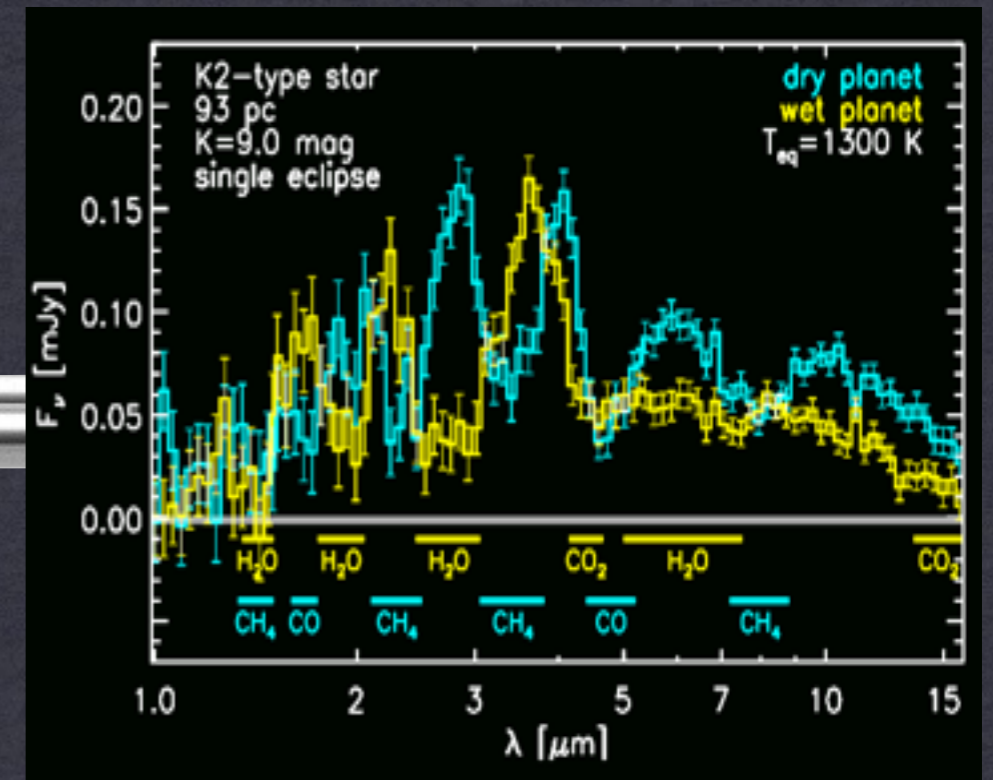


Linking the formation history of planets with their spectrum



C. Mordasini

SNF Research Group PlanetsInTime, University of Bern

R. van Boekel, P. Mollière, T. Henning, MPIA

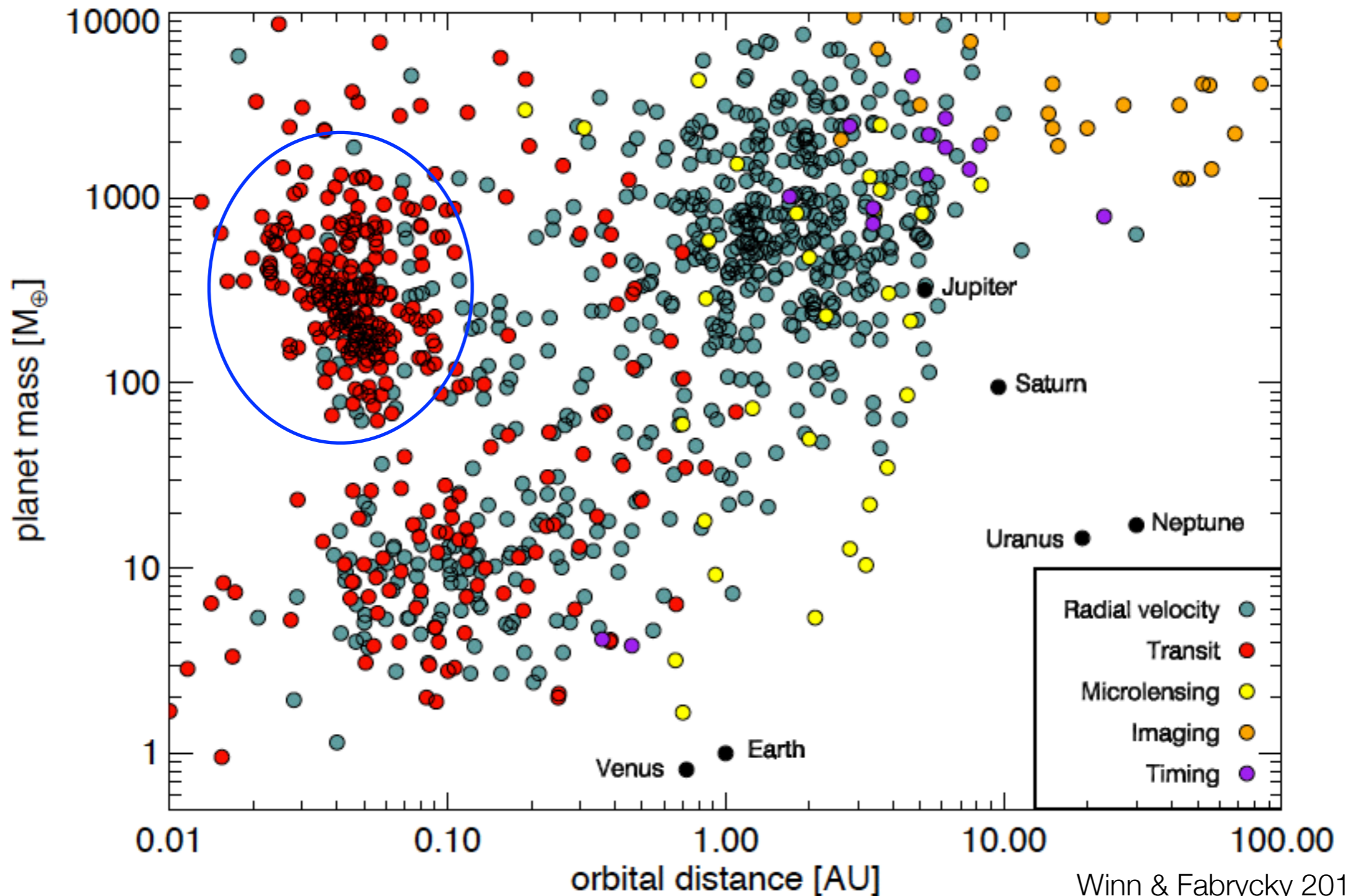
B. Benneke, MIT

W. Benz & Y. Alibert, Uni. Bern

Paris IAP 9.1.2015



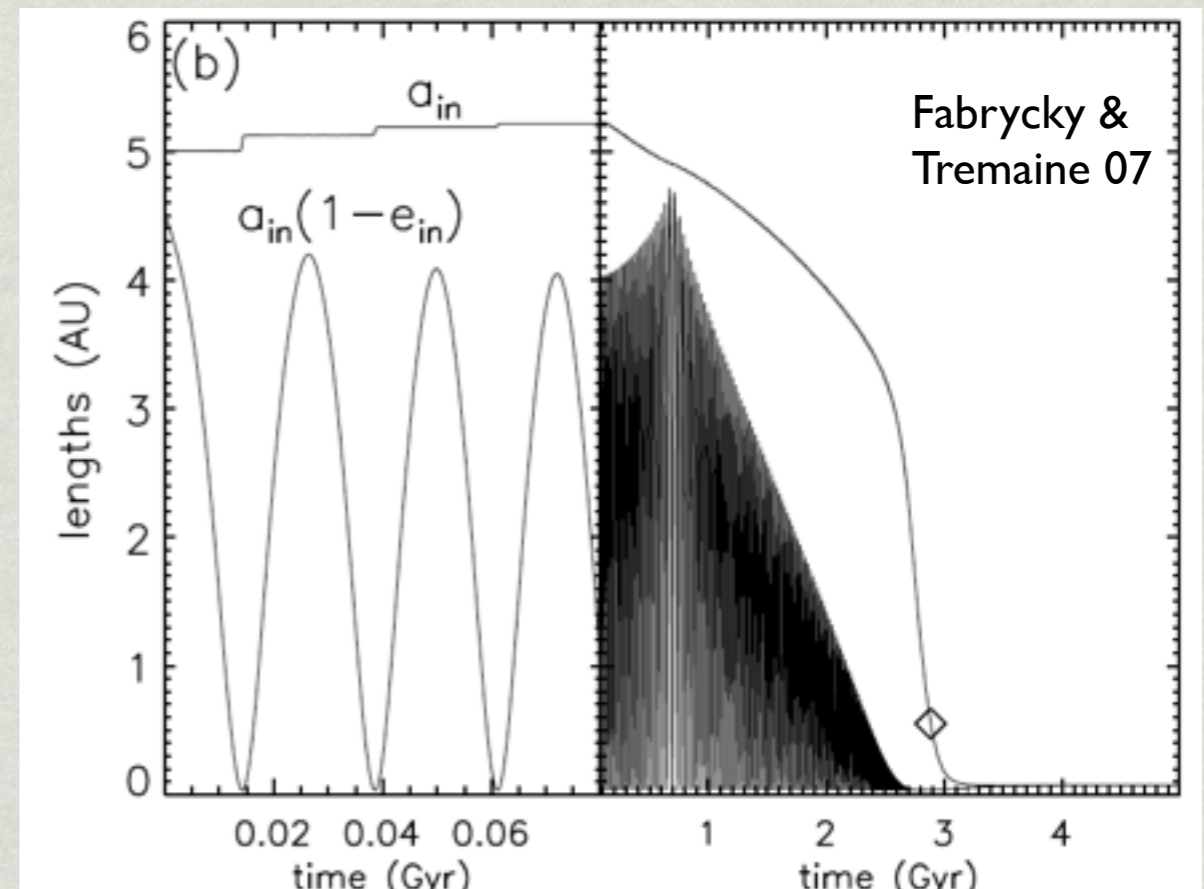
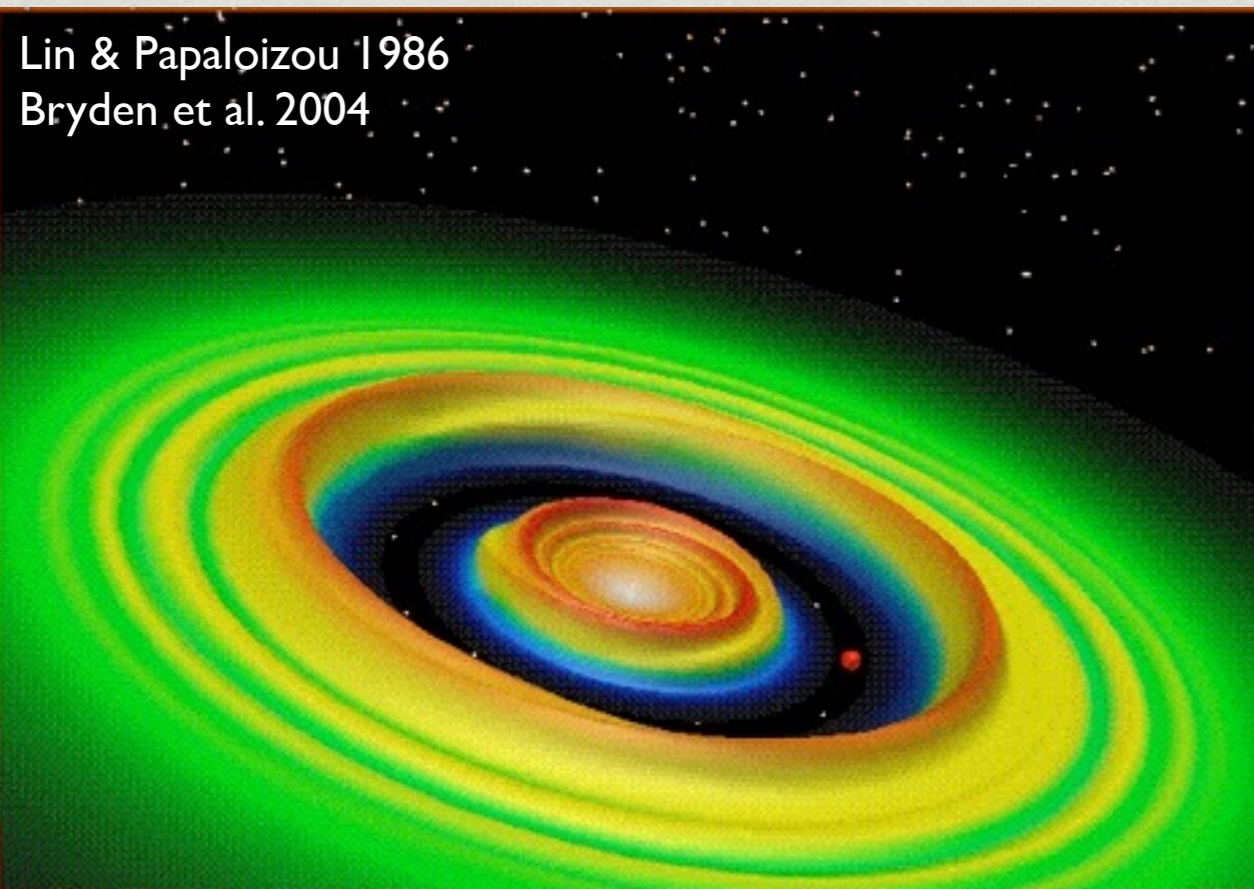
Important open questions



1855 known exoplanets on exoplanet.eu database (J. Schneider et al.)

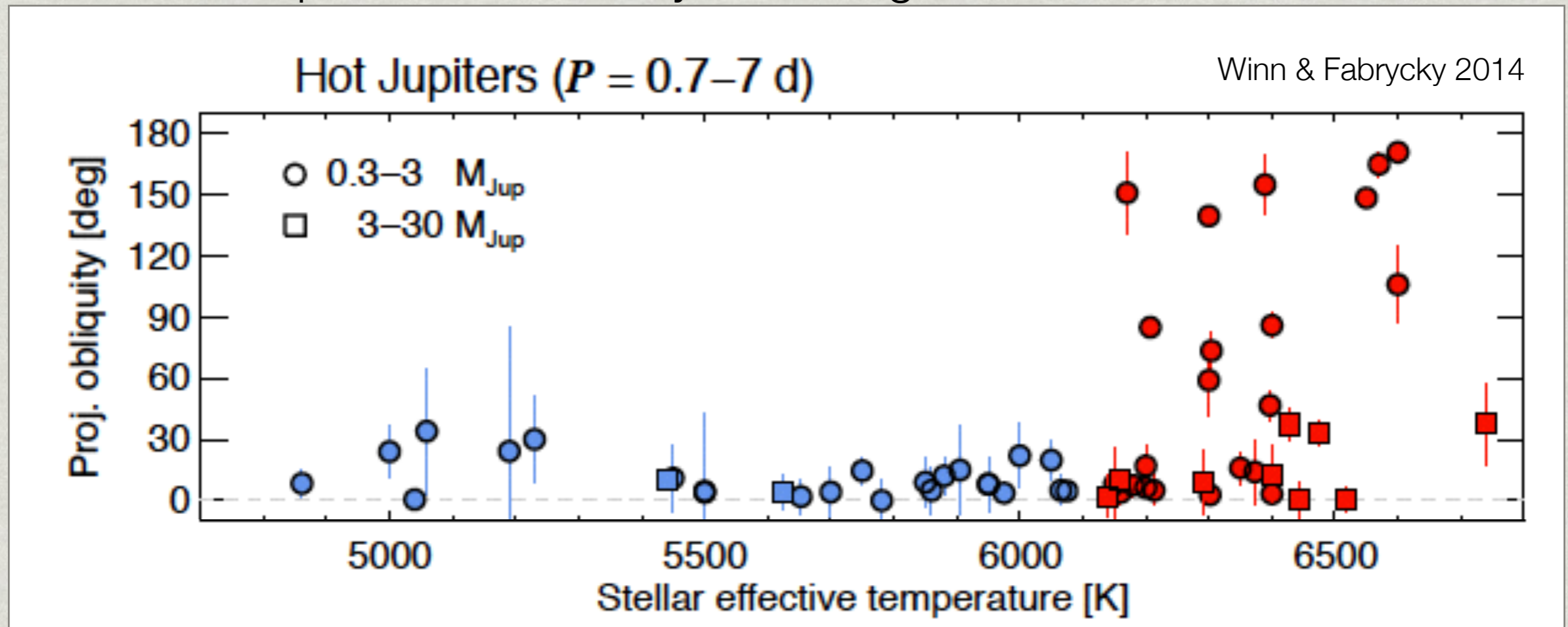
Formation of Hot Jupiters

- Protoplanetary disk too hot at ~ 0.03 AU to form in situ
- Not enough solids to form in situ
- Formed further out, then migrated close to star
 - Disk migration
 - Planet-planet scattering w. tidal circularisation
 - Kozai-Lidov mechanism w. tidal circularisation




Formation of Hot Jupiters II

- Observed obliquities indicate a dynamic origin



- But: Non-aligned protoplanetary disks
 - outcome of star formation
 - magnetic star-disk interactions
 - torques from distant stellar companions
 - differential rotation of photosphere and interior

Spectroscopy to the rescue

- 
- Exoplanet spectra: window into the composition of the planet => clues to formation history.
 - The measured atmospheric composition depends on the
 1. composition of the host star and disk
 2. position(s) where the planet accreted (formation track)
 3. composition of the accreted gas and planetesimals
 4. size of the planetesimals and their strength: envelope enrichment
 5. evolution of the distribution of chemical species within the planet
 6. atmospheric structure and dynamics
 - Each migration & accretion history => different atmospheric (like C/O) and bulk composition. But link formation to spectra currently poorly understood.
 - To investigate that, couple a *chain of simple models* together that goes *from planet formation to spectra*.

