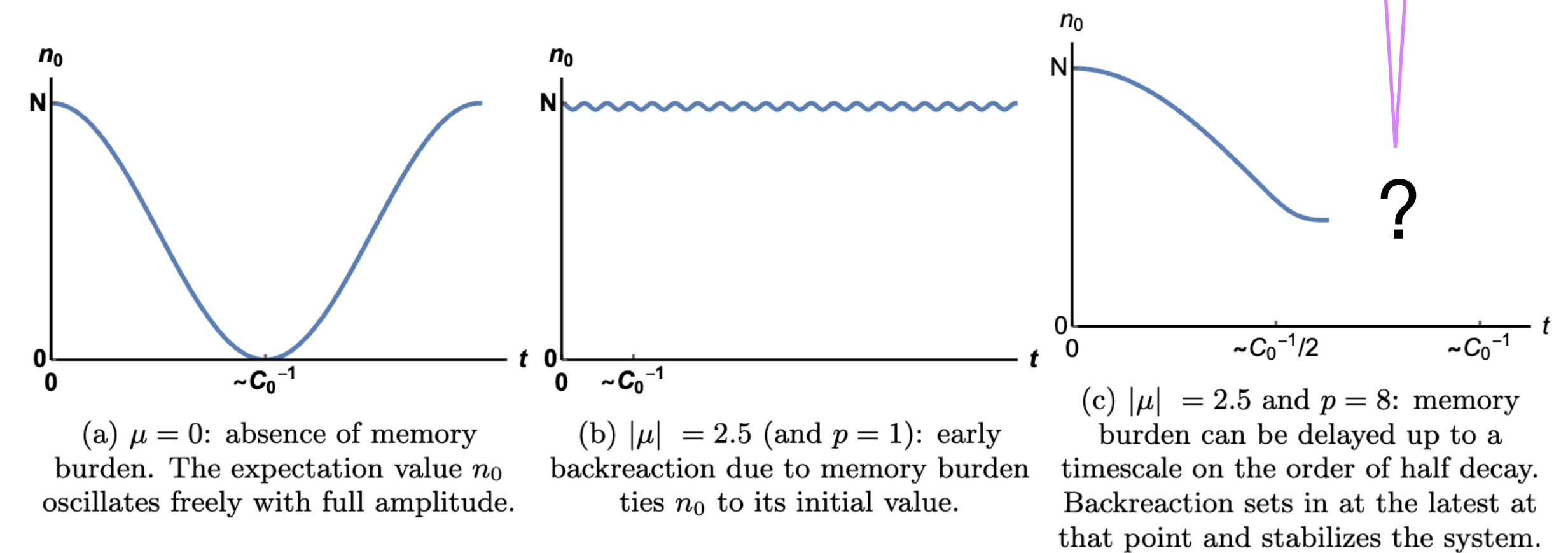
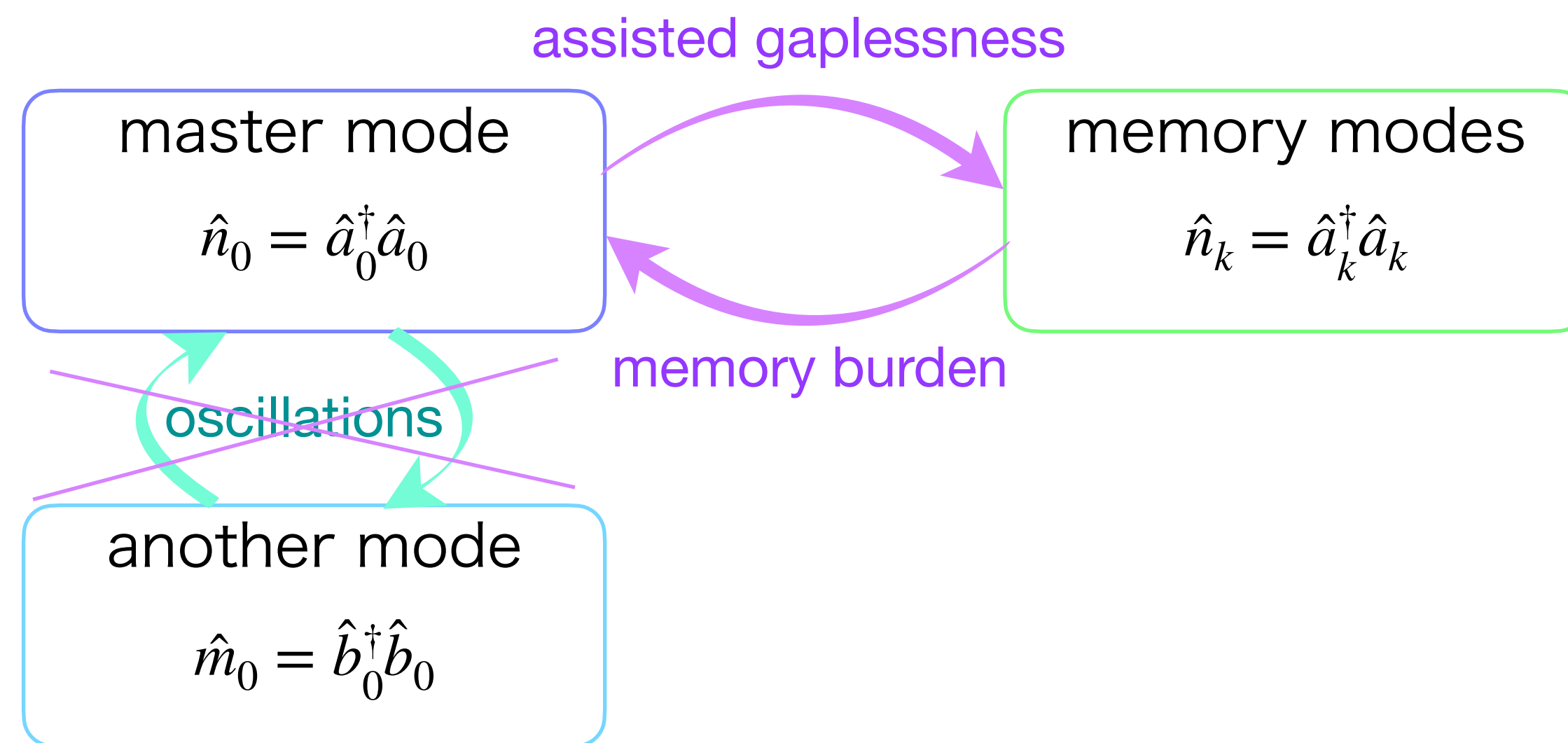


Figure from [Dvali, Eisemann, Michel, and Zell, 2006.00011]

# BH Evaporation Slowed Down by Memory Burden

[Alexandre, Dvali, and Koutsangelas, 2402.14069]

[Thoss, Burkert, and Kohri, 2402.17823]

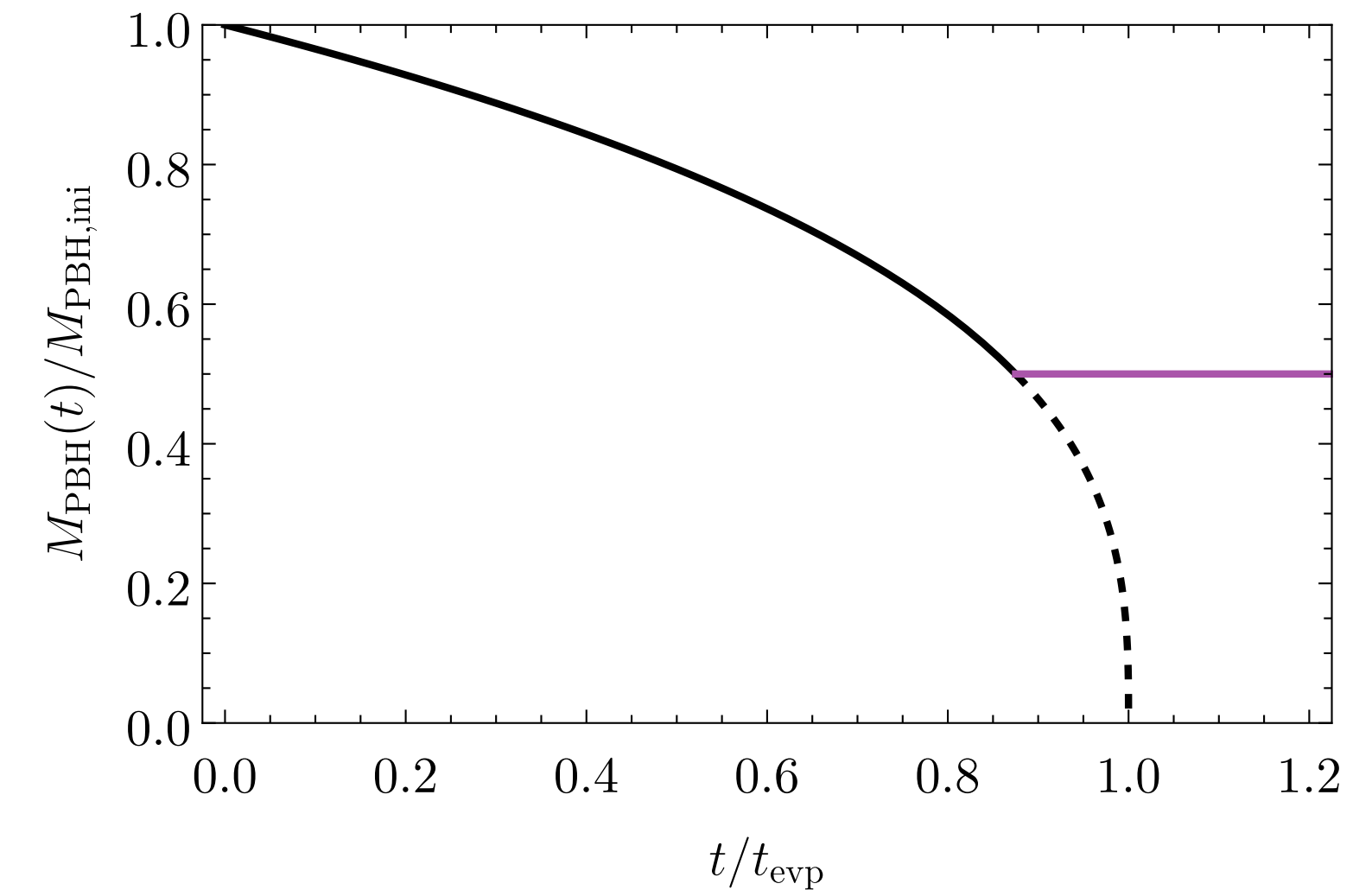
[Hooper, Krnjaic, and McDermott, 1905.01303]

[Balaji, Domènech, Franciolini, Ganz, and Tränkle, 2403.14309]

$$\dot{M}_{\text{PBH}} = - \frac{\pi \mathcal{G} g_{*H}}{480 M_{\text{PBH}}^2} \times \frac{1}{S_{\text{BH}}^{n_{\text{MB}}}}$$

after the half evaporation

$$M_{\text{PBH}} \lesssim M_{\text{PBH,ini}}/2.$$



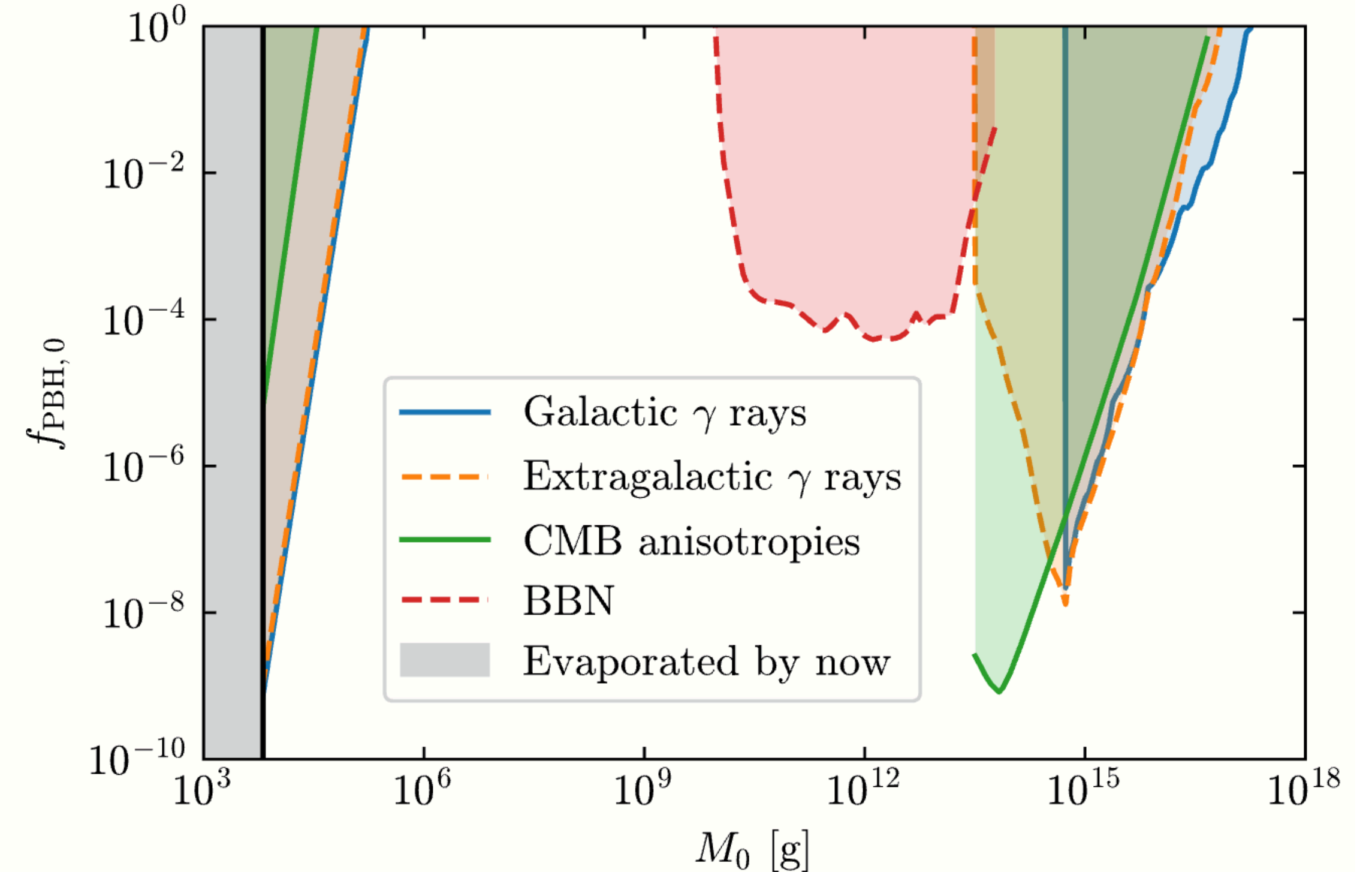
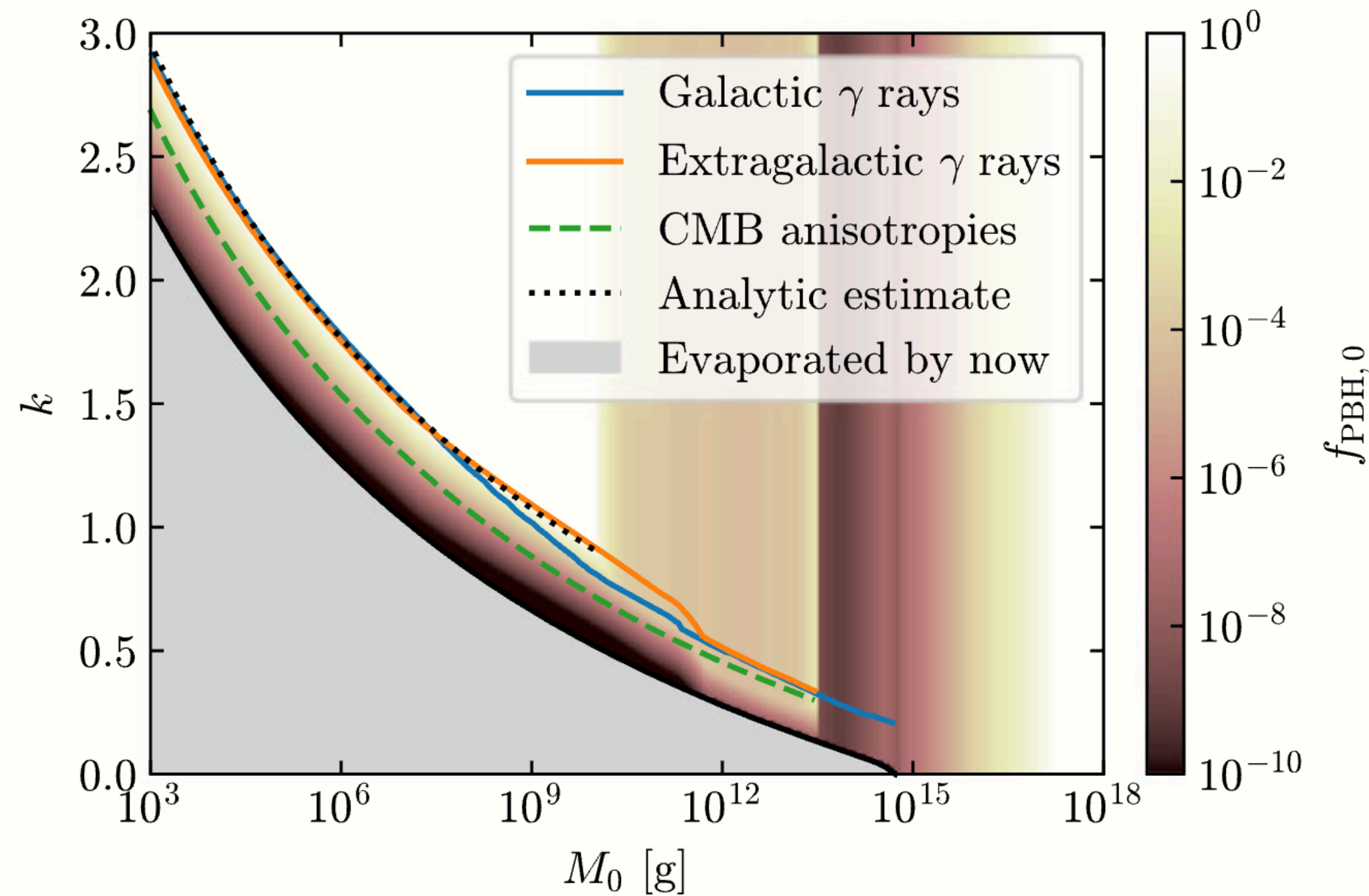
where  $\mathcal{G}$ : the gray-body factor,  $g_{*H}$ : the effective d.o.f.

$S_{\text{BH}} = M_{\text{PBH}}^2/2$ : the BH entropy

$n_{\text{MB}}$ : a(n integer) parameter for the memory burden effect.

# Light PBH Dark Matter Window Open

[Alexandre, Dvali, and Koutsangelas, 2402.14069], [Thoss, Burkert, and Kohri, 2402.17823]. The figures are from the latter.



$$k \equiv n_{\text{MB}}, \quad M_0 \equiv M_{\text{PBH,ini}}, \quad f_{\text{PBH},0} \equiv f_{\text{PBH}} \times (M_{\text{PBH,ini}}/M_{\text{PBH}}(t_0))$$

Right panel: Case of  $n_{\text{MB}} = 2$ .

We can consider  $0.5 \text{ g} \lesssim M_{\text{PBH,ini}} \lesssim 10^{10} \text{ g}$  and focus on  $10^5 \text{ g} \lesssim M_{\text{PBH,ini}} \lesssim 10^{10} \text{ g}$ .