First steps - Preliminary results!

A chain of models



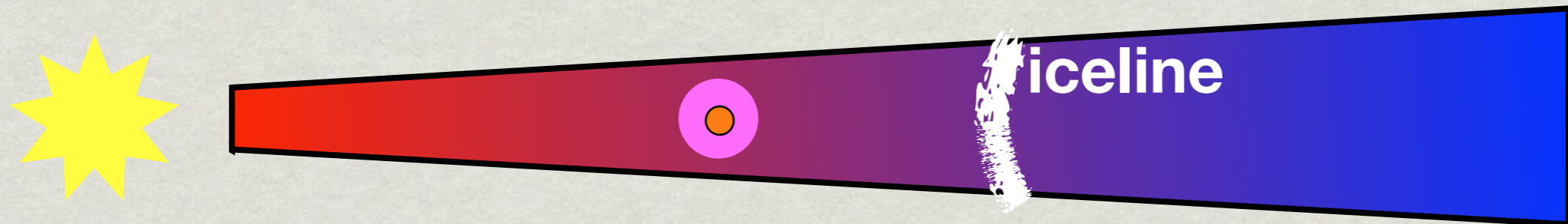
Six chain links:

1. **Planet formation model:** yields fundamental planetary properties (mass, orbital distance). Planetesimal & gas accretion & disk evolution & orbital migration (core accretion paradigm).
2. **Planetary bulk composition:** enrichment of the gaseous envelope
3. **Planet evolution model:** yields planetary M, R, L and atmospheric p-T structure after 5 Gyrs
4. **Chemistry model:** yields the elemental composition
5. **Atmospheric composition:** abundance of H₂O, CO₂, CH₄, CO, ...
6. **Atmospheric model/spectrum:** final observable quantity

Hot Jupiter formation: 2 cases

Case 1: “dry planet”

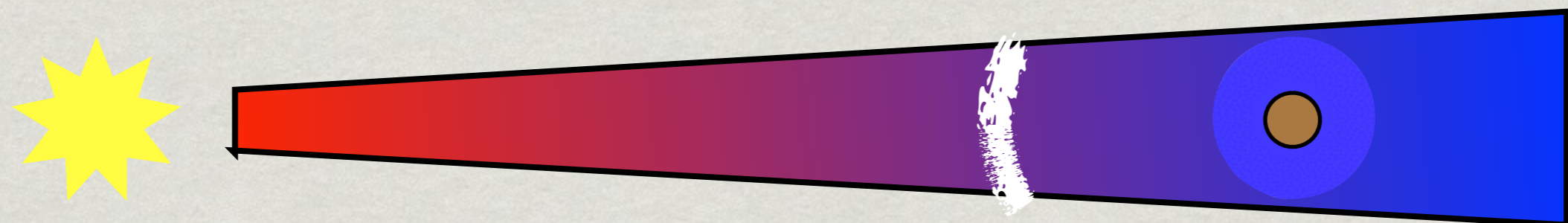
Disk migration



Result: aligned Hot Jupiter with chemical imprint of accretion of hot gas and rocky planetesimals

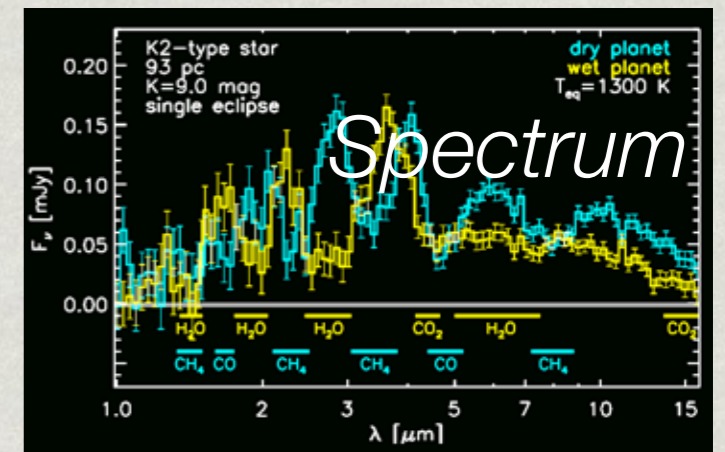
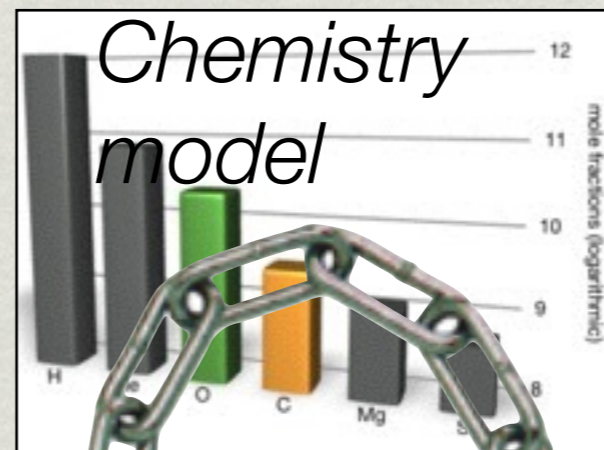
Case 2: “wet planet”

Kozai and tidal circularization

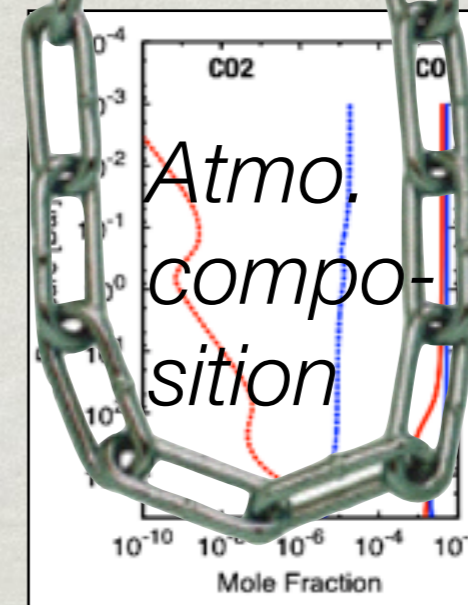
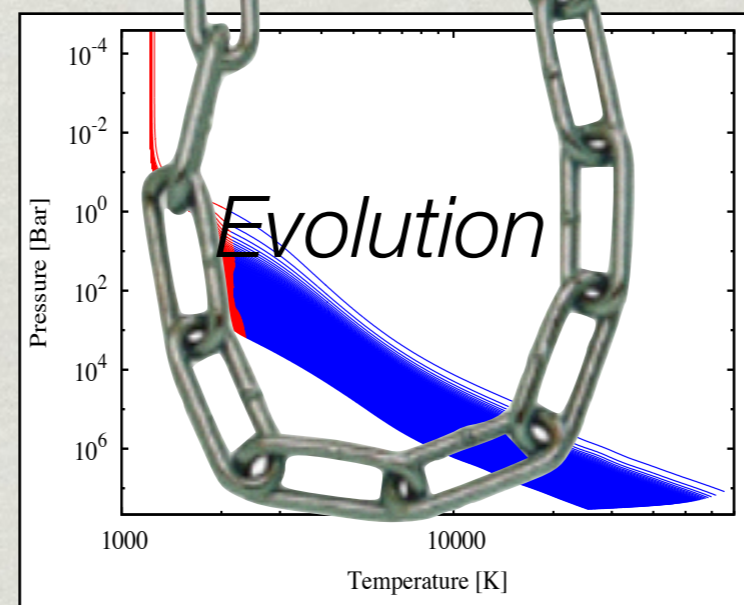


Result: potentially misaligned Hot Jupiter with chemical imprint of accretion of cold gas and icy planetesimals from beyond iceline only

1. Formation



Formation



Extended core accretion model

- ✳ Accretion of planetesimals. Safronov rate equation.

$$\frac{dM_Z}{dt} = \Sigma_P \Omega F_G \pi R_{\text{capt}}^2$$

- ✳ Accretion of gas. 1D structure equations.

$$\frac{\partial m}{\partial r} = 4\pi r^2 \rho$$

$$\frac{\partial P}{\partial r} = -\frac{Gm}{r^2} \rho$$

$$\frac{\partial T}{\partial r} = \frac{T}{P} \frac{\partial P}{\partial r} \nabla(T, P)$$

- ✳ Disk migration. Type I (non-isothermal) and II.

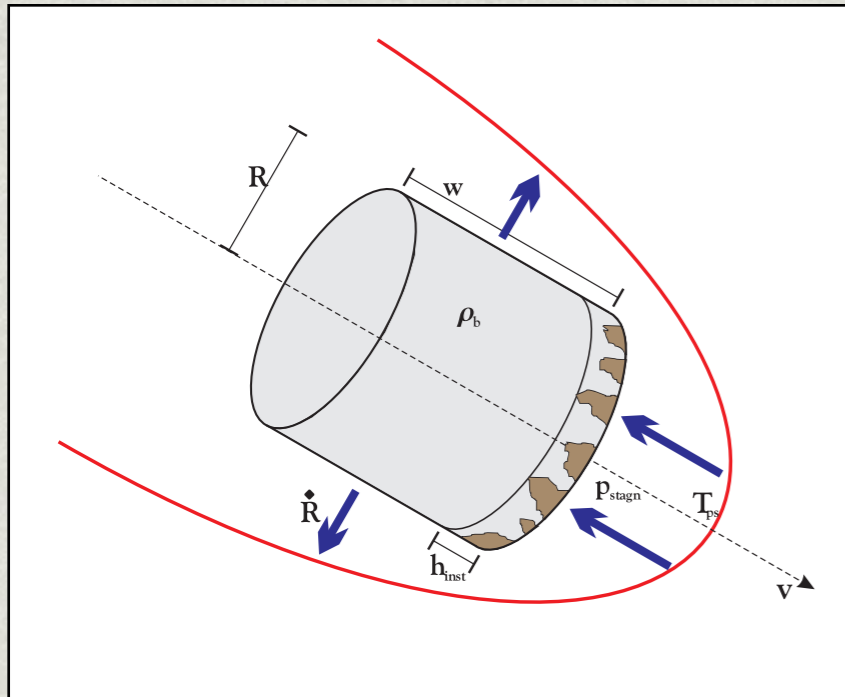
$$\frac{da}{dt} = 2a \frac{\Gamma_{\text{tot}}}{J}$$

$$\Gamma_{\text{tot}} = \frac{1}{\gamma} (C_0 + C_1 p_\Sigma + C_2 p_T) \left(\frac{q}{h}\right)^2 \Sigma a^4 \Omega^2$$

- ✳ Disk evolution. 1+1D viscous evolution, photoevaporation, irradiation

$$\frac{\partial \Sigma}{\partial t} = \frac{1}{r} \frac{\partial}{\partial r} \left[3r^{1/2} \frac{\partial}{\partial r} \left(r^{1/2} \nu \Sigma \right) \right] - \dot{\Sigma}_w(r) - \dot{\Sigma}_{\text{pla}}(r)$$

Planetesimal-envelope interaction



Integrate planetesimal trajectory in gaseous envelope.
 -penetrate to the core or
 -deposit mass in the gaseous envelope
 => envelope enrichment / bulk composition.

Physical effects:

1) Gravity, gas drag

$$M_{\text{pl}} \ddot{\mathbf{r}} = -\frac{GmM_{\text{pl}}}{r^2} \cdot \frac{\mathbf{r}}{r} - \frac{1}{2} C_D \rho \dot{r}^2 \frac{\dot{\mathbf{r}}}{\dot{r}} \pi R_{\text{pl}}^2$$

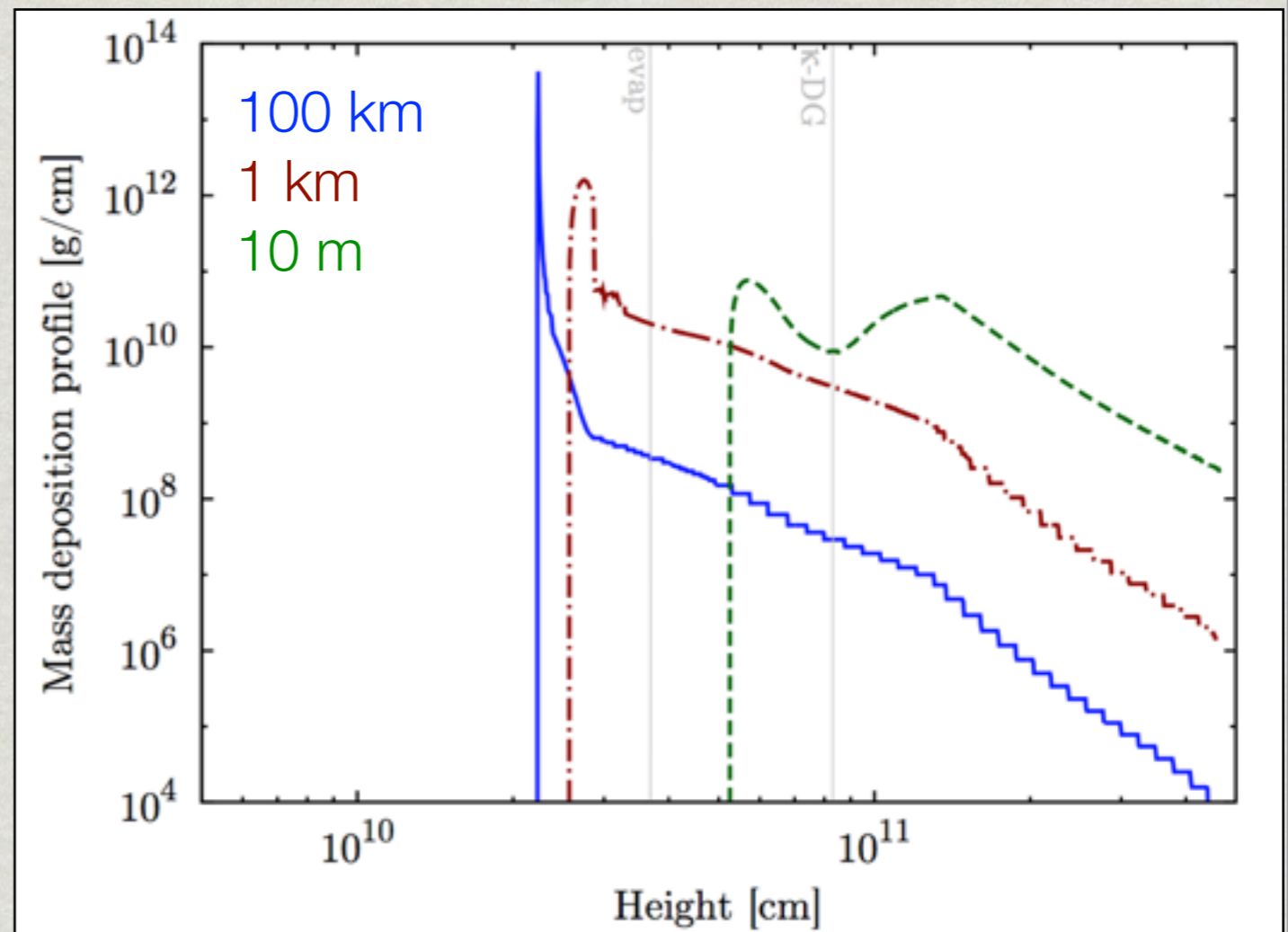
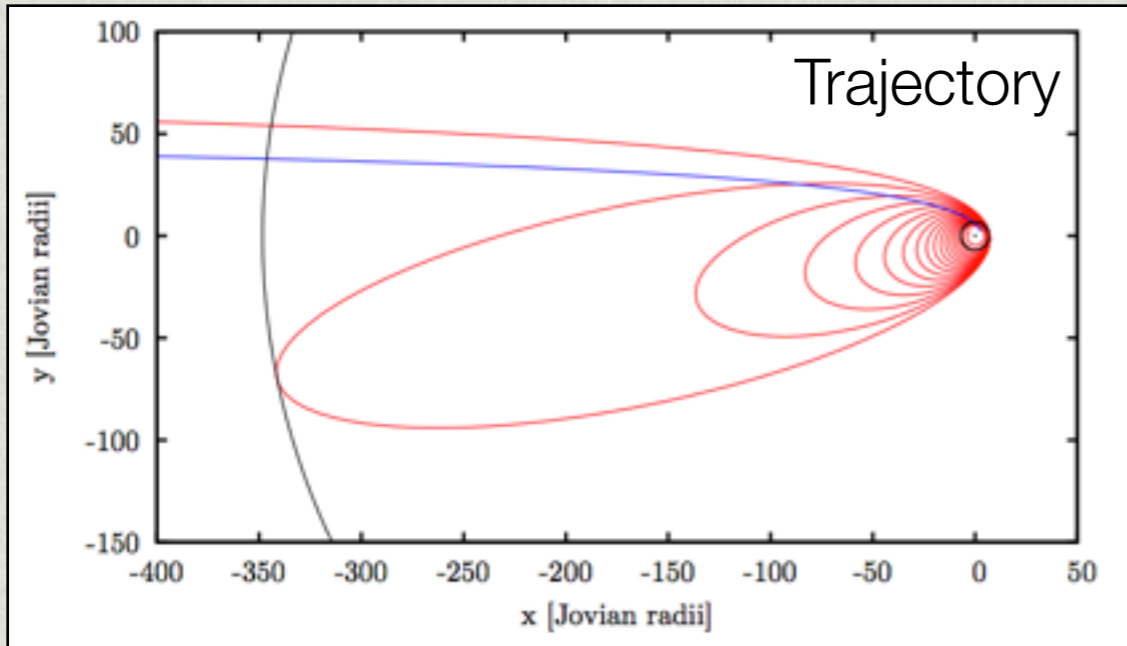
2) thermal ablation

$$\frac{dM_{\text{pl}}}{dt} = -C_H \sigma T_{\text{shock}}^4 \pi R_{\text{pl}}^2 / Q_{\text{abl}}$$