# Big Picture

PBH-GW connection: [Saito and Yokoyama, 0812.4339; 0912.5317]

$$\beta(M_{\text{PBH,ini}})$$

$$f_{\text{PBH}} = 1$$

PBH production  $\longrightarrow$  PBH dark matter

Memory Burden

exponential  
sensitivity

Curvature Perturbations

$$\mathcal{P}_\zeta(k)$$

logarithmic  
sensitivity

quadratic  
sensitivity

Induced GWs

$$\Omega_{\text{GW}}(f)$$

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Induced GWs

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Memory Burden

Exclusion  
because

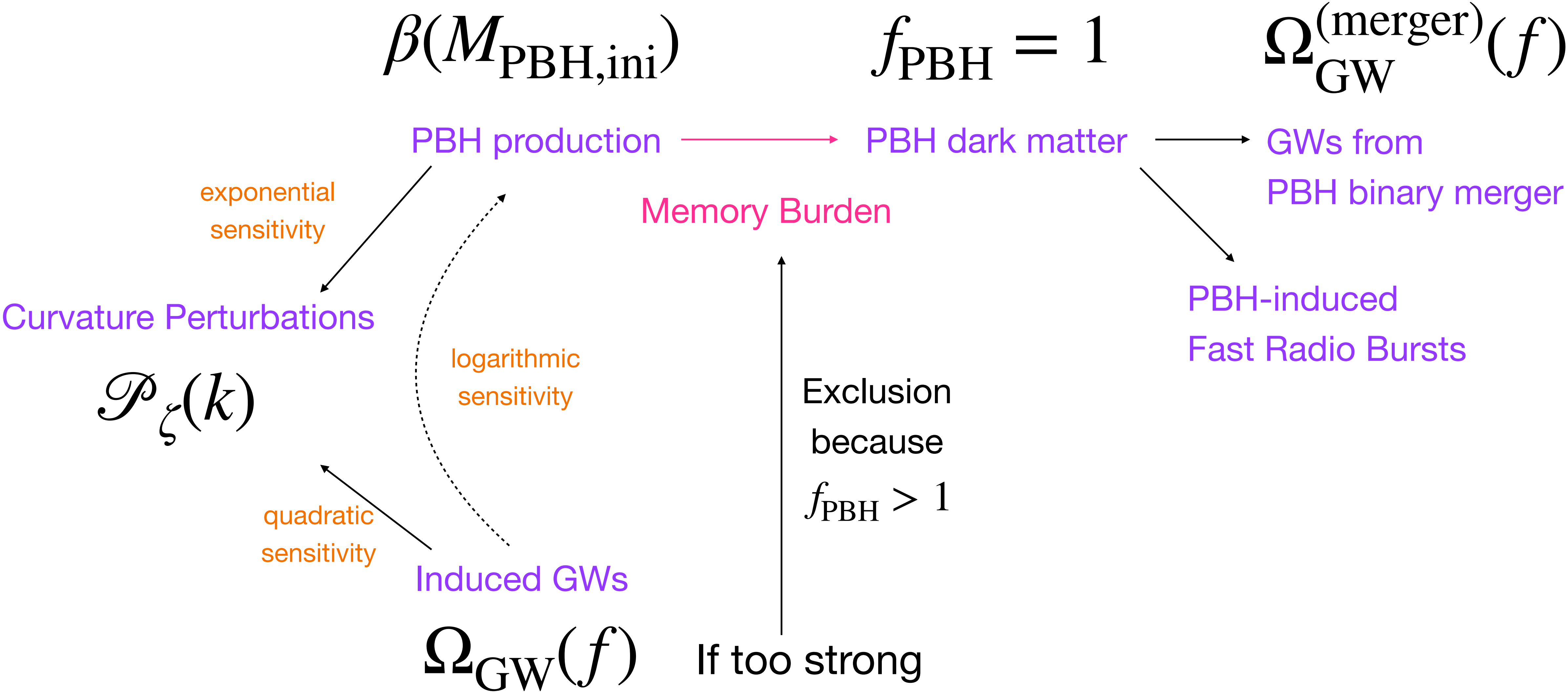
$$f_{\text{PBH}} > 1$$

If too strong

logarithmic  
sensitivity

# Big Picture

PBH-GW connection: [Saito and Yokoyama, 0812.4339; 0912.5317]





# Our Prescription for PBH Abundance Calculation

Initial PBH mass

$$M_{\text{PBH,ini}} = \frac{4\pi\gamma}{3} H^{-3} \quad \gamma = \left(1/\sqrt{3}\right)^3$$

PBH formation probability

$$\beta(M) = \frac{1}{2} \text{Erfc} \left( \frac{\delta_c}{\sqrt{2\sigma^2(k)}} \right) \quad \delta_c = 0.42$$

Coarse-grained overdensity

$$\sigma^2(k) = \frac{16}{81} \int_{-\infty}^{\infty} d \ln q \left( \frac{q}{k} \right)^4 W \left( \frac{q}{k} \right)^2 T \left( \frac{q}{k} \right)^2 \mathcal{P}_{\zeta}(q)$$

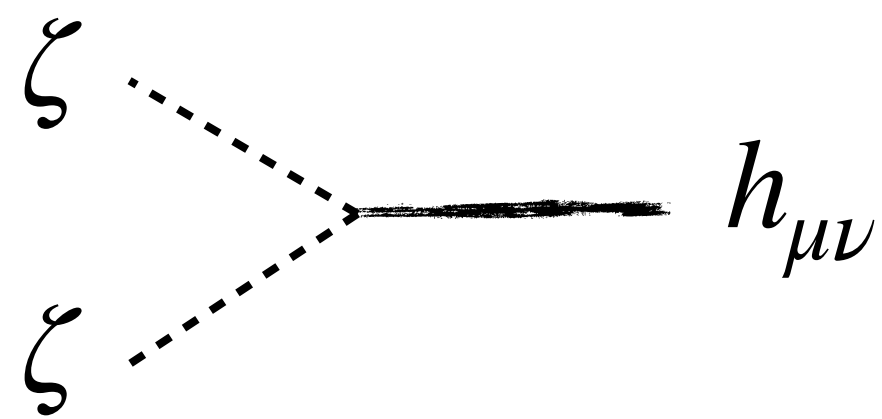
$$W(z) = \exp(-z^2/2)$$

PBH abundance

$$f_{\text{PBH}} = \frac{1}{2} \int_{-\infty}^{\infty} d \ln M \frac{g_*(T(M))}{g_{*,0}} \frac{g_{*s,0}}{g_{*s}(T(M))} \frac{T(M)}{T_{\text{eq}}} \gamma \beta(M) \frac{\Omega_{\text{m}}}{\Omega_{\text{CDM}}}$$

# Gravitational Waves Induced by Curvature Perturbations

[Ananda, Clarkson, and Wands, qr-qc/0612013], [Baumann, Steinhardt, Takahashi, and Ichiki, hep-th/0703290]



GWs are induced from  $\zeta$  beyond the linearized level.

We consider the standard RD era (without PBH domination).

For memory burden in the PBH domination (non-dark matter) scenario, see [Balaji et al., 2403.14309], [Barman et al, 2405.15858], [Bhaumik et al., 2409.04436], [Barman et al., 2409.05953].

## Present intensity of the induced GWs

Dilution factor

$$\Omega_{\text{GW}}(\eta_0, f) h^2 = D \Omega_{\text{GW}}(\eta_c, f) h^2$$

$$D = \frac{g^*(T(f))}{g^*_{*,0}} \left( \frac{g^*_{s,0}}{g^*_{*s}(T(f))} \right)^{4/3} \Omega_r$$

## GW Intensity during the RD era

$$\Omega_{\text{GW}}(\eta_c, f) = \frac{1}{6} \int_0^\infty dt \int_0^1 ds \left( \frac{t(2+t)(s^2-1)}{(1-s+t)(1+s+t)} \right)^2 \lim_{\eta \rightarrow \infty} \overline{(k\eta)^2 I(t, s, k\eta)^2} \mathcal{P}_\zeta(uk) \mathcal{P}_\zeta(vk)$$

We consider the lognormal power spectrum.

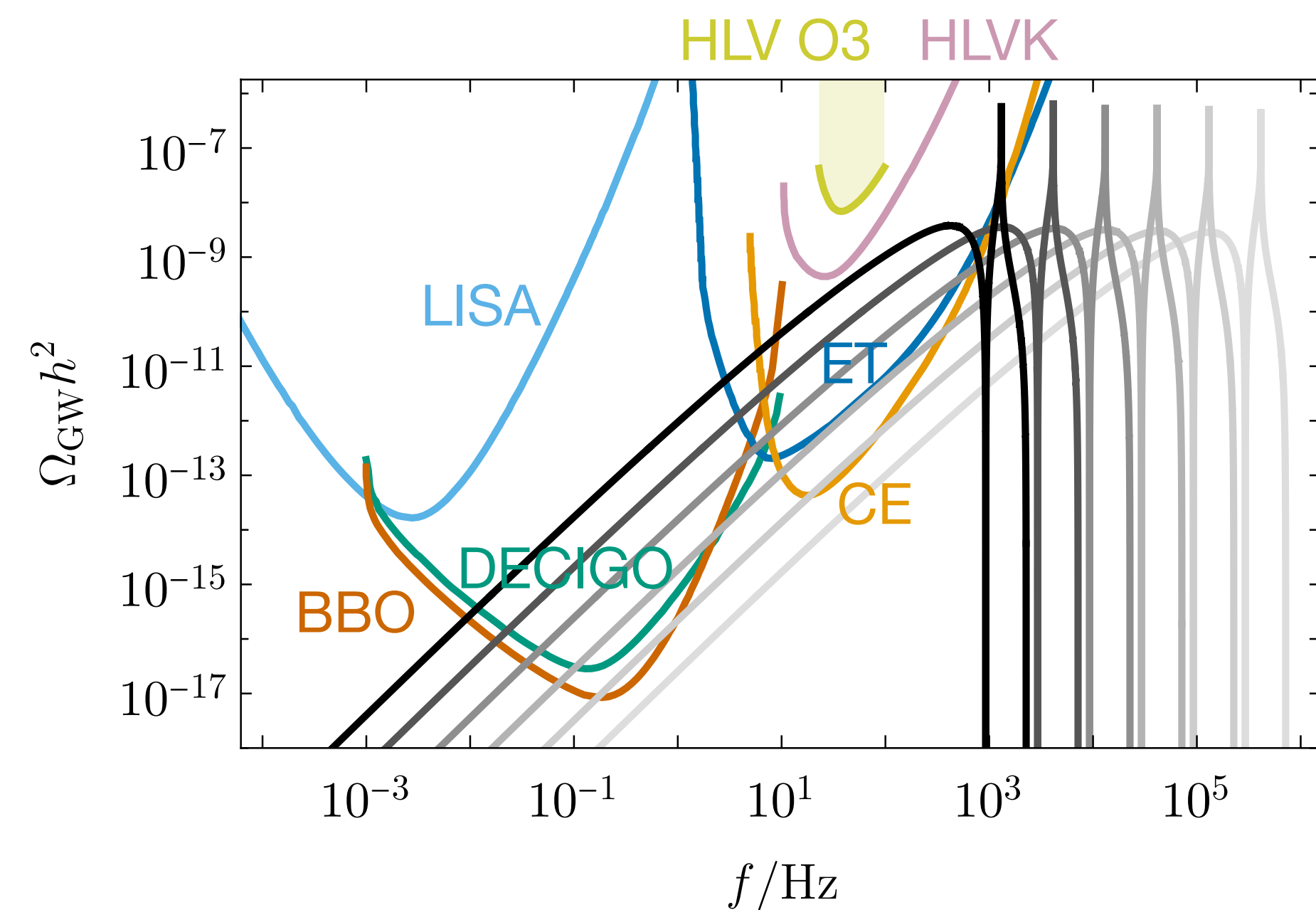
$$\mathcal{P}_\zeta(k) = \frac{A_\zeta}{\sqrt{2\pi\Delta^2}} \exp \left( -\frac{\left( \ln \frac{k}{k_0} \right)^2}{2\Delta^2} \right)$$

The analytic expression of the integration kernel is available.