4) aerodynamic disruption

$$\frac{d^2 R_{\text{pl}}}{dt^2} = \frac{3}{4} \frac{\rho}{\rho_b} \frac{\dot{r}^2}{R_{\text{pl}}}$$

Envelope enrichment



Radial mass deposition profile

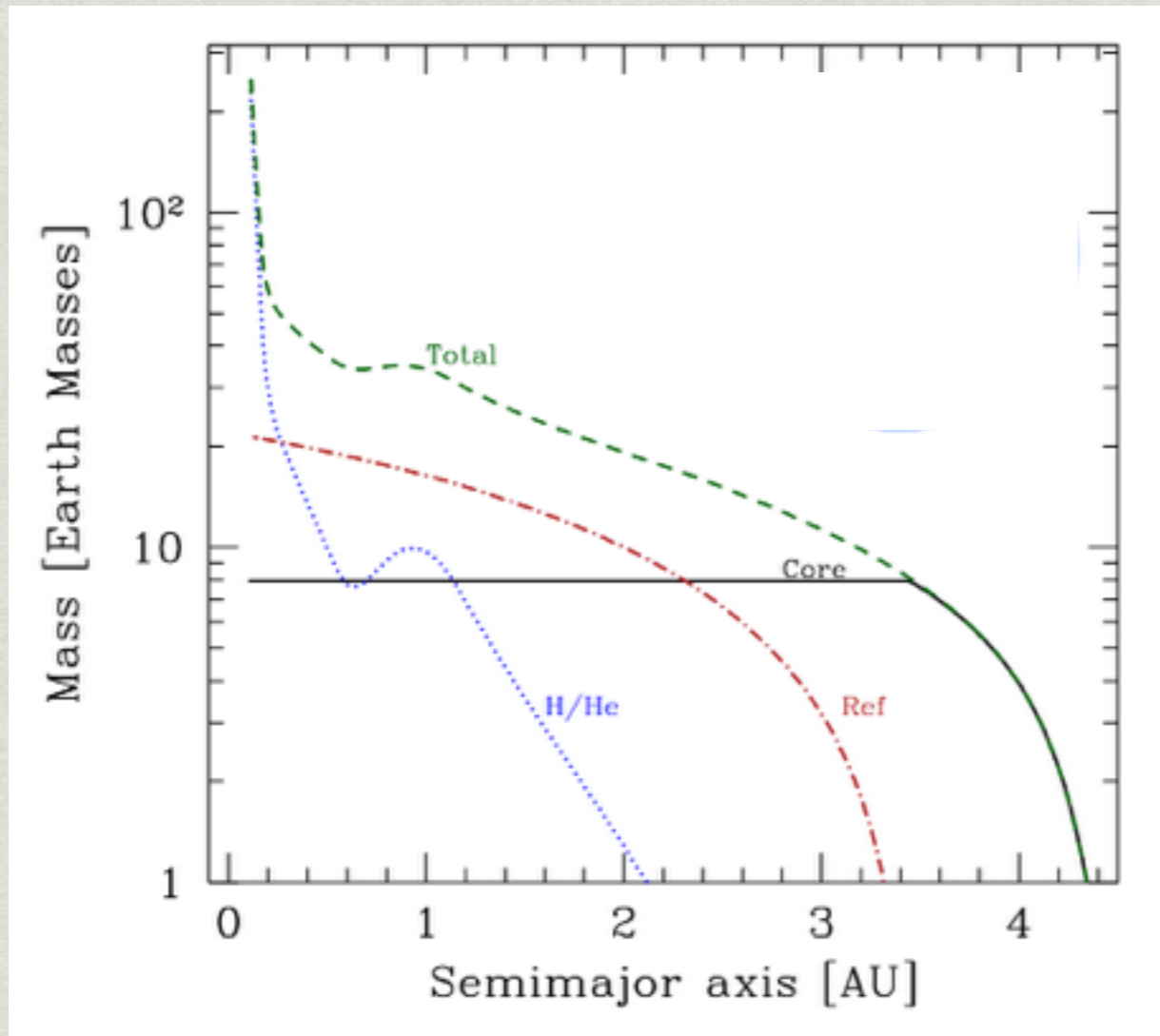
Two specific cases

Simulate the formation & evolution of two close-in extrasolar giant planets with different initial conditions and formation tracks

| Simulation | “Wet Saturn” | “Dry Jupiter” |
|--------------------------------------|--------------------------|-------------------------|
| Initial disk mass [MMSN] | ~6x | ~7x |
| Water iceline [AU] | 6.2 | 6.9 |
| Disk [M/H] | -0.4 | 0 |
| Starting position embryo [AU] | 11.3 | 4.4 |
| Position at end of disk lifetime[AU] | 9.1 | inner edge of disk |
| Accretes only | outside of water iceline | inside of water iceline |
| Mass at end of disk life [M] | 108 | 261 |
| Position for evolution [AU] | 0.04 | 0.04 |

1 planet per disk model

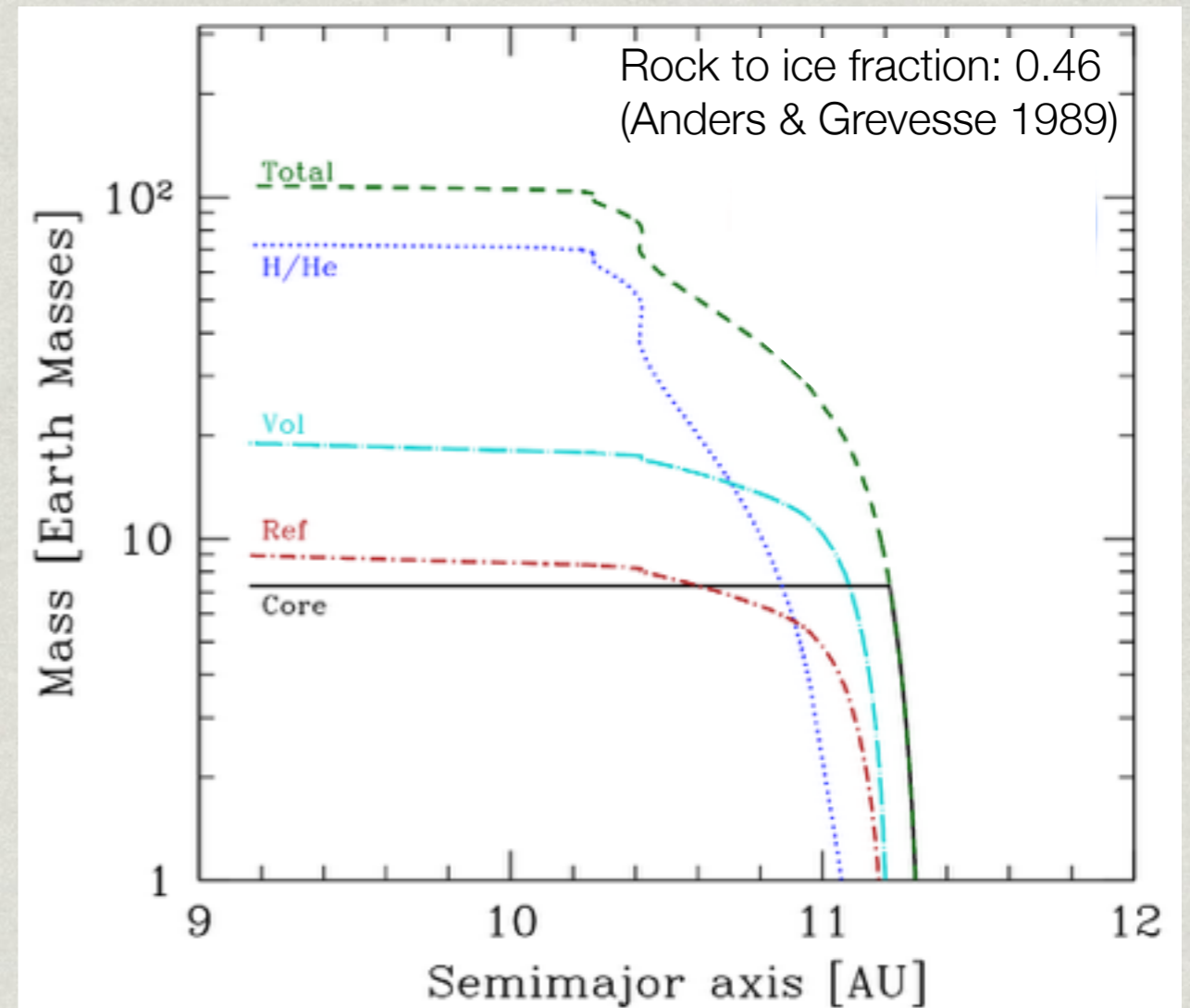
Formation phase



Dry Jupiter

Disk migration to inner disk edge during disk lifetime.

Assumption: accreted gas volatile free (might not be true if disk midplane MRI dead)

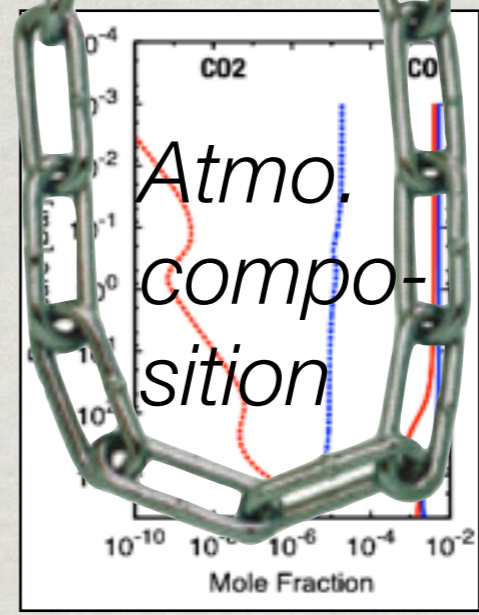
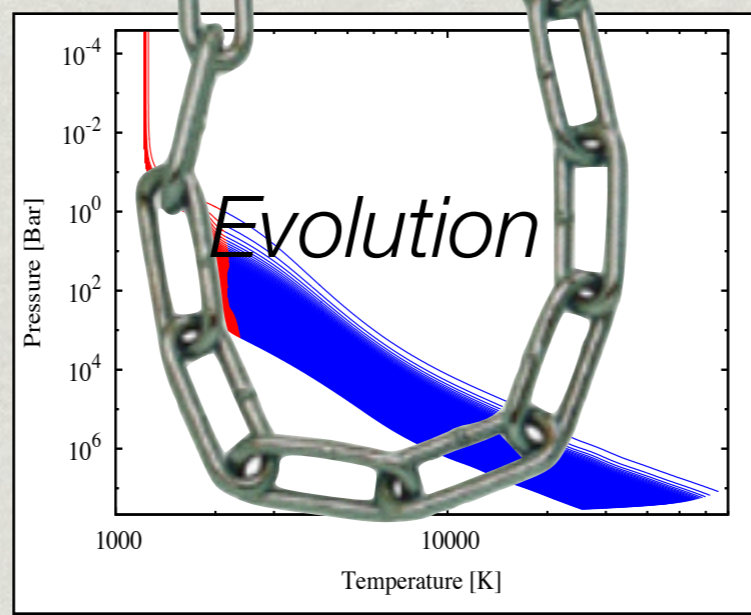
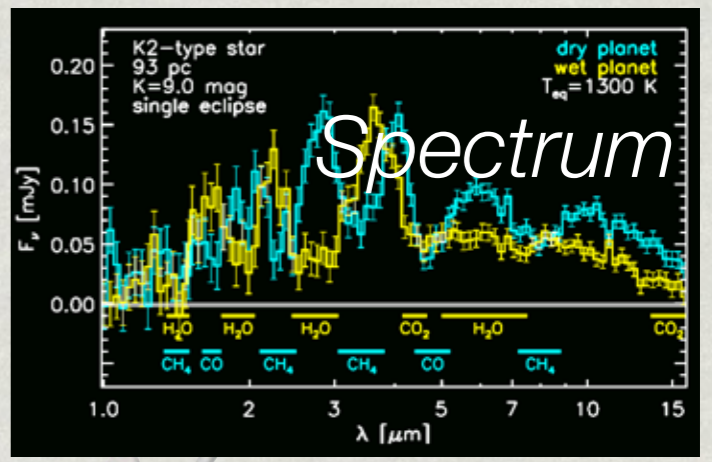
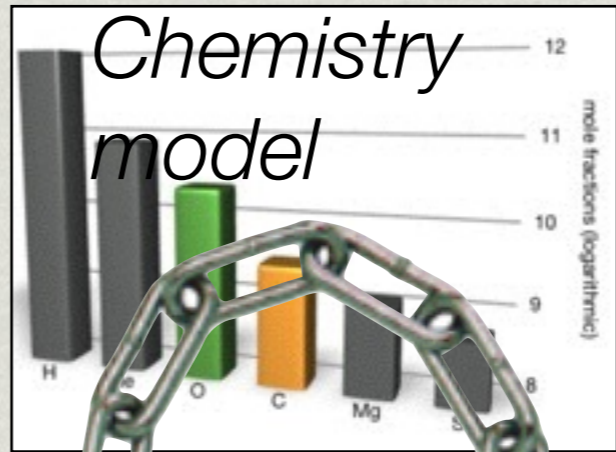


Wet Saturn

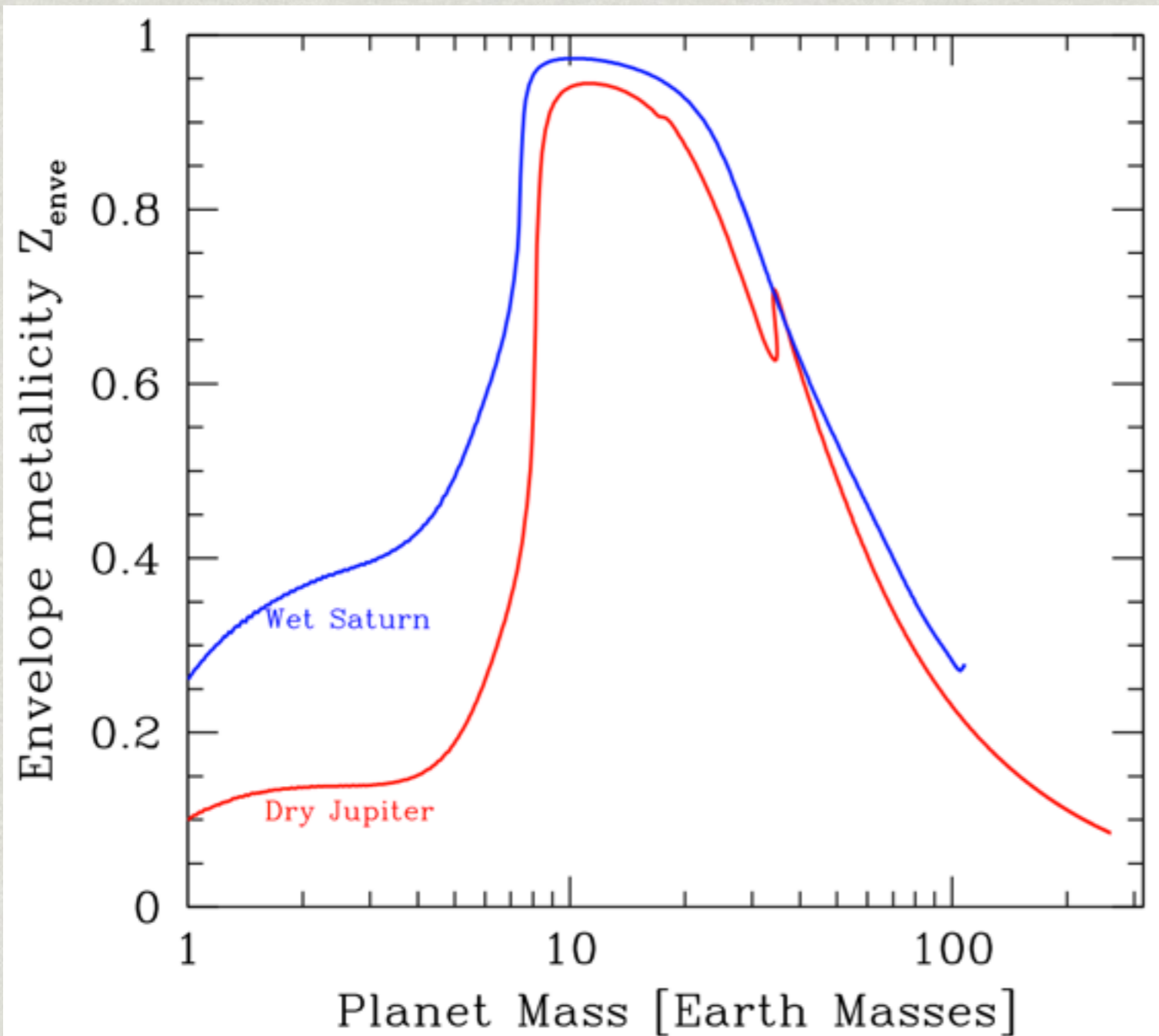
Scattering/Kozai migration to 0.04 AU after disk dissipation.