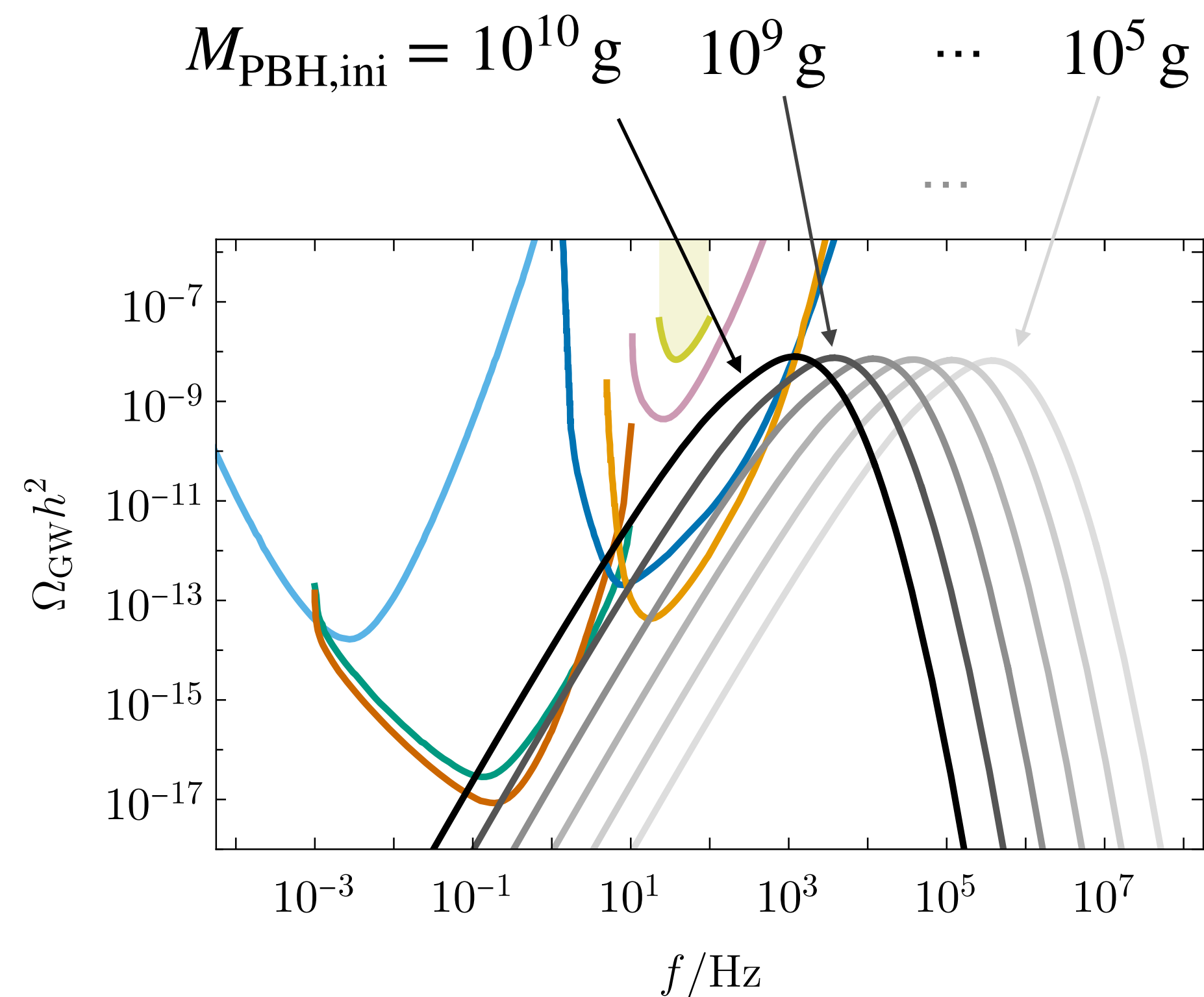
[Espinosa, Racco, and Riotto, 1804.07732], [Kohri and Terada, 1804.08577]

# Induced GWs associated with MB PBH DM

$$\mathcal{P}_\zeta(k) = \frac{A_\zeta}{\sqrt{2\pi\Delta^2}} \exp\left(-\frac{\left(\ln \frac{k}{k_0}\right)^2}{2\Delta^2}\right)$$