Assumption: no accretion during this process

2. Bulk composition



Envelope enrichment by impacts



100 km planetesimals.
Accreted gas: pure H/He

Final Z_{enve} :

“Dry Jupiter”: 0.09

“Wet Saturn”: 0.28

Dominant over contribution from gas!

Low-high-low. Icy planetesimals more fragile.

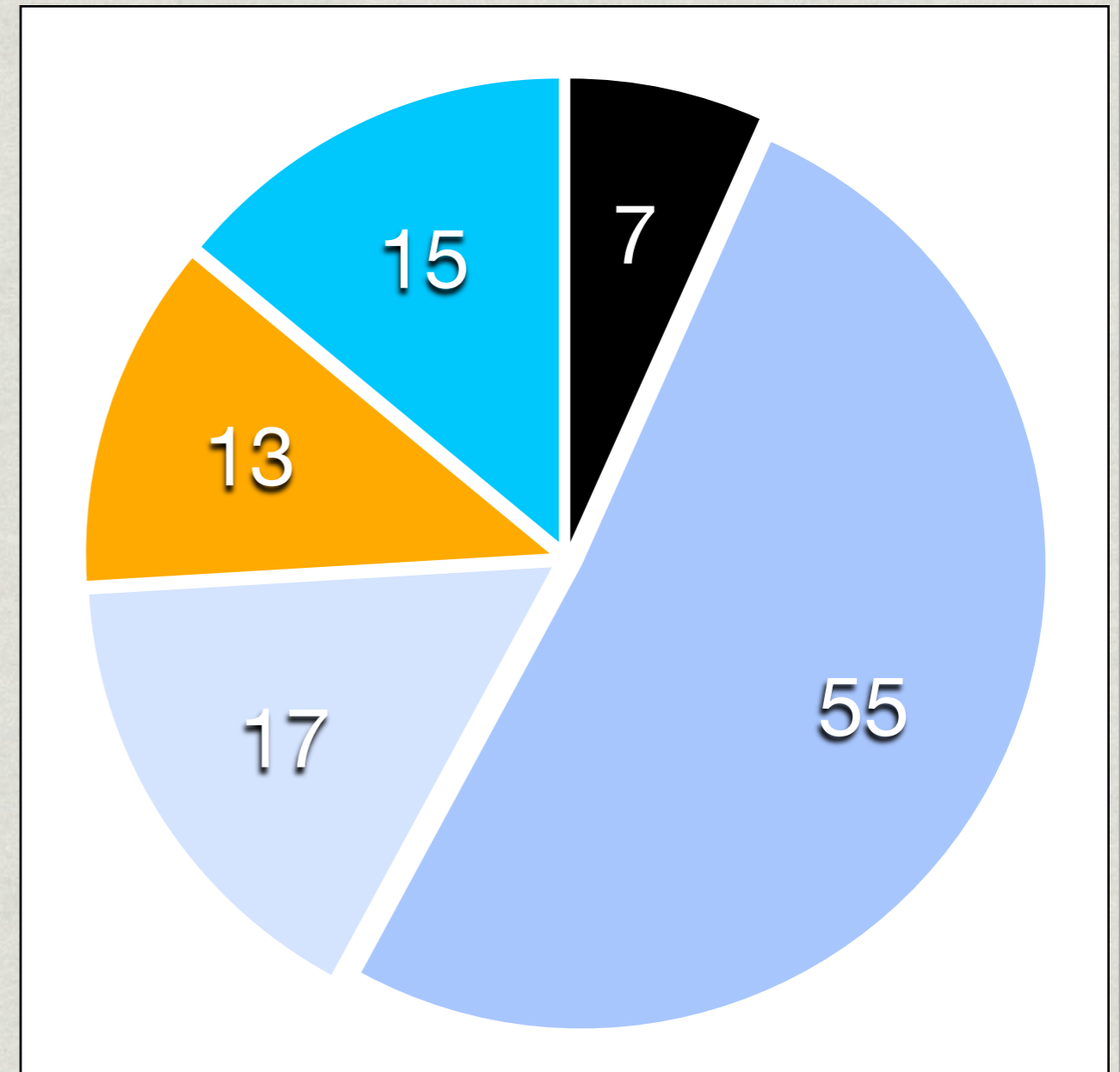
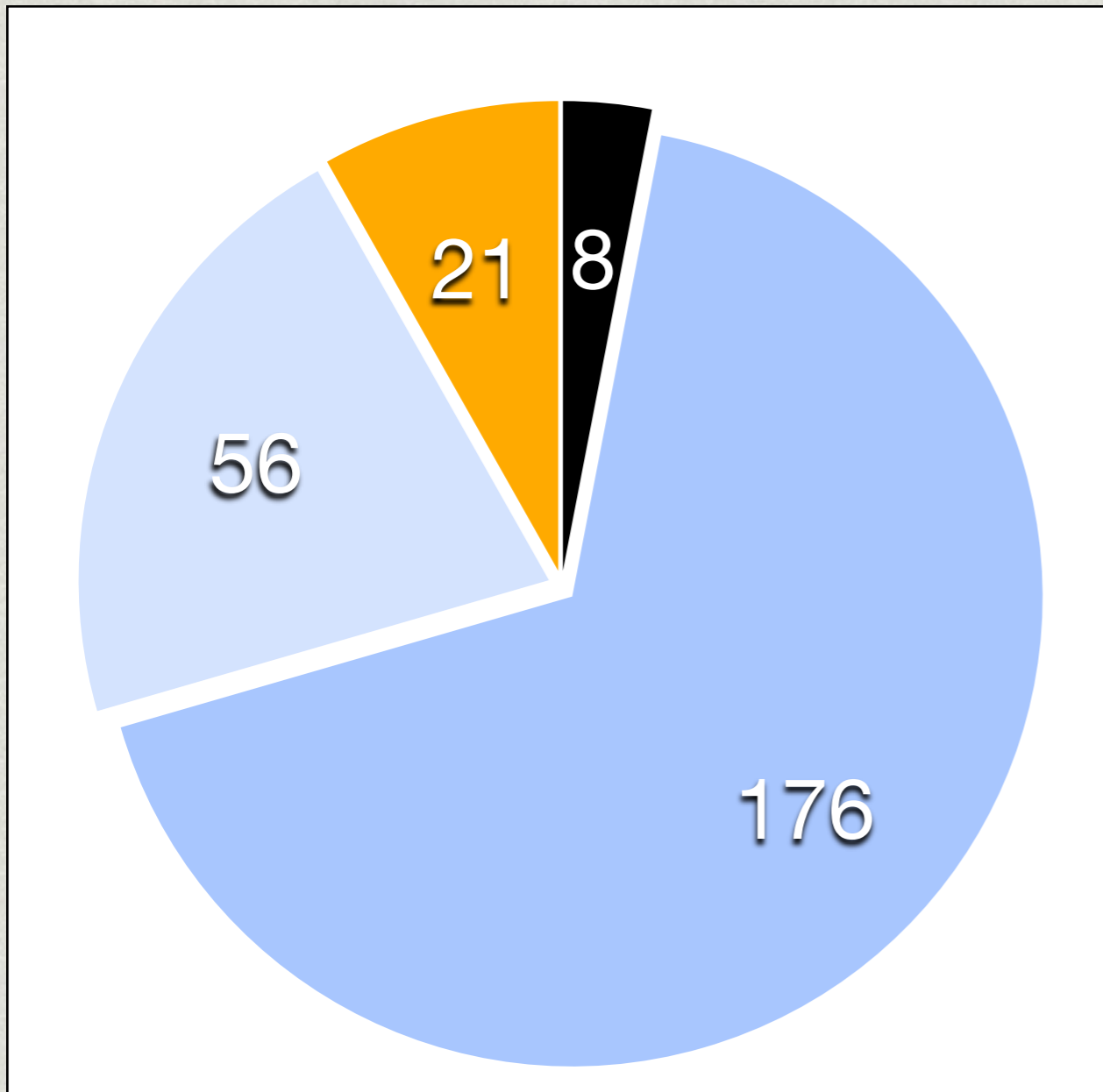
Compositional gradients?
Semiconvection?

Final bulk composition

Dry Jupiter

Wet Saturn

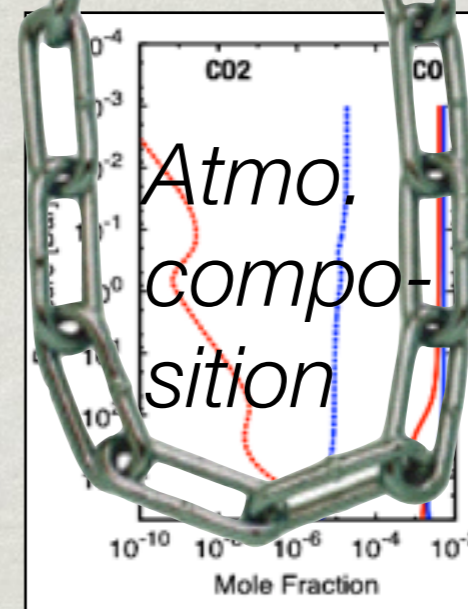
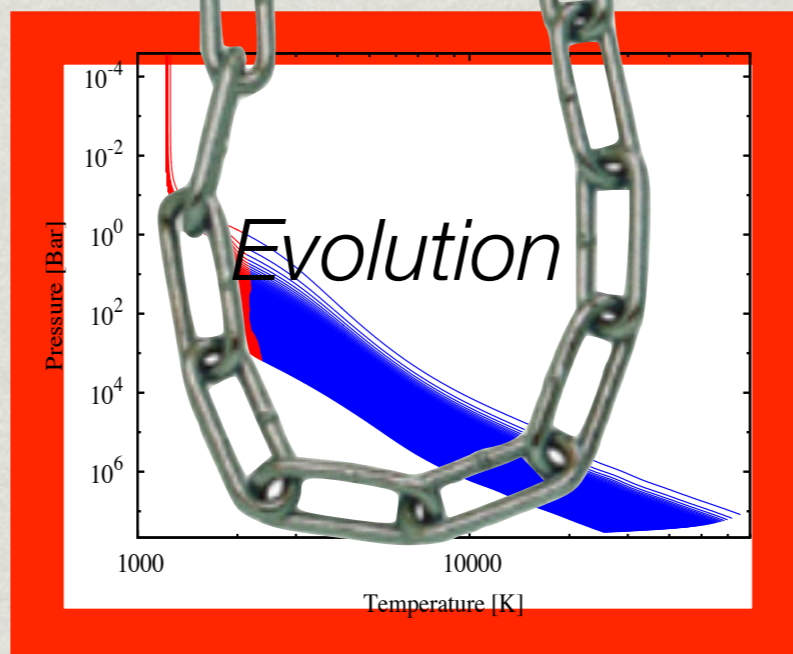
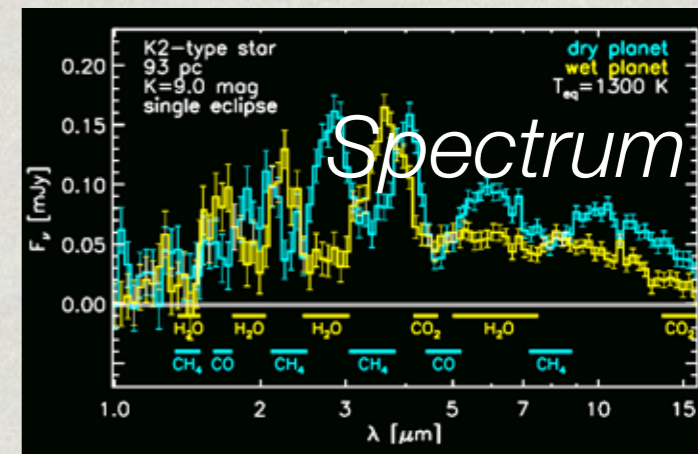
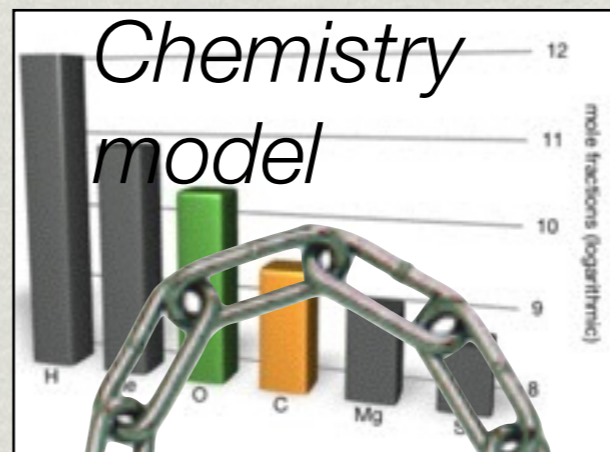
Rock to ice fraction:
solar=0.46 (Lodders 2003)



Mass/M_{Earth}: ● Solid core ● Hydrogen ● Helium ● Refractories ● Volatiles

The envelope of the “wet Saturn” is more enriched since a) more solids further away from the star (larger feeding zone) b) lower H/He mass c) icy planetesimal more fragile

3. Evolution



Evolution model

- ✳ Interior structure. 1D structure equations. Fully convective interiors.

$$\frac{\partial m}{\partial r} = 4\pi r^2 \rho \quad \frac{\partial P}{\partial r} = -\frac{Gm}{r^2} \rho \quad \frac{\partial T}{\partial r} = \frac{T}{P} \frac{\partial P}{\partial r} \nabla(T, P)$$

- ✳ Atmosphere. Simple 1D semi-gray model for strongly irradiated planets (Guillot 2010)

$$T^4 = \frac{3T_{\text{int}}^4}{4} \left(\frac{2}{3} + \tau \right) + \frac{3T_{\text{equi}}^4}{4} \left(\frac{2}{3} + \frac{2}{3\gamma} \left[1 + \left(\frac{\gamma\tau}{2} - 1 \right) e^{-\gamma\tau} \right] + \frac{2\gamma}{3} \left(1 - \frac{\tau^2}{2} \right) E_2(\gamma\tau) \right)$$

- ✳ Atmospheric escape. X-ray and EUV driven.

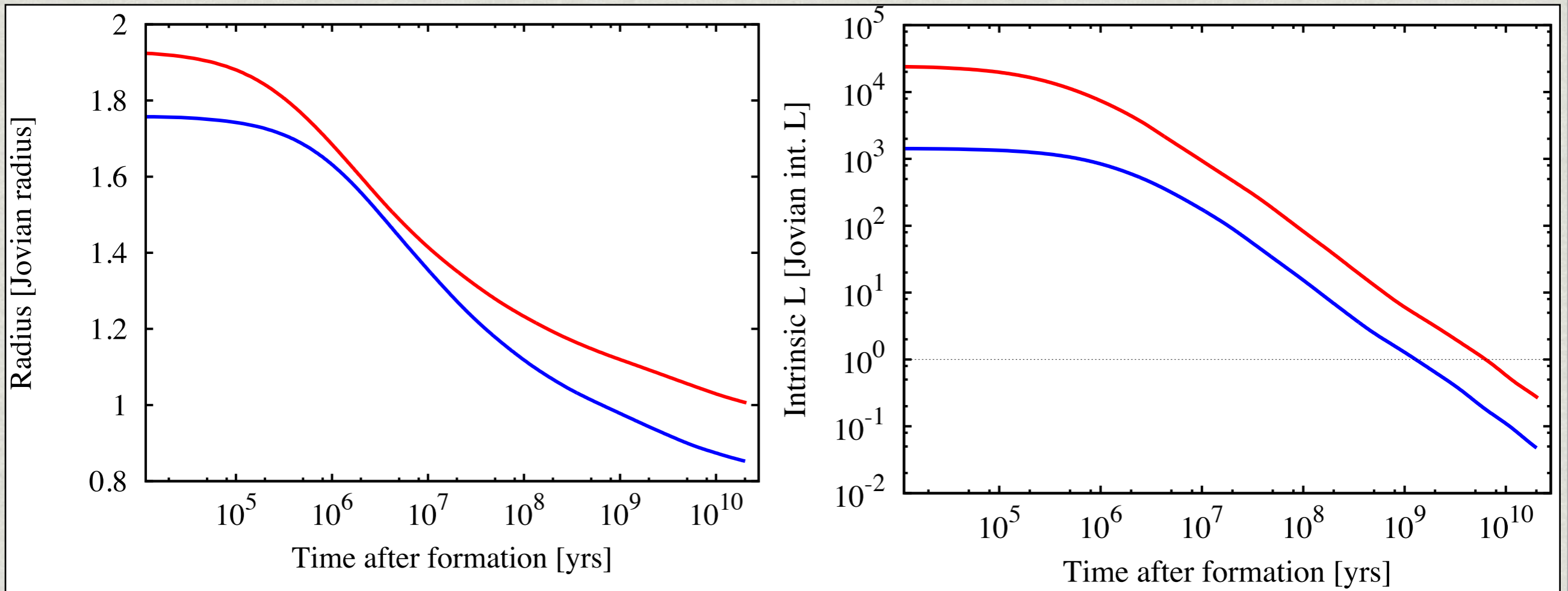
$$\frac{dM_{\text{rr-lim}}}{dt} = 4\pi \rho_s c_s r_s^2$$

$$\frac{dM_{\text{e-lim}}}{dt} = \frac{\epsilon_{\text{UV}} \pi F_{\text{UV}} R_{\text{UV}}^3}{GM K_{\text{tide}}}$$

Note: opacity takes global enrichment level into account, but not elemental composition. EoS neither self-consistent.