$\Delta \rightarrow 0$

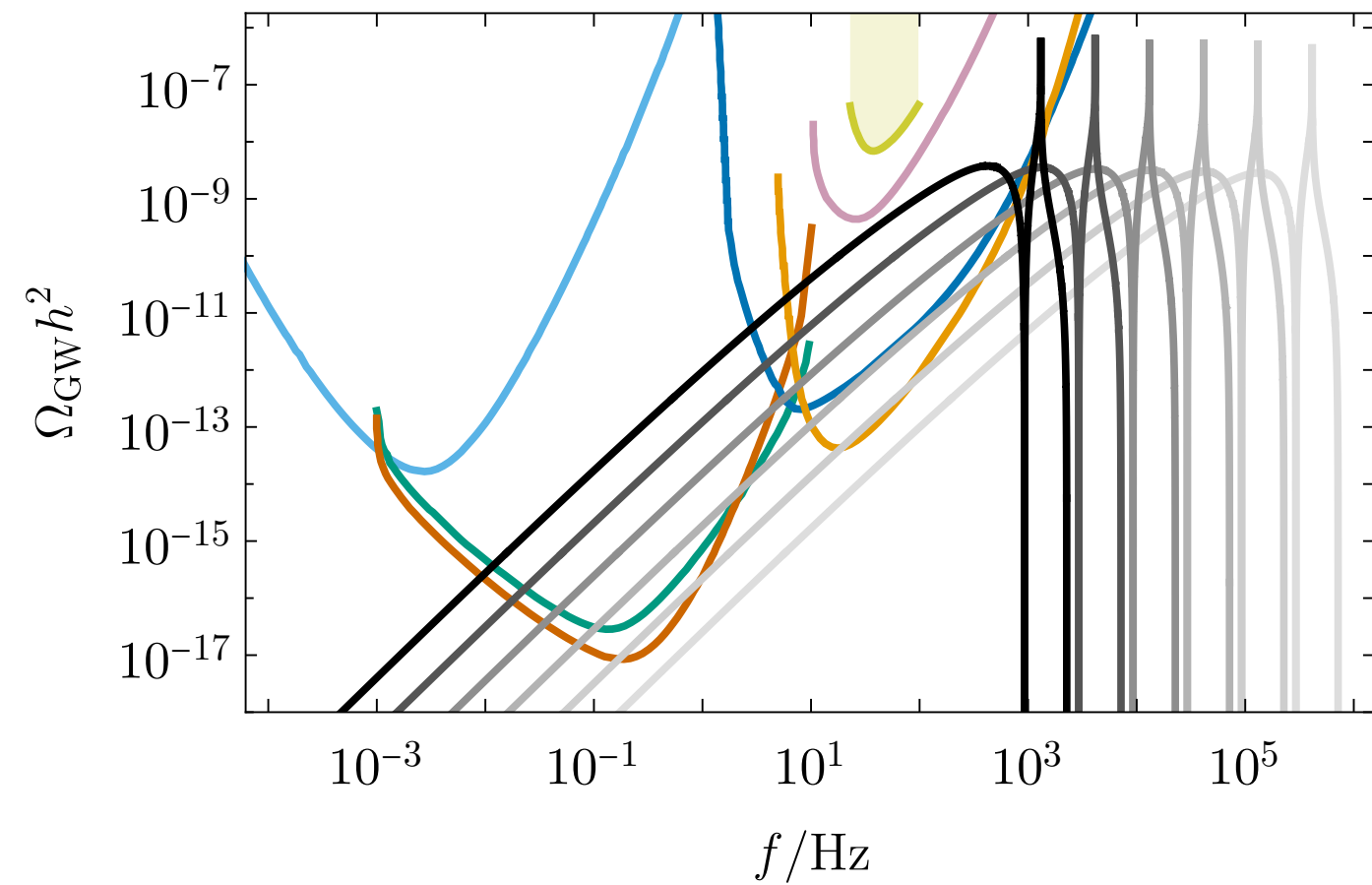


$\Delta = 1$

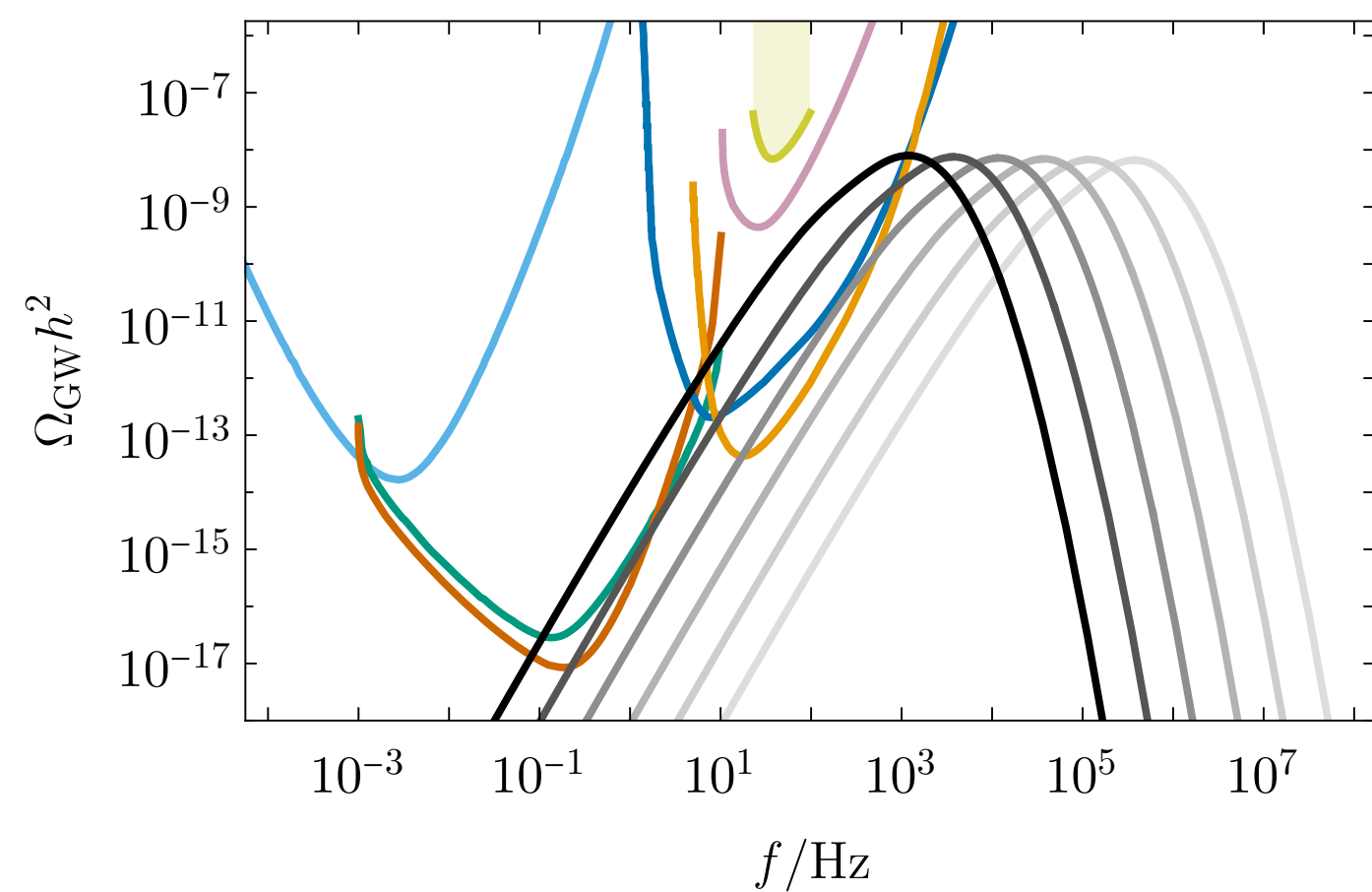


# Induced GWs associated with MB PBH DM

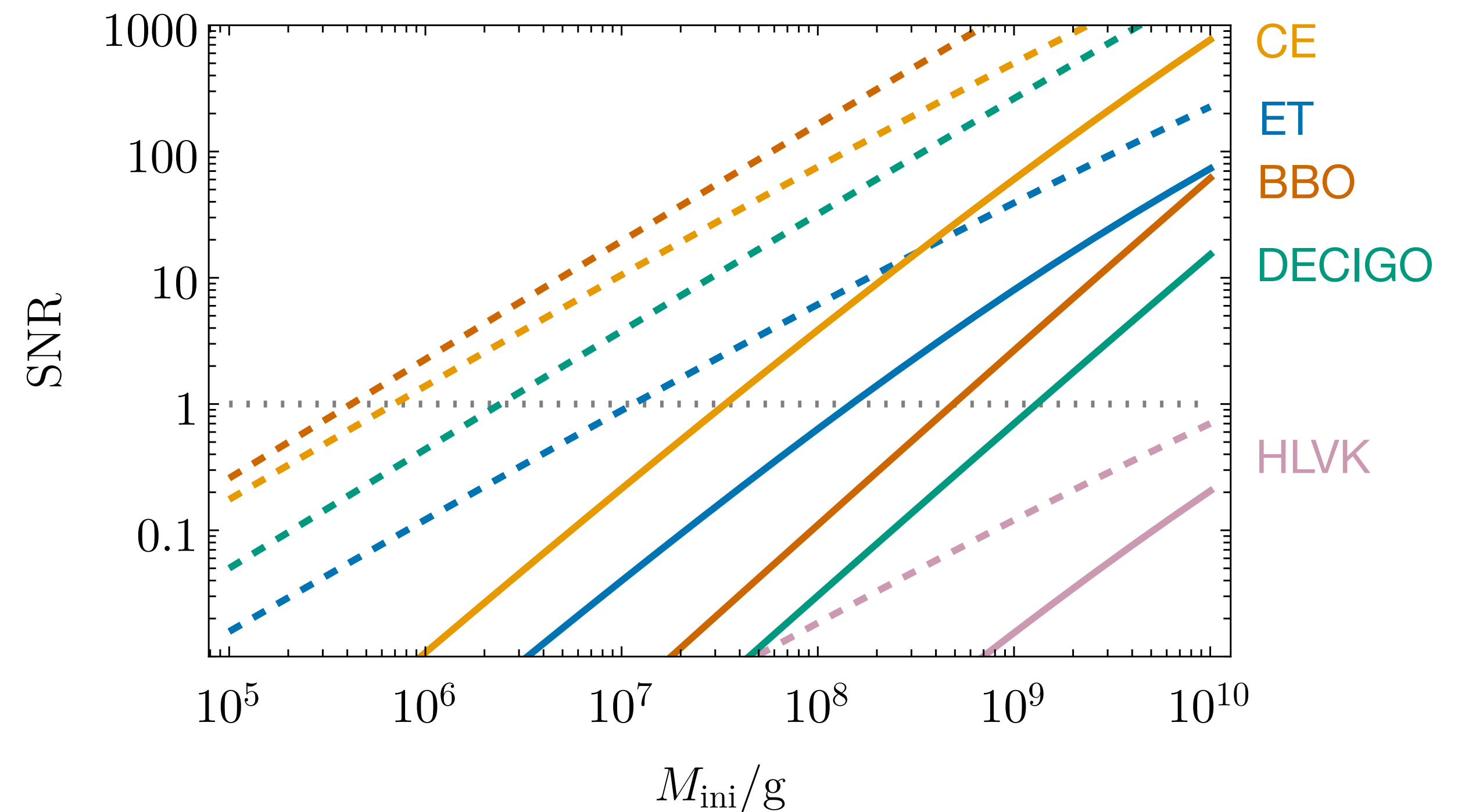
$\Delta \rightarrow 0$



$\Delta = 1$



$$\text{SNR} = \sqrt{n_{\text{det}} t_{\text{obs}} \int_{f_{\text{min}}}^{f_{\text{max}}} df \left( \frac{\Omega_{\text{signal}}(f)}{\Omega_{\text{noise}}(f)} \right)^2}$$



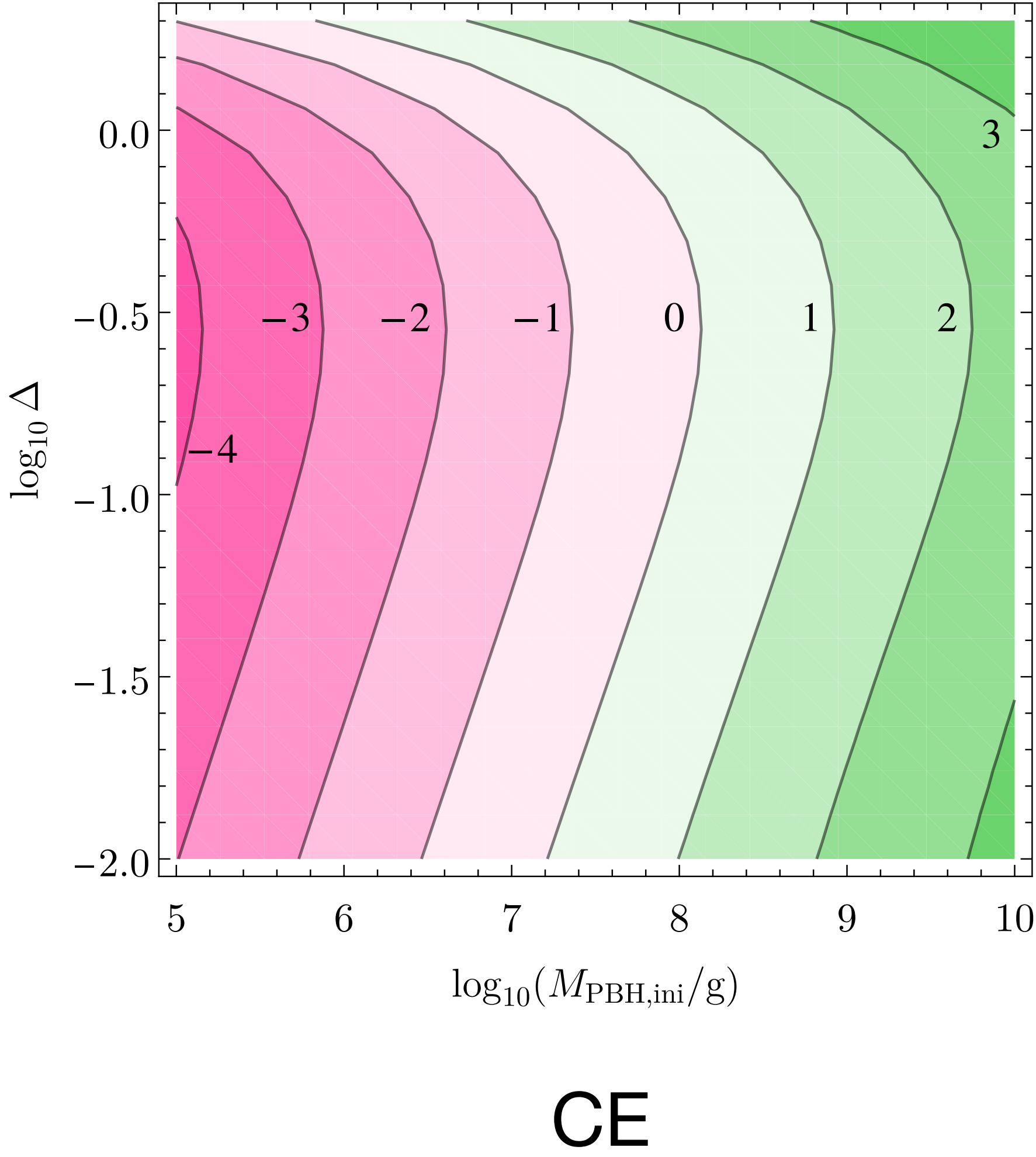
$\cdots$   $\Delta \rightarrow 0$   
 $\text{—}$   $\Delta = 1$