Evolution: cooling & contraction

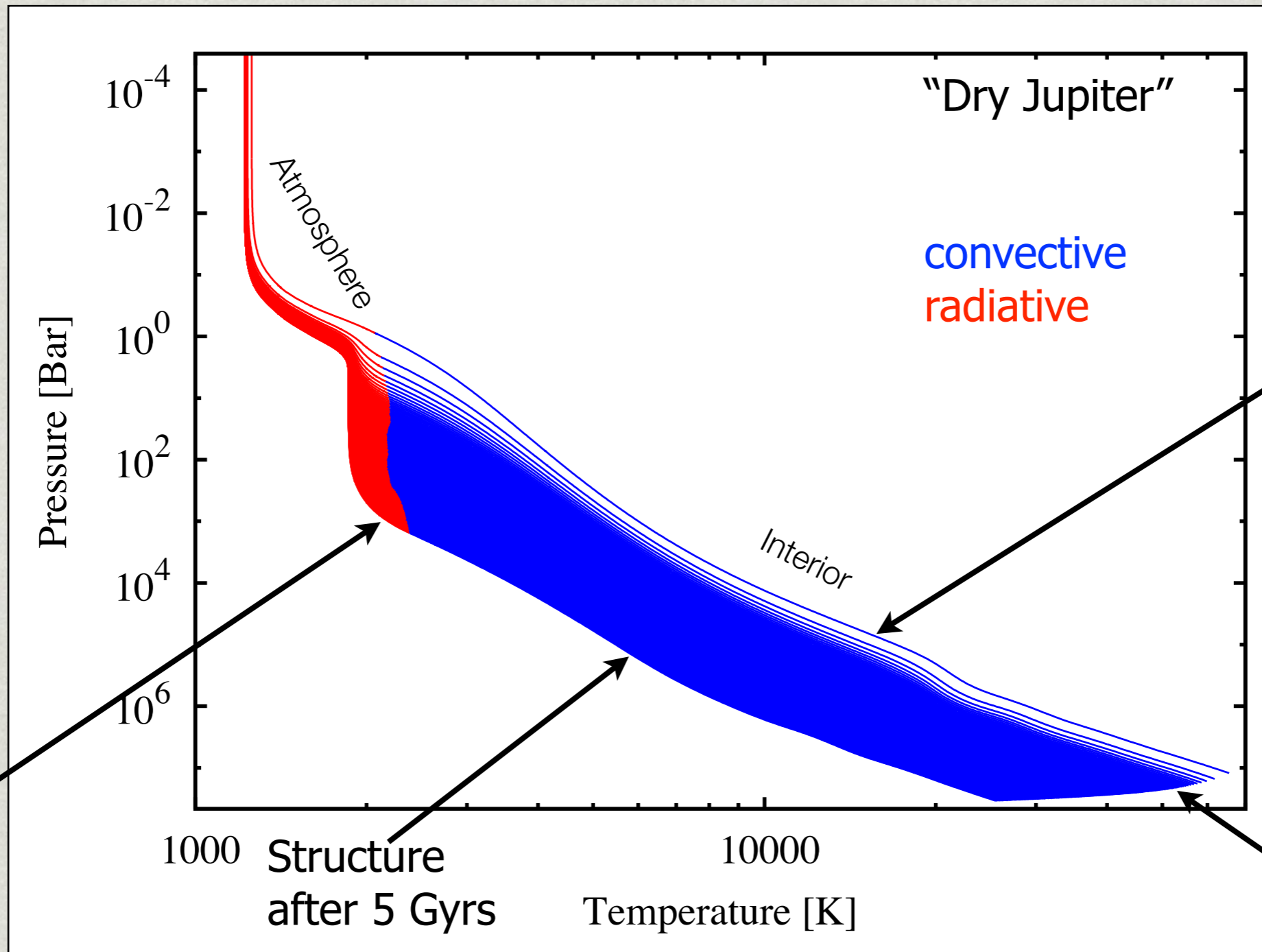
Evolve planets at 0.04 AU over Gyr timescales.



dry Jupiter
wet Saturn

Self-consistent coupling with formation phase: not only mass, but also opacity (approximately) and thermodynamic state (entropy).

Evolution: p-T structure

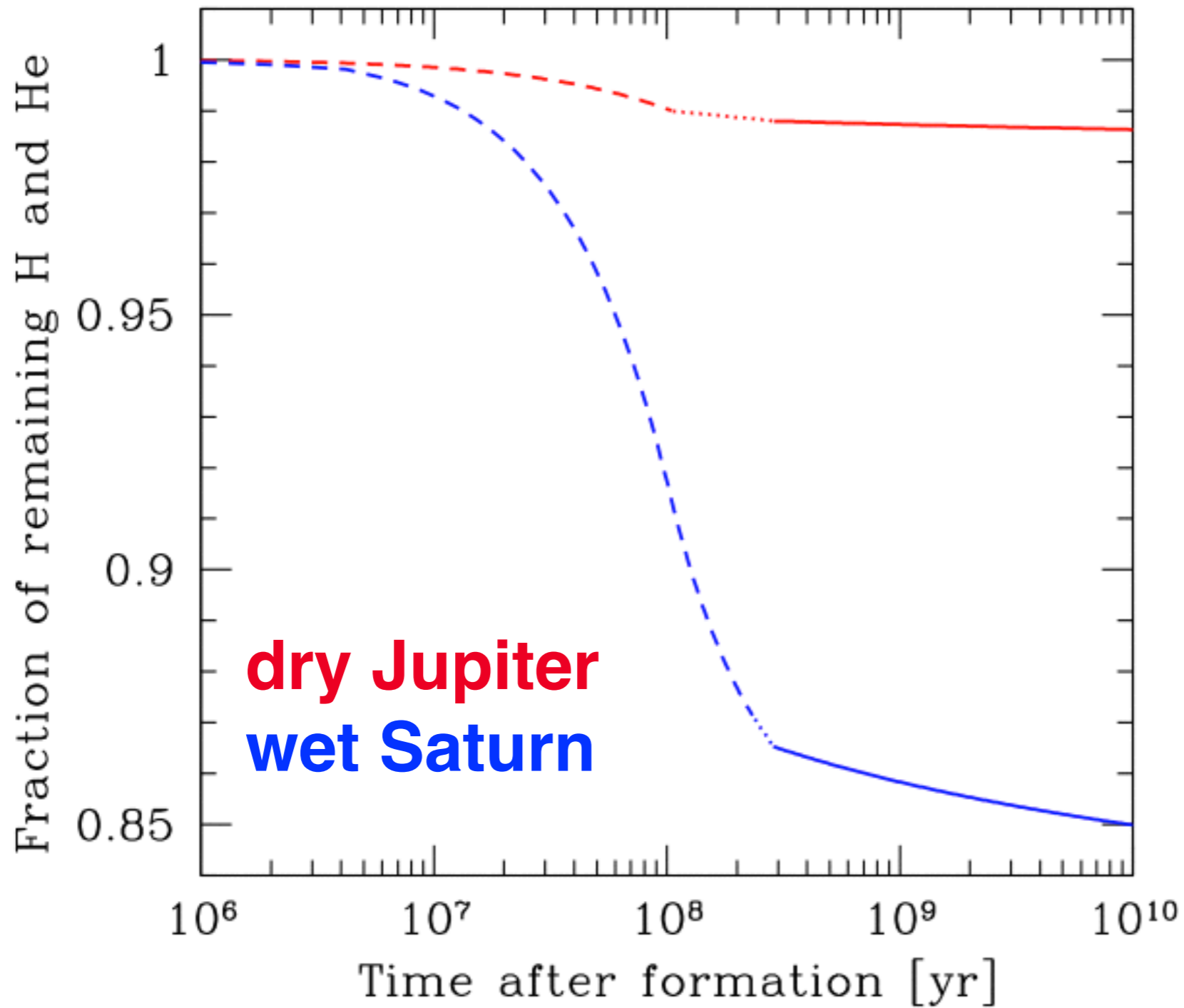


Deep radiative zone.

First structure immediately after end of formation

Interior cools, atmosphere "fixed" by stellar irradiation (no stellar evolution).

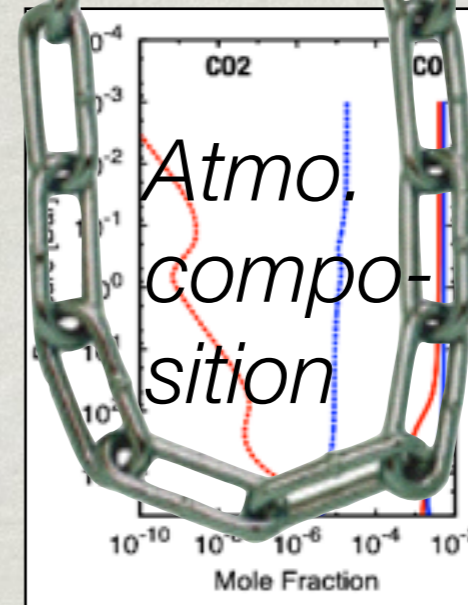
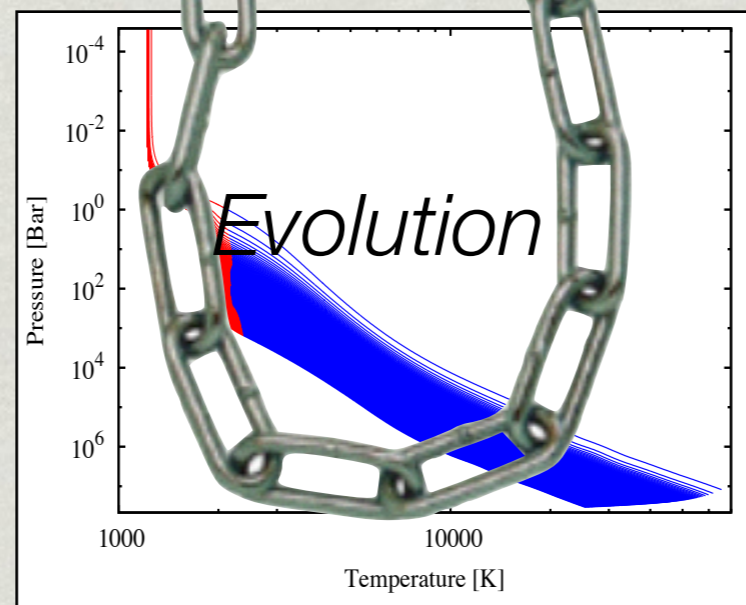
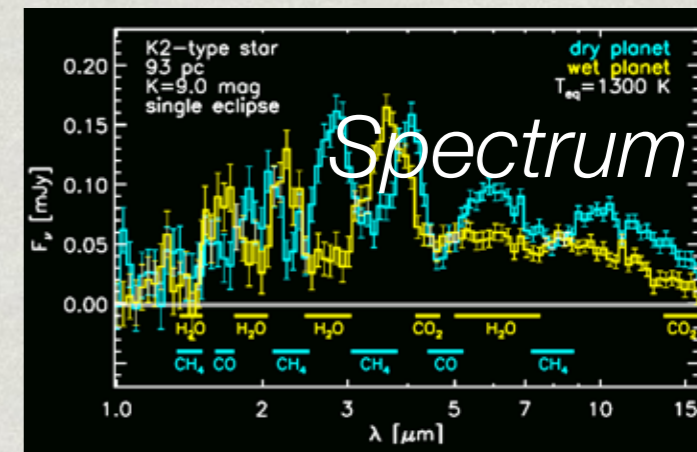
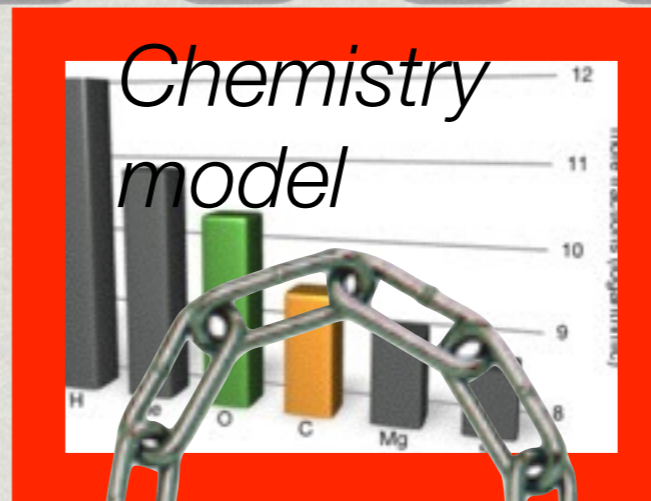
Evolution: envelope evaporation



- X-ray driven (dashed)
- UV driven, radiation-recombination limited (dotted)
- UV driven, energy limited (solid)

For these relatively massive planets at 0.04 AU, escape is not very important (lose only ~1 and ~15% of H/He)

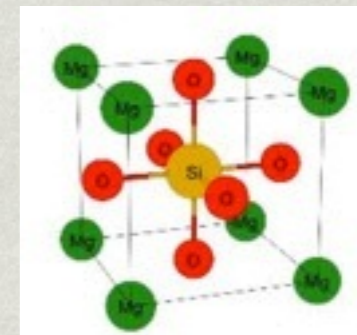
4. Chemistry model



Chemistry model

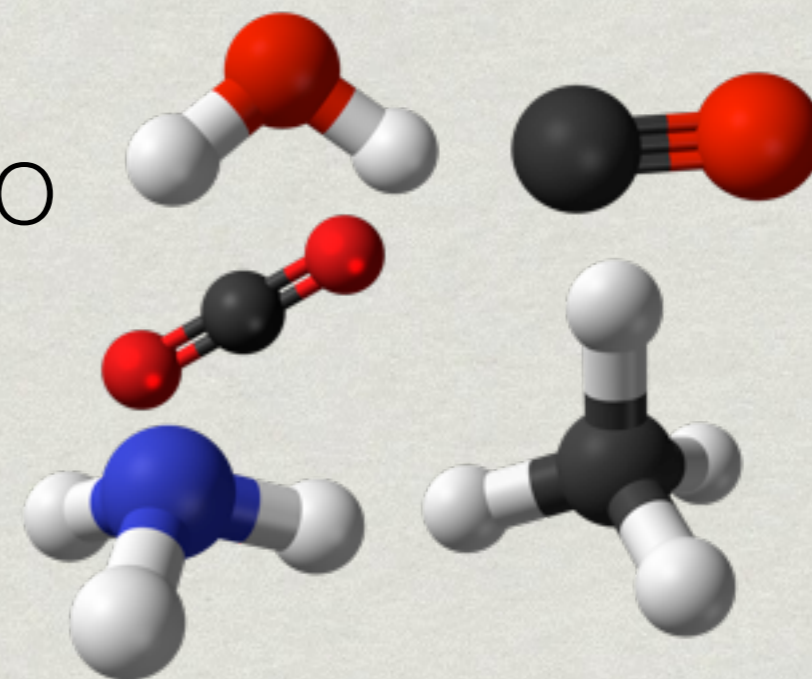
Specify what “refractory” or “ice” is chemically.

Refractories: 33 wt% Iron Fe
44 wt% Silicate Perovskite MgSiO_3
22 wt% Carbon C



From local ISM dust composition (Nuth et al. 1998).