$t_{\text{obs}} = 1 \text{ yr}$



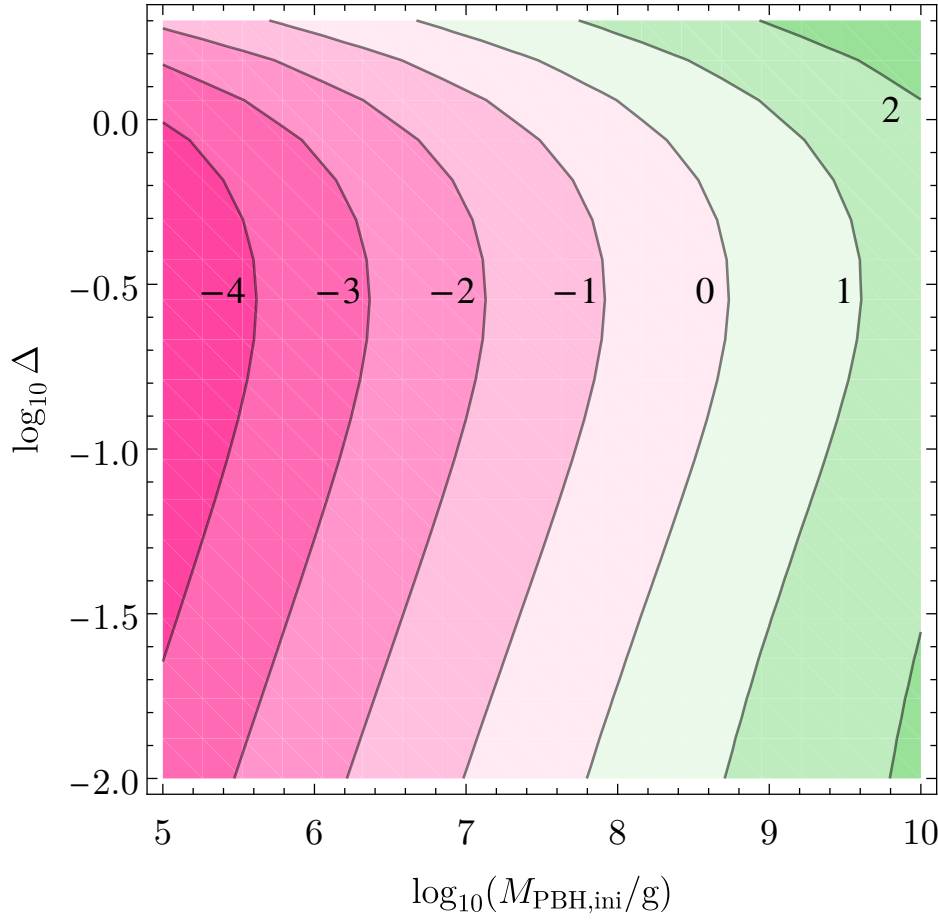
# Dependence of $\log_{10}\text{SNR}$ on the Width

$$\mathcal{P}_\zeta(k) = \frac{A_\zeta}{\sqrt{2\pi\Delta^2}} \exp\left(-\frac{\left(\ln\frac{k}{k_0}\right)^2}{2\Delta^2}\right)$$

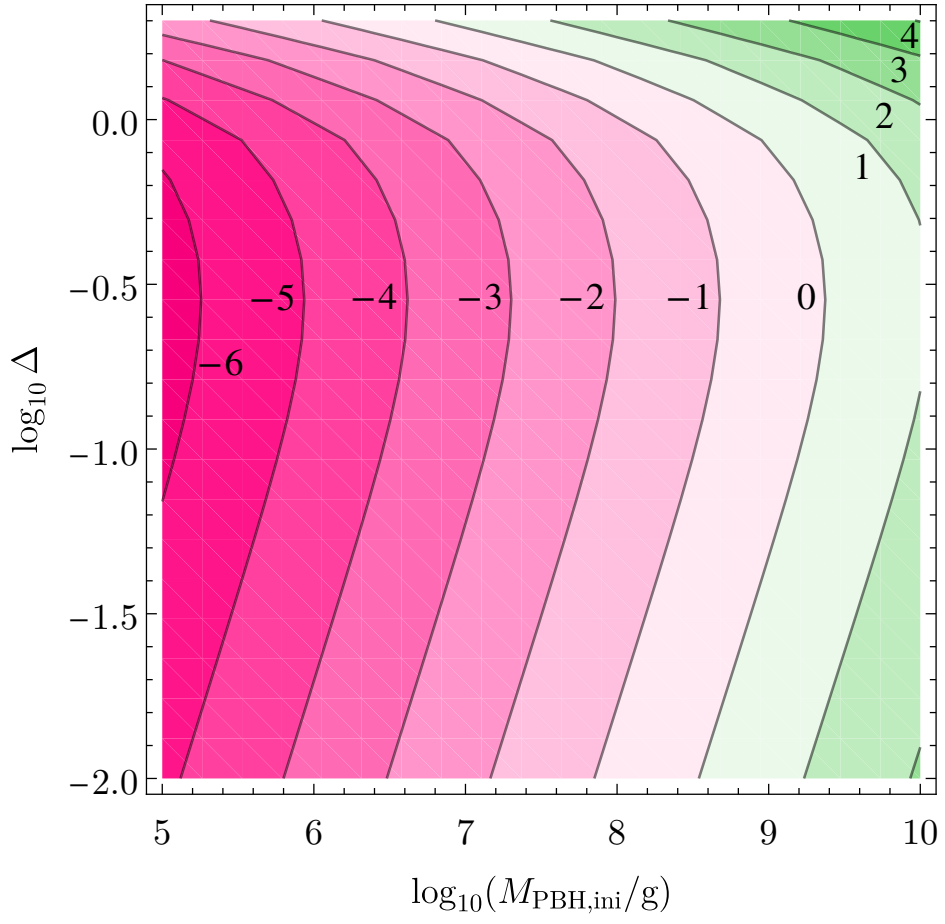


ET

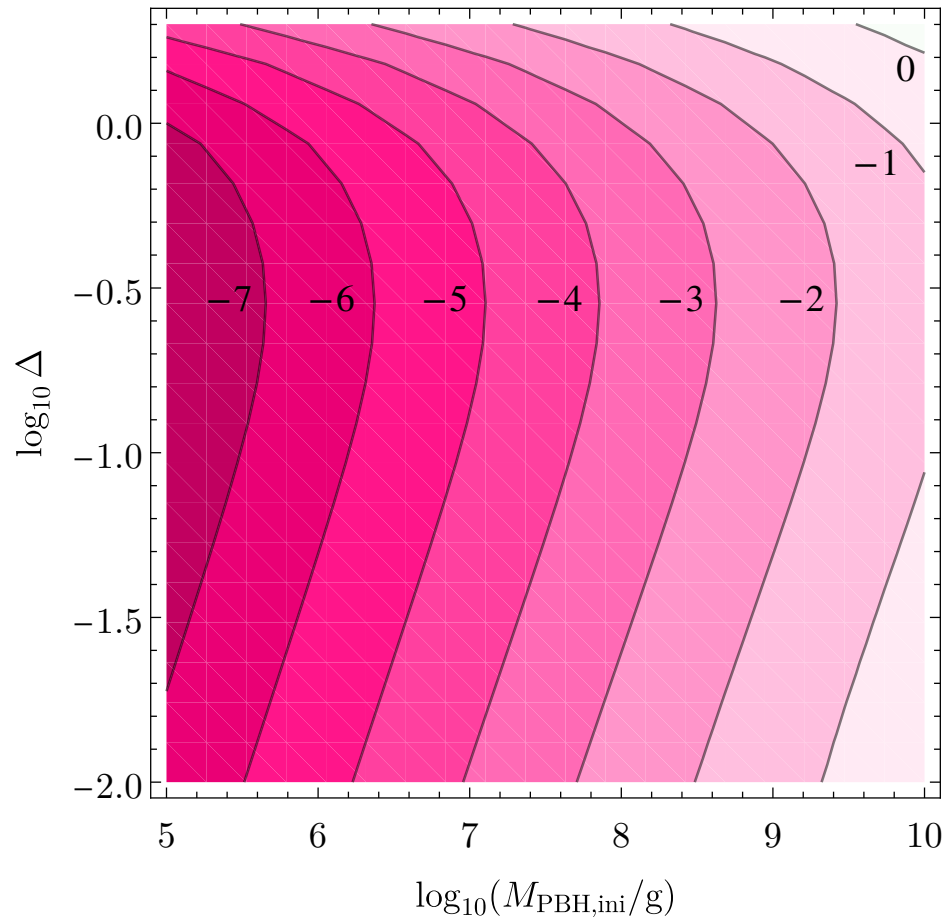
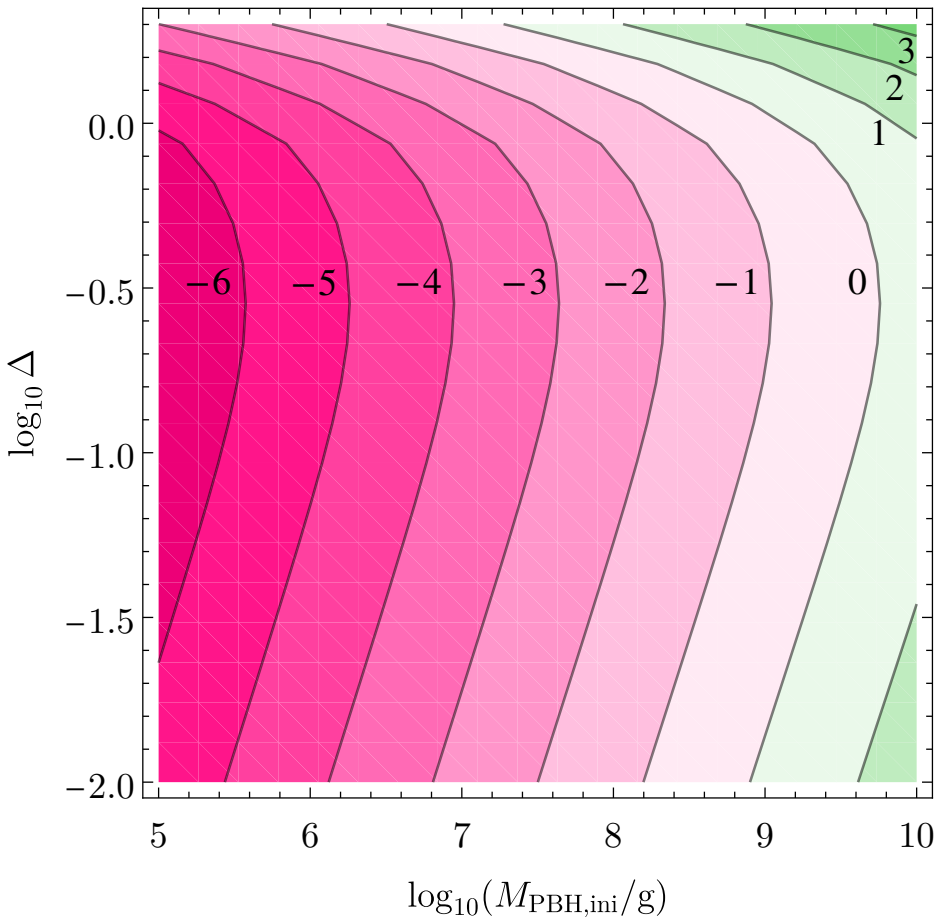
DECIGO