Volatiles: 61 nb% Water H_2O
12 nb% Carbon monoxide CO
19 nb% Carbon dioxide CO_2
2.4 nb% Methane CH_4
6.1 nb% Ammonia NH_3

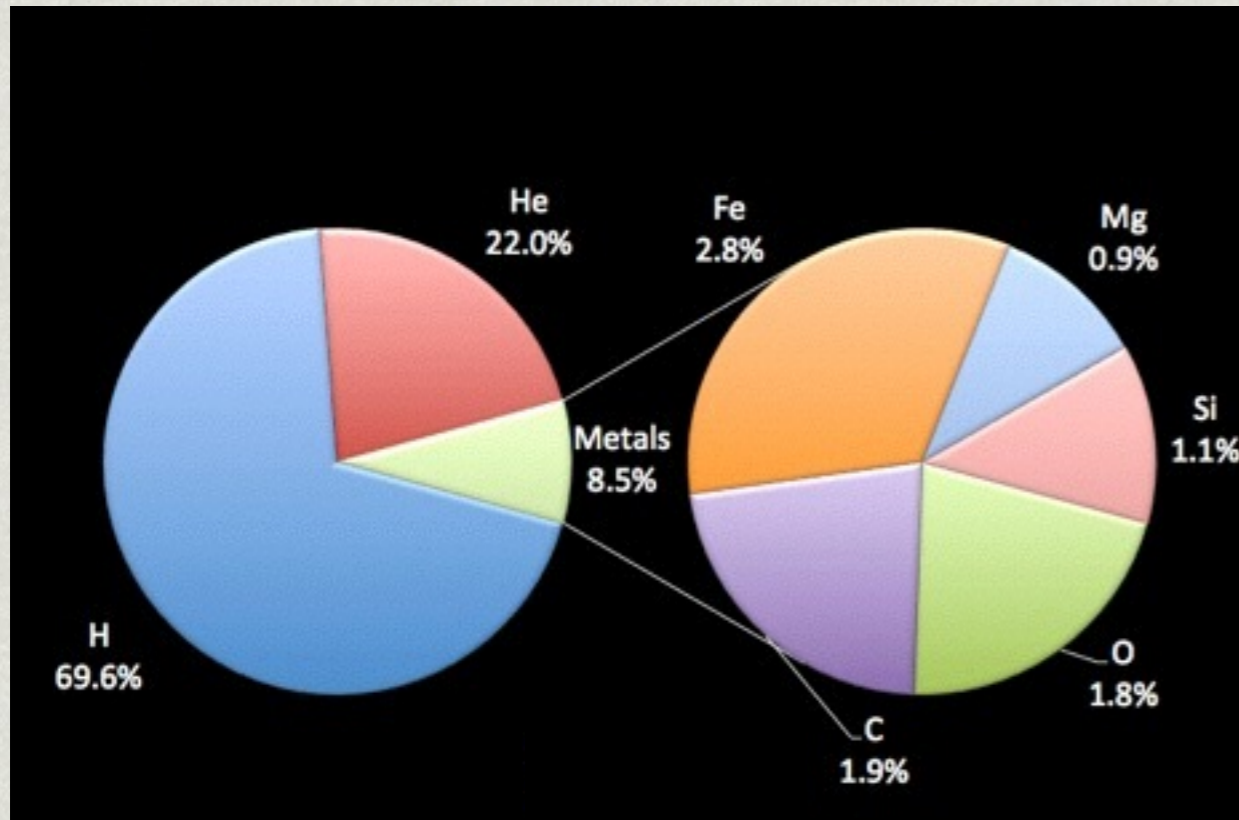


Derived from observed abundances in protoplanetary disks (Pontoppidan et al. 2005). Roughly similar values are also observed in comets (Bockelee-Morvan et al. 2004)

Assume uniform mixing of atmosphere and envelope (!). No temporal evolution (!).
Heavy atoms might settle to the deep interior (Fortney et al. 2008, Spiegel et al. 2009)

Elemental composition

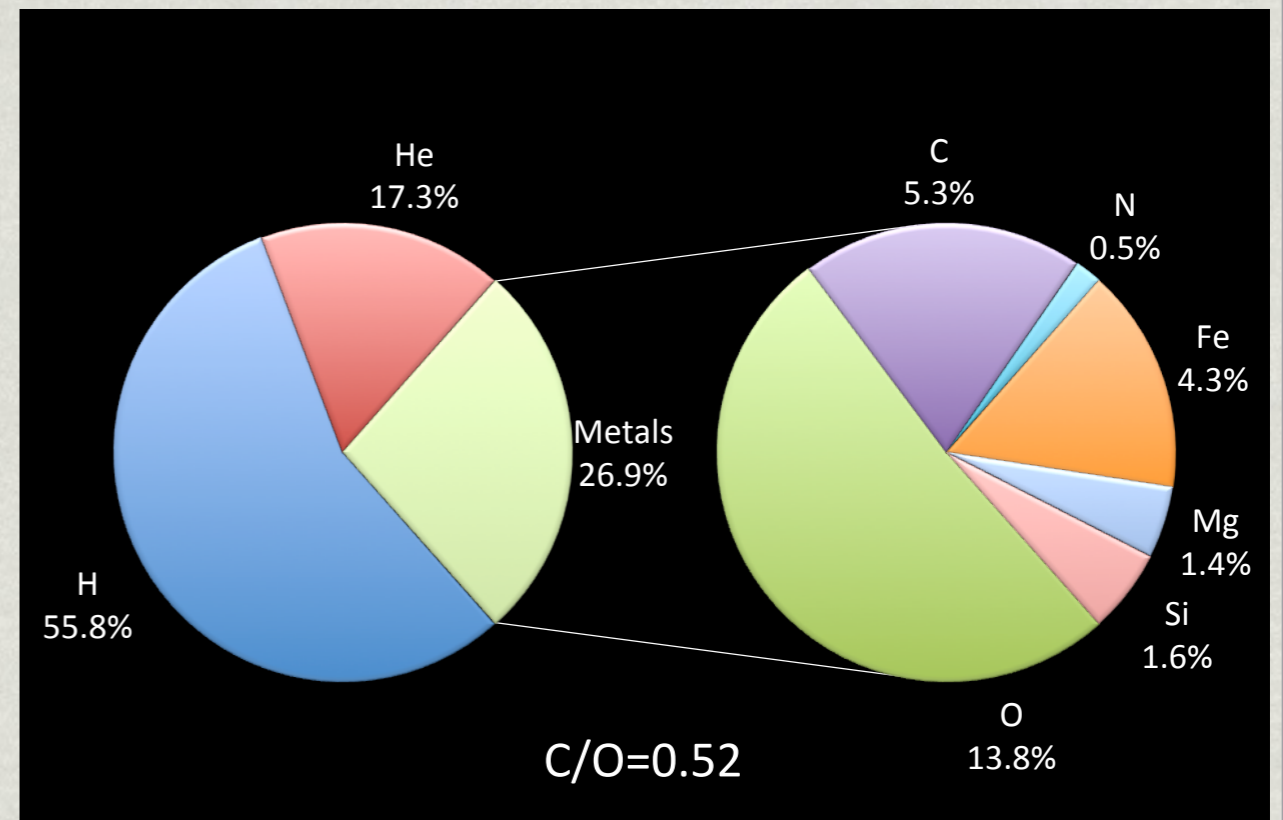
Mass % of H, He, O, C, Fe, Mg, Si, N in the gaseous envelope.



Dry Jupiter

$C/O = 1.39$

(by number.
Solar=0.54)



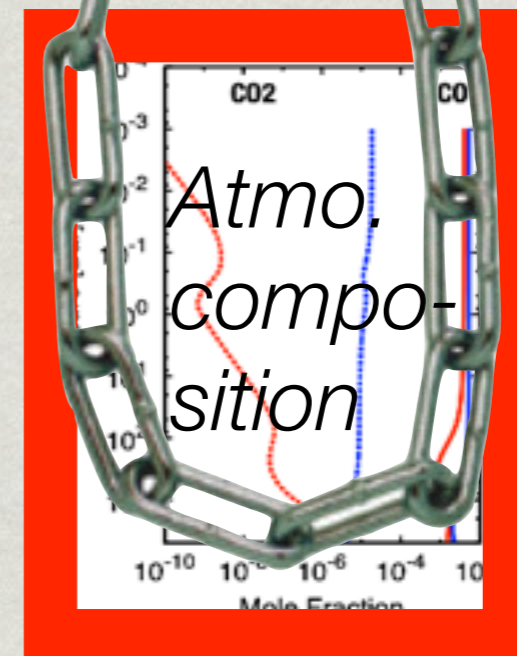
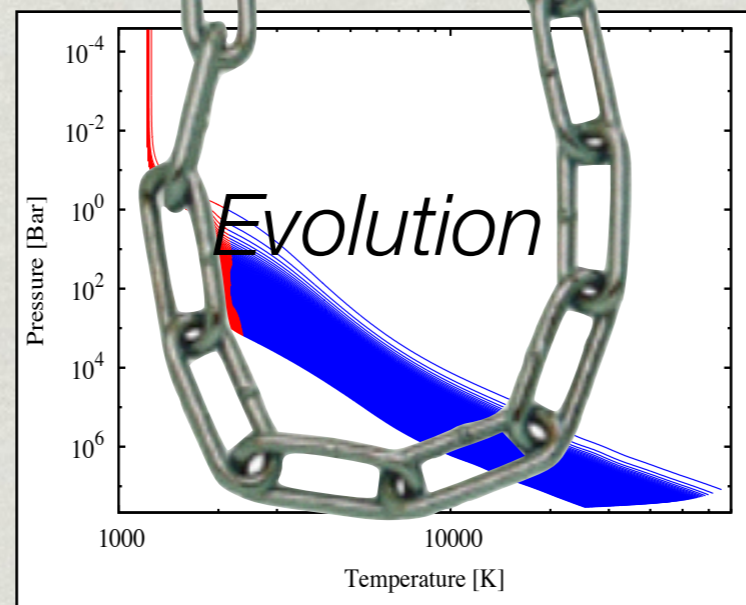
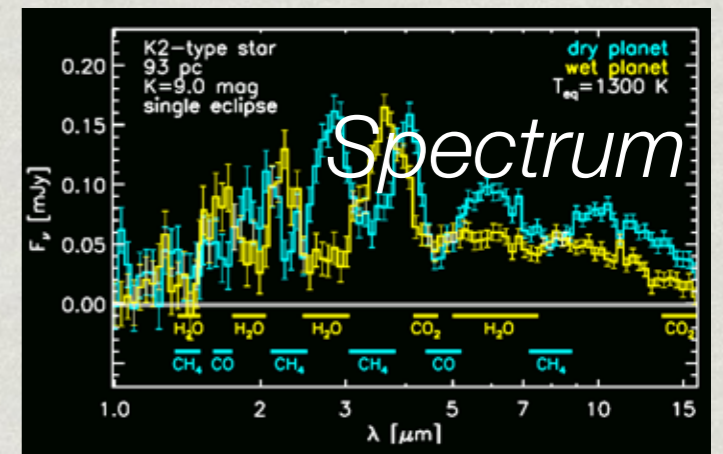
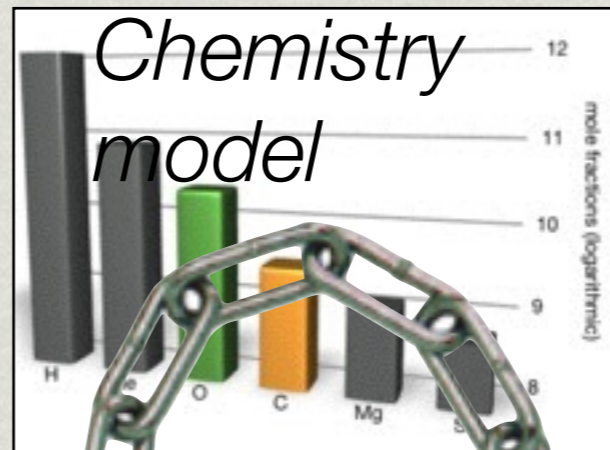
Wet Saturn

$C/O = 0.52$

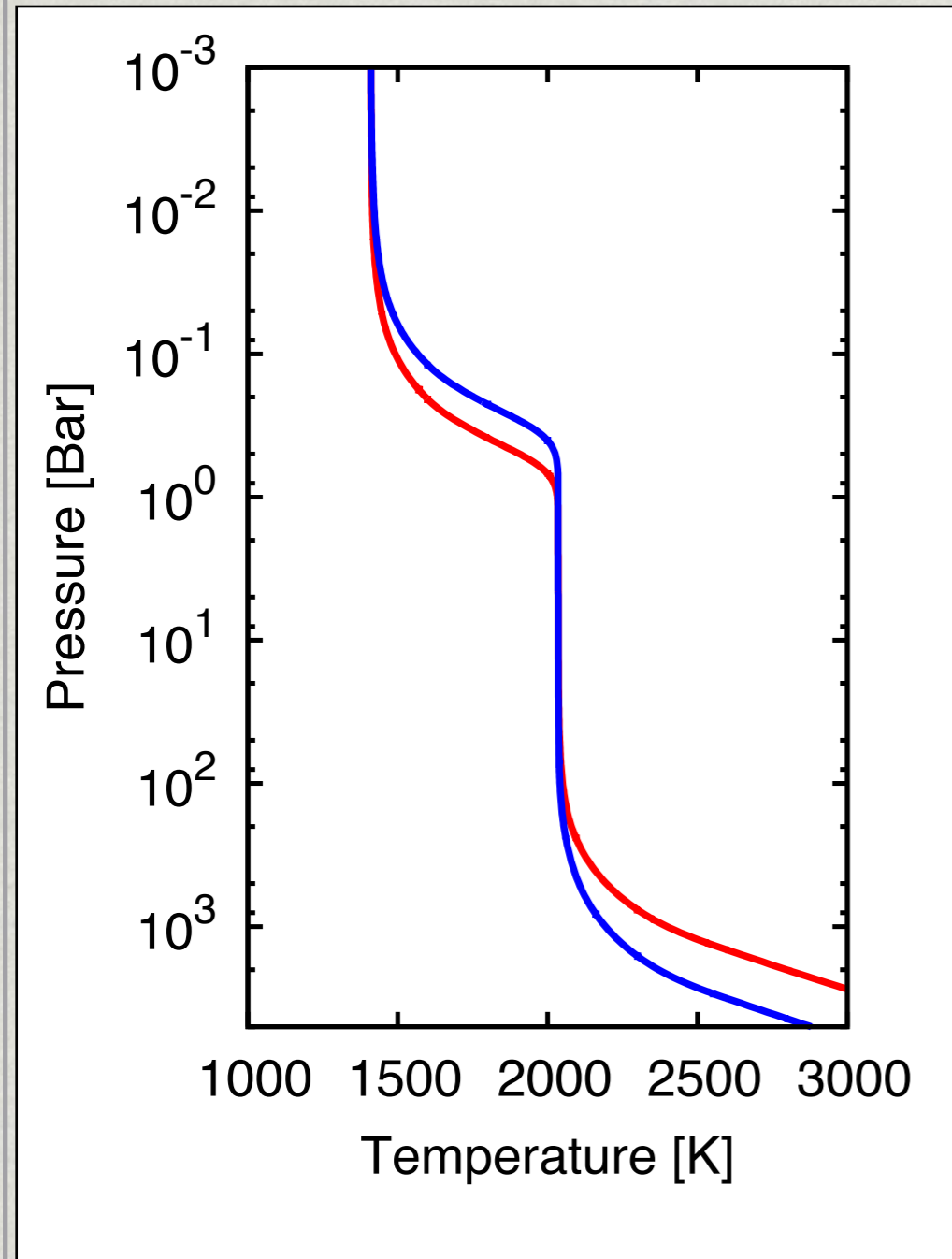
- EGPs formed inside water iceline: C-rich
- EGPs formed outside water iceline: O-rich

Different for very massive planets and other chemistry models

5. Atmospheric composition



Atmospheric composition



Use p-T structure at 5 Gyrs, and elemental abundances from formation to calculate molecular composition of atmosphere.

NASA code CEA (Gordon & McBride 1996):
classical Gibbs minimization

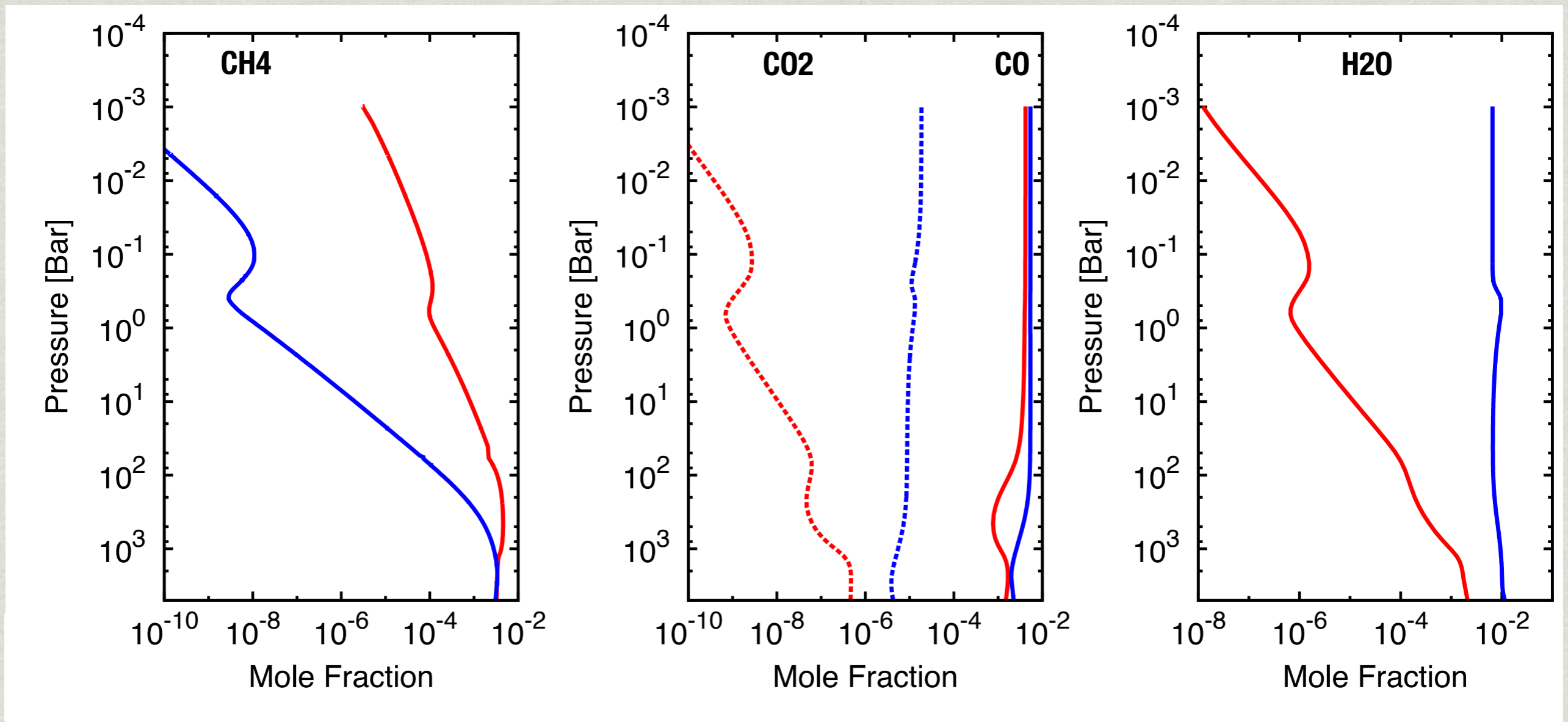
Simplifications:

- LTE
- no photochemistry

Species:

$\text{H}_2, \text{He}, \text{H}, \text{CH}_4, \text{CO}, \text{H}_2\text{O}, \text{CO}_2, \text{C}_2\text{H}_2, \text{OH}, \text{O}, \text{HCHO}, \text{CH}_3, \dots$

Molecular abundances



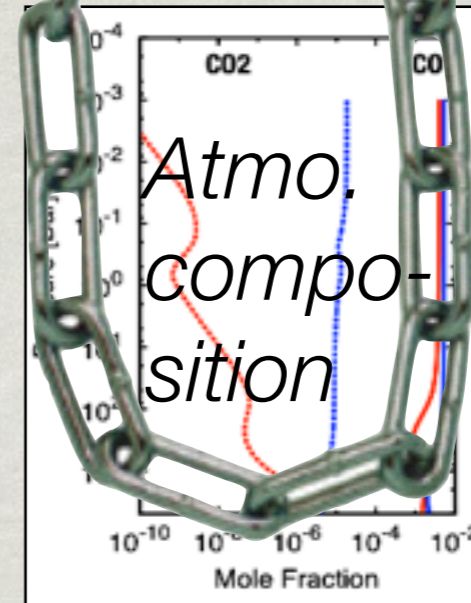
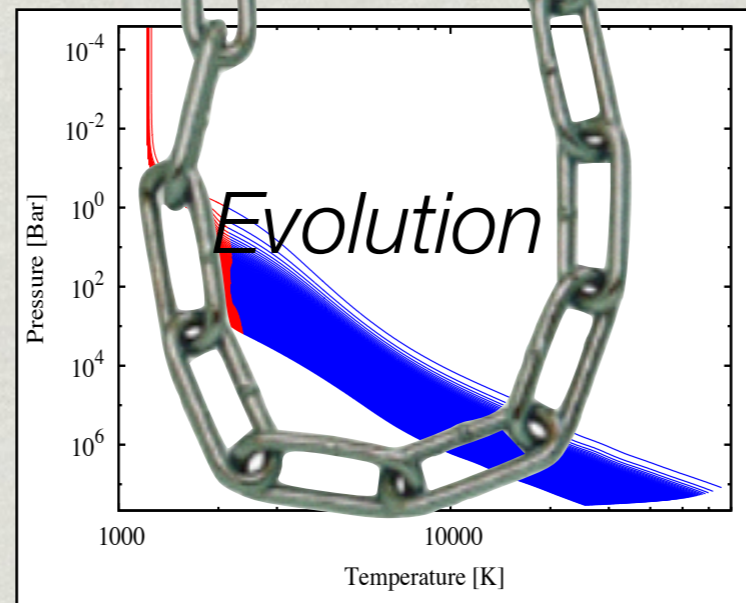
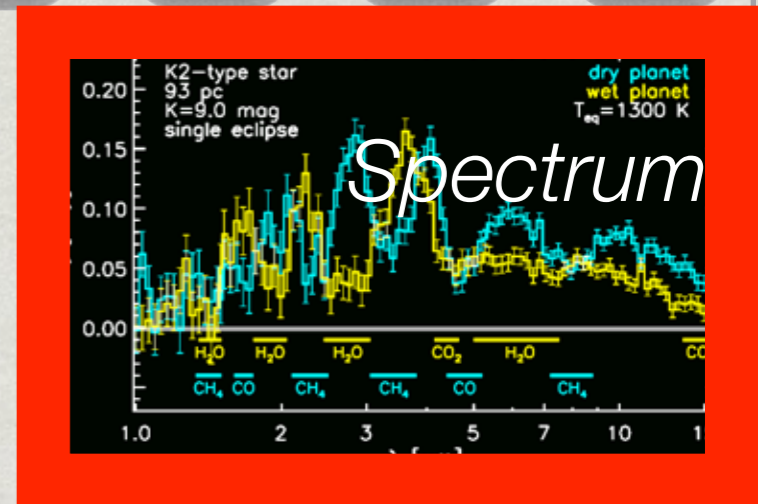
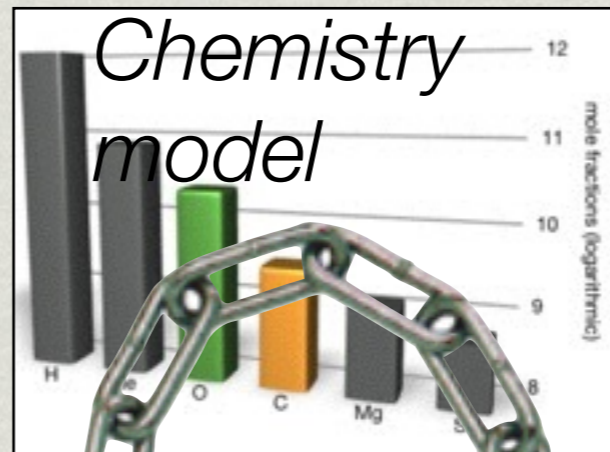
First form CO. Then either form H₂O (C/O<1) or CH₄ (C/O>1)