BBO



HLVK



# To Exclude the Memory Burden Effect

A few times or dozens of percent stronger induced GWs

→ Orders of magnitude too many PBH DM.

Thus, the memory burden effect can be observationally excluded.

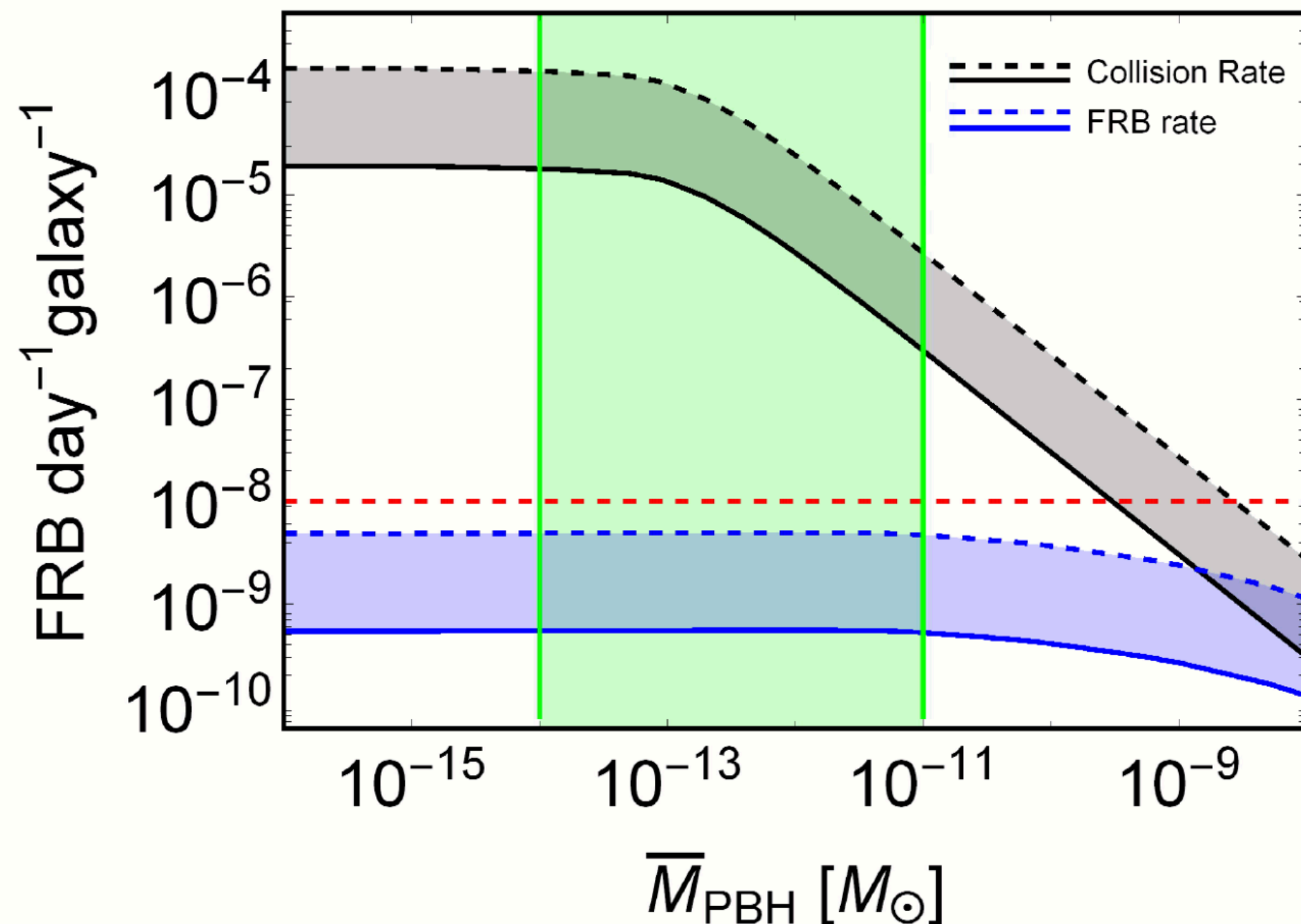
(Without it, PBHs complete evaporation in the early Universe.)

\* Loophole: cosmological dilution (e.g. thermal inflation)

→ but this also constrains the production mechanism of the baryon asymmetry of the Universe

# To Confirm the Memory Burden Effect

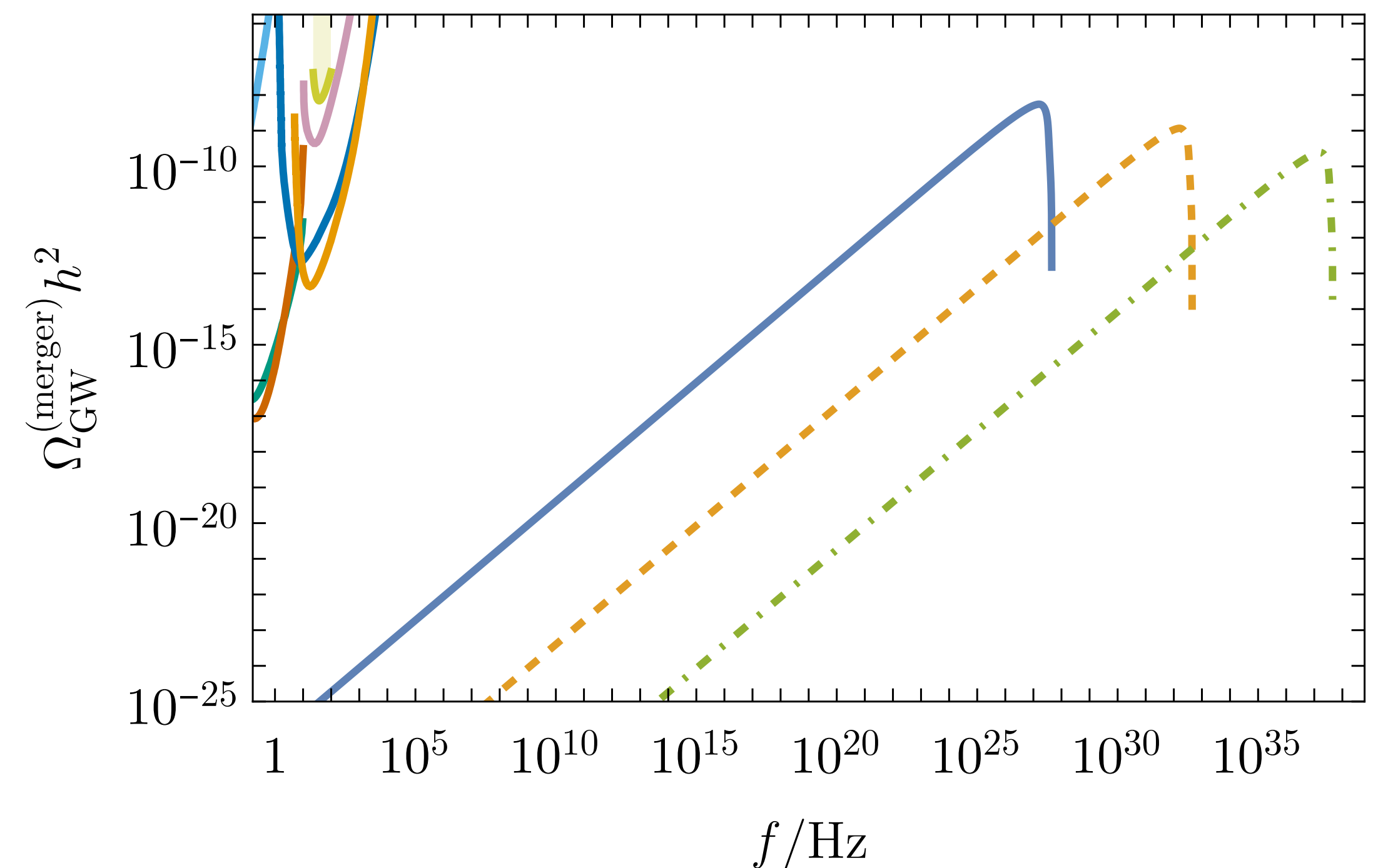
Kainulainen, Nurmi, Schiappacasse, and Yanagida, [2108.08717]



FRB rate may be explained by PBHs colliding with neutron stars.