dry Jupiter : O only from silicates

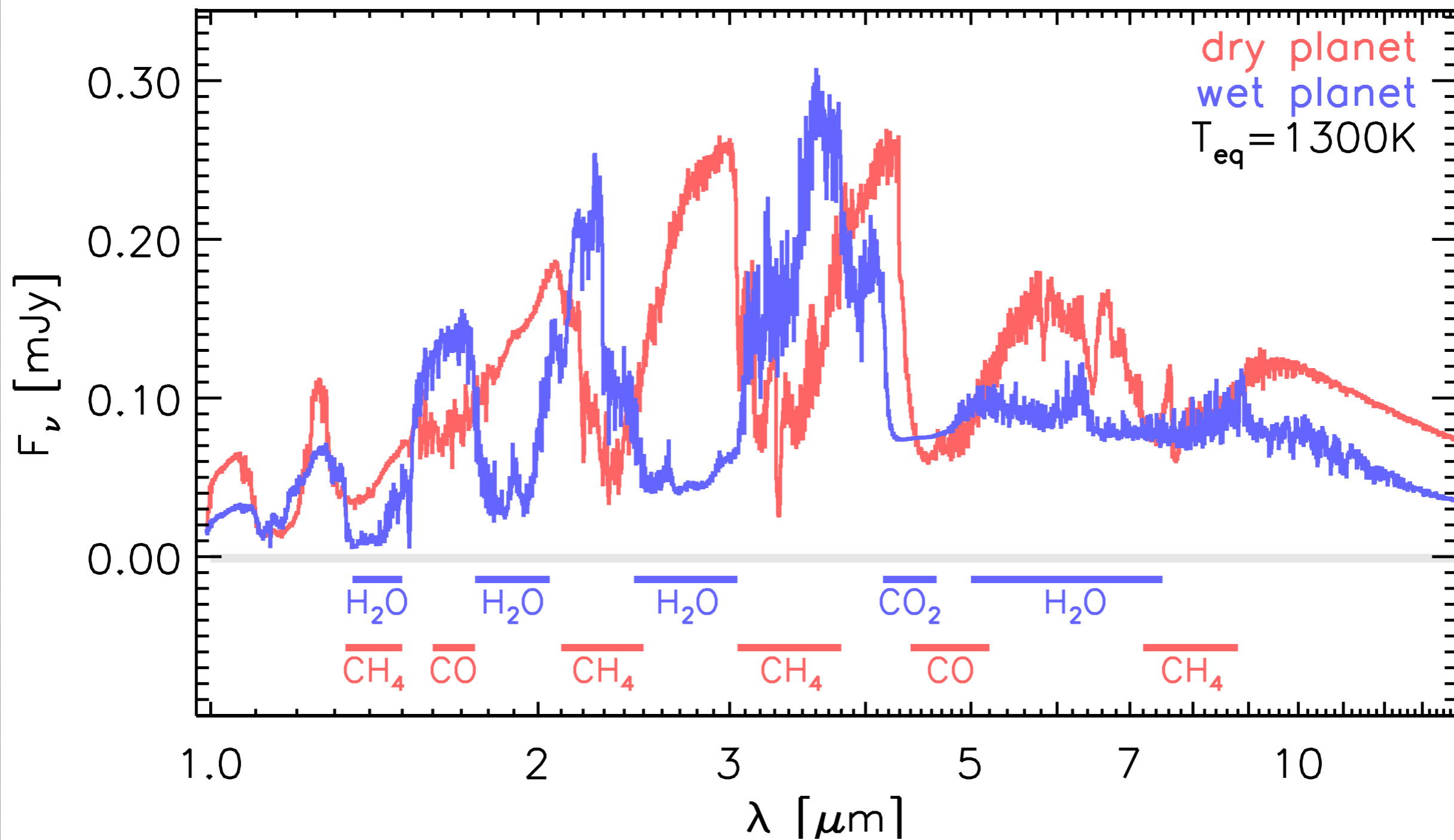
wet Saturn : O from water and silicates

difference of
several orders
of magnitude

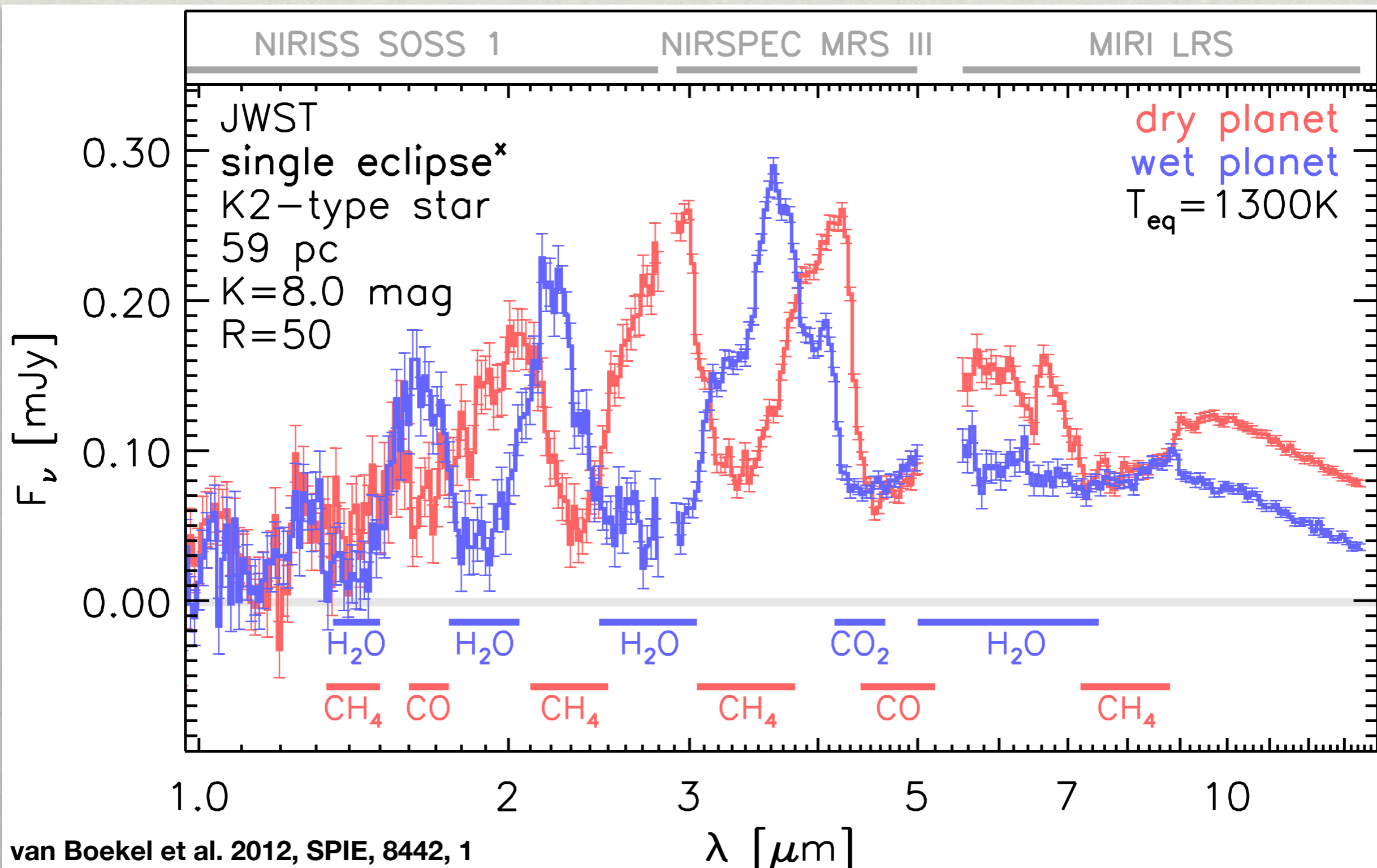
6. Spectrum



Model Spectra



Simulated JWST Spectra



van Boekel et al. 2012, SPIE, 8442, 1

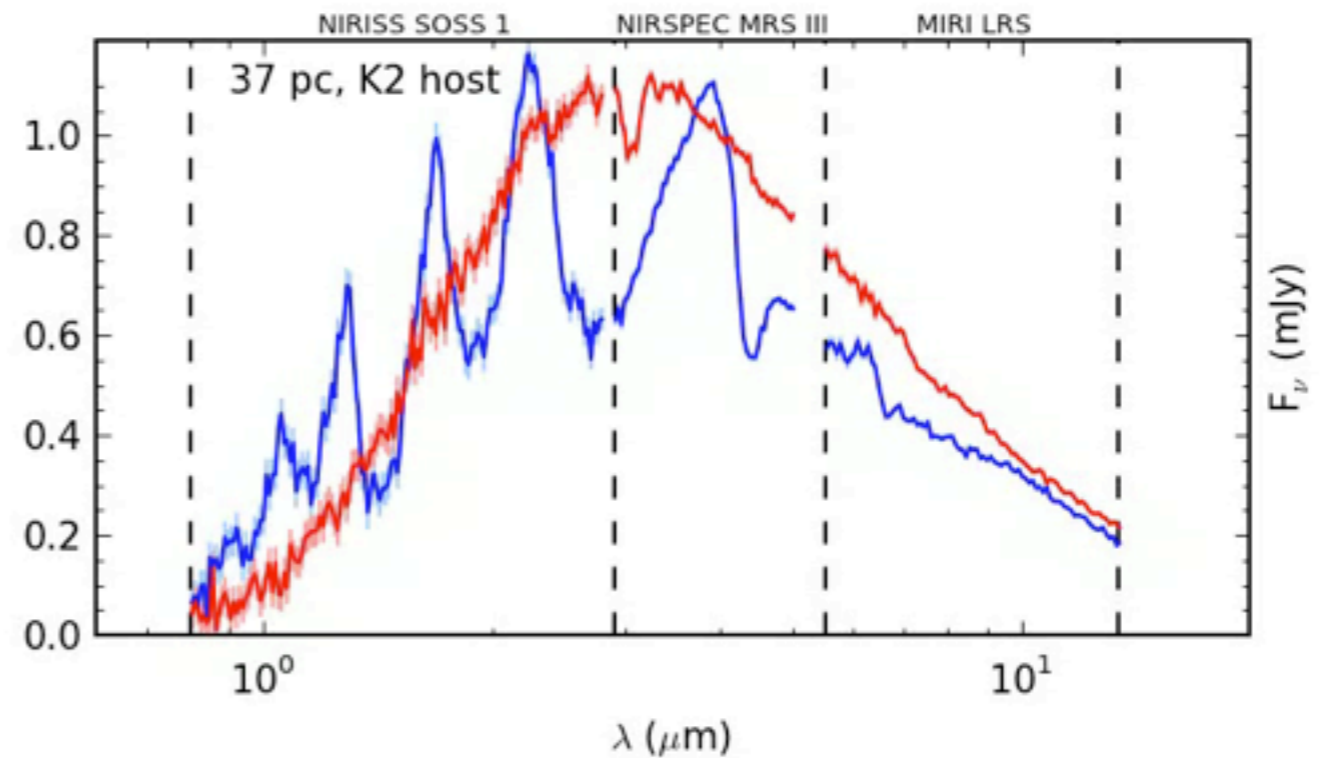
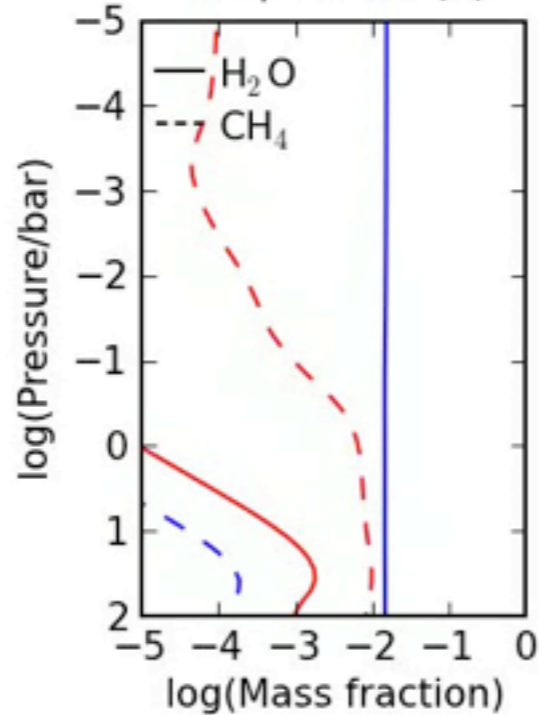
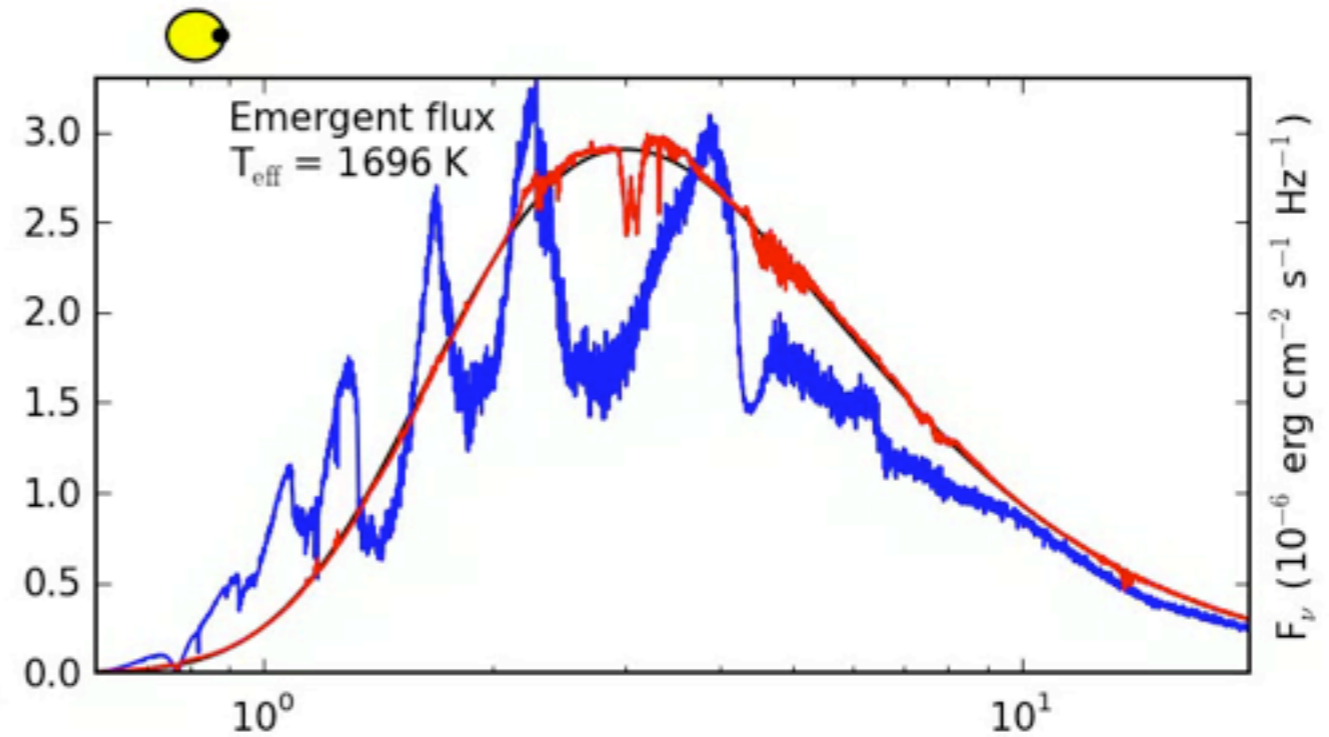
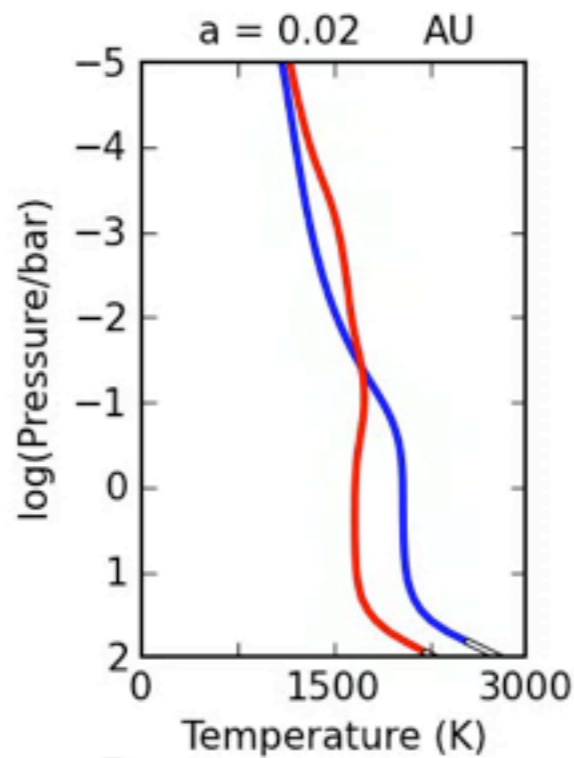
Difference very clearly detected

Parameter study: orbital distance

dry
Jupiter

wet
Saturn

Calculation by P. Mollière et al. in prep.



Parameter study: chemistry

Explore range of plausible compositions based on observations and theory for non-nominal chemistry models

Gas

- H, He, optionally volatiles

Refractory material

- 4/9 Silicates, 2/9 Carbon, 3/9 Iron
- 33% silicates, 10% Fe, 10% FeS, 46% “CHON”

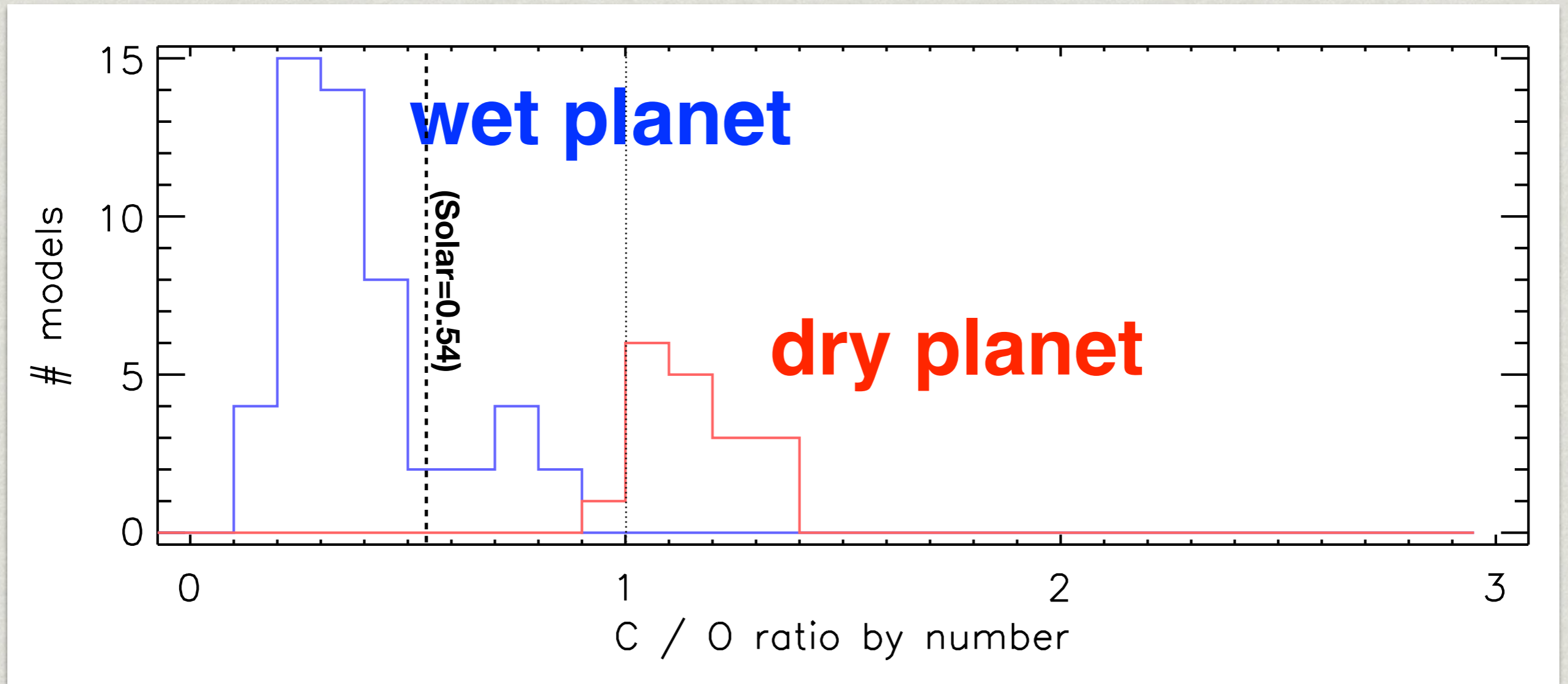
Volatile material

- H₂O, CH₄, CO, CO₂, NH₃
- solar nebula model, comets, disks
- different volatile to refractory ratios

| H ₂ O | CO | CO ₂ | CH ₄ | NH ₃ | reference |
|------------------|----|-----------------|-----------------|-----------------|-------------------------------|
| 100 | 0 | 0 | 0 | 0 | |
| 100 | 0 | 0 | 65 | 18 | Lodders (2003) |
| 100 | 10 | 5 | 1 | 1 | Bockelée-Morvan et al. (2004) |
| 100 | 6 | 19 | 0 | 0 | K. Altwegg (pers. com.) |
| 100 | 99 | 32 | 4 | 10 | Pontoppidan et al. (2005) |

Parameter study: chemistry II

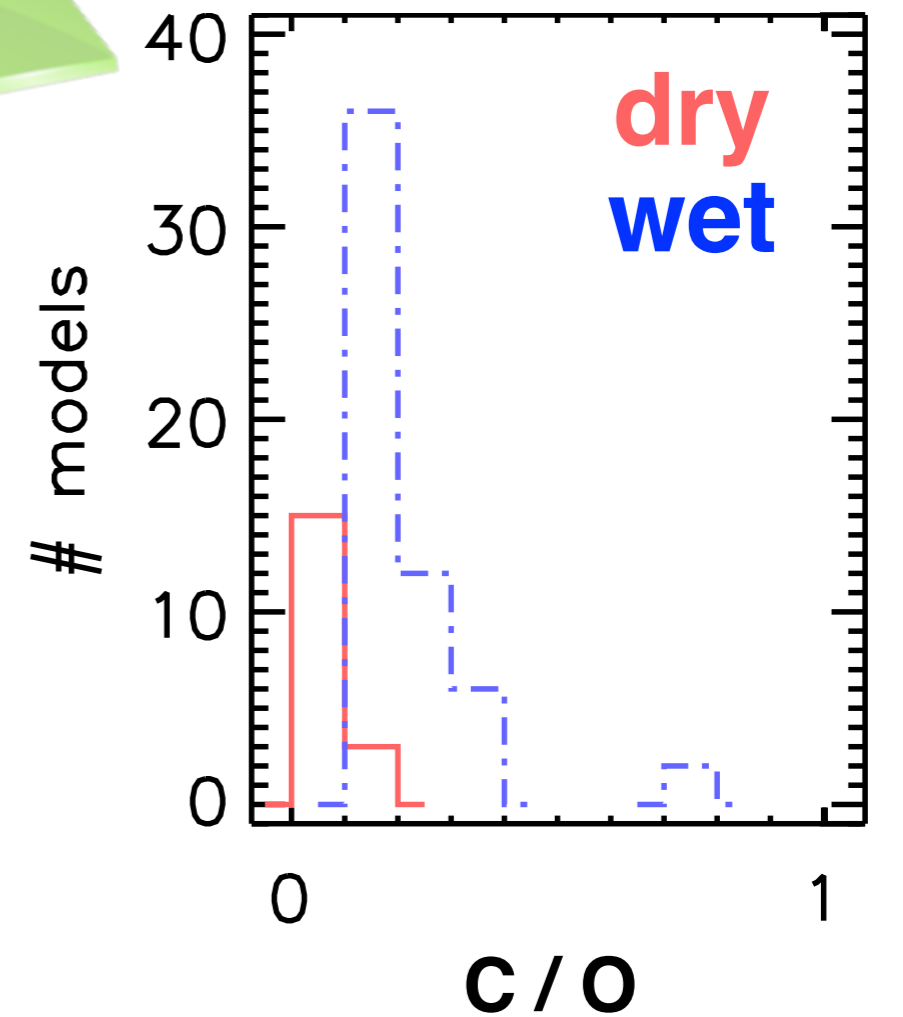
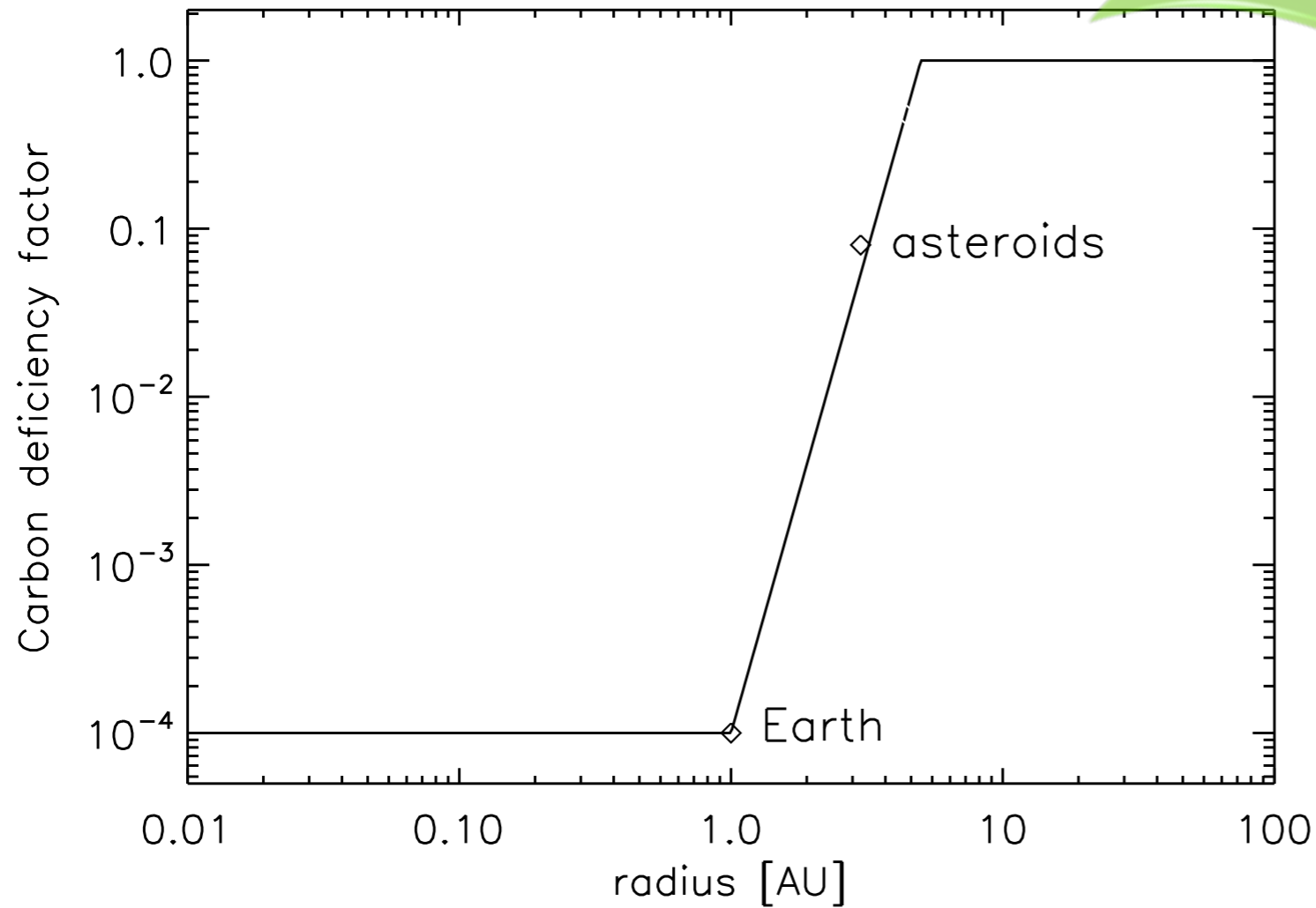
Resulting C/O for different chemistry models



Range of C/O, but global trend remains


Parameter study: chemistry III

Carbon-depleted solids in the inner disk regions?



Completely changes the conclusion!

Next steps

- 
- Formation: include N-body effects
 - Interior/Evolution: compositional gradients, semiconvection, fully non-gray atmospheric model
 - Self-consistent EoS and opacity
 - Chemistry: comparison with condensation models
 - Atmosphere: non-equilibrium chemistry, clouds, settling of heavy species

Many uncertainties in all models parts...
Observational guidance important

Conclusions



Spectra of mature planets contain clues about their formation(?)

Here: formation location → C/O ratio

- **EGPs formed inside iceline: C-rich (?)**
- **EGPs formed outside iceline: O-rich**

very different spectral signatures

- **O-rich: water-dominated spectra**
- **C-rich: methane-dominated spectra**
- **observable in large numbers with JWST!**

**caution:
carbon
deficiency?**

**caution:
T-dependent
chemistry**