*cf.* induced/merger GWs connection:

[Wang, Terada, and Kohri, 1903.05924]



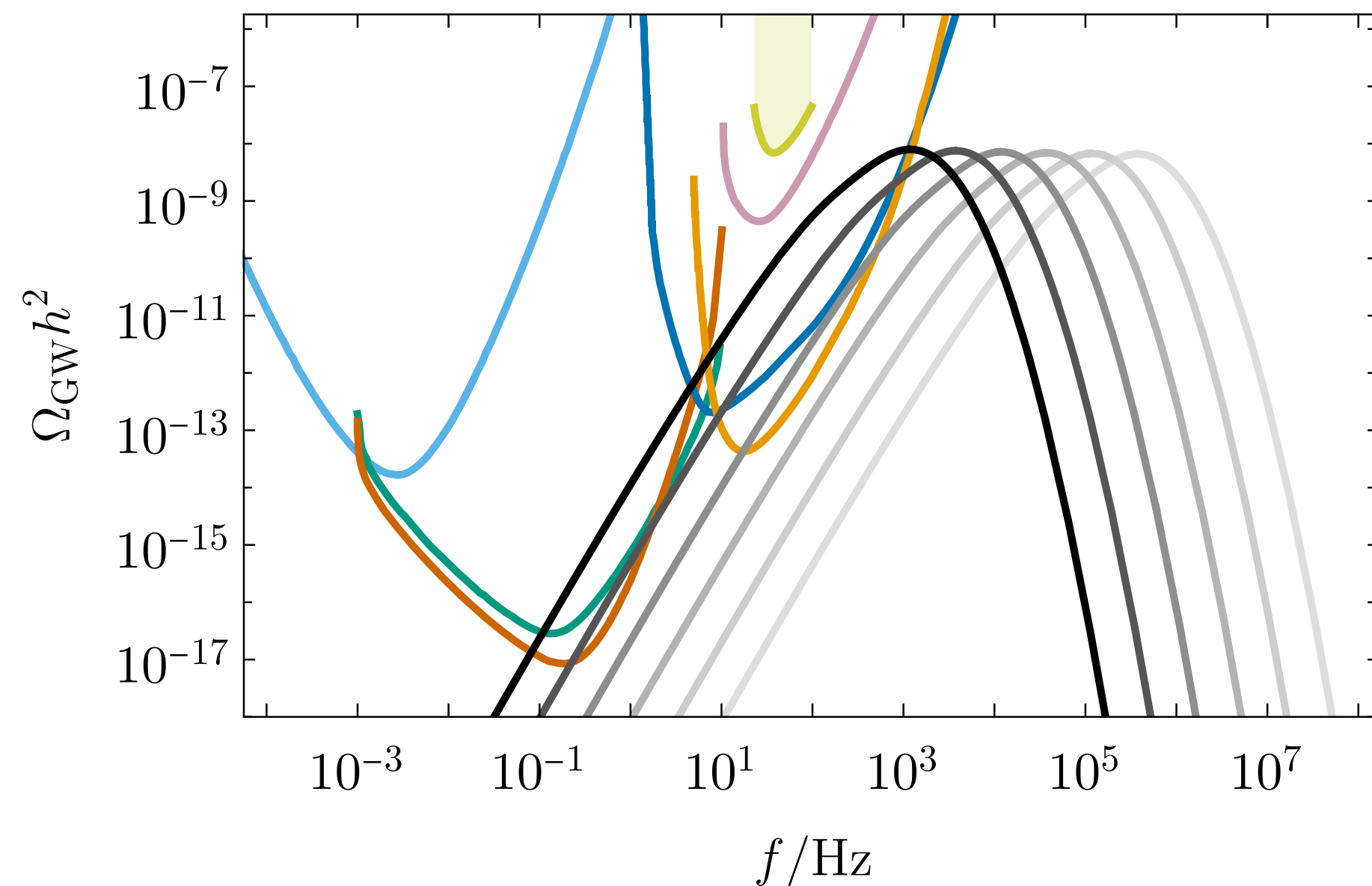
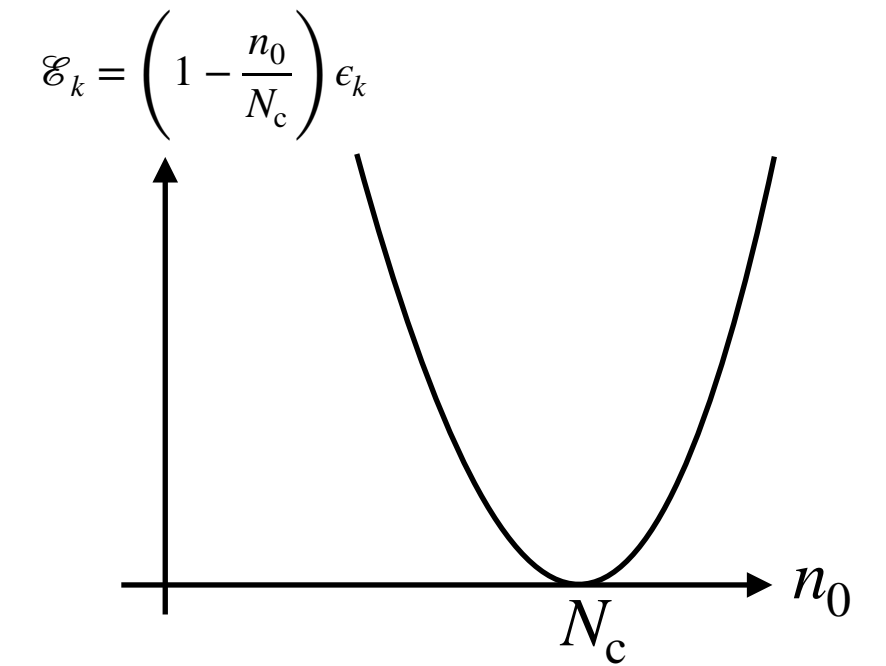
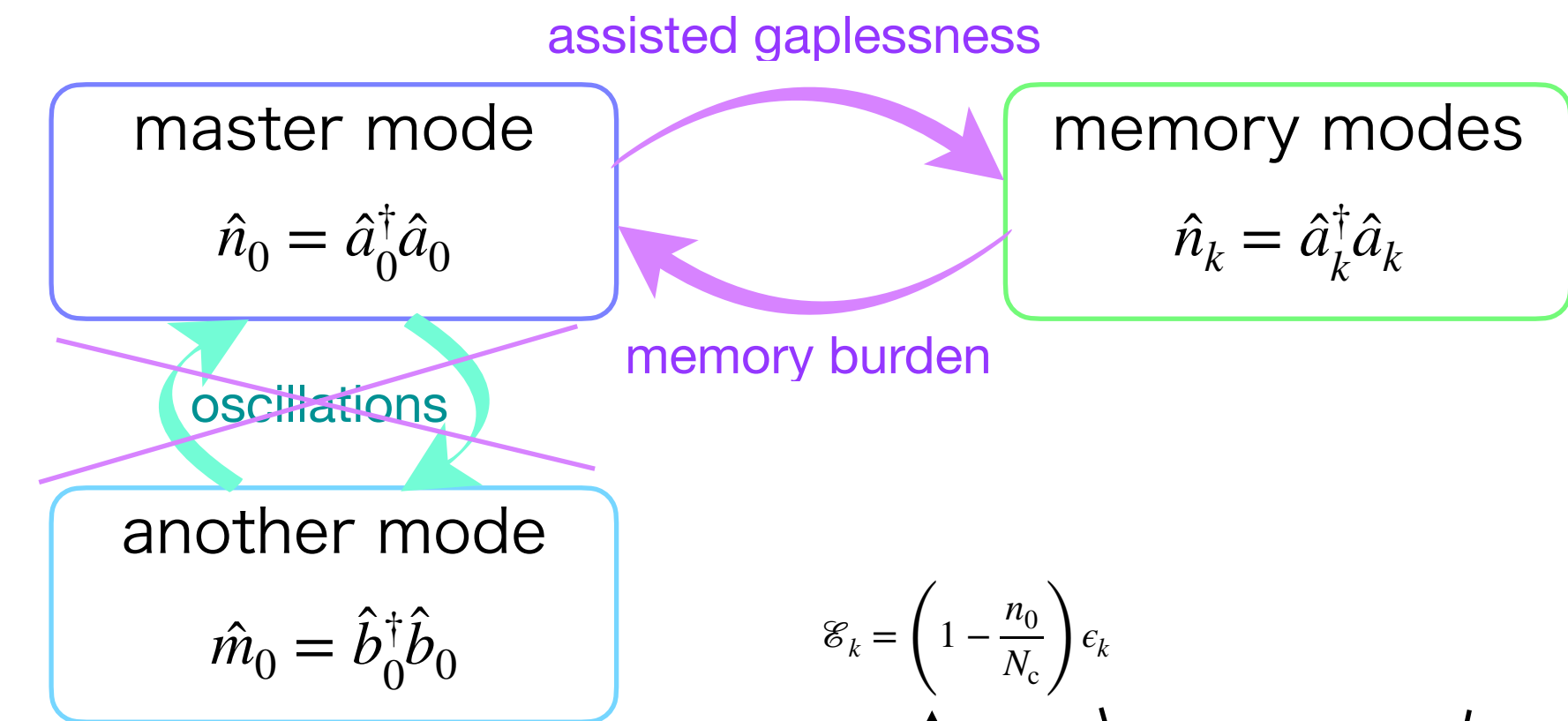
Extremely high-frequency GWs from mergers of PBH binaries are predicted.



# Summary & Conclusion

Memory Burden (MB) effect is a stabilization mechanism due to backreaction from memory modes.

It opens the very light PBH dark matter window  $M_{\text{PBH}} \lesssim 10^{10} \text{ g}$ .



Future observations such as Cosmic Explorer can test the induced GWs associated with the MB PBH DM with  $M_{\text{PBH}} \geq 3.5 \times 10^7 \text{ g}$ .

We have discussed how to confirm or exclude the MB effect